Proposed Regents Policy Changes
November 2015 Update

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Proposed revisions to RP 12.206 III.

Eliminates D. and E.

Rest of the text reads as follows:

“A. The Board of Regents ("Board") approves extra-departmental administrative structures, commonly referred to as organized research units ("ORU"). ORU provide a locus for faculty, staff and students to engage in focused, collaborative research and training activities. ORU are expected to generate significant grant and contract funding.

B. The President shall establish rules and procedures for the establishment, review, and removal of ORU, including requirements for review and recommendations by the relevant campus faculty senate and administrators.

C. ORU may not be formally established or discontinued until (1) review, as prescribed by the President, has been completed; (2) the President makes a recommendation to the Board; and (3) the Board has given its approval.”
Effect of the proposed RP 12.206 revisions

- Affirms role of Board in approving establishment or discontinuation of Organized Research Units (ORU)

- Delegates responsibility for process for establishing, reviewing and discontinuing ORU to the President, including details such as review cycle and conformance with other policies, which simplifies RP
Proposed revision to RP 12.207 III.C.

“Service ordering of institutional funds[*] to RCUH shall be for the direct support of research or training projects, including mandatory cost sharing or matching.

1. Prior Board approval is required for service order of institutional funds for:
   a. Major construction (CIP) and repair and maintenance (R&M) projects in accordance with the threshold delineated in RP 8.201, Section III.B.1;
   b. Purchases of goods or services in accordance with the threshold delineated in RP 8.201, Section III.E.1; or
   c. Consultant contracts in accordance with the threshold delineated in RP 8.201, Section III.C.1.”

* Institutional funds = research and training revolving funds; tuition and fee special funds; or bond proceeds
Proposed revision to RP 12.207 III.D.

“Extramural proposals and awards must be processed by the University’s office responsible for the administration of research and training grants and contacts. Proposals may not be submitted via or awards made directly to RCUH on behalf of the University unless the President or President’s designee has made a determination that the research or training project should be processed as an RCUH direct project and RCUH has accepted the responsibility.”
Proposed RP 12.207 Section III.E.

- Moves current RP 12.207 Section III.E to a new Section III.F.
- New Section III.E. reads:

  “In the case of institutional funds, requests to service order to RCUH must be received by University officials delegated with approving authority to make such determinations by the President or President’s designee. Upon receipt, a determination by the University shall be made as to service ordering to RCUH based on this policy.”
Effect of proposed RP 12.207 revisions

- Reiterates condition that service order of institutional funds are for the support of research and training projects, including cost sharing purposes.

- Clarifies the conditions when Board approval is required for service ordering of institutional funds:
  - Proposes to eliminate confusing statement regarding supplementation of existing University contracts or salaries
  - Proposes that the same thresholds for Board approval under RP 8.201 be used for major construction and repairs and maintenance, purchases of goods and services, and for consultant contracts
Effect of proposed RP 12.207 revisions (cont’d)

Clarifies current RP Section III.D. by breaking it into two sections:

• University programs should not be using RCUH to apply for and receive extramural awards. These transactions should normally be processed through ORS. We believe this is the intent of the original language’s first sentence. RCUH direct projects are allowed only on an exception basis with RCUH consent.

• Extramural awards are automatically service ordered to RCUH and were prior to the adoption of RP 12.207. Thus, the original language’s second sentence was never applicable to extramural awards. The new language clarifies that service order requests and determinations are for institutional funds only.