

RCUH Form 37a: SUBRECIPIENT AUDIT INFORMATION

Legal Entity Name: _____

A-133 Contact Name and Title: _____

Address: _____

Contact Email Address: _____

Contact Phone #: _____ Contact FAX #: _____

Organization's Fiscal Year: _____ EIN: _____
MM/DD/YY to MM/DD/YY

Reporting Requirements: Please check the appropriate item and provide any required reports, as applicable:

- Our audit report for the fiscal year ended _____ has been completed. There were no material weaknesses, no material instances of noncompliance, and no findings related to any sub-award(s) from the University of Hawai'i. *Accordingly, we are not enclosing a copy of the audit report.*
- Our audit report for the fiscal year ended _____ has been completed. There were material weaknesses, material instances of noncompliance, or findings related to the University of Hawai'i subaward(s) to us. *Enclosed is a copy of the audit report and corrective action plan(s).*
- Our audit report for the fiscal year ended _____ has not yet been completed. We expect the audit to be completed on _____. *Within thirty days of completion, we will advise you of the results. A copy of an approved extension is enclosed, if applicable.*

Attach a completed "Sub-recipient Questionnaire" if checking any items below.

Our institution is exempt because we:

- Expended less than or equal to \$500,000 of federal funds for the fiscal year ended _____.
- Are a for profit entity. *Enclosed is a copy of our financial statements and a management letter.*
- Are a foreign (non-US) entity.

Authorized signature: _____ Date: _____

For RCUH/UH purposes UH Acct No. _____ Award No. _____