MEMORANDUM

TO: Vice Presidents, Chancellors, Deans, Directors, Principal Investigators, Administrative and Fiscal Officers

FROM: James R. Gaines
Interim Vice President for Research

SUBJECT: Sponsored Industry Research Agreements Clarification

This memorandum is to provide further clarification of what constitutes a sponsored industry research agreement that will be reviewed and negotiated by the Office of Technology Transfer and Economic Development.

Sponsored industry research agreements are defined as agreements with a private industry sponsor that is a for-profit commercial entity but excluding private for-profit pharmaceutical companies or any organization hired by the for-profit pharmaceutical company to manage their clinical research study agreements. Examples of for-profit, private industry sponsors are: Boeing, Raytheon, Motorola, Referentia, Cellular Bioengineering, Sony, IBM, etc.

The research agreements must be for the provision of University research or services, from a for-profit private industry sponsor as defined above and which fall into one or more of the following categories:

1. Funded solely with private industry sponsor monies.
2. Paid for solely with federal flow-through Small Business Innovation Research (SBIR) program funding.
3. Paid for solely with federal flow-through funding from the Small Business Technology Transfer (STTR) program.
4. Paid for with a combination of funding as described in items 1-3.

If you have any questions, please do not hesitate to contact Kevin Hanaoka at 956-9242. Thank you.

c: Secretary of the Board of Regents
President
Vice President for Legal Affairs and University General Counsel
Director of Risk Management
Office of Technology Transfer and Economic Development
Office of Research Services