Regents Policy Chapter 12, Research  
Regents Policy RP 12.202, Principal Investigator  
Effective Date: Apr. 21, 2016  
Prior Dates Amended: Mar. 17, 2011; Oct. 31, 2014 (recodified)  
Review Date: August 2019

I. **Purpose**

To set forth policy regarding principal investigators for externally funded contracts and grants.

II. **Definitions**

No policy specific or unique definitions apply.

III. **Policy**

A. Only Board appointees may serve as principal investigator for an externally funded contract or grant. In the context of this policy, adjunct faculty, research affiliates, and emeriti faculty in non-compensated University appointments may serve as principal investigators.

B. The privilege to serve as principal investigator is conditioned on the following criteria: the proposed program or activity is desirable and compatible with the mission of the respective academic or research unit; those university officials responsible for committing the use of facilities and other resources of the University agree to accept the commitment involved; the investigator has accepted the terms and conditions of the contract or grant; and the investigator as disclosed all potential conflicts of interest.

C. Changes in principal investigator shall be done in accordance with awarding agency policies and procedures such as the National Institutes of Health Grants Policy Statement or National Science Foundation Award and Administration Guide.

IV. **Delegation of Authority**

There is no policy specific delegation of authority.

V. **Contact Information**
VI. References

A. http://www.hawaii.edu/offices/bor/

B. National Institutes of Health Grants Policy Statement, 8.1 Changes in Project and Budget

C. National Science Foundation Award and Administration Guide, Chapter II – Grant Administration

D. EP 12.214, Conflicts of Interest and Commitment

E. AP 5.504, Procedures for Disclosing and Addressing Conflicts of Interest and Commitment

F. AP 8.025, Fiscal Responsibilities Within the University

G. AP 8.910, Procedures for the Preparation and Submission of Proposals to External Sponsors and the Review/Approval of Sponsored Agreements

H. AP 8.926, Administrative and Financial Requirements for Extramurally Financed Research and Training Programs/Activities of the University

I. AP 8.956, Financial Conflicts of Interest (FCOI) for Public Health Services (PHS) Grants, Cooperative Agreements and Contracts

VII. Exhibits and Appendices

No Exhibits and Appendices found

Approved:

Approved as to Form:

/s/ 04/21/2016
Cynthia Quinn Date
Executive Administrator and Secretary of the Board of Regents
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