

NOTICE OF BOARD OF REGENTS MEETING

Board business not completed on this day will be taken up on another day and time announced at the conclusion of the meeting.

Date: Thursday, September 20, 2018

Time: 9:30 a.m.

Place: Kaua'i Community College
Office of Continuing Education & Training (OCET), Room 106 C&D
3-1901 Kaunualii Highway
LThu'e, HI 96766

AGENDA

- I. Call Meeting to Order**
- II. Public Comment Period:** All written testimony on agenda items received after posting of this agenda and up to 24 hours in advance of the meeting will be distributed to the board. Late testimony on agenda items will be distributed to the board within 24 hours of receipt. Written testimony may be submitted via US mail, email at bor@hawaii.edu, or facsimile at 956-5156. Individuals submitting written testimony are not automatically signed up for oral testimony. Registration for oral testimony on agenda items will be provided at the meeting location 15 minutes prior to the meeting and closed once the meeting begins. Oral testimony is limited to three (3) minutes. All written testimony submitted are public documents. Therefore, any testimony that is submitted verbally or in writing, electronically or in person, for use in the public meeting process is public information and will be posted on the board's website.
- III. Report of the President**
- IV. University of Hawai'i Foundation Report as of June 30, 2018**
- V. Committee and Affiliate Reports**
 - A. Report from the Committee on Academic and Student Affairs
 - B. Report from the Committee on Budget and Finance
 - C. Report from the Committee on Personnel Affairs and Board Governance
 - D. Affiliate Reports
- VI. Items for Discussion and/or Approval**
 - A. For Action Consent Agenda
 1. Minutes of the August 16, 2018 Meeting
 2. Approval of Fiscal Biennium 2019-2021 Budget Policy Paper
 3. Approval of Revisions to the following Regents Policies (RPs):
 - a. 1.201, Definitions (to be renamed Policies and Policy-Setting)
 - b. 1.203, Rules of Practice and Procedure before the Board
 - c. 1.206, University Seal
 - d. 2.201, Officers of the University of Hawai'i

Accommodation required by law for Persons with Disabilities requires at least (5) five days prior notice to the board office at 956-8213 or bor@hawaii.edu.

- e. 3.203, Organization Chart
- f. 11.208, Information and Communication Technologies
- 4. Approval to Repeal the following RPs:
 - a. 1.207, University Logo (to be consolidated with RP 1.206)
 - b. 1.208, Coat of Arms
 - c. 1.211, Severability (to be consolidated with RP 1.201)

B. For Information and/or Discussion

- 1. President's Salary Review

C. For Action

- 1. Approval of Repeal RP 1.204, Rights and Responsibilities of the University of Hawai'i Community
- 2. Approval of Amendment to Attachment 1 to RP 6.203, Fees
- 3. Final Report and Dissolution of the Public-Private Partnership (P3) Permitted Interaction Group (PIG)
- 4. Appointment of Roberta Chu, Julie Lealoha, Kepā Maly, Alapaki Nahale-a, Douglas Simons, and Barry Taniguchi to the Maunakea Management Board

VII. Executive Session (closed to the public):

A. Personnel: *(To consider the hire, evaluation, dismissal, or discipline of an officer or employee, where consideration of matters affecting privacy will be involved, pursuant to Section 92-5(a)(2), Hawai'i Revised Statutes (HRS))*

- 1. Evaluation of the Executive Administrator & Secretary of the Board of Regents
- 2. Evaluation of the Internal Auditor
- 3. Evaluation of the President

VIII. Items for Discussion

- A. Evaluation of the President

IX. Announcements

- A. Next Meeting: October 18, 2018, at University of Hawai'i at Mānoa

X. Adjournment

ATTACHMENTS

Attachment A-1 – Personnel actions posted for information only

Attachment A-2 – Pursuant to Section 89C-4, Hawai'i Revised Statutes, and the reporting requirements of Regents Policy 9.212, the following includes all Executive/Managerial positions with their respective compensation for the period October 1, 2018 – September 30, 2019.

DTS 14093

Attachment A-1: Pursuant to §89C-4, Hawai'i Revised Statutes, the following proposed compensation actions for excluded Executive/Managerial are disclosed for purposes of public comment.

Executive/Managerial

Campus	Last Name	First Name & Middle Initial	Proposed Title	Unit	Nature of Action	Compensation	Effective Date
System	Gouveia, Jr.	Leonard	Director of Research Services	Office of Research Services	Appointment	\$15,834 monthly	September 21, 2018
System	Lee	Nelson A.	Director of Project Delivery	Office of Project Delivery	Appointment	\$12,500 monthly	September 21, 2018
System	Meisenzahl	Daniel T.	Director of Communications	Office of Communications	Salary Adjustment	\$12,084 monthly	September 21, 2018
System	Shishido	Scott	University Associate General Counsel	Office of the Vice President for Legal Affairs / University General Counsel	Appointment	\$9,000 monthly	October 1, 2018
UH Mānoa	Gerschenson	Mariana	Associate Dean	John A. Burns School of Medicine	Appointment	\$18,750 monthly	September 24, 2018
UH Mānoa	Roley	V. Vance	Dean	Shidler College of Business	Housing Allowance	\$33,018 monthly (\$50,314.68 annual allowance; may fluctuate annually up to \$65,000)	September 30, 2018

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BOARD OF REGENTS

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PRESIDENT'S OFFICE

LAST NAME	FIRST NAME	TITLE	CAMPUS	OFFICE	GRADE	FILLED DATE	COMPENSATION	CHANGES FROM PREVIOUS REPORT
ABE	HARRIET	INT ASSOCIATE DEAN, UHM, OUTREACH	MA	OUTREACH COLLEGE	SM2	2/19/2010	\$123,096	SALARY ADJUSTMENT
AI	CHUAN	DIR, INNOV & COMMERCIALIZATION	SW	OFF OF INNOVATION & COMMERCIAL	SM2	3/15/2018	\$190,008	INITIAL APPOINTMENT
ANDAYA	JUANITA	DIRECTOR ADMIN SVCS, SOEST	MA	SCH O&ES&T	SM1	10/1/2007	\$139,920	SALARY ADJUSTMENT
ANTOLINI	DENISE	INT ASSOCIATE DEAN, UHM, LAW	MA	LAW DEANS OFF	SM3	8/1/2011	\$205,440	SALARY ADJUSTMENT
ANZAI	LAUREN	DIRECTOR OF ADMIN SVCS, IFA	MA	INST FOR AST	SM1	6/6/2016	\$135,264	SALARY ADJUSTMENT
ARIOLA-SUKISAKI	KIMBERLY	INT ASSOCIATE VICE CHANCELLOR, UHH	HI	STUDENT AFF V CHANCELLOR UHH	H2	9/1/2017	\$114,060	SALARY ADJUSTMENT
ARNADE	PETER	DEAN (UHM), ARTS & HUM	MA	A&H DEANS OFF	SM3	6/28/2012	\$250,008	RETENTION ADJUSTMENT
AU	MARK	DIRECTOR OF EEO & AA	SW	OFF OF EEO-AA UHM	SM2	3/1/2017	\$133,344	SALARY ADJUSTMENT
AUERBACH	STEVEN	DIR PAC CTR FOR ADV TECH TRNG	HO	PROVOSTS OFFICE	CC1	11/21/2014	\$117,504	SALARY ADJUSTMENT
AUNE	KRISTYNA	DEAN, UHM, GRAD DIV	MA	GRADUATE DIV	SM3	3/31/2014	\$197,856	SALARY ADJUSTMENT
BARCO	VALERIE	DIR OF UNIV OF HI CTR (CC)	KU	DEANS OFFICE	CC1	8/1/2017	\$105,168	SALARY ADJUSTMENT
BEETS	JAMES	INT DEAN, UHH	HI	CNHS DEAN'S OFFICE	H2	7/1/2018	\$143,004	INITIAL APPOINTMENT
BENDINER	EZRA	UNIV ASST GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	2/1/2018	\$105,000	INITIAL APPOINTMENT
BENHAM	MAENETTE	CHANCELLOR	WO	UH AT WEST OAHU	WO4	1/1/2017	\$240,936	SALARY ADJUSTMENT
BINGHAM	THOMAS	INT DEAN (UHM), TRAVEL IND MGMT	MA	TIM DEANS OFF	SM3	8/1/2014	\$197,316	SALARY ADJUSTMENT
BITTER	MICHAEL	INT DEAN OF CAS, UHH	HI	A&S DEANS OFF	H2	6/2/2017	\$147,552	SALARY ADJUSTMENT
BLANCHETTE	PATRICIA	INT ASSOCIATE DEAN, UHM (CLINICAL)	MA	SCH OF MED	SM3	11/9/2017	\$0	NON-COMP APPOINTMENT
BOLAND	MARY	DEAN (UHM), NURSING	MA	SCH OF NURSG	SM4	8/22/2005	\$316,452	SALARY ADJUSTMENT
BRISLIN	THOMAS	ASSOCIATE DEAN, UHM A&H	MA	A&H DEANS OFF	SM2	11/17/2017	\$159,096	SALARY ADJUSTMENT
BRUNO	MICHAEL	VICE CHANC (RES) & INT VICE CHANC (ACAD AFF), UHM	MA	VC RESEARCH & VC ACAD AFF	SM4	1/7/2016	\$329,736	SALARY ADJUSTMENT
CAMBRA	RONALD	ASST VICE CHANCELLOR, UHM	MA	UNDERGRADUATE EDUC	SM3	9/16/2005	\$167,196	SALARY ADJUSTMENT
CERF	KEILIN	PROGRAM DIRECTOR, UHH	HI	CE&CS UHH	H1	3/12/2018	\$103,008	INITIAL APPOINTMENT
CERIA-ULEP	CLEMENTINA	ASSOCIATE DEAN, UHM, NURSING	MA	SCH OF NURSG	SM2	8/1/2017	\$175,992	SALARY ADJUSTMENT
CHANG	JERRY	DIR OF UNIV RELATIONS (UHH)	HI	COLL OF NATURAL & HEALTH SCI	H1	1/11/2013	\$135,444	SALARY UNCHANGED
CHAPMAN	WILLIAM	INT DEAN, ARCHITECTURE	MA	ARCH DEANS OFF	SM4	8/1/2018	\$195,000	INITIAL APPOINTMENT
CHEN	QIMEI	ASSOCIATE DEAN, UHM, BUSINESS	MA	BUS ADM DEANS OFF	SM3	5/22/2015	\$246,456	SALARY ADJUSTMENT
CHING	CARLETON	DIRECTOR OF LAND DEVELOPMENT	SW	VP ADMINISTRATION	SM2	11/30/2015	\$152,040	SALARY ADJUSTMENT
CHINN	LESLIE	UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	3/1/2018	\$108,000	INITIAL APPOINTMENT
CHISMAR	WILLIAM	INT DEAN (UHM), OUTREACH	MA	OUTREACH COLLEGE	SM3	10/1/2010	\$246,000	SALARY ADJUSTMENT
CHOCK	KASEY	DEAN (CC)	HO	DEANS OFFICE	CC2	8/2/2012	\$109,608	SALARY ADJUSTMENT
CHOI	SONG	ASST DEAN STDNT, ENGINEERING	MA	ENGINRG DEANS OFF	SM2	9/1/2004	\$134,448	SALARY ADJUSTMENT
CLAPP	CARL	ASSOC ATHLETIC DIRECTOR	MA	INT ATHLETICS UHM	SM2	1/24/2008	\$158,616	SALARY ADJUSTMENT
COMERFORD	NICHOLAS	DEAN & DIR RES & COOP EXT(UHM)	MA	TA&HR DEANS OFF	SM4	9/1/2017	\$256,164	SALARY ADJUSTMENT
CONTRADES	ELISABETH A	UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	10/6/2016	\$128,676	EQUITY AND SALARY ADJUSTMENT
CORTEZ	DORINNA	INT VICE CHANCELLOR (CC)	HA	DEANS OFFICE	CC3	2/1/2017	\$95,556	SALARY ADJUSTMENT
COSSEBOOM	JOEL	INT DIR & PUBLSHR U PRESS	MA	UH PRESS	SM1	3/25/2016	\$134,748	SALARY ADJUSTMENT
COX	HELEN	CHANCELLOR	KU	PROVOSTS OFFICE	CC4	8/12/2008	\$172,680	SALARY ADJUSTMENT
CUTSHAW	KATHLEEN	VICE CHANCELLOR, UHM (ADM, FIN & OPS)	MA	VC ADMIN AFF	SM4	1/16/2007	\$249,516	SALARY ADJUSTMENT
DOI	THOMAS	INT VICE CHANCELLOR(CC)	WI	DEANS OFFICE	CC3	3/5/2018	\$98,016	INITIAL APPOINTMENT
DUREZA	PHILIP	INSTITUTIONAL EQUITY OFFICER	SW	VP ADMINISTRATION	SM1	9/26/2016	\$87,060	SALARY ADJUSTMENT
DYKSTRA	DOUGLAS	CHANCELLOR	WI	PROVOSTS OFFICE	CC4	7/1/2009	\$177,192	SALARY ADJUSTMENT
EDWARDS	MARGARET	DIRECTOR APPLIED RESEARCH LAB	SW	APPLIED RESEARCH LABORATORY	SM2	2/1/2018	\$194,004	INITIAL APPOINTMENT
ESCHENBERG	ARDIS	VICE CHANCELLOR (CC)	WI	DEANS OFFICE	CC3	2/1/2014	\$134,952	SALARY ADJUSTMENT
FLETCHER	CHARLES	ASSOCIATE DEAN, UHM, SOEST	MA	SCH O&ES&T	SM2	9/3/2012	\$207,516	SALARY ADJUSTMENT
FOSTER	NANCY	CHIEF FINANCIAL OFFCR, JABSOM	MA	SCH OF MED	SM3	9/24/2008	\$233,580	SALARY ADJUSTMENT
FURUTANI	LAURIE	INT DIRECTOR OF STUDENT AFFAIRS	MA	DN 5 & 5 SVS	SM1	1/27/2017	\$109,176	SALARY ADJUSTMENT
FURUTO	BRIAN	VICE CHANCELLOR (CC)	KA	ADMIN DIRS OFC	CC3	1/3/2012	\$124,776	SALARY ADJUSTMENT
GATES	RUTH	DIR OF RESEARCH INSTITUTE (HIMB)	MA	SCH O&ES&T	SM3	8/24/2015	\$205,656	SALARY ADJUSTMENT
GHOSH	MONICA G	INT UNIVERSITY LIBRARIAN	MA	HAMILTON LIBRARY	SM3	8/25/2017	\$190,188	SALARY ADJUSTMENT
GOMES	FARRAHMARIE	VICE CHANC, STUDENT AFFAIRS	HI	STUDENT AFF V CHANCELLOR UHH	H3	12/1/2016	\$173,532	SALARY ADJUSTMENT
GOODMAN	JAMES	DEAN (CC)	LE	DEANS OFFICE	CC2	7/1/2015	\$122,196	SALARY ADJUSTMENT
GOODWIN	APRIL	U ACAD AFFRS PGRM OFFCR (UHM)	MA	VC FOR ACADEMIC AFF	SM1	1/1/2014	\$108,576	SALARY ADJUSTMENT
GOUVEIA	JAN	VP FOR ADMINISTRATION	SW	VP ADMINISTRATION	SM4	8/15/2014	\$241,236	SALARY ADJUSTMENT
GROOMS	DAVID	INT ASST DEAN (CC)	MU	DEANS OFFICE	CC1	5/21/2010	\$109,188	SALARY ADJUSTMENT
GUILLEN	PATRICK	DIRECTOR OF ATHLETICS	HI	ATHL DIR OFF	H1	8/24/2015	\$136,308	SALARY ADJUSTMENT
HADWICK	ROBIN JULIAN	INT ASST DEAN OF STDNT SVCS, BUS	MA	BUS ADM DEANS OFF	SM1	6/1/2012	\$104,280	SALARY ADJUSTMENT

Attachment A-2: Pursuant to § 89C-4, Hawai'i Revised Statutes, and the reporting requirements of Regents Policy 9.212, the following includes all Executive/Managerial positions as of September 1, 2018, with the approved compensation for the period October 1, 2018 - September 30, 2019.

Attachment A-2, September 20, 2018
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LAST NAME	FIRST NAME	TITLE	CAMPUS	OFFICE	GRADE	FILLED DATE	COMPENSATION	CHANGES FROM PREVIOUS REPORT
HALBERT	DEBORA J	ASSOC VICE CHANCELLOR (ACAD AFF), UHM	MA	VC FOR ACADEMIC AFF	SM3	8/1/2015	\$162,720	SALARY ADJUSTMENT
HALEAMAU-KAM	RAYNETTE	INT DIR, UNIV OF HI CTR (CC)	HA	PROVOSTS OFFICE	CC1	8/17/2018	\$101,568	INITIAL APPOINTMENT
HANADA	TOMONE	DIR OF UNIV OF HI CTR (CC)	MU	ACADEMIC SUPPORT	CC1	5/15/1998	\$102,264	SALARY ADJUSTMENT
HARRISS	FRANKIE	VICE CHANCELLOR (CC)	KU	DEANS OFFICE	CC3	7/20/2018	\$115,008	INITIAL APPOINTMENT
HASHIRO	KIMBERLY	ASSOC DIR OF HUMAN RESOURCES	SW	VP ADMINISTRATION	SM2	6/27/2017	\$129,072	SALARY ADJUSTMENT
HEDGES	JERRIS	DEAN (UHM), MEDICINE & PROF	MA	SCH OF MED	SM5	3/1/2008	\$547,704	SALARY ADJUSTMENT
HELMINCK	ALOYSIUS	DEAN (UHM), NATURAL SCIENCES	MA	NAT SCI DEANS OFF	SM4	12/1/2016	\$271,392	SALARY ADJUSTMENT
HIGA-KING	JENNIFER	INT DEAN (CC)	HO	DEANS OFFICE	CC2	7/1/2017	\$107,172	SALARY ADJUSTMENT
HIGGINS	COLETTE	DEAN (CC)	WI	DEANS OFFICE	CC2	8/1/2016	\$111,420	SALARY ADJUSTMENT
HIRAKAMI	SARAH	ADMINISTRATIVE PROGRAM OFFICER	SW	VP ADMINISTRATION	SM1	2/23/2018	\$129,600	INITIAL APPOINTMENT
HOKOANA	LUI	CHANCELLOR	MU	PROVOSTS OFFICE	CC4	12/1/2014	\$167,568	SALARY ADJUSTMENT
HOLCOMBE	RANDALL	DIR OF RESEARCH INSTITUTE (UHCC)	MA	CANCER CT HI	SM5	10/1/2016	\$427,116	SALARY ADJUSTMENT
HON	KENNETH A	INT VICE CHANCELLOR, UHH ACAD AFF	HI	V CHANC OFF	H3	8/1/2017	\$174,960	SALARY ADJUSTMENT
HOSHIKO	CAROL A	ASST DEAN (CC)	KA	DIRECTOR	CC1	7/1/2004	\$102,420	SALARY ADJUSTMENT
HOWARD	M BERNADETTE	ST DIR FOR CAREER AND TECH ED	SW	ST DIR OFF	SM1	9/1/2011	\$129,456	SALARY ADJUSTMENT
HUEBLER	DEBORAH	DIRECTOR OF CAMPUS SERVICES	MA	AUXIL ENT	SM2	7/8/2011	\$143,460	SALARY ADJUSTMENT
IBOSHI	PEARL	DIR OF INST RES & ANALYSIS	SW	INST RES ANLYS OFF	SM2	2/1/2011	\$153,636	SALARY ADJUSTMENT
IDETA	LORI	INT VICE CHANCELLOR (STUDENTS), UHM	MA	VC STUDENTS	SM4	2/1/2015	\$193,236	SALARY ADJUSTMENT
IMADA	JEAN H	ADMIN ASST, UHM	MA	MANOA CHANCELLOR'S OFFICE	SM1	1/19/1995	\$113,376	SALARY ADJUSTMENT
INAFUKU	DEREK	VICE CHANCELLOR (CC)	HO	ADMIN DIRS OFC	CC3	1/23/2015	\$121,572	SALARY ADJUSTMENT
ISHIDA	KEVIN H	VICE CHANCELLOR (UHWO)	WO	ADMINISTRATIVE SVC	WO3	2/1/2016	\$133,752	SALARY ADJUSTMENT
ISHII	DEBRA ANN C	ASST TO SENIOR EXECUTIVE	MA	MANOA CHANCELLOR'S OFFICE	SM1	3/1/2017	\$154,656	SALARY ADJUSTMENT
IVELISSE	BRENDA	VICE CHANCELLOR (CC)	KA	DEANS OFFICE	CC3	12/1/2014	\$121,572	SALARY ADJUSTMENT
JACOBS	AARON	INT ASSOCIATE DEAN, UHH	HI	COLLEGE OF PHARMACY DEAN'S OFF	H2	9/1/2017	\$164,820	SALARY ADJUSTMENT
JACOBS	GWEN	DIR OF INFORMATION TECHNOLOGY	SW	INFO TEC SVC	SM2	6/1/2013	\$203,328	SALARY ADJUSTMENT
JENKINS	AMELIA A	INT ASSOCIATE DEAN, UHM, EDUCATION	MA	EDUCATION DEANS OFF	SM2	12/26/2017	\$152,460	INITIAL APPOINTMENT
KAAKUA	JOSHUA K	INT ACAD AFFRS PGRM OFFCR (CC)	CC	ACADEMIC AFFAIRS	CC1	7/1/2018	\$112,008	INITIAL APPOINTMENT
KAHUMOKU	WALTER	ASST TO SENIOR EXECUTIVE	WO	UH AT WEST OAHU	WO1	8/31/2018	\$120,000	INITIAL APPOINTMENT
KALEIWAHEA	KENNETH	INT VICE CHANCELLOR (CC)	HA	ADMIN DIRS OFC	CC3	4/24/2017	\$95,556	SALARY ADJUSTMENT
KAMEOKA	VELMA	ASSOC VICE CHANCELLOR (RESEARCH), UHM	MA	VC RESEARCH & GRAD ED	SM3	11/18/2016	\$205,416	SALARY ADJUSTMENT
KAWAKAMI	RONETTE	ASSOC DEAN OF STUDENT SVCS, LAW	MA	LAW DEANS OFF	SM2	8/2/2013	\$165,528	SALARY ADJUSTMENT
KAZAMA	SUSAN	INT VICE CHANCELLOR (CC)	KA	DEANS OFFICE	CC3	7/7/2016	\$128,688	SALARY ADJUSTMENT
KIMURA	LESLIE	DIRECTOR OF 'IMILOA, UHH	HI	CHANCELLOR UHH	H1	8/1/2010	\$107,604	SALARY ADJUSTMENT
KIMURA	TRISHA	UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	7/1/2017	\$131,724	SALARY ADJUSTMENT
KIYOSAKI	DONNA FAY	ASSOCIATE VICE PRESIDENT	SW	VP ADMINISTRATION	SM3	2/16/2015	\$196,332	SALARY ADJUSTMENT
KONAN	DENISE	DEAN (UHM), SOCIAL SCIENCES	MA	SOC SCI DEANS OFF	SM4	1/1/2012	\$249,960	SALARY ADJUSTMENT
KONDO-BROWN	KIMI	ASSOCIATE DEAN, UHM, LLL	MA	LLL DEANS OFF	SM2	8/1/2016	\$148,716	SALARY ADJUSTMENT
KONO	TERESA MARIE	INT U ACAD AFFRS PGRM OFFCR (UHM)	MA	ACAD PERSONNEL	SM1	3/1/2018	\$106,008	INITIAL APPOINTMENT
KOSEKI	AARON K	ACTING DEAN (CC)	KA	DEANS OFFICE	CC2	2/5/2018	\$122,388	INITIAL APPOINTMENT
KURATA	JAMES	DIR OF PLAN & PROJ DEV	MA	VP ADMIN - MANOA	SM2	6/3/2016	\$136,800	SALARY ADJUSTMENT
LACRO	ERIKA	CHANCELLOR	HO	PROVOSTS OFFICE	CC4	7/1/2012	\$179,148	SALARY ADJUSTMENT
LANE	MARK	VICE CHANCELLOR (CC)	LE	ADMIN DIRS OFC	CC3	2/1/2007	\$131,088	SALARY ADJUSTMENT
LASSNER	DAVID K	PRESIDENT	SW	OFF OF PRES	SM5	7/1/2014	\$375,000	SALARY UNCHANGED
LEBBIN	VICKERY KAYE	INT ASSOC UNIVERSITY LIBRARIAN	MA	HAMILTON LIBRARY	SM2	10/20/2017	\$140,004	INITIAL APPOINTMENT
LEE	ADRIENNE	DIRECTOR OF ADMIN SVCS, CTAHR	MA	ADMINISTRATIVE SERV	SM1	12/1/2015	\$134,016	SALARY ADJUSTMENT
LEE	CHRISTOPHER	ADMINISTRATIVE PROGRAM OFFICER	SW	OFF OF STRATEGIC INITIATIVES	SM1	12/1/2016	\$228,792	SALARY ADJUSTMENT
LEE	KAREN	VICE CHANCELLOR (CC)	HO	DEANS OFFICE	CC3	6/8/2018	\$145,008	INITIAL APPOINTMENT
LEE	NELSON	DIRECTOR OF PROJECT DELIVERY	SW	VP ADMINISTRATION	SM2	9/21/2018	\$150,000	INITIAL APPOINTMENT
LERNER	DARREN	DIR OF RESEARCH INSTITUTE (SEAGRANT)	MA	SCH O&ES&T	SM2	10/17/2014	\$157,092	SALARY ADJUSTMENT
LIN	SUSAN	DIR OF FIN MGT & CONTROLLER	SW	VP Budget & Finance/CFO	SM3	12/9/2013	\$205,608	SALARY ADJUSTMENT
LIPE	KAIWIPUNIKAIKAW	U ACAD AFFRS PGRM OFFCR (UHM)	MA	MANOA CHANCELLOR'S OFFICE	SM1	8/1/2017	\$105,936	SALARY ADJUSTMENT
LONBORG	DAVID	INT ASSOC DIR RESEARCH INSTITUTE	MA	INST FOR AST	SM3	3/1/2017	\$182,064	SALARY ADJUSTMENT
LYONS	LAURA	INT DEAN (UHM), LANG, LING & LIT	MA	LLL DEANS OFF	SM3	7/1/2016	\$169,272	SALARY ADJUSTMENT
MA	CAROLYN S. J.	DEAN, PHARMACY	HI	COLLEGE OF PHARMACY DEAN'S OFF	H4	8/21/2015	\$248,436	SALARY ADJUSTMENT
MA	TIANWEI	INT ASSOCIATE DEAN, UHM, ENGINEERING	MA	ENGINRG DEANS OFF	SM2	1/27/2017	\$166,836	SALARY ADJUSTMENT
MAEDA	JULIENNE	ASSOCIATE DEAN, UHM (GRAD DIV)	MA	GRADUATE DIV	SM2	8/1/2013	\$122,712	SALARY ADJUSTMENT
MAKUAKANE-LUNDIN	G	INT ASST TO SENIOR EXECUTIVE	HI	CHANCELLOR UHH	H1	12/1/2016	\$152,640	SALARY ADJUSTMENT
MALLIK	AMRITA	U ACAD AFFRS PGRM OFFCR (UHM)	MA	ACAD PERSONNEL	SM1	3/1/2018	\$129,000	TEMPORARY REASSIGNMENT

Attachment A-2: Pursuant to § 89C-4, Hawai'i Revised Statutes, and the reporting requirements of Regents Policy 9.212, the following includes all Executive/Managerial positions as of September 1, 2018, with the approved compensation for the period October 1, 2018 - September 30, 2019.

Attachment A-2, September 20, 2018
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LAST NAME	FIRST NAME	TITLE	CAMPUS	OFFICE	GRADE	FILLED DATE	COMPENSATION	CHANGES FROM PREVIOUS REPORT
MANIN	LOIS	ASSOC ATHLETIC DIRECTOR	MA	INT ATHLETICS UHM	SM2	10/20/2017	\$118,008	INITIAL APPOINTMENT
MARTIN	CLIFFORD	ASSOC DIR RESEARCH INSTITUTE	MA	CANCER CT HI	SM3	7/16/2017	\$210,492	SALARY ADJUSTMENT
MATHEWS	BRUCE W	DEAN OF CAFNRM, UHH	HI	COL OF AG, FOREST&NAT RES MGMT	H2	10/21/2016	\$159,720	SALARY ADJUSTMENT
MATLIN	DAVID	DIRECTOR OF ATHLETICS	MA	INT ATHLETICS UHM	SM4	4/27/2015	\$307,140	SALARY ADJUSTMENT
MATSUI	BRUCE	UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	3/9/2006	\$141,984	EQUITY AND SALARY ADJUSTMENT
MATSUURA	MELISSA	EXEC ASSISTANT TO THE BOR	SW	OFF BOR SECY	SM1	11/21/2014	\$93,756	EQUITY AND SALARY ADJUSTMENT
MAYESHIRO	DEREK	UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	7/8/2016	\$133,980	SALARY ADJUSTMENT
MCCREARY	BEVERLY	ASST VICE CHANCELLOR, UHM	MA	ACAD PERSONNEL	SM3	7/1/2010	\$160,044	SALARY ADJUSTMENT
MCFALL-NGAI	MARGARET	DIR OF RESEARCH INSTITUTE	MA	SCH O&ES&T	SM3	7/1/2015	\$238,944	SALARY ADJUSTMENT
MCKEE	JONATHON	VICE CHANCELLOR (CC)	MU	DEANS OFFICE	CC3	9/1/2010	\$134,136	SALARY ADJUSTMENT
MCLAREN	ROBERT	INT DIR OF RESEARCH INSTITUTE	MA	INST FOR AST	SM4	2/1/2018	\$280,008	INITIAL APPOINTMENT
MEISENZAHL	DANIEL	DIRECTOR OF COMMUNICATIONS	SW	VP ADMINISTRATION	SM2	4/19/2012	\$145,008	SALARY ADJUSTMENT
MESSING	RUSSELL	COUNTY ADMR, CTAHR, KAUAI	MA	KAUAI COUNTY	SM1	1/1/2015	\$160,644	SALARY ADJUSTMENT
MITCHELL	LESLIE	INT DIRECTOR OF STUDENT AFFAIRS	MA	VC STUDENTS	SM1	2/24/2017	\$78,528	SALARY ADJUSTMENT
MIYASAKA	SUSAN	INT COUNTY ADMR, CTAHR, HAWAII	MA	HAWAII COUNTY	SM1	8/1/2017	\$134,364	SALARY ADJUSTMENT
MOKUAU	NOREEN	DEAN (UHM), SOCIAL WORK	MA	SCH SOC WORK	SM3	12/1/2011	\$204,828	SALARY ADJUSTMENT
MONACO	LYNNE KEALA	ASST TO SENIOR EXECUTIVE	SW	OFF OF PRES	SM1	8/28/2009	\$121,092	SALARY ADJUSTMENT
MONIZ	JEFFREY A.S.	VICE CHANCELLOR (UHWO)	WO	ACADEMIC SUPPORT	WO3	6/6/2016	\$141,252	SALARY ADJUSTMENT
MORITA	EMI	UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	12/1/2017	\$126,408	INITIAL APPOINTMENT
MORTON	JOHN	VP FOR COMMUNITY COLLEGES	CC	SR VP/CHANCELLOR CC	SM4	3/16/2007	\$260,400	SALARY ADJUSTMENT
MOSER	THOMAS	DIR OF CONTINUING ED & TRNG	WI	DIRECTOR	CC1	4/1/2013	\$119,328	SALARY ADJUSTMENT
MOTO	BRIAN	INT ASST TO SENIOR EXECUTIVE	MU	PROVOSTS OFFICE	CC1	9/1/2014	\$98,136	SALARY ADJUSTMENT
MURAKAMI	SCOTT	ACAD AFFRS PGRM OFFCR (CC)	CC	ACADEMIC AFFAIRS	CC1	3/1/2011	\$130,824	SALARY ADJUSTMENT
MURATA	NATHAN M	DEAN (UHM), EDUCATION	MA	EDUCATION DEANS OFF	SM3	1/1/2018	\$180,000	INITIAL APPOINTMENT
NAGATA	STEPHANIE E.	DIR OF MAUNA KEA MANAGEMENT	HI	CHANCELLOR UHH	H1	6/1/2008	\$142,404	SALARY ADJUSTMENT
NAKAGAWA	DEBORAH	ASST TO SENIOR EXECUTIVE	CC	SR VP/CHANCELLOR CC	CC1	11/1/2006	\$128,340	SALARY ADJUSTMENT
NAKAMA	DEBRA	VICE CHANCELLOR (CC)	MU	DEANS OFFICE	CC3	9/1/2015	\$127,908	SALARY ADJUSTMENT
NAPOLEON	DAVID N	DEAN (CC)	KA	DEANS OFFICE	CC2	8/1/2016	\$111,420	SALARY ADJUSTMENT
NG	MICHAEL	DIRECTOR OF UNIV BUDGET	SW	U BUDGET OFF	SM2	3/20/2015	\$128,676	SALARY ADJUSTMENT
NISHIDA	SUSAN	INT ADMINISTRATIVE PROGRAM OFFICER	SW	PLANNING OFF	SM1	10/20/2017	\$122,496	INITIAL APPOINTMENT
O'HAGAN	PATRICIA	DEAN (CC)	KA	DEANS OFFICE	CC2	8/18/2010	\$125,916	SALARY ADJUSTMENT
OISHI	KENDRA	EXEC ADMIN & SEC OF THE BOR	SW	OFF BOR SECY	SM2	11/14/2017	\$110,040	SALARY UNCHANGED
OKIMOTO	HAE	INTERIM AVPSA & DIR OF IT	SW	INFO TEC SVC	SM2	4/1/2013	\$196,176	SALARY ADJUSTMENT
OKINAGA	CARRIE	VP LGL AFF & UNIV GEN COUNSEL	SW	OF SVP LG AF-U GN CL	SM4	7/1/2015	\$243,372	SALARY ADJUSTMENT
OLIVEIRA	JUDY ANN	VICE CHANCELLOR (UHWO)	WO	STUDENT SERVICES	WO3	12/1/2014	\$134,016	SALARY ADJUSTMENT
ONISHI	JONI Y	VICE CHANCELLOR (CC)	HA	DEANS OFFICE	CC3	7/1/2016	\$134,736	SALARY ADJUSTMENT
ONO	KAY	INT DEAN (CC)	LE	ACADEMIC SUPPORT	CC2	9/1/2017	\$115,068	SALARY ADJUSTMENT
OSORIO	JONATHAN	DEAN (UHM) HAWAIIAN KNOWLEDGE	MA	SHK DEAN'S OFF	SM3	6/8/2018	\$190,008	INITIAL APPOINTMENT
OTSUKI	JUKO	ASSOCIATE DEAN, UHM (MED ED)	MA	SCH OF MED	SM3	10/9/2017	\$225,000	INITIAL APPOINTMENT
OYADOMARI-CHUN	TAMMI	INT ACAD AFFRS PGRM OFFCR (CC)	CC	ACADEMIC AFFAIRS	CC1	7/1/2018	\$137,016	INITIAL APPOINTMENT
PACTOL	BRIAN	VICE CHANCELLOR (CC)	WI	ADMIN DIRS OFC	CC3	7/5/2016	\$121,572	SALARY ADJUSTMENT
PAGOTTO	LOUISE	CHANCELLOR	KA	PROVOSTS OFFICE	CC4	6/8/2018	\$180,000	INITIAL APPOINTMENT
PANG	PRESLEY W.	UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	10/1/2015	\$134,712	SALARY ADJUSTMENT
PEARSON	WENDY	U ACAD AFFRS PGRM OFFCR (UHM)	MA	VC FOR ACADEMIC AFF	SM1	10/24/2001	\$122,388	SALARY ADJUSTMENT
PERREIRA	MARY	DIR OF EEO-AA (CC)	CC	ADMIN AFFAIRS	CC1	7/1/2004	\$115,296	SALARY ADJUSTMENT
QUEMUEL	CHRISTINE	INT ASST VICE CHANCELLOR, UHM	MA	VC STUDENTS	SM3	8/1/2015	\$111,420	SALARY ADJUSTMENT
QUIGLEY	PETER	ASSOCIATE VICE PRESIDENT	CC	ACADEMIC AFFAIRS	CC4	7/1/2009	\$169,512	SALARY ADJUSTMENT
QURESHI	KRISTINE	ASSOCIATE DEAN	MA	SCH OF NURSG	SM2	9/16/2016	\$180,036	SALARY ADJUSTMENT
RAND	JOHN	ACADEMIC PROGRAM OFFICER	SW	PLANNING OFF	SM1	3/20/2014	\$131,568	SALARY ADJUSTMENT
RAPOZA	KALEIHIHIKAPOLI	INT VICE CHANC FOR ADMIN AFF, UH	HI	V CHANC OFF	H3	9/1/2017	\$169,884	SALARY ADJUSTMENT
REEVES	CYNTHIA	COUNTY ADMR, CTAHR, MAUI	MA	MAUI COUNTY	SM1	9/22/2014	\$157,092	SALARY ADJUSTMENT
REICHHARDT	LAURA	DIR HI STATE CTR FOR NURSING	MA	SCH OF NURSG	SM1	4/20/2015	\$120,828	SALARY ADJUSTMENT
RICHARDS	JOHN	DEAN (CC)	KA	DEANS OFFICE	CC2	2/2/2015	\$112,452	SALARY ADJUSTMENT
RIGGS	H RONALD	INT DEAN (UHM), ENGINEERING	MA	ENGINRNG DEANS OFF	SM4	9/1/2016	\$233,220	SALARY ADJUSTMENT
RIVERA	VICTORIA	DIR OF RESEARCH COMPLIANCE	SW	OFF OF RES COMPLIANCE	SM2	6/8/2018	\$180,000	INITIAL APPOINTMENT
ROBERTS-DEUTSCH	M	DEAN (CC)	HO	DEANS OFFICE	CC2	3/1/2013	\$119,148	SALARY ADJUSTMENT
ROBINSON	SUZETTE	INT CHANCELLOR	LE	PROVOSTS OFFICE	CC4	7/1/2018	\$169,008	INITIAL APPOINTMENT

LAST NAME	FIRST NAME	TITLE	CAMPUS	OFFICE	GRADE	FILLED DATE	COMPENSATION	CHANGES FROM PREVIOUS REPORT
ROCCA	MICHELLE	INSTITUTIONAL EQUITY OFFICER	SW	VP ADMINISTRATION	SM1	10/21/2016	\$78,528	SALARY ADJUSTMENT
ROCHELEAU	RICHARD	DIR OF RESEARCH INSTITUTE, HNEI	MA	SCH O&ES&T	SM3	12/1/2001	\$214,416	SALARY ADJUSTMENT
ROLEY	VERNON	DEAN (UHM), BUSINESS	MA	BUS ADM DEANS OFF	SM5	1/1/2005	\$404,568	SALARY ADJUSTMENT
ROSE	JENNIFER	DIR OF INSTITUTIONAL EQUITY	SW	VP ADMINISTRATION	SM2	8/21/2015	\$159,624	SALARY ADJUSTMENT
ROSENFELD	ALAN	ASSOC VICE CHANCELLOR, UHWO	WO	ACADEMIC SUPPORT	WO2	7/1/2018	\$126,000	INITIAL APPOINTMENT
ROSSITER	ANDREW	DIRECTOR OF STATE AQUARIUM	MA	WAIKIKI AQU	SM2	4/16/2004	\$172,956	SALARY ADJUSTMENT
SAKAI	MARCIA Y	INT CHANCELLOR, UHH	HI	CHANCELLOR UHH	H4	8/1/2017	\$256,164	SALARY ADJUSTMENT
SANCHEZ	JOSEPH	UNIV LIBRARIAN, UHH	HI	LIBRARY SERVICES	H1	6/29/2018	\$140,004	INITIAL APPOINTMENT
SANCHEZ	MARGARET	VICE CHANCELLOR (CC)	KU	DEANS OFFICE	CC3	8/25/2017	\$119,136	SALARY ADJUSTMENT
SASAKI	CHARLES	DEAN (CC)	WI	DEANS OFFICE	CC2	7/13/2015	\$123,096	SALARY ADJUSTMENT
SCHATZ	STEPHEN	DIR HI P20 PARTNERSHIP FOR ED	SW	HAWAII P-20	SM2	3/1/2017	\$170,904	SALARY ADJUSTMENT
SCHUMACHER	DANIEL	DIR OF INFORMATION TECHNOLOGY	SW	INFO TEC SVC	SM2	5/14/2018	\$175,008	INITIAL APPOINTMENT
SEWAKE	KELVIN	INT ASSOCIATE DEAN, UHM, CTAHR	MA	ASSOC DEANS-EXTENSN	SM2	9/8/2015	\$160,644	SALARY ADJUSTMENT
SHABAZZ	ROXIE	ASST VICE CHANCELLOR, UHM	MA	VC STUDENTS	SM3	9/25/2014	\$197,352	RETENTION ADJUSTMENT
SHIMOKAWA	BRANDON	VICE CHANCELLOR (CC)	KU	ADMIN DIRS OFC	CC3	2/22/2013	\$121,572	SALARY ADJUSTMENT
SHIRAI	CALVIN	DIR OF CONTINUING ED & TRNG	KU	NON-CREDIT	CC1	2/1/2016	\$93,936	SALARY ADJUSTMENT
SHIZUMURA	GLENN	DIRECTOR OF INTERNAL AUDIT	SW	INTERNAL AUDITOR	SM2	9/1/2009	\$157,404	SALARY UNCHANGED
SHOR	ALEXANDER	ASSOCIATE DEAN, UHM (SOEST)	MA	SCH O&ES&T	SM2	9/4/2007	\$192,720	SALARY ADJUSTMENT
SOIFER	AVIAM	DEAN (UHM), LAW	MA	LAW DEANS OFF	SM5	7/1/2003	\$427,344	SALARY ADJUSTMENT
SOLEMSAAS	RACHEL	CHANCELLOR	HA	PROVOSTS OFFICE	CC4	7/1/2016	\$174,876	SALARY ADJUSTMENT
SOUKI	JESSE K.	UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	12/1/2017	\$137,520	INITIAL APPOINTMENT
STRANEY	DONALD	VP FOR ACAD PLAN & POLICY	SW	OFF VP ACAD AFF	SM4	8/1/2017	\$256,164	SALARY ADJUSTMENT
SUGIMOTO	LARA	DEAN OF STDNT SVCS (CC)	HO	DEANS OFFICE	CC3	1/26/2018	\$115,008	INITIAL APPOINTMENT
SUNAHARA	WAYNE	DEAN (CC)	HO	DEANS OFFICE	CC2	8/5/2013	\$109,608	SALARY ADJUSTMENT
SUTHERLAND	ROSS	INT ASSOCIATE DEAN, UHM, SOC SCI	MA	SOC SCI DEANS OFF	SM2	9/1/2010	\$158,052	SALARY ADJUSTMENT
SUTTON	R	DEAN, SPAS & ASST VC FOR INT'L & EXC	MA	SPAS DEANS OFF	SM3	8/19/2013	\$191,172	SALARY ADJUSTMENT
SUZUKI	DARREN	INT DIR OF RISK MANAGEMENT	SW	VP ADMINISTRATION	SM2	3/23/2018	\$115,008	INITIAL APPOINTMENT
SYRMOS	VASSILIS	VP FOR RESEARCH & INNOVATION	SW	OFF VP RESEARCH & INNOVATION	SM4	9/1/2013	\$256,452	SALARY ADJUSTMENT
TAKASUGI	FUMIKO	INT DEAN (CC)	HO	DEANS OFFICE	CC2	1/26/2018	\$93,060	INITIAL APPOINTMENT
TAKEUCHI	GARY	ASSOCIATE VICE PRESIDENT	SW	OF SVP LG AF-U GN CL	SM3	12/1/2015	\$160,644	SALARY ADJUSTMENT
TAMANAHA	DAVID S	VICE CHANCELLOR (CC)	MU	ADMIN DIRS OFC	CC3	7/1/2007	\$130,104	SALARY ADJUSTMENT
TAYLOR	ANDREW D	INT ASSOCIATE DEAN, UHM, NAT SCI	MA	NAT SCI DEANS OFF	SM3	9/22/2017	\$134,364	SALARY ADJUSTMENT
TAYLOR	BRIAN	DEAN (UHM), SOEST	MA	SCH O&ES&T	SM4	1/1/2016	\$294,876	SALARY ADJUSTMENT
TERAOKA	DELLA	VICE CHANCELLOR (CC)	LE	DEANS OFFICE	CC3	6/2/2017	\$139,428	SALARY ADJUSTMENT
TOPPING	MILES	DIRECTOR OF ENERGY MANAGEMENT	SW	VP ADMINISTRATION	SM1	6/13/2016	\$113,448	SALARY ADJUSTMENT
UCHIDA	RAYMOND	COUNTY ADMR, CTAHR, OAHU	MA	OAHU COUNTY	SM1	7/1/2006	\$136,800	SALARY ADJUSTMENT
UMEHIRA	RONNY	DEAN (CC)	LE	DEANS OFFICE	CC2	10/1/2009	\$134,616	SALARY ADJUSTMENT
UNEBASAMI	MICHAEL	ASSOCIATE VICE PRESIDENT	CC	ADMIN AFFAIRS	CC4	7/1/2003	\$205,020	SALARY ADJUSTMENT
UWONO KOIKE	DEETTE	TITLE IX COORDINATOR	MA	MANOA CHANCELLOR'S OFFICE	SM1	5/1/2016	\$129,684	SALARY ADJUSTMENT
VU	TAM	INT DEAN, COLL OF BUS & ECON	HI	COLLEGE OF BUS & ECONOMICS	H2	6/17/2017	\$147,552	SALARY ADJUSTMENT
WALTON	CHAD	RESEARCH PROGRAM OFFICER	MA	VC RESEARCH & GRAD ED	SM1	7/1/2016	\$121,572	SALARY ADJUSTMENT
WASHBURN	CURTIS	DEAN OF STDNT SVCS (CC)	LE	DEANS OFFICE	CC3	9/16/2015	\$121,572	SALARY ADJUSTMENT
WHITAKER	JOANNE	ASST TO SENIOR EXECUTIVE	KA	PROVOSTS OFFICE	CC1	10/28/2013	\$114,600	SALARY ADJUSTMENT
WIECZOREK	ANNA	INT ASSOCIATE DEAN, UHM, CTAHR	MA	ASSOC DEANS-ACAD AFF	SM2	1/1/2017	\$157,188	SALARY ADJUSTMENT
WILSON	MELANIE	DEAN (CC)	HA	DEANS OFFICE	CC2	6/1/2017	\$103,920	SALARY ADJUSTMENT
WILTSE	CATHY	DIR OF HI SBDC, UHH	HI	HI S B D CTR	H1	11/1/2011	\$132,756	SALARY ADJUSTMENT
WRIGHT	ROBERT	INT DIR OF RESEARCH INSTITUTE, HIGP	MA	SCH O&ES&T	SM3	9/1/2017	\$197,280	SALARY ADJUSTMENT
YAMAMOTO	JESSICA	DIR OF CONTINUING ED & TRNG	HA	DIRECTOR	CC1	8/22/2016	\$93,660	SALARY ADJUSTMENT
YANG	JINZENG	INT ASSOCIATE DEAN, UHM, CTAHR	MA	ASSOC DEANS-RESEARCH	SM2	1/1/2018	\$152,496	INITIAL APPOINTMENT
YEH	SHEA-TINN	ASST UNIVERSITY LIBRARIAN	MA	HAMILTON LIBRARY	SM1	3/13/2017	\$129,288	SALARY ADJUSTMENT
YOSHIMI	GARRET	VP FOR INFO TECH & CIO	SW	INFO TEC SVC	SM4	1/5/2015	\$238,308	SALARY ADJUSTMENT
YOUNG	KALBERT	VP FOR BUDGET & FINANCE/CFO	SW	VP Budget & Finance/CFO	SM4	1/1/2015	\$245,400	SALARY ADJUSTMENT
ZAHAWI	RAKAN	DIRECTOR OF LYON ARBORETUM	MA	LYON ARBORTM	SM2	10/1/2017	\$159,732	SALARY ADJUSTMENT
ZHANG	YANG	U ACAD AFFRS PGRM OFFCR (UHM)	MA	ACAD INSTITUTIONAL RES	SM1	7/16/2012	\$126,120	SALARY ADJUSTMENT

VACANT POSITIONS

TITLE	CAMPUS	OFFICE	GRADE	COMMENT
DEAN (CC)	HA	DEANS OFFICE	CC2	PENDING REDESCRIPTION
DEAN OF CCECS, UHH	HI	CE&CS UHH	H2	PENDING REDESCRIPTION
ASSOCIATE DEAN, UHH	HI	COLLEGE OF PHARMACY DEAN'S OFF	H2	PENDING REDESCRIPTION
ASSOCIATE VICE CHANCELLOR, UHH	HI	V CHANC OFF	H2	PENDING REDESCRIPTION
DEAN, KHUOK	HI	KA HAKA ULA O KE'ELIKOLANI	H2	NO PLANS TO FILL
ASST TO SENIOR EXECUTIVE	HO	PROVOSTS OFFICE	CC1	IN RECRUITMENT
PROGRAM DIRECTOR (CC)	KA	VOC-FOOD SERVICES	CC1	PENDING REDESCRIPTION
INST RES & ANLYS PRG OFCR (CC)	LE	DEANS OFFICE	CC1	PENDING REDESCRIPTION
VICE CHANCELLOR, UHM	MA	VC FOR ACADEMIC AFF	SM4	PENDING REORGANIZATION
ASST ATHLETIC DIR-SR WMN ADMR	MA	INT ATHLETICS UHM	SM2	PENDING REDESCRIPTION
DIRECTOR OF STUDENT AFFAIRS	MA	DN S & S SVS	SM1	PENDING REORGANIZATION
CHANCELLOR	MA	MANOA CHANCELLOR'S OFFICE	SM5	PENDING REORGANIZATION
ASST VICE CHANCELLOR, UHM	MA	VC ADMIN AFF	SM3	PENDING REORGANIZATION
DIRECTOR OF STUDENT AFFAIRS	MA	VC STUDENTS	SM1	PENDING REORGANIZATION
ASSOC ATHLETIC DIRECTOR	MA	INT ATHLETICS UHM	SM2	IN RECRUITMENT
ASSOC VICE CHANCELLOR, UHM	MA	VC STUDENTS	SM3	PENDING REORGANIZATION
ASST TO SENIOR EXECUTIVE	MA	MANOA CHANCELLOR'S OFFICE	SM1	PENDING REORGANIZATION
ASST VICE CHANCELLOR, UHM	MA	INTERNTL & EXCH	SM3	PENDING REORGANIZATION
ASST VICE CHANCELLOR, UHM	MA	PHY, ENVIRON & LONG RANGE PLAN	SM3	PENDING REORGANIZATION
ASSOCIATE DEAN, UHM	MA	SCH OF MED	SM3	SELECTEE TO START 9/24/18
DIR OF CONTINUING ED & TRNG	MU	DIRECTOR	CC1	PENDING APPROVAL OF REORG
ACADEMIC PROGRAM OFFICER	SW	OFF VP ACAD AFF	SM1	PENDING REDESCRIPTION
ASSOCIATE VICE PRESIDENT	SW	OFFICE OF STUDENT AFFAIRS	SM3	PENDING REDESCRIPTION
ASSOCIATE VICE PRESIDENT	SW	PLANNING OFF	SM3	PENDING REDESCRIPTION
UNIV ASSOC GENERAL COUNSEL	SW	OF SVP LG AF-U GN CL	SM1	SELECTEE TO START 10/01/18
SYSTEM DIR OF HUMAN RESOURCES	SW	VP ADMINISTRATION	SM3	RECRUIT TO FILL IN 2019
ASST TO SENIOR EXECUTIVE	SW	OFF OF PRES	SM1	PENDING REDESCRIPTION
ASSOCIATE VICE PRESIDENT	SW	INFO TEC SVC	SM3	RECRUIT TO FILL IN 2019

Item III.
Report of the
President

NO MATERIALS

ORAL REPORT

Item III.

Report of the President –
KauCC Chancellor & Faculty
Senate Chair Report

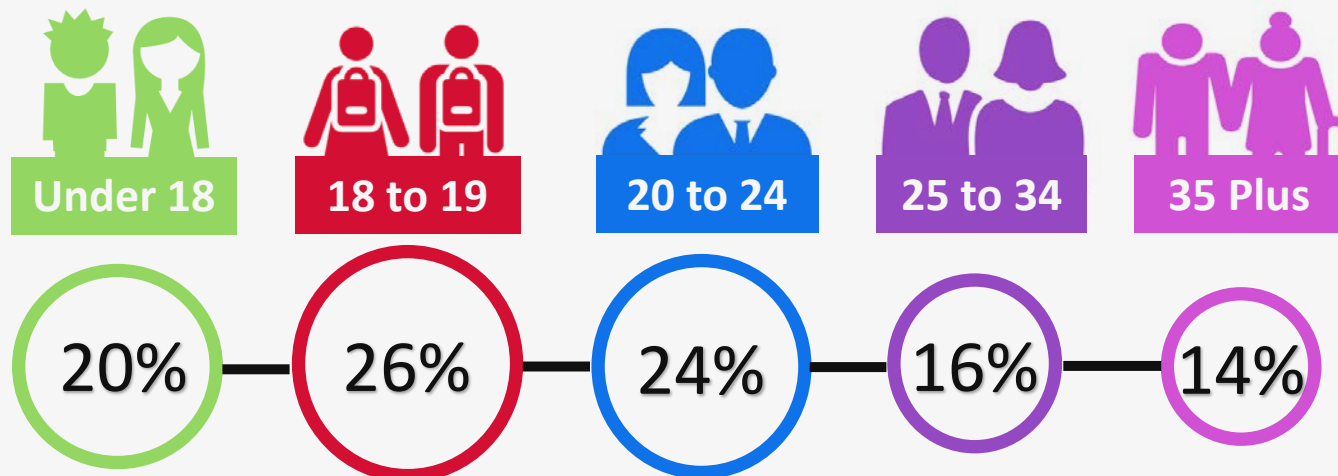
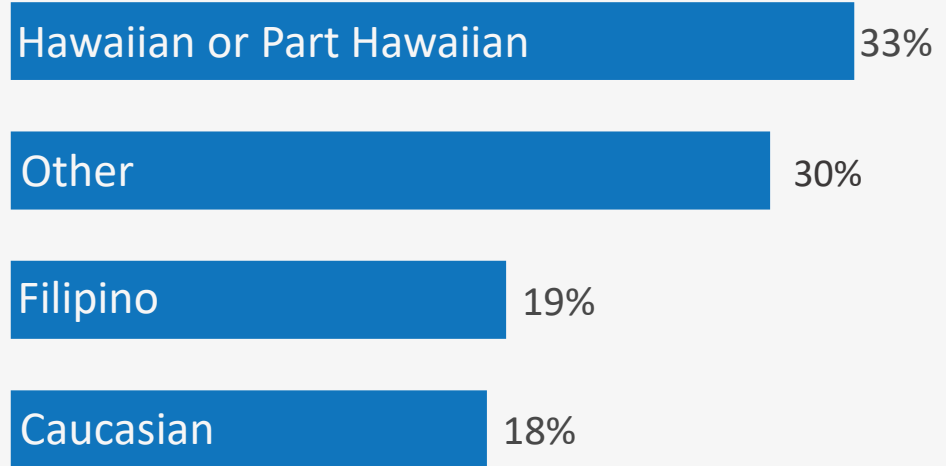
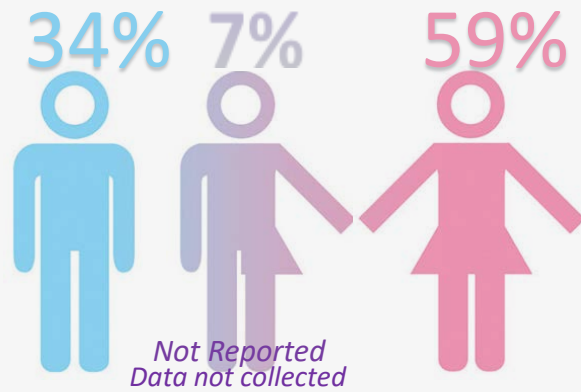
MATERIALS

Presentation to the
UH Board of Regents
Thursday, September 20, 2018
Kaua'i Community College

Dr. Helen Cox, Chancellor



Who are our students?



Full-Time/Part-Time Students



UNIVERSITY of HAWAII®
KAUA'I
COMMUNITY COLLEGE



UNIVERSITY
of HAWAII®
SYSTEM

Full Time

27%

33%

Part Time

73%

67%



Kaua'i Student Enrollment status for students taking Kaua'i Classes vs. Kaua'i Student enrollment status for students taking any courses offered in the UH CC System

Student Goals

Immediate



Earn an Associate or Certificate

Highest

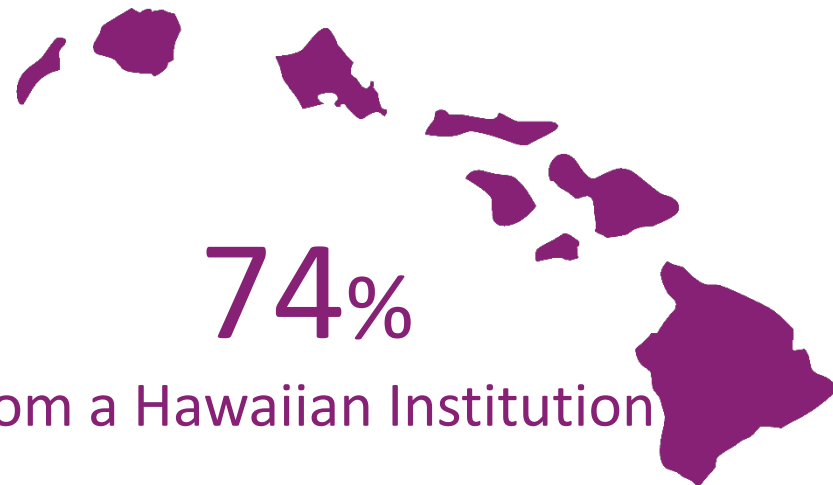


Earn a Bachelors or Higher



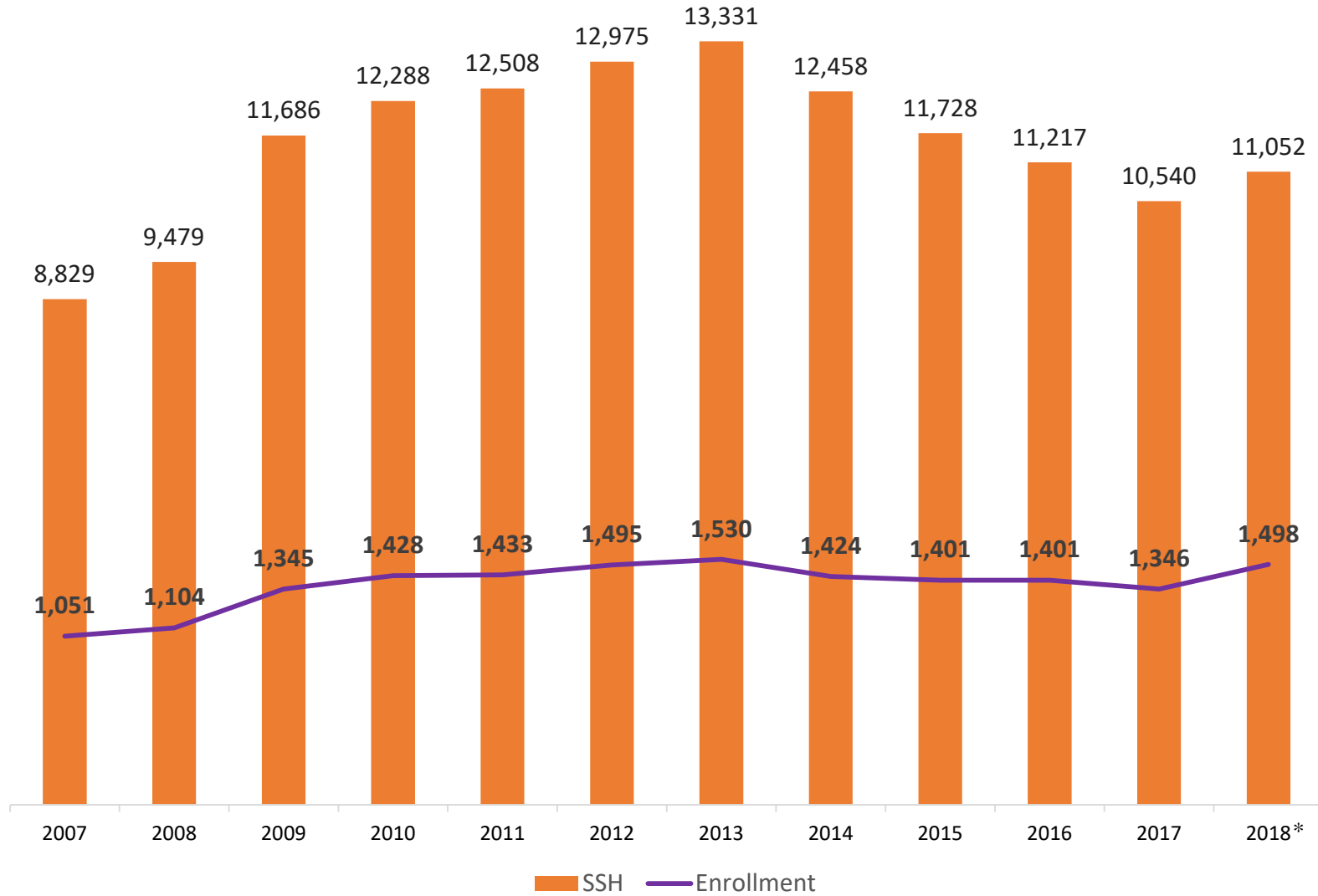
15%

Take courses to Transfer to
Another College



From a Hawaiian Institution

Fall Enrollment



2007-2017 Fall Term Census; *2018 Fall Term Opening Enrollment Headcount and SSH for September 6, 2018

Early College

Semester	Number of Classes at Kaua'i HS	Number of Classes at Kapaa HS	Number of Classes at Waimea HS	Total Early College Enrollment
Fall 2015	1	3	2	131
Spring 2016	2	2	1	114
Fall 2016	3	4	5	206
Spring 2017	3	5	4	161
Fall 2017	2	8	6	218
Spring 2018	6	8	4	327
Fall 2018*	6	13	12	444

**preliminary data as of 09/06/2018*

Kaua'i CC Priorities FY 17-19

Hawai'i Graduation Initiative

- *Increase the number of graduates*
- *Increase the number of students who transfer*
- *Reduce the time to degree: Increase student retention and credit accumulation*

Hawai'i Innovation Initiative

- *Increase job placement for KauCC students*

Modern Teaching and Learning Environment

- *Strengthen distance education offerings*

High Performance Mission-Driven System

- *Reduce the cost of education for students*

Enrollment

- *Increase recent high school graduates enrollment*
- *Increase enrollment of working adults*
- *Increase enrollment of international students*

UH Performance Measures 3-Year Trend

	2016		2017		2018	
Degrees & Certificates	✓ 248	(239)	✓ 258	(251)	✗ 211	(264)
Native Hawaiian	✓ 72	(63)	✓ 69	(66)	✗ 60	(69)
STEM CC	✓ 9	(6)	✓ 22	(6)	✓ 13	(6)
Pell	✓ 145	(129)	✓ 157	(135)	✗ 119	(142)
150% Graduation*	✗ 33.2%	(38.3%)	⊖ 33.5%	(40.4%)	⊖ 34.1%	(42.6%)
Transfers	✗ 75	(101)	✗ 79	(106)	✗ 80	(111)

Actual (Goal)
















*3 years to graduate

STEM CC – STEM Awards from Community Colleges

✗	Did Not Meet
✓	Met
⊖	Partially Met


UHCC Performance Measures

3-Year Trend

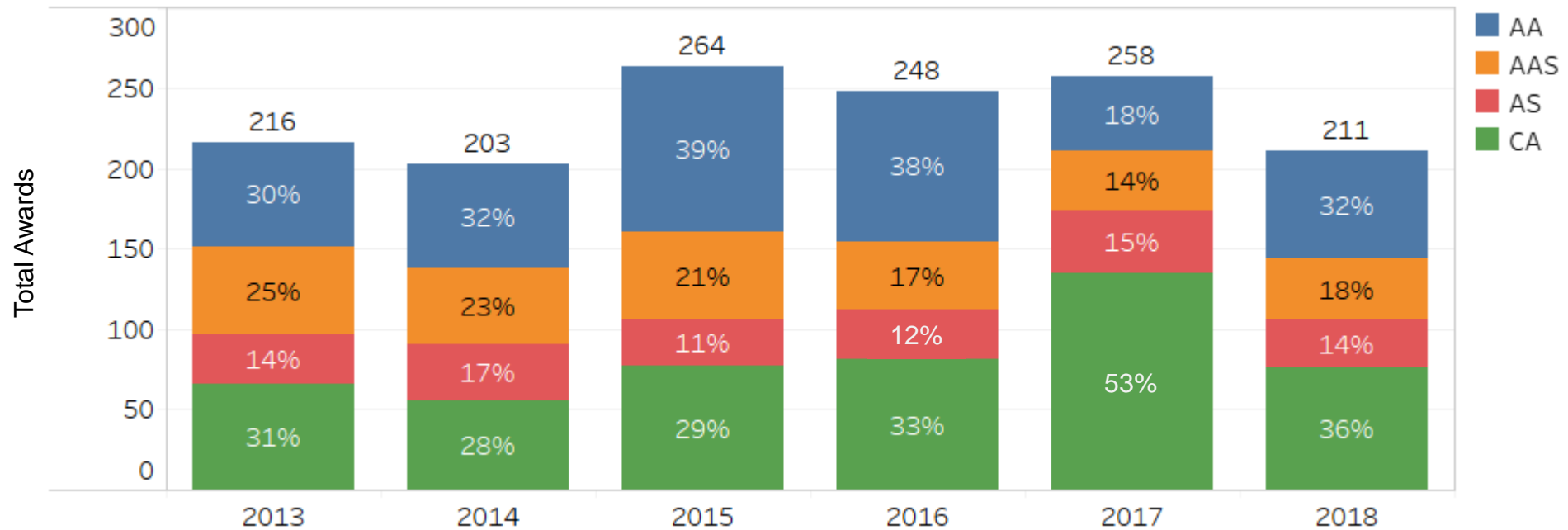
	2016		2017		2018	
Degrees & Certificates	 248	(239)	 258	(251)	 211	(264)
Native Hawaiian	 72	(63)	 69	(66)	 60	(69)
STEM Total	 24	(13)	 29	(14)	 16	(14)
Pell	 145	(129)	 157	(136)	 119	(142)
Transfers	 196	(186)	 233	(192)	 270	(205)

Actual (*Goal*)

STEM Total – STEM Awards from Community Colleges and 4-Year Institutions

	<i>Did Not Meet</i>
	<i>Met</i>
	<i>Partially Met</i>

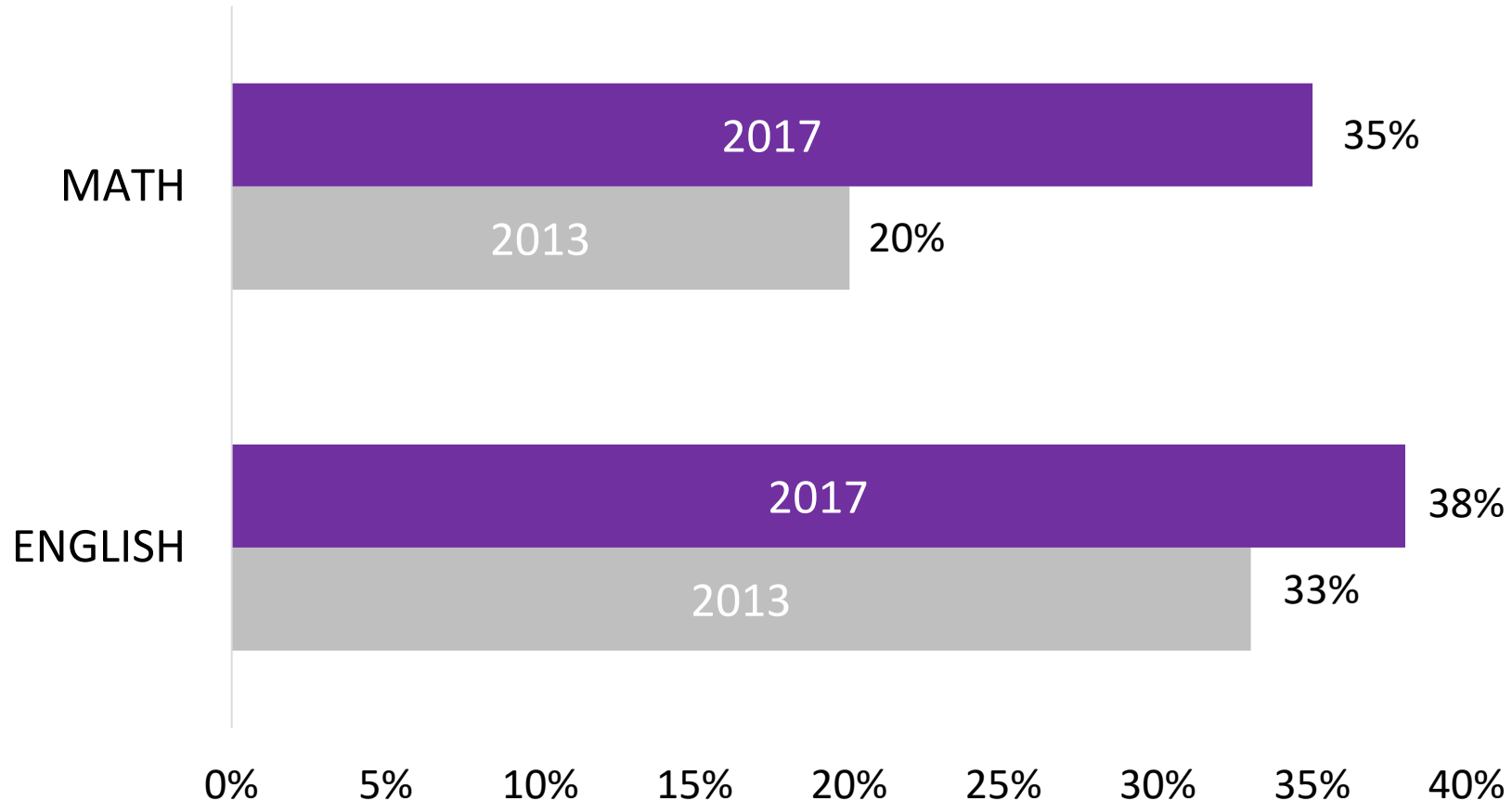
Degrees and Certificates Awarded by Degree Type



Math & English Redesign College Level 1-Year Course Completion Rates

2013 Total N
MATH = 305
ENGLISH = 268

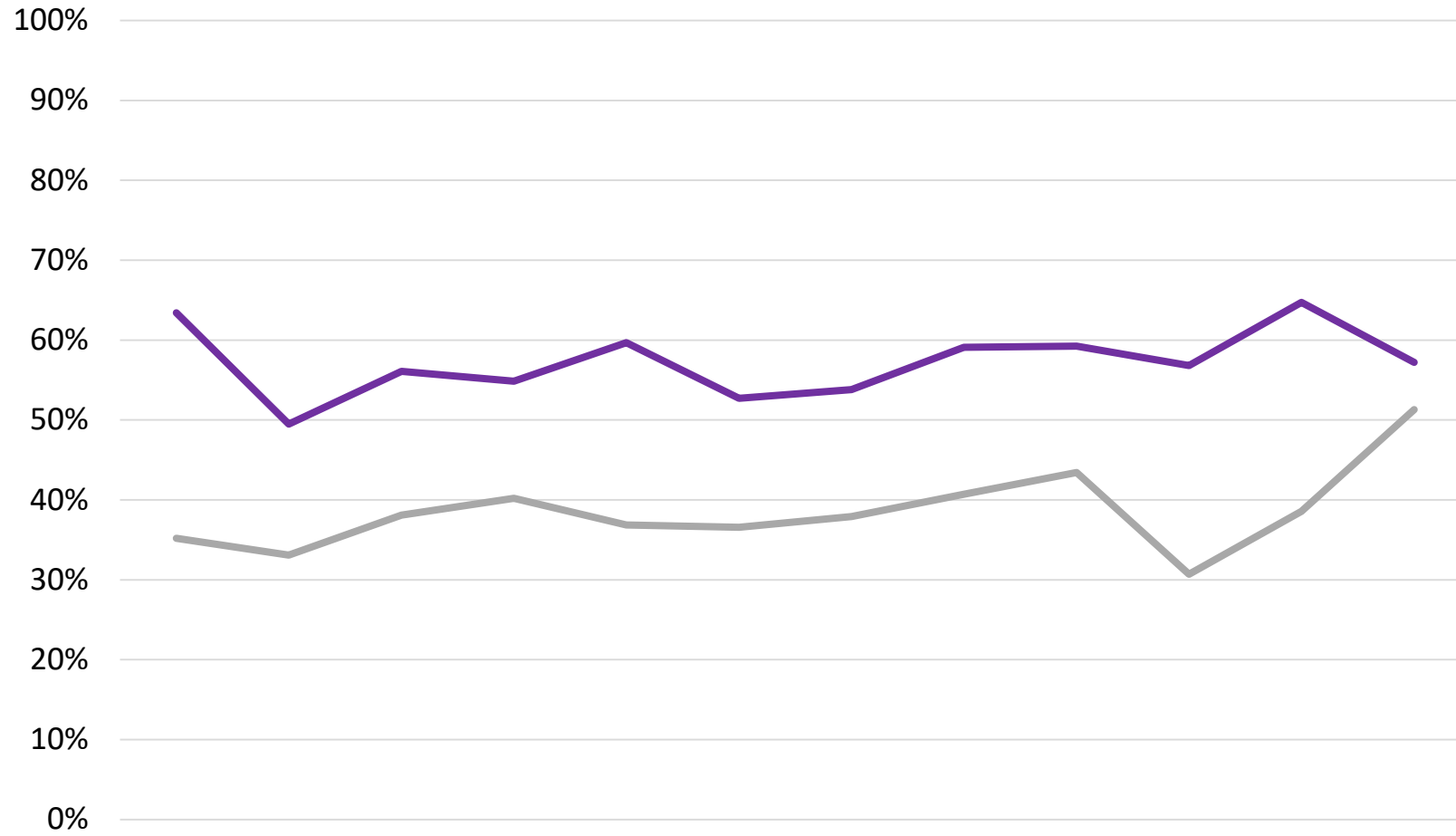
2017 Total N
MATH = 231
ENGLISH = 232





1-Year Retention Rates

First-Time and Transfer-In Students



	2005-8	2006-8	2007-8	2008-8	2009-8	2010-8	2011-8	2012-8	2013-8	2014-8	2015-8	2016-8
FT	175	196	164	206	263	222	236	242	211	220	187	173
PT	125	130	105	102	141	175	174	172	152	127	140	154

YYYY-8 = Fall (Aug) Term Student Cohort

Job Placement

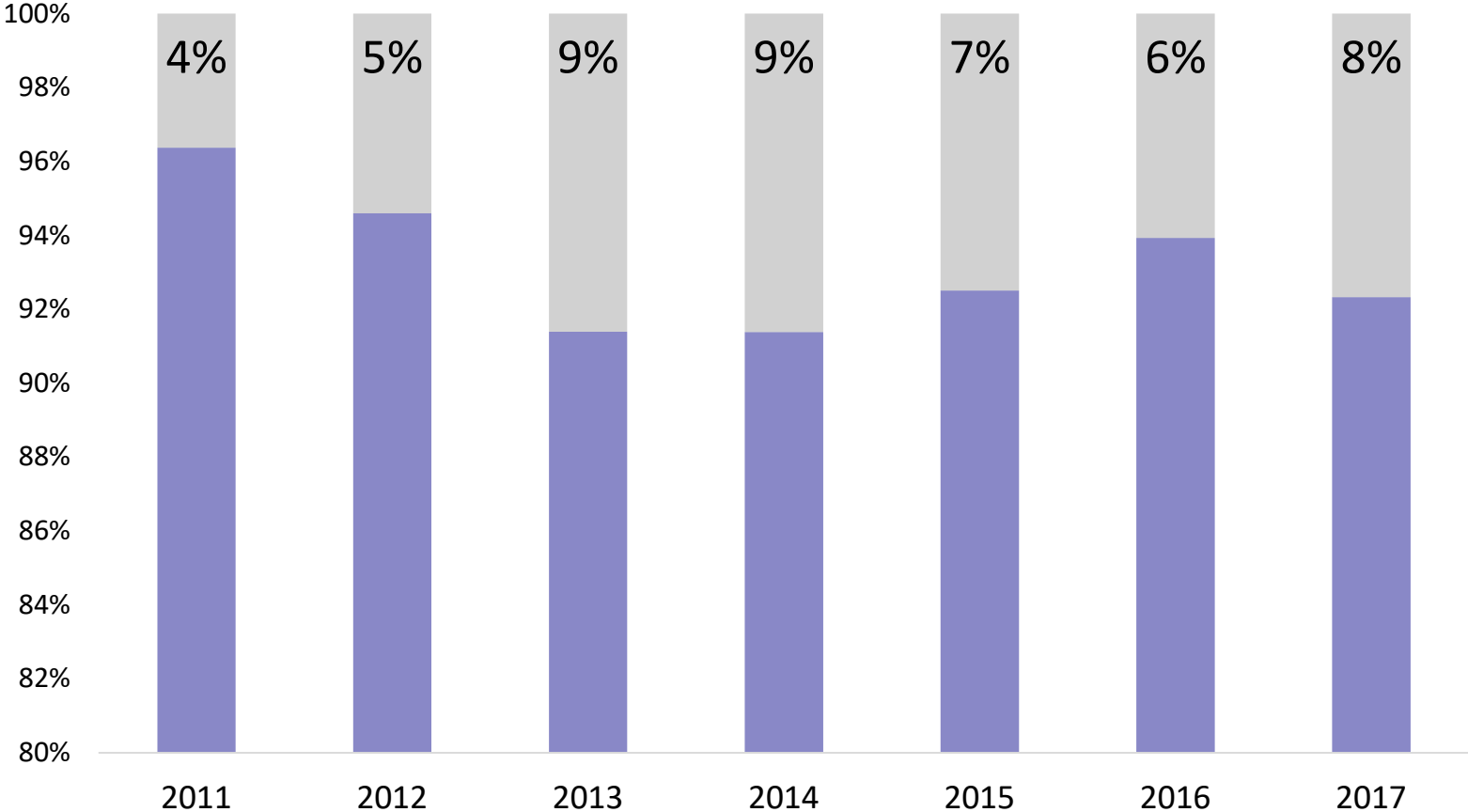
- 86% of graduates from Career and Technical programs (Certificate of Achievement or Associate degree) obtained employment in their field or transferred (FY 17 Data)
- Survey of employers conducted Spring 2018 to determine if Kauaʻi CC programs are relevant and what else is needed



Fall Term Distance Education

Course Registrations

■ Traditional ■ Distance Ed



Fall Term Census

Total N =

4,277

4,404

4,520

4,190

3,776

3,709

3,481

Reducing Costs for Students



- Hawai'i Promise
- Open Education Resources
- Textbooks on loan
- Reduced cafeteria pricing
- Emergency Fund
- Scholarship and Pell Applications

Quality Focus Essay: Integrated Career and Academic Services

High School
Counselor
Event at KCC

September
2018

#Findyourfuture
11th and 12th
grades at KCC

October-
November

Application
Days at HS with
Purpose First

November-
December

Placement
Assessment
at HS

February -
March

Enrollment
Days at
KCC

April

FYE place-based
course for First-
time Freshmen

Fall
2019



WELCOME
FRESHMEN!



Quality Focus Essay: Scheduling for Success



- 46% of students think classes are available when they need them*
- 68% of students want to take classes via distance*
- Most of our students work*
- Explorations: compressed courses, part-time plans, increase distance and hybrid courses, increase hands-on learning

*Community College Survey of Student Engagement and Community Survey conducted Spring 2018

INNOVATION CENTER

Create.
Collaborate.
Community.



INNOVATION CENTER AT KAUA‘I COMMUNITY COLLEGE (IC@KCC)

We collaborate with our community, engage our students with Faculty-led multi-discipline projects creating viable and sustainable solution for the greater good.

Current Projects:

COGS – KCC’s Cognitive learning center is a place where college students, faculty and staff voluntarily invest their time and skills to inspire K-12 students in STEM.

Apiary – BEE EDUCATED! A project to develop and breed pest resistant, gentle queen bees and assist beekeepers.

Ulutopia – A project in partnerships with the National Tropical Botanical Garden to determine best growing conditions for breadfruit, Ulu, through experimental design.

Sustainability – Projects focusing on cultural, economic, environmental and social sustainability.

If We the People are to help heal our ailing democracy—and if we do not, who will? —we need to develop five crucial habits:

- *We are all in this together;*
- *An appreciation of the value of “otherness”;*
- *A capacity to hold tension in life-giving ways;*
- *Developing a sense of personal voice and agency; and*
- *The capacity to create community.*

-Parker J. Palmer



Mahalo!



UNIVERSITY of HAWAII®
KAUA'I
COMMUNITY COLLEGE

FACULTY SENATE UPDATE

Victoria Mathis, DNP, APRN-BC

Associate Professor

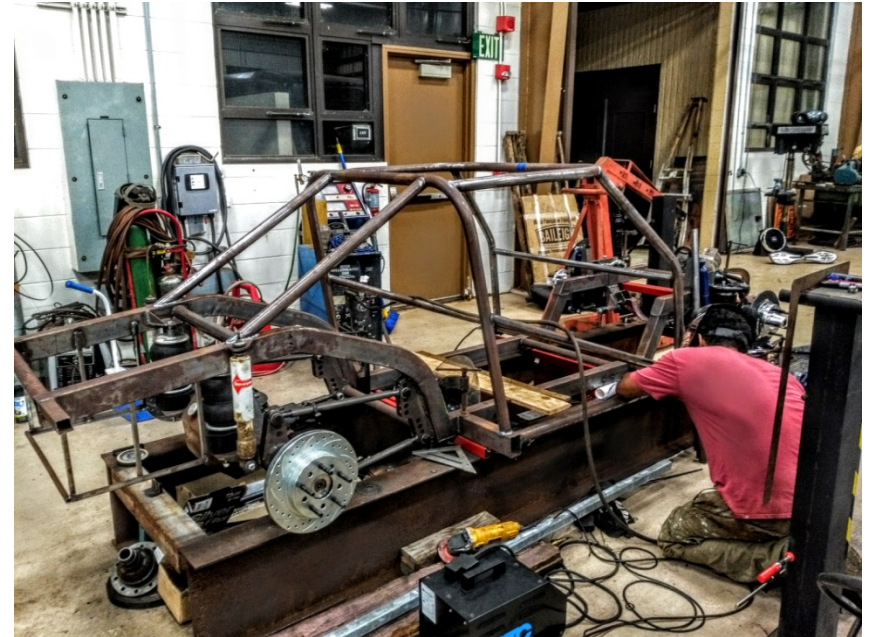
Faculty Senate Chair

HIGH DEMAND, SMALL AGILE PROGRAMS

- It's still what we do best...
- Student-centered programs
- Small class sizes with high fill rates
- Strong collaborative relationships with community partners
- Fast response to emerging needs and trends
- Linking and integration of UH System initiatives
- Innovation in action

Automotive Technology

- 1971 Alfa Romeo convertible Drag race car (Donated)
- Converted to an electric vehicle capable of 750 horsepower
- Floor and original suspension removed and a new chassis fabricated by automotive students
- Sustainability integration in the curriculum



Automotive Technology

- Daihatsu Pickup Truck
- Converted to VW diesel to run on biofuel
- Suspension will have air actuators to be controlled by a computer and connected to a Virtual Reality System.
- Sustainability integration in the curriculum
- Innovation in action



Accounting

- Collaborative partnership initiated due to community employer request for off - campus class
- North shore location at “Cliffs at Princeville”
- Employer provides room and reimburses students for tuition costs
- Returning Adults UH System initiative money enabled purchase and loan of text books to students
- Collaborative partnership and innovation in action



Medical Assisting

- Collaborative partnership with UH Maui College to enable a Maui Cohort of the Kaua'i CC program
- Initiated due to a community employer request on Maui
- Prevents duplication of programs across the UH System
- Meets a community workforce need on Maui
- Collaborative partnership and innovation in action



Conclusion

- Small programs with ability to be agile and rapidly meet changing or emerging community needs
- Innovative student-driven projects that provide hands on and real-world learning
- Integration of UH System initiatives but are driven by faculty, students and community
- Graduate and community stakeholders satisfaction
- We are getting it right (again)

19094

UNIVERSITY OF HAWAII
BOARD OF REGENTS

18 SEP 14 AM 11:41



RECEIVED

UNIVERSITY of HAWAI'I '18 SEP 14 AM 11:07
FOUNDATION

UNIVERSITY OF HAWAII
PRESIDENT'S OFFICE

MEMORANDUM

TO: Lee Putnam
Chairperson, Board of Regents

VIA: David Lassner, President
For University of Hawai'i System

FROM: Donna Vuchinich, President & CEO
University of Hawai'i Foundation

SUBJECT: UH Foundation Report

DATE: Thursday, September 13, 2018

Per the UH Foundation's Agreement for Services contract with the University, attached please find information submitted by the Foundation for the September 20, 2018 Board of Regents' meeting:

- The Campaign for the University of Hawai'i - Campaign Leadership Report 7/1/2011 - 6/30/18 (by campus)
- Campaign Progress - By Source, Type, Category and Purpose (as of 6/30/18)
- Historic Campaign Performance (FY1985 – FY2018)
- Development Operations Report – Fiscal Year 2018
- University of Hawai'i Foundation's Financial Overview (as of June 30, 2018)

Thank you for your assistance and please let us know if anything further is needed or required.

Attachments



UNIVERSITY
of HAWAII®
FOUNDATION

The Campaign for the University of Hawai'i
Campaign Leadership Report

7/1/2011 - 6/30/2018

(Gifts, Pledges, Matching Gifts, Gifts in Kind, Grants and Planned Gifts)

Campus	Reach Back Gifts	FY12	FY13	FY14	FY15	FY16	FY17	FY18 <small>(as of 6/30/2018)</small>	Campaign Total	Campaign Goal*	Percentage Completion
Manoa	\$21,472,667	\$42,351,720	\$49,451,359	\$83,048,799	\$110,450,191	\$39,404,227	\$57,009,733	\$160,206,896	\$563,395,592	\$350,000,000	160.97%
Hilo	\$2,019,942	\$3,064,237	\$2,550,110	\$2,513,051	\$5,085,476	\$4,474,538	\$3,374,611	\$3,164,767	\$26,246,731	\$40,000,000	65.62%
West Oahu	\$305,668	\$218,990	\$354,782	\$279,445	\$250,551	\$1,025,088	\$260,434	\$658,248	\$3,353,208	\$25,000,000	13.41%
Hawaii CC	\$53,514	\$5,396,548	\$126,141	\$151,124	\$393,796	\$394,554	\$380,659	\$738,565	\$7,634,902	\$13,000,000	58.73%
Honolulu CC	\$45,762	\$321,144	\$188,535	\$223,620	\$149,232	\$706,851	\$184,989	\$157,165	\$1,977,298	\$7,000,000	28.25%
Kapiolani CC	\$942,604	\$6,009,827	\$3,982,552	\$1,471,818	\$3,640,822	\$1,715,417	\$1,857,661	\$2,923,638	\$22,544,339	\$15,000,000	150.30%
Kauai CC	\$672,972	\$391,706	\$752,838	\$1,441,752	\$770,486	\$993,102	\$943,575	\$807,288	\$6,773,719	\$5,000,000	135.47%
Leeward CC	\$171,263	\$599,901	\$254,645	\$844,860	\$295,590	\$445,353	\$356,323	\$182,730	\$3,150,665	\$4,000,000	78.77%
Maui College	\$368,564	\$929,920	\$2,397,640	\$985,726	\$582,566	\$1,595,151	\$842,439	\$911,719	\$8,613,726	\$12,000,000	71.78%
Windward CC	\$60,691	\$893,447	\$1,407,728	\$920,251	\$544,101	\$659,461	\$2,487,164	\$370,285	\$7,343,127	\$4,000,000	183.58%
Multi-Campuses	\$3,003,942	\$6,678,370	\$4,846,313	\$6,703,421	\$6,910,141	\$14,672,829	\$9,953,389	\$10,139,360	\$62,907,764	\$25,000,000	251.63%
Totals	\$29,117,590	\$66,855,810	\$66,312,644	\$98,583,866	\$129,072,952	\$66,086,572	\$77,650,977	\$180,260,659	\$713,941,069	\$500,000,000	142.79%

Note: Campaign duration completed 116.67%

*The Campaign Goals are preliminary



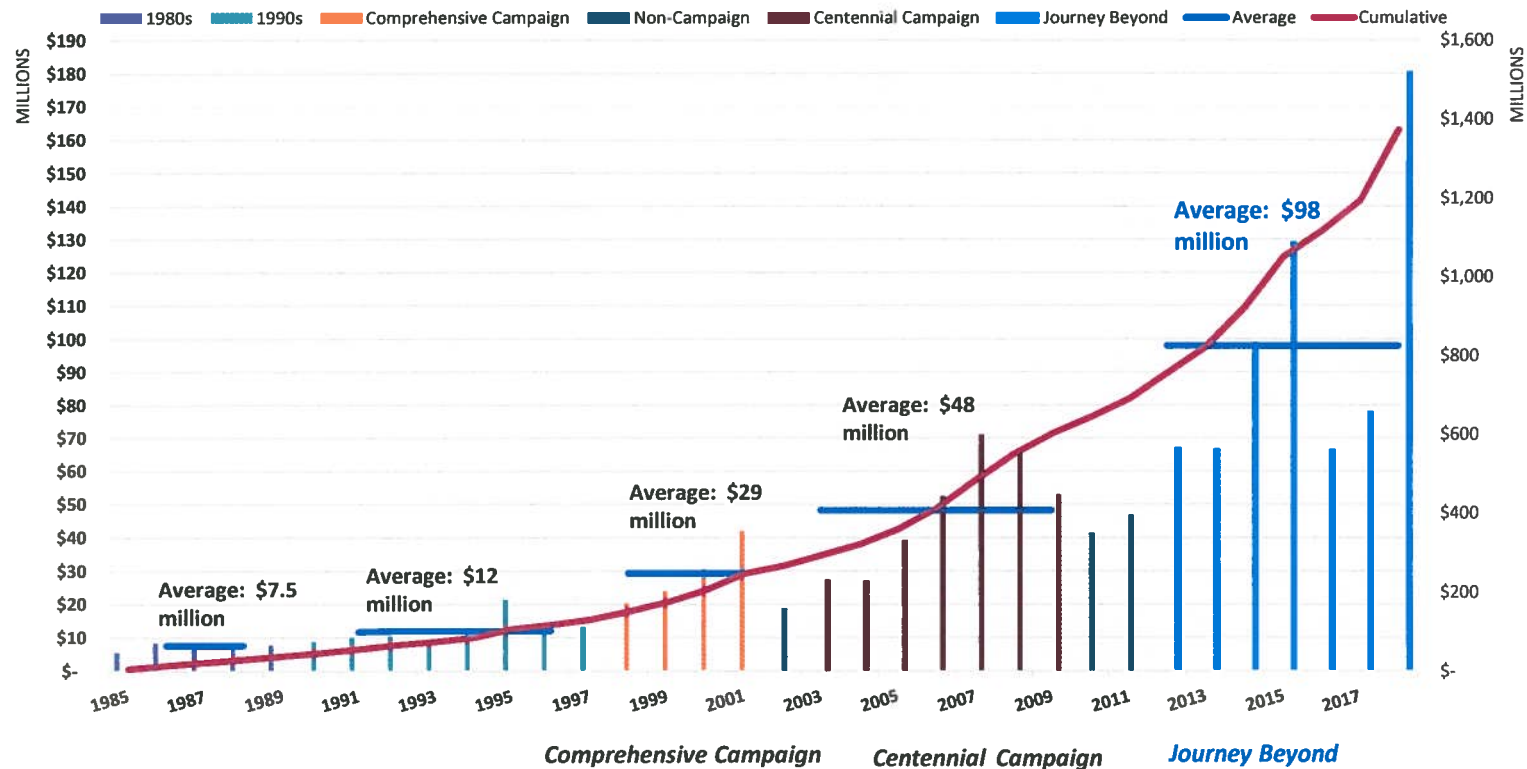
UNIVERSITY
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FOUNDATION

Campaign Progress
By Source, Type, Category and Purpose
(as of 06/30/2018)

	Campaign through FY2017	FY2018 through 06/30/2018	Total	Percent to Total
<u>Source</u>				
Individuals	\$320,870,329	\$154,342,088	\$475,212,418	67%
Corporations	\$80,999,218	\$16,710,488	\$97,709,706	14%
Foundations	\$107,884,920	\$7,805,140	\$115,690,060	16%
Other	\$23,925,942	\$1,402,943	\$25,328,885	4%
Total	\$533,680,410	\$180,260,659	\$713,941,069	100%
<u>Type</u>				
Gifts and Pledges	\$285,298,993	\$46,182,281	\$331,481,274	46%
Planned Gifts	\$205,233,042	\$129,184,131	\$334,417,173	47%
Gifts-In-Kind	\$3,506,780	\$692,389	\$4,199,170	1%
Grants Directly to UH	\$39,641,594	\$4,201,859	\$43,843,453	6%
Total	\$533,680,410	\$180,260,659	\$713,941,069	100%
<u>Category</u>				
Endowment	\$86,741,027	\$8,577,189	\$95,318,217	13%
Expendable	\$328,326,748	\$153,066,429	\$481,393,177	67%
Bequest Intention	\$75,464,260	\$13,722,792	\$89,187,053	13%
Gift-In-Kind	\$3,506,780	\$692,389	\$4,199,170	1%
Grants Directly to UH	\$39,641,594	\$4,201,859	\$43,843,453	6%
Total	\$533,680,410	\$180,260,659	\$713,941,069	100%
<u>Purpose</u>				
Faculty and Academic Support	\$203,717,183	\$33,776,665	\$237,493,848	33%
Student Opportunity and Access	\$145,528,000	\$99,769,337	\$245,297,337	34%
Research	\$108,346,447	\$23,128,105	\$131,474,552	18%
Special Programs	\$43,821,099	\$11,317,766	\$55,138,865	8%
Other - Bldg, Public Svc, Etc	\$32,267,680	\$12,268,787	\$44,536,468	6%
Total	\$533,680,410	\$180,260,659	\$713,941,069	100%

Historic Campaign Performance

Average Funds Raised: Historical Comparison (FY 1985 – FY2018)





**Development Operations
Fiscal Year 2018**

(as of 06/30/2018)

Fiscal Year 2018 Goal:	\$75.0 million
Fundraising Result (07/01/2017 - 06/30/2018):	\$180.3 million
Cash Made Available (07/01/2017 - 06/30/2018):	\$48.8 million

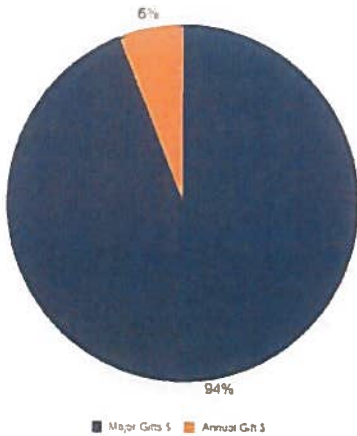
Fundraising Result Summary

Account Type	Gift & Pledges	Deferred Gifts	Total Amount
Endowment	\$6,988,434	\$1,588,755	\$8,577,189
Expendable	\$39,193,846	\$113,872,583	\$153,066,429
Bequest Intentions	\$0	\$13,722,792	\$13,722,792
Gifts-In-Kind	\$692,389	\$0	\$692,389
Grants Directly to UH	\$4,201,859	\$0	\$4,201,859
Total	\$51,076,529	\$129,184,131	\$180,260,659

Comparison to Previous Fiscal Year

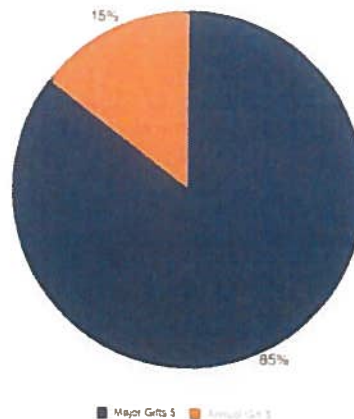
	Number of Major Gifts \$25k+	Major Gifts Total	Number of Annual Gift <\$25k	Annual Gift Total
Current Fiscal Year	292	\$169,140,160	25,654	\$11,120,500
Previous Fiscal Year	311	\$66,312,540	28,869	\$11,338,437
Comparison Favorable/(Unfavorable)	(19)	\$102,827,620	(3,215)	(\$217,938)

Current Fiscal Year



292 major gifts accounted for 94% of the total funds raised

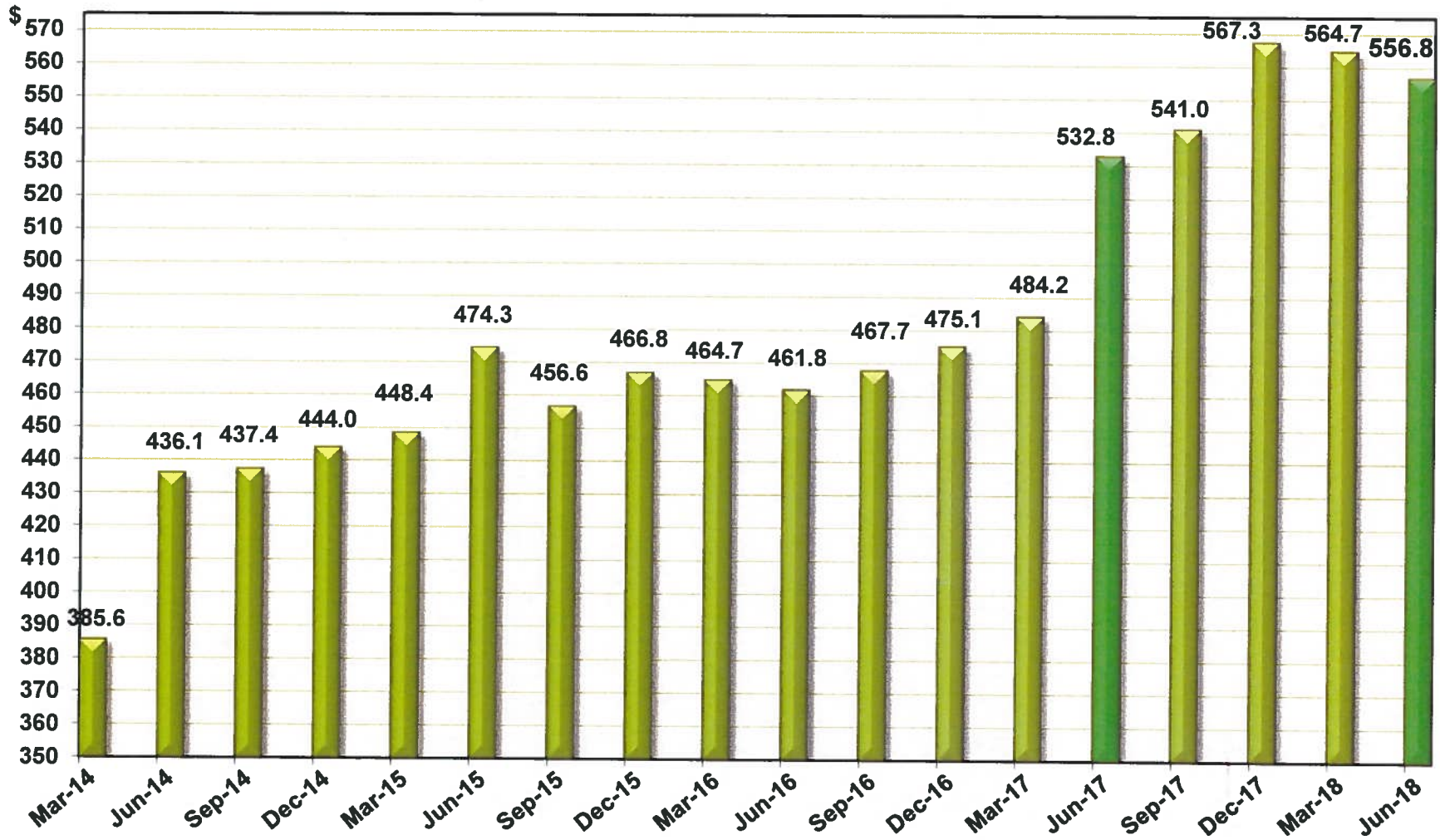
Previous Fiscal Year



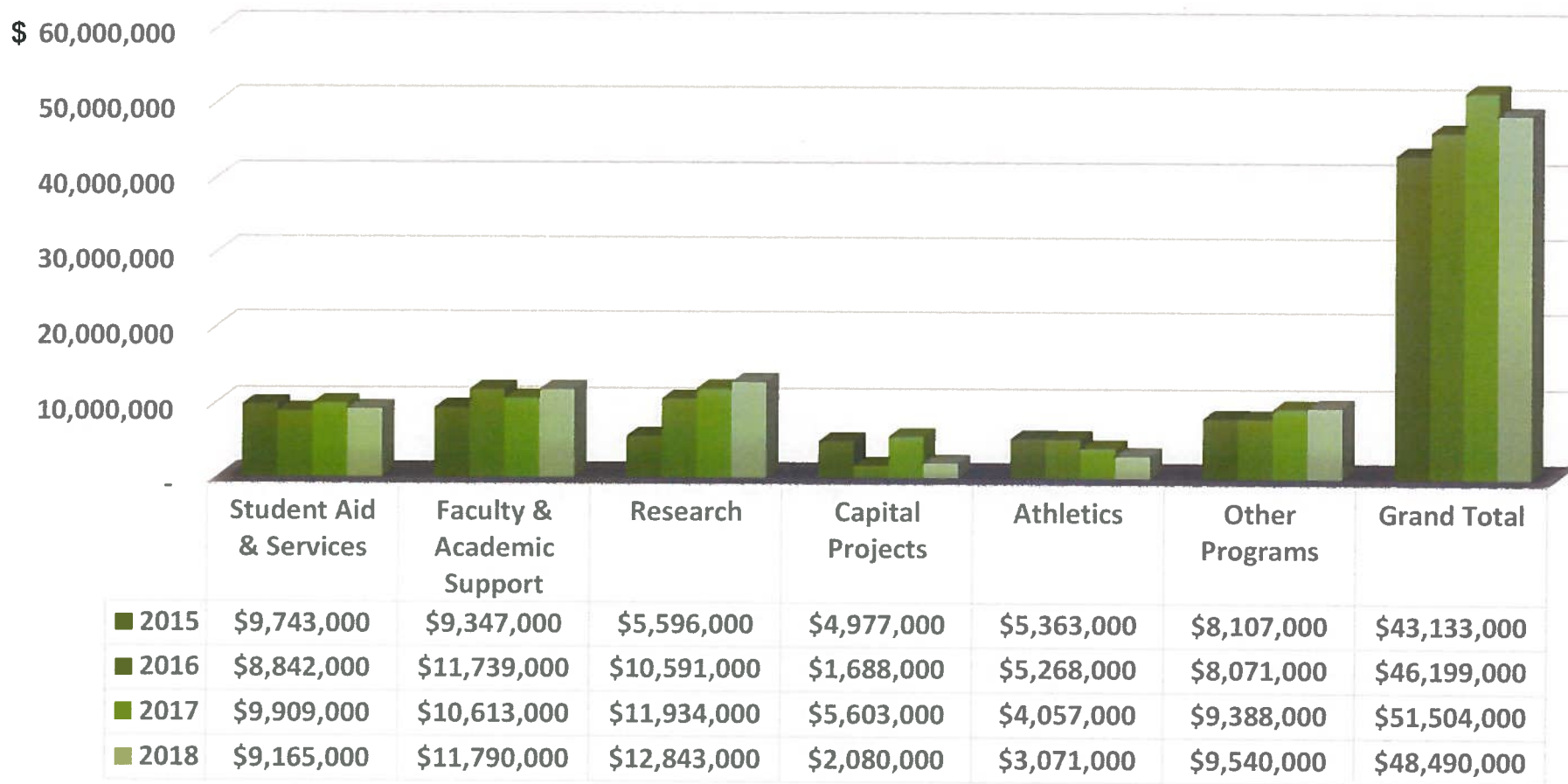
311 major gifts accounted for 85% of the total funds raised

University of Hawai'i Foundation
Financial Overview
As of June 30, 2018

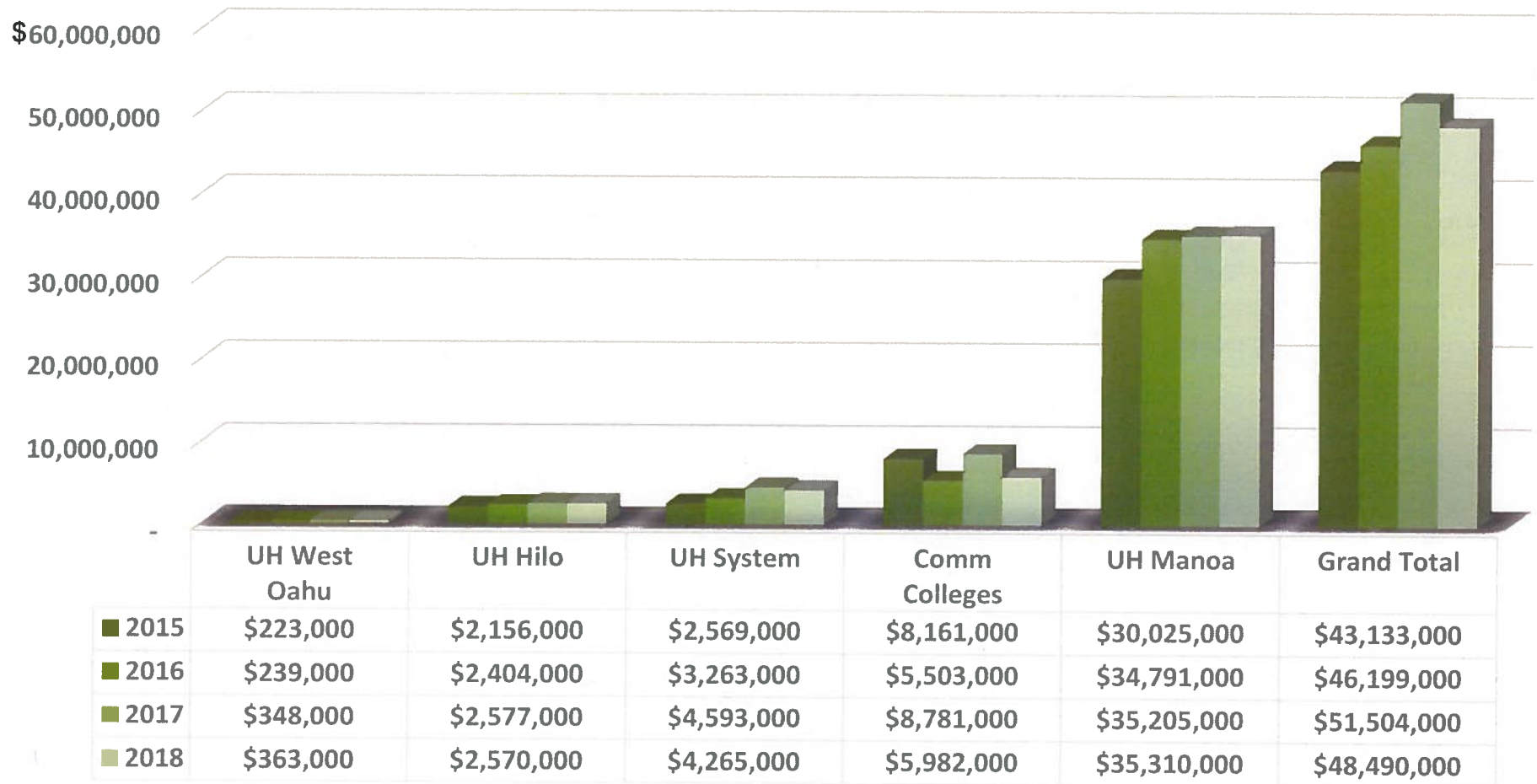
University of Hawai'i Foundation
Fund Balance, By Quarter
(\$ million)



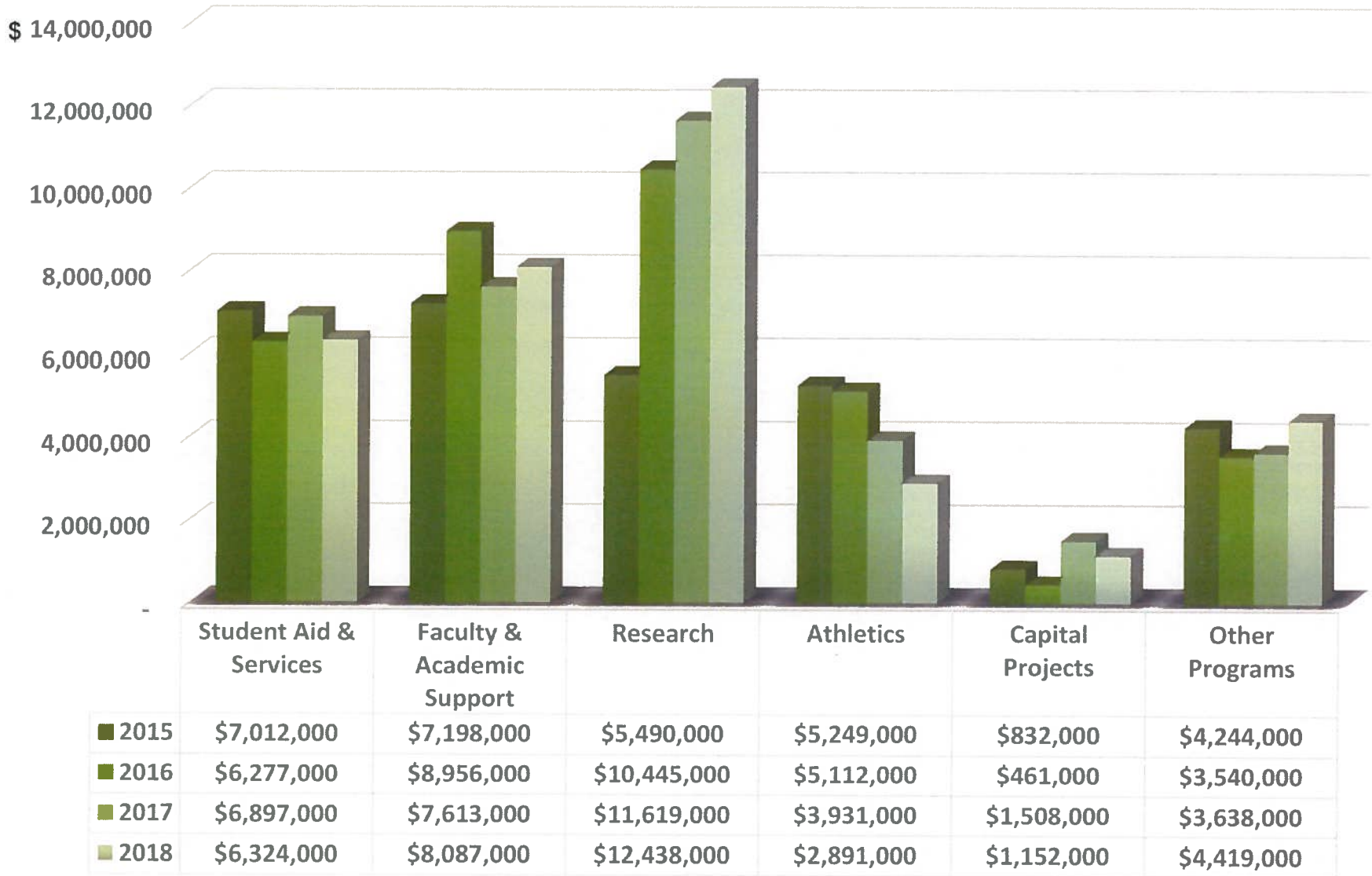
University of Hawai'i Foundation
Total UHF Funds Expended by UH Programs
For Fiscal Years ending June 30, 2018, 2017, 2016 and 2015



University of Hawai'i Foundation
Total UHF Funds Expended by Campus
For Fiscal Years ending June 30, 2018, 2017, 2016 and 2015



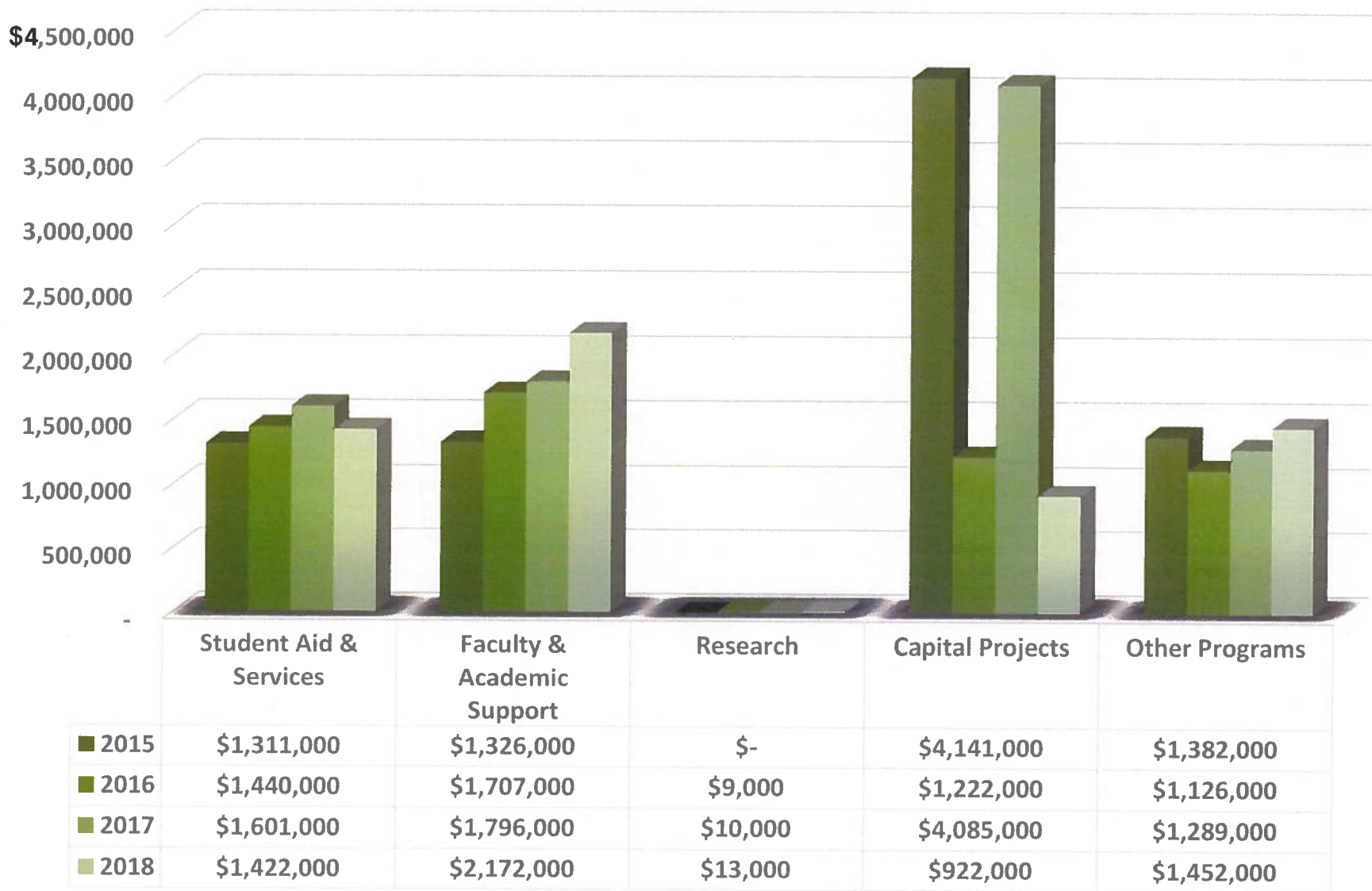
University of Hawai'i Foundation
Total UHF Funds Expended by Manoa Programs
For Fiscal Years ending June 30, 2018, 2017, 2016 and 2015



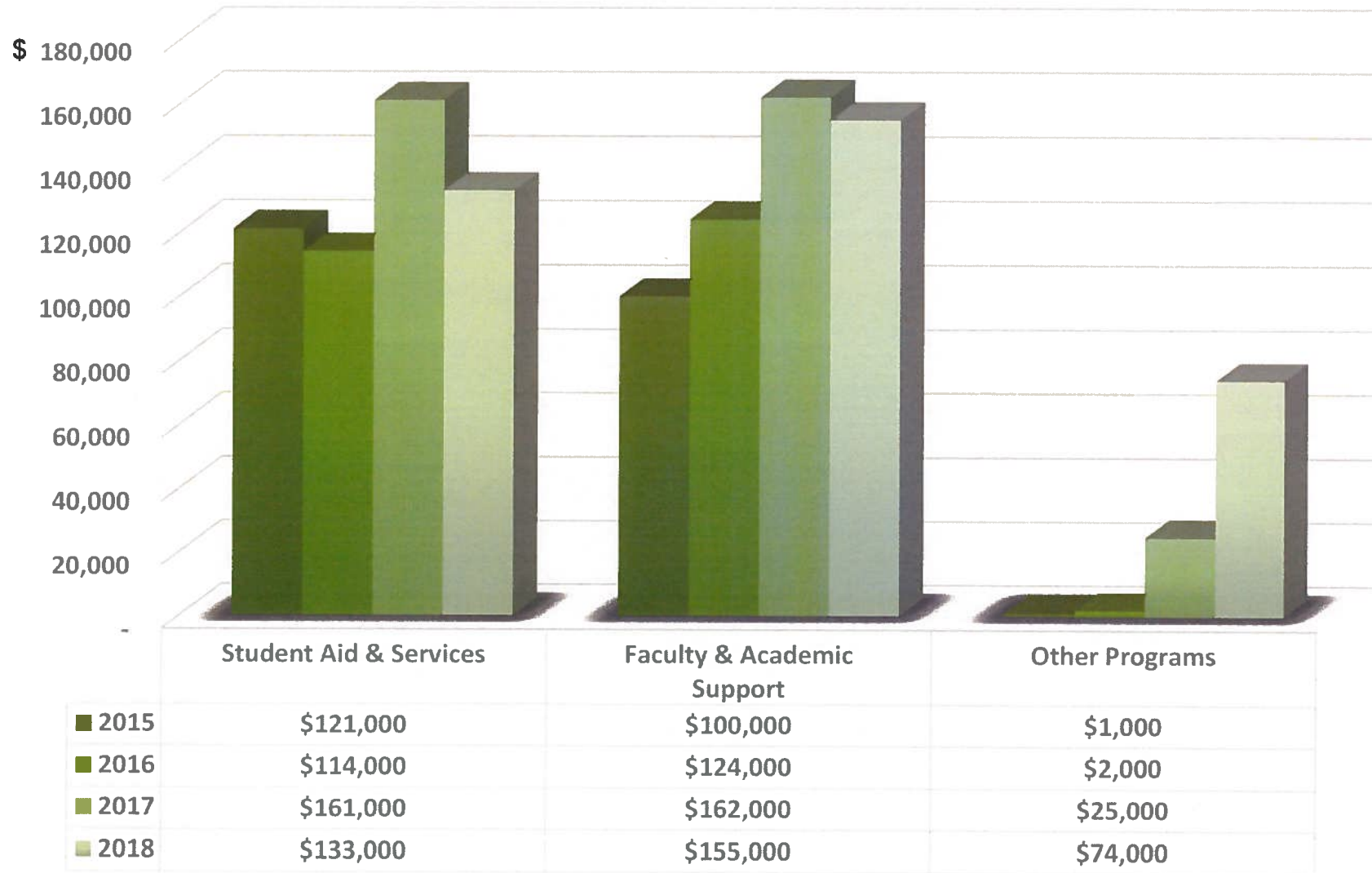
**University of Hawai'i Foundation
 Total UHF Funds Expended by UH Hilo Programs
 For Fiscal Years ending June 30, 2018, 2017, 2016 and 2015**



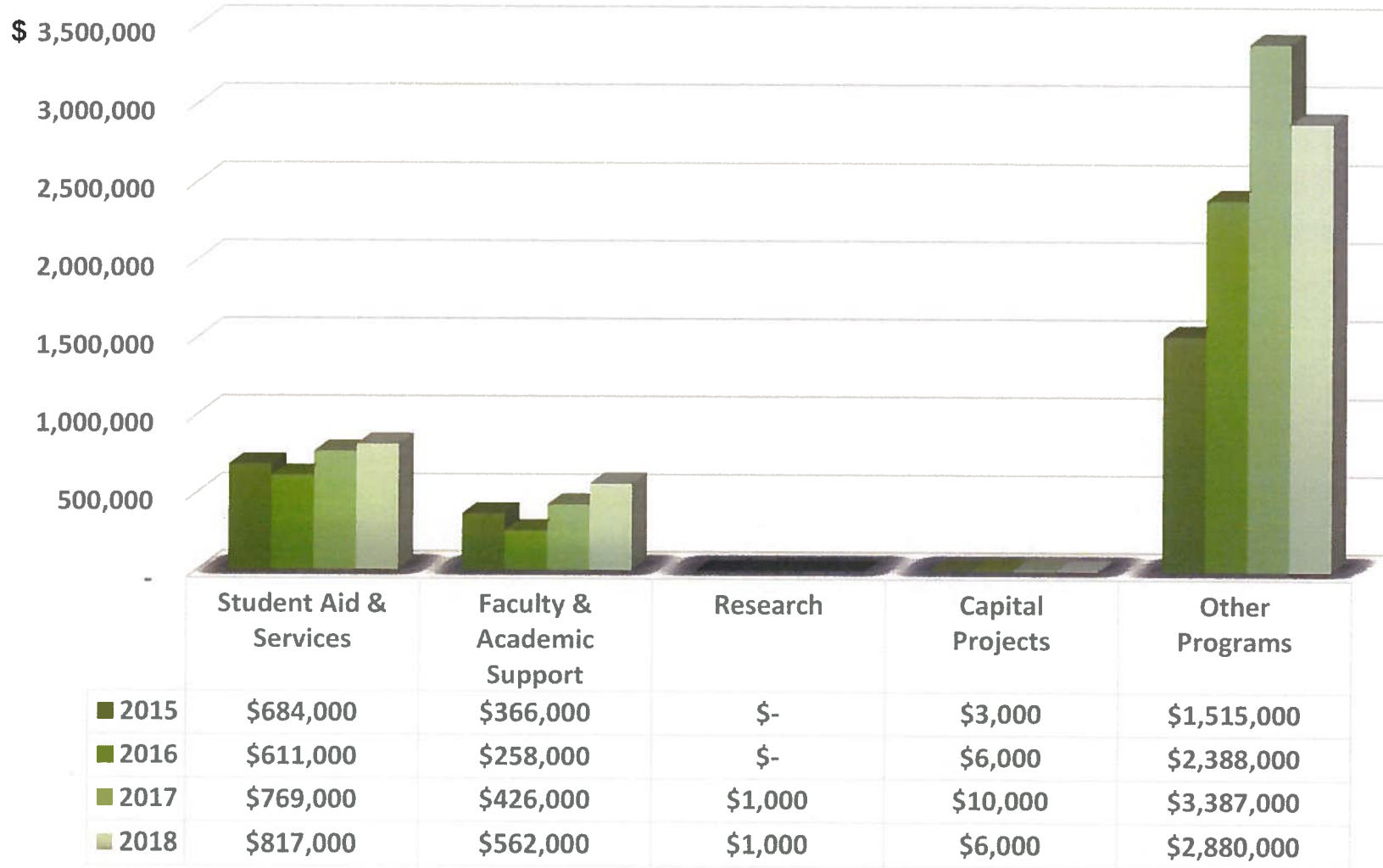
University of Hawai'i Foundation
Total UHF Funds Expended by Community College Programs
For Fiscal Years ending June 30, 2018, 2017, 2016 and 2015



University of Hawai'i Foundation
Total UHF Funds Expended by UH West Oahu Programs
For Fiscal Years ending June 30, 2018, 2017, 2016 and 2015



University of Hawai'i Foundation
Total UHF Funds Expended by UH System Programs
For Fiscal Years ending June 30, 2018, 2017, 2016 and 2015



UNIVERSITY OF HAWAII FOUNDATION
Statement of Operations- Unaudited
For the Twelve Month Period Ending June 30, 2018, 2017, 2016, and 2015

	FY2015	FY2016	FY2017	FY2018
	12 month ending	12 month ending	12 month ending	12 month ending
	June 30, 2015	June 30, 2016	June 30, 2017	June 30, 2018
REVENUES:				
Unrestricted gifts	\$ 204,826	\$ 411,160	\$ 174,827	\$ 769,250
Income from expendable accounts	2,873,334	2,664,714	2,462,700	2,616,070
Income from endowment accounts	3,796,509	3,814,057	3,470,298	3,880,510
Service fee on gifts and non-gifts	2,175,326	2,268,604	2,052,250	2,457,788
Alumni Relations revenue	119,041	108,658	27,091	29,780
UH contract for services	3,000,000	3,000,000	3,000,000	3,000,000
Other, incl payments for services from UH & UHAA	699,401	526,815	451,912	736,749
Carryover from prior year ⁽¹⁾	-	-	997,253	-
Total Revenues	\$ 12,868,437	\$ 12,794,008	\$ 12,636,331	\$ 13,490,147
EXPENSES				
Development				
Personnel	\$ 6,102,879	\$ 5,957,252	\$ 6,679,147	\$ 6,617,302
Program	1,267,726	1,195,867	1,196,091	1,643,794
Campaign	252,120	99,836	89,294	105,734
Alumni Relations				
Personnel	495,690	542,845	435,475	479,949
Program	78,282	79,201	63,210	72,319
Service & Support				
Personnel	2,785,010	2,921,085	3,065,679	3,360,629
Program	1,080,816	1,213,501	1,102,883	1,277,610
UH Support Fund	150,000	150,000	150,000	150,000
Total Expenses	\$ 12,212,523	\$ 12,159,587	\$ 12,781,779	\$ 13,707,337
Total Net Revenues Over(Under) Expenses	\$ 655,914	\$ 634,421	\$ (145,448)	\$ (217,190)

(1) Results reflect application of operating benefit carryforward from prior fiscal year

Minutes: Approved.

Testimony: Dr. Jim Shon, Hawai'i Educational Policy Center.

Agenda Items:

A. For Review & Approval

1. Committee Goals and Objectives

The committee considered goals and objectives based on the committee's functions as indicated in the bylaws, and reviewed and discussed proposed goals for the 2019 fiscal year. Discussions were held regarding adding another goal and objective related to quality and effectiveness of education programs relative to emerging workforce needs.

Action: The committee approved the goals and objectives with the addition of an item related to quality and effectiveness of education relative to the emerging workforce needs.

B. For Information & Discussion

1. Aeronautical Sciences, Bachelor of Science Programs Update

Chancellor Sakai and VC Hon provided an update on the proposed Aeronautical Sciences, B.S. program that included discussion on concerns previously raised by the board. The committee had a robust discussion on the location of the program, costs, and the impacts of partnering with a flight training provider.

Regents expressed concerns over liability, costs, whether discussions have occurred with local carriers, and whether this is part of UHH's mission and priorities.

2. Program Proposals: Content and Review

VP Straney provided an update on the program proposal process to address concerns previously raised by the board. Proposed revisions to the process include providing more context and integration, demonstrating alignment with plans and priorities, and differentiating the various types of program approvals and appropriate level of approval.

The committee expressed the importance of briefing the board early in the process, and the importance of proposals including the impacts to the university, students, and the community, including the workforce. Suggestions were made that student resources and wrap-around services also be considered.

The committee commended administration for the work done so far on revising the program proposal process.

Minutes: Approved.

Testimony: None.

Agenda Items:

A. FY18 Fourth Quarter UBS Legacy Endowment Fund Investment Performance Report

UBS provided an update on the Legacy Endowment Fund for the fourth quarter of the fiscal year ending on June 30, 2018, and all trades made since the last committee meeting. Discussion took place regarding the rationale for allowing investment managers to make asset allocation decisions, fund performance against benchmarks, rationale for asset allocation and long-term projections, and the steps UBS has taken to optimally maximize assets.

B. Discussion on UBS Investment Strategy

UBS presented a proposal on portfolio structure for the Legacy Endowment Fund to improve performance while minimizing risks. This helps us to better comply with the Regents Policy on Investments. Discussions took place regarding risk evaluation, the impact of a change in distribution percentages, the impact a change in distribution percentages, inflation assumptions, citizenship of the proposed investment managers, and how competitive the total portfolio fees are.

C. Recommend Board Approval of Fiscal Biennium 2019-2021 Budget Policy Paper

VP Young provided an overview of the fiscal biennium 2019-2021 budget policy paper that included background on the state and university fiscal environment, legislative strategy and priorities for both the operating and capital improvement program (CIP) budgets, specific strategies that will be focused on driving enrollment management and growth, and next steps the administration will take in crafting the budget.

Action: The committee recommended board approval of the Fiscal Biennium 2019-2021 budget policy paper.

D. FY18 Fourth Quarter Financial Report

VP Young provided an overview of the fourth quarter financial report and results for the 2018 fiscal year. Revenues were slightly higher than projections due to legislative appropriations and the release of some restrictions. Expenditures for all funds were lower than forecasted projections due to conservative budgeting and increased fiscal prudence. General Fund expenditures were higher than projections due to the release of some of the restrictions and subsequent spending of those funds.

E. FY17 Bond Post-Issuance Compliance Update

VP Young provided an overview of the University of Hawai'i revenue bond post-issuance compliance review for the 2017 fiscal year. The purpose of the review is to monitor post-issuance compliance issues, including the amount of private activity occurring on or within facilities financed with UH revenue bonds. Although this report is required for regulatory purposes it is not required by board policy. There were discussions regarding alternative ways of handling this report outside of an agenda item.

F. Review and Approval of Committee Work Plan

The committee considered updated goals and objectives that were distributed and discussed during the August committee meeting. The work plan was revised to include review and approval of the rolling 6-year operating budget and the reporting relationships of the Office of Internal Audit. Concerns were expressed regarding the Committee on Budget and Finance reviewing the reporting relationship of the Office of Internal Audit, rather than the Committee on Independent Audit.

Action: The committee approved the updated work plan that included the addition of the review and approval of the rolling 6-year operating budget. The review of reporting relationships of the Office of Internal Audit was deleted from the work plan and referred to board leadership for further discussion.

Minutes: Approved.

Testimony: None.

Agenda Items:

A. For Review & Approval:

1. Approval of Committee Goals and Objectives

The committee considered goals and objectives based on the committee's functions as indicated in the bylaws. It was noted that the board secretary's work plan includes revising regent orientation, and Regent Portnoy was assigned as the liaison with the All Campus Council of Faculty Senate Chairs. The committee clarified that board effectiveness also encompasses board self-evaluation.

Action: The committee approved the goals and objectives.

2. Recommend Board Approval of Revisions to the following Regents Policies (RPs):

- a. **1.201, Definitions (to be renamed to Policies and Policy-Setting)**
- b. **1.203, Rules of Practice and Procedure before the Board**
- c. **1.206, University Seal**
- d. **2.201, Officers of the University of Hawai'i**
- e. **3.203, Organization Chart**
- f. **11.208, Information and Communication Technologies**

The proposed revisions were made as part of the administration's review of Regents Policies Chapter 1 to 4. The proposed revisions to RP 1.201 include language proposed by the board secretary to provide guidance on the initiation of policies and to allow the board secretary to make nonsubstantive changes to policies. The proposed revisions to RP 11.208 were a result of discussions at a Committee on Independent Audit meeting related to the increasing concern over cybersecurity.

3. Recommend Board Approval to Repeal the following RPs:

- a. **1.207, University Logo (to be consolidated with RP 1.206)**
- b. **1.208, Coat of Arms**
- c. **1.211, Severability (to be consolidated with RP 1.201)**

The committee recommended further amendments including: to RP 1.201 (1) policy amendments made by the board secretary be made with the concurrence of the board chair and notification to the board; and (2) other clarifying amendments.

The committee recommended that RP 3.203 be further amended to eliminate redundancy with regard to the delegation of authority.

Action: The committee recommended board approval of proposed revisions to RP 1.201, as amended; RP 1.203; RP 1.206; RP 2.201; RP 3.203, as amended; RP 11.208; and repeal of RP 1.207; RP 1.208; and RP 1.211.

B. For Information & Discussion

1. Overview of Board of Regents Policy Reviews (Chapters 5 to 8)

VP Morton provided an update on the policy reviews, noting that work is still being done on Chapter 4. Administration will begin the Chapters 5 to 8 policy reviews and intends to provide an overview at the October board meeting of which policies require cursory or substantive review and change over the course of next year.

Item V.D.

Affiliate Reports

NO MATERIALS

ORAL REPORTS



UNIVERSITY of HAWAI'I SYSTEM

1906 2 C

UNIVERSITY OF HAWAII BOARD OF REGENTS

KALBERT K. YOUNG VICE PRESIDENT FOR BUDGET & FINANCE CHIEF FINANCIAL OFFICER

18 AUG 30 P5:02 August 28, 2018

RECEIVED

'18 AUG 30 P2:15

TO: Lee Putnam Chair, Board of Regents

Randy Moore Chair, Committee on Budget and Finance, Board of Regents

UNIVERSITY OF HAWAII PRESIDENT'S OFFICE

VIA: David Lassner President

David Lassner (handwritten signature)

FROM: Kalbert K. Young Vice President for B&F/CFO

Kalbert K. Young (handwritten signature)

SUBJECT: FISCAL BIENNIUM 2019-2021 BUDGET POLICY PAPER

SPECIFIC ACTION REQUESTED:

The Administration hereby submits to the Board of Regents and its Committee on Budget and Finance the attached document entitled "Fiscal Year Biennium 2019-2021 Budget Policy Paper" (hence forth referred to as "Budget Policy Paper" or "Paper"). In conformance with Regent Policy (RP) 8.204, this Budget Policy Paper is submitted for the review and approval of the Board of Regents. Administration requests the Committee on Budget and Finance recommend the Board of Regents approve the Budget Policy Paper and that the Board of Regents to subsequently approve the Paper.

RECOMMENDED EFFECTIVE DATE:

Upon approval of the Board of Regents.

BACKGROUND:

RP 8.204 directs that the Administration draft an annual budget policy paper for the review and approval by the Board of Regents. The purpose of the Paper is to outline the University's policy and governing principles that will be incorporated into the process to gather budget requests from University campuses and units. The Budget Policy Paper provides the environmental context for building budget proposals and articulates the University's general program, policy, and management objectives and institutional priorities that campuses and the Administration will use in the course of preparing budget requests.

The Paper is to be approved by the Regents ahead of the issuance of budget instructions and directions to campuses. Budget instructions are given to outline the format and parameters for campuses to construct budget requests for funding that would ultimately be proposed to the Legislature. Budget instructions will also typically mirror instructions provided by the State Department of Budget and Finance to conform to the requirements of the Executive Branch's budget proposal.

APPLICABLE REGENTS POLICY:

RP 8.204, outlines the "Policy and Governing Principles for the Biennial and Supplemental Budget Proposals to the Legislature." In particular, the policy directs the preparation of a budget policy paper as part of the major activities that the University should do as part of the budget preparation process. As identified in RP 8.204, the budget construction process of the University will include the development of:

- a. Budget Policy Paper
- b. Budget Instructions
- c. Administration's proposal of operational and capital improvement budget requests

This For-Action item is submitted to the Board of Regents in conformance with RP 8.204 for approval of the Administration's proposed Budget Policy Paper.

DISCUSSION:

This Budget Policy Paper is intended to be used by the Administration of the University of Hawai'i (UH) in the construction of its fiscal biennium (FB) 2019-2021 budget request. The budget request will eventually be submitted to the Governor for consideration to be included in the Executive branch's proposed budget to the Legislature. The budget request is also simultaneously submitted to the Legislature, pursuant to statute. In crafting this Budget Policy Paper, the UH Chief Financial Officer gathered input from key stakeholders at the State and from stakeholders in the area of financial management within the University.

The Paper describes the environmental context from which the budget requests are expected to be constructed. The emphasis will be on budget proposals that can be framed to support the four strategic directions of the University of Hawai'i.

- Hawai'i Graduation Initiative (HGI)
- 21st Century Facilities (21CF)
- Hawai'i Innovation Initiative (HI2)
- High Performance Mission-Driven System (HPMS)

Board Chair Putnam
Committee Chair Moore
August 28, 2018
Page 3 of 3

The Administration has also identified issues that should be considered in the context of budget requests. Considering the University's major revenue sources and the forecasted trajectory for these various revenue components, the University needs to be mindful of balancing its revenues and expenditures with an eye on sustainability of programs. The two major revenue sources for the University are general fund appropriations via the annual legislative-appropriated budget and University-generated revenues via tuition and fees. Each of those sources have their own constraints – general fund appropriations have increased primarily for collective bargaining agreements and any new revenue generated from tuition rate increases are to be used for deferred maintenance and not general operations.

The goal of the Budget Policy Paper is to establish a collective vision from which budget proposals can develop. The Administration has identified two key areas that are fundamental to nearly all of the strategic directions: enrollment management and capital renewal.

This Budget Policy Paper will be used to guide the process and evaluation of budget proposals for operating requests. The Board of Regents has already approved the biennium capital improvement budget request at its August meeting which follows the principles outlined in this Budget Policy Paper, the University's Strategic Directions, and the Integrated Academic and Facilities Plan.

Upon approval of this Budget Policy Paper by the UH Board of Regents, and in accordance with RP 8.204, the Administration will be issuing budget preparation instructions to all campuses in the UH system to guide their development of budget requests that will be used to construct the University's FB2019-2021 biennium budget requests.

ACTION RECOMMENDED:

The Administration recommends that the Committee on Budget and Finance review and recommend to the full Board of Regents approval of the attached Budget Policy Paper in accordance with RP 8.204.

The Administration further recommends that upon the recommendation of the Committee on Budget and Finance, the Board of Regents then approve the Budget Policy Paper as soon as procedurally possible so as to not delay the issuance of budget instructions.

Attachment (Fiscal Year Biennium 2019 -2021 Budget Policy Paper)

c: University Budget Office (w/o Attachments)



Budget Policy Paper

Fiscal Biennium 2019-2021

September 2018

SECTION 1: BACKGROUND

Regent Policy (RP) 8.204¹ directs that an annual Budget Policy Paper outline the University of Hawai'i's policy and governing principles for its (biennium or supplemental) budget proposals to the Legislature. This document hereby provides the environmental context for building budget proposals and articulates the University of Hawai'i's general program, policy, and management objectives and institutional priorities that guide the preparation of the upcoming biennium budget request.

This Budget Policy Paper (Paper) is intended to be used by the Administration of the University of Hawai'i (UH) in the construction of its fiscal biennium (FB) 2019-2021 budget request that, upon approval by the Board of Regents (Board), will be submitted to the Governor for construction of the Executive branch's proposed budget to the Legislature. In accord with Chapter 37-68, Hawai'i Revised Statutes, the Board's biennium budget request is also provided directly to the Legislature.

In crafting this Paper, the UH Chief Financial Officer considered general input from UH Officers, including those representing major UH units (Mānoa, Hilo, West O'ahu, Community Colleges, Systemwide Administration), and key stakeholders at the State. This input in conjunction with the direction of this Paper is expected to lay the foundation for System instructions for budget construction and requests when formulating the UH budget proposal considered by the Boards' Regents, the Governor, and the Legislature. Upon approval of this Paper by the Board, and in accordance with RP 8.204, the Administration will be issuing budget preparation instructions to all units to guide development of budget requests used to construct UH's FB2019-2021 biennium budget request.

SECTION II: ENVIRONMENTAL CONTEXT

General Fund Revenues

At its meeting on May 24, 2018, the Council on Revenues (Council) raised its forecast for State General Fund revenue growth from 5.3% to 7.3% for fiscal year (FY) 2018, and from 4.5% to 5.0% for FY 2019. This revision followed the Council's previous meeting of March 15, 2018, where the Council also raised the FY18 forecast from 4.5% to 5.3% and the FY19 forecast was elevated from 4.3% to 4.5%. In both instances, the Council left its FY 2020 to FY 2024 forecasts unchanged at 4.0% annual growth. The department of taxation preliminary report of general fund tax revenues through

¹ UH Regents Policy 8.204 at RP8.204, III.D.3.A.1.a(1)

"a. Preparation of budget policy paper.

- (1) Using input from key stakeholders at the state and the university, as well as a review of university and campus planning goals and plans, the president shall direct the preparation of a budget policy paper and approve its submittal for review and approval by the board. The paper shall set forth the environmental context for budget building, as well as general program, policy and management objectives, and institutional priorities to guide the preparation of the budget request."

June 2018, reports that general fund tax revenues from all sources was approximately 7.6% greater than the previous fiscal year. These performance numbers would suggest that State tax revenue has been strong and that strength should (hopefully) continue into the next fiscal year with continued year-over-year growth.

Approval of New Tuition Schedule

In July 2016, the Board approved a tuition schedule for three years (Academic Year 2017-2018 through 2019-2020). Anticipated tuition rate increases in the second year of the tuition schedule (Academic Year 2018-2019 /FY19) will be 1% or 2%, depending upon the campus. The sole purpose of the tuition rate adjustment is to provide dedicated revenues to address facilities conditions including deferred maintenance and modernization needs at each campus. Any new revenue resulting from tuition rate increases associated with this schedule may not fund general operational activities. The level of tuition revenues are anticipated to be challenging even in light of rate increases due to headwinds in enrollment levels across the system.

A recent major challenge to UH has been the explosive increase in fringe benefit costs. While the State covers fringe benefit costs for permanent appropriated positions supported by general funds or tuition, the rapid increase in rates from 42.49% to 60.08% over the past four years² has had a devastating impact on fully or partially self-funded programs such as campus auxiliaries and athletics.

Strategic Directions and Integrated Academic and Facilities Plan

UH has identified its guiding principles in establishing formal Strategic Directions for 2015-2021. The Strategic Directions, which were approved by the Board in January 2015, has since provided the framework, objectives, and priority targets for UH in the biennium budget request of the Board. The strategic directions are currently being updated for relevance and targets, but the objectives themselves continue unchanged.

STRATEGIC DIRECTIONS 2015 - 2021	
<p><i>1. Hawai'i Graduation Initiative (HGI)</i> Goal: Increase the educational capital of the State by increasing the participation and completion of students, particularly Native Hawaiians, low-income students, and those from underserved regions and populations and preparing them for success in the workforce and their communities.</p>	<p><i>2. 21st Century Facilities (21CF)</i> Goal: Eliminate UH's deferred maintenance backlog and modernize facilities and campus environments to be safe, sustainable, and supportive of modern practices in teaching, learning, and research.</p>

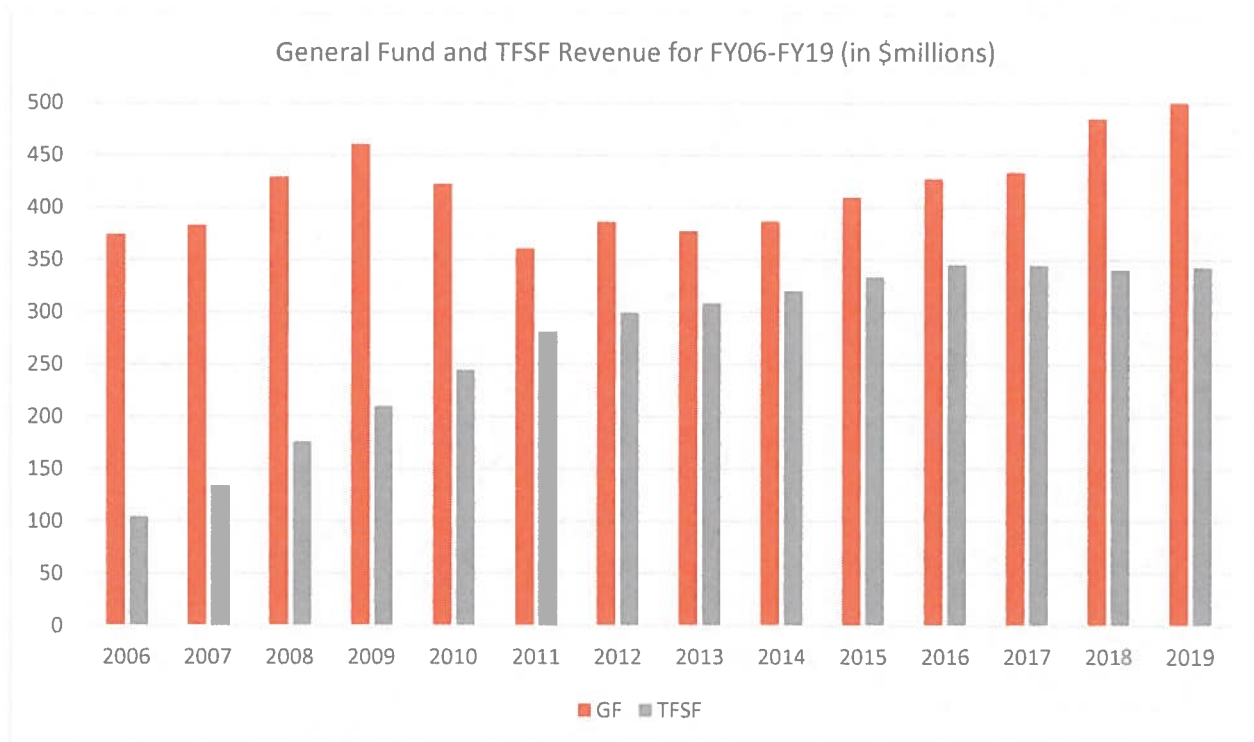
² Executive Memorandum Nos. 14-13 and 18-12 from State of Hawai'i Department of Budget and Finance

<p>3. <i>Hawai'i Innovation Initiative (HI2)</i> Goal: Create more high-quality jobs and diversify Hawai'i's economy by leading the development of a \$1 billion innovation, research, education, and training enterprise that addresses the challenges and opportunities faced by Hawai'i and the world.</p>	<p>4. <i>High Performance Mission-Driven System (HPMS)</i> Goal: Through cost-effective, transparent, and accountable practices, ensure financial viability and sustainability to ensure UH's ability to provide a diverse student body throughout Hawai'i with affordable access to a superb higher education experience in support of the institutional mission of UH, which includes commitments to being a foremost indigenous-serving university and advancing sustainability.</p>
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In addition, the Board approved an Integrated Academic and Facilities Plan (IAFP) in April 2017. The comprehensive plan provides direction for campuses to develop a more coordinated approach towards how individual campuses and programs work together (and fit together) within UH System to ensure that the entire mission of UH System is addressed without undue duplication or inter-campus competition. While the plan is not intended to be prescriptive as to specific programming on every campus, the IAFP does provide the framework for UH decision-making in regard to placing programs and prioritization of (new) facilities within UH System.

Balancing UH Revenues

General operating revenues of UH are largely derived from two primary sources: Legislative appropriations of general funds and tuition and fees revenue from UH activity. After their rapid decline in the Great Recession, State support in the form of general fund appropriations to UH has been trending upward. The amount budgeted for FY19 is \$501.9 million. However, most of the new appropriations have been to fund negotiated statewide collective bargaining increases. The growth in operating funds has only modestly supported (new) program initiatives or department (Board and/or Governor) requests.



Source: Presentation, Board of Regents. University of Hawai'i Operating Budget for Fiscal Year 2018–2019, August 16, 2017.

During the years following the recession (FY11-16), UH-generated revenues via tuition and fees had seen slight increases as the result of the previous five-year tuition rate schedule, which had annual tuition rate increases ranging between 2% to 5% per year depending on individual campuses. These rate increases partially obscured the financial impact of declining enrollment during the same period. Moving forward, small increases in tuition rates will not make up for declines in enrollment, as any additional revenue generated from increased rates for FY19 and FY20 may only be used to address campus maintenance and modernization. While general fund appropriations have recently exceeded pre-recession levels, as previously noted, a majority of that increase has gone to support negotiated collective bargaining increases.

SECTION III: LEGISLATIVE STRATEGY AND PRIORITIES FOR THE BIENNIUM REQUEST

The FY2018-2019 Supplemental Budget bill passed by the 2018 Legislature, with some exceptions, did not include funding for most of the items or initiatives submitted as UH's expressed operating budget priorities.

As UH evaluates and refines its four Strategic Directions, the FB2019-2021 biennium request should focus on emphasizing those initiatives that are most critical and signature to advancing strategic priorities. There are two areas that are fundamental to nearly all of the strategic directions: building enrollment and capital renewal. In addition, the State continues to look to UH, its sole public university, to strengthen and diversify the economy with a focus on creating more high-value jobs.

Administration has initiated a proactive and enhanced enrollment management initiative that sets aggressive forward targets and multiple areas of emphasis for campuses to focus on to drive total enrollment. All aspects of enrollment, such as recruitment, retention, securing new audiences and financial aid strategies are being considered.

Major units may suggest other items from the biennium budget request consistent with the approach provided in this Paper and the Strategic Directions and the Integrated Academic and Facilities Plan. These will be reviewed by the Administration for consideration of inclusion in UH's budget request.

Legislative Strategy for Operating Budget

The recommendation for the FB2019-2021 biennium budget request is to emphasize operational funding that will support initiative(s) that are intended to directly drive enrollment management and growth. To this end, budget requests will be focused around improving student services to include assistance and accessibility. Areas of emphasis should include:

- Hawai'i Promise

Expansion of current program to four-year programs and campuses. By providing the "last dollar" for students' educational costs, the Hawai'i Promise Program has benefited over 1,000 students since it began this past year. Beyond the direct benefit to the student, the program also enhances enrollment at the Community Colleges. To further develop the Hawai'i Promise Program, Administration will seek funding that will expand the program to all four-year students who attend any UH campus at a preliminary estimate of approximately \$17 million. While this is a large amount, the program has received positive feedback from students, their families, and the Legislature.

- Enrollment Management

UH remains focused on graduating students that are educated and prepared for our State's workforce needs. This objective requires achievement in a number of areas to attain student success, to include: graduation in a timely manner, providing modern and contemporary learning opportunities, and providing services that assist students to be better prepared to succeed in college and in the workforce. As one of the main drivers of the local economy, it is imperative for UH to drive economic development and diversification. In addition, partnering with local industries to recognize their needs will

assist with workforce development for the State and provide jobs for our graduates. The Administration seeks to enroll more students, improve their experience while attending, and provide opportunities upon graduation.

Nonetheless, enrollment has declined at nearly every campus in the UH System. Although reasons may vary, decline in enrollment rates are a combination of factors to include: Hawai'i's economic climate and low unemployment rate, lack of growth in high school graduation classes, and an increase in on-time graduation rates from UH. Despite these factors, it is imperative that UH strive to increase enrollment. To this end, the Administration will deploy the following enrollment management strategies at all UH campuses:

- Initiatives and approaches to bolster transfer rates;
- Support for efforts to attract more students throughout the UH System;
- Improvements to services that assist Returning Adults within UH; and
- Retention of students through to completion.

Initiatives for both Graduate and Undergraduate students can bolster opportunities and compensation for on-campus employment. This assists both the students currently employed (employment on campus increases student success) and also those who are positively impacted by Teaching and Learning Assistants on campuses.

- Funding support for graduate assistants (salary increase)
 - Undergraduate Learning Assistants for students (new employment opportunities)
- Additional adjustments of continuing appropriations

During the 2018 Legislative Session, while the Legislature did provide \$700,000 in additional funds toward the Hawai'i Promise program, that appropriation was not recurring and is therefore not part of UH's continuing base budget. Providing a single appropriation in the base budget that includes both years' appropriations (\$1,800,000 plus the new \$700,000) will ensure stability of the program and improve efficiency.

In addition, the 2019 Legislature eliminated funding that was previously included as recurring funding in UH's base budget for Athletics programs at Mānoa and Hilo. Administration will include restoration of funding on a recurring basis for these items as part of its request.

- Hawai'i Promise (\$700,000) – Incorporating FY19 appropriations into the base budget.
- Athletics (\$3,000,000) – Reinstatement of base budget appropriations for athletics funding systemwide.

- Maintaining and modernizing facilities; Addressing increasing fringe benefit costs

While UH has stepped up to address the challenges of its aging facilities with funding from the current tuition schedule, this work will also require additional personnel. Accordingly, UH will request additional positions (without dollars) to dedicate to improving the quality of our campus facilities.

In addition, in programs most impacted by the increase in fringe benefit costs, which are not under the control of UH, we will be requesting position counts (without dollars) to maximize the impact of our scarce general funds and tuition dollars.

As UH is one of the main drivers of the local economy, it is imperative for us to continue to drive economic development and diversification. Critical areas of high-tech employment, such as astronomy, may be the focus of UH's legislative agenda.

Legislative Strategy for CIP Budget

The Board has approved the UH biennium budget capital request for FB2019-2021 at its August full Board meeting. Our request for CIP funding for FY 2019-2020 is \$295 million and for FY2020-21 it is \$319.5 million. This budget anticipates to address a total of \$217 million in capital renewal and deferred maintenance projects. The following is a breakout by campus:

Campus	FY20	FY21
Mānoa	\$ 181.5 M	\$ 200.5 M
Hilo	\$ 24.0 M	\$ 20.5 M
West O'ahu	\$ 9.0 M	\$ 10.5 M
CCs	\$ 80.5 M	\$ 88.0 M
Total	\$ 295.0 M	\$ 319.5 M

Below is the full list of specific projects provided in the budget and approved at the August 2018 full Board meeting.

Campus	Project Description	MOF	FY20 Budget Request	FY21 Budget Request
Mānoa	RIM Projects	C	\$ 135.5 M	\$ 110.5 M
Mānoa	Sinclair Library Renovation	C	\$ 41.0 M	
Mānoa	Snyder Hall	C		\$ 55.0 M
Mānoa	Holmes Hall	C	\$ 2.0 M	\$ 35.0 M
Mānoa	Kuykendall Hall	C	\$ 2.0 M	
Mānoa	Keller Hall / Physical Science	C	\$ 1.0 M	
Hilo	RIM Projects	C	\$ 21.0 M	\$ 20.5 M
Hilo	Pharmacy Laboratory Improvements	C	\$ 3.0 M	
West O'ahu	RIM Projects	C	\$ 0.5 M	\$ 2.0 M
West O'ahu	General Education Bldg I	C	\$ 1.0 M	
West O'ahu	Development & Infrastructure	C	\$ 7.0 M	\$ 8.0 M

(cont.) Campus	Project Description	MOF	FY20 Budget Request	FY21 Budget Request
West O'ahu	Planning Projects	C	\$ 0.5 M	\$ 0.5 M
Comm. Colleges	Capital Renewal & Deferred Maintenance	C	\$ 25.0 M	\$ 25.0 M
Comm. Colleges	Minor Capital Improvement Projects	C	\$ 10.0 M	\$ 10.0 M
Comm. Colleges	Hawaii CC – Campus Development Phase I	C	\$ 2.0 M	\$ 50.0 M
Comm. Colleges	Honolulu CC Science Bldg.	C	\$ 43.5 M	
Comm. Colleges	Windward CC Agripharmatech Bioprocessing Facility	C		\$ 3.0 M
Comm. Colleges	TOTAL		\$ 295.0 M	\$ 319.5 M

SECTION IV. NEXT STEPS

In accordance with Board policy, upon approval of this Paper, the President shall issue formal instructions to major units on preparation of the biennium budget. These instructions will be based on priorities, assumptions, and guidelines contained in this Paper.

Submittals from the major units will then be used to prepare an integrated biennium budget proposal for UH, which will be reviewed and approved by the Board and then utilized for the preparation of formal documents to be submitted to the Governor and the Legislature in accordance with applicable statutes and instructions.



Budget Policy Paper

Fiscal Biennium 2019-2021

September 6, 2018

Committee on Budget and Finance



Background

1. Regent Policy (RP) 8.204 directs that an annual budget policy paper outline the University's policy and governing principles for its budget proposals to the Legislature.
2. This paper provides environmental context for building budget proposals and articulates UH's objectives and priorities that will guide the upcoming biennium budget request that will be submitted to the Governor and Legislature upon Board approval.



Environmental Context

1. State General Fund collections for FY18 grew 7.6% from FY17
 - Council on Revenues had predicted 7.3% growth for FY18
 - Council is forecasting 4.5% growth for FY19 and 4.0% annual growth beyond
2. Tuition Rate increase of 1% or 2% for Academic Year 2018-2019
 - New revenue from rate increases is to be used to address deferred maintenance and may not be used to fund general operations
3. Fringe benefit rates for non-general funded positions has increased from 42% to 60% over the past four years. This creates pressure on self-funded programs such as auxiliaries and athletics.



Legislative Strategy and Priorities

1. Enrollment Management

- Recruitment, retention, transfer rates, financial aid, employment, etc.

2. Capital Renewal

- Improving learning and research environment
- Target highest utility and poorest conditions

3. Major units may request items that are consistent with this approach and the University's Strategic Directions and the Integrated Academic and Facilities Plan (IAFP).



Operating Budget

1. Expansion of Hawai‘i’s Promise Program to all four-year students
 - Estimated \$17,000,000
2. Enrollment Management
 - Transfers, student support, student services, returning adults
 - Employment opportunities for Graduate and Undergraduate students
3. Continuing Appropriations
 - Hawai‘i’s Promise - \$700,000 in Act 14/2018 is non-recurring
 - Athletics - \$3,000,000 was made non-recurring by Legislature
4. Modernizing Facilities; Addressing Fringe Benefit Costs
 - Additional FTEs (without dollars) for facilities and athletics



CIP Budget

1. Board approved capital budget at August meeting
 - FY2019-20: \$295 million
 - FY2020-21: \$319.5 million
2. Follows principles and priorities set forth in IAFP and seeks to transform the learning and research landscape
3. Additional details in materials approved at August 2018 Board meeting



Next Steps

1. Upon approval, the President shall issue formal instructions to major units in preparation of the supplemental budget, following these priorities, assumptions, and guidelines.
2. In November, an operating budget proposal will be presented to the Board of Regents for approval and subsequent submission to Governor and Legislature.

Item VI.A.3.

Revisions to Regent Policy

a. RP 1.201



September 12, 2018

TO: Lee Putnam
Chair, Board of Regents

FROM: Kendra Oishi *K. Oishi*
Executive Administrator & Secretary of the Board of Regents

SUBJECT: Recommend Board Approval of Revisions to Regents Policy 1.201 and
Repeal of Regents Policy 1.211

SPECIFIC ACTION REQUESTED:

This memorandum is to request approval to revise Regents Policy (RP) 1.201 as follows:

- (1) Rename from "Definitions" to "Policies and Policy-Setting";
- (2) Redesignate its purpose to set forth guidelines relating to policies and policy-setting;
- (3) Update the references to certain vice presidents to reflect current titles;
- (4) Remove duplicative references to definitions;
- (5) Establish that RPs may be initiated by board members and by the President through recommendations to the Board and that the President is responsible for informing the Board of necessary changes to existing policies;
- (6) Allow the Board Secretary to make nonsubstantive amendments to policies as needed for clarity and consistency, and with the concurrence of the Chair. The board will be notified of such changes; and
- (7) Incorporate the severability provisions from RP 1.211.

It is also requested that RP 1.211, Severability, be repealed as its provisions will be incorporated into RP 1.201.

RECOMMENDED EFFECTIVE DATE:

Upon approval of the Board of Regents.

BACKGROUND:

The purpose of these recommended changes is to establish and consolidate guidelines on policies and policy-setting, as well as to eliminate redundancy and update executive titles.

The provision referenced in paragraph (5) of the above recommended action puts current practice into policy.

Paragraph (6) of the above recommended action will allow minor nonsubstantive amendments to be made to policies for purposes of clarity and consistency without having to bring the policy to the Board for action.

ACTION RECOMMENDED:

The Committee on Personnel Affairs and Board Governance is requested to recommend the aforementioned revisions to RP 1.201 and repeal of RP 1.211 to the full Board for action.

Attachments:
RP 1.201 original
RP 1.201 redline
RP 1.201 clean



Regents Policy Chapter 1, General Provisions

Regents Policy RP 1.201, Definitions

Effective Date: Oct. 18, 2002

Prior Dates Amended: Sept. 21, 1990; Sept. 17, 1993; Oct. 31, 2014 (recodified)

Review Date: August 2017

I. Purpose

To define words and phrases in the Board of Regents Policies.

II. Definitions:

- A. The words and phrases in the Board of Regents Policies shall, unless inconsistent with the context, be construed as follows:
1. "Board" means the Board of Regents of the University of Hawai'i. (Note: By statute, references in these policies with respect to the university's authority refer to the Board of Regents.)
 2. "Chairperson" means the chairperson of the board.
 3. "University" means the University of Hawai'i System except in references to authority (see section '1' above). (Note: By statute, the university also refers to the Board of Regents.)
 4. "President" means the president of the University of Hawai'i System.
 5. "Executive Officer" or "Chief Executive Officer" means the University of Hawai'i President.
 6. "Secretary" means the executive administrator and secretary of the Board of Regents.
 7. "In consultation" and/or "consult with the board" mean to obtain input, comment, advice, and direction from the board or the board's designee prior to making a recommendation to the board for decision-making and in certain instances, prior to administrative action by the president or the administration.
 8. "Vice Presidents" means the executive vice president for academic affairs; vice president for administration; vice president for budget and finance/chief

financial officer; vice president for community colleges; vice president for information technology/chief information officer; vice president for research; and university general counsel and vice president for legal affairs.

9. "Chancellors" means the chancellor for the University of Hawai'i, Mānoa; chancellor for the University of Hawai'i, Hilo; chancellor for the University of Hawai'i, West O'ahu; chancellor, Hawai'i Community College; chancellor, Honolulu Community College; chancellor, Kapi'olani Community College; chancellor, Kaua'i Community College; chancellor, Leeward Community College; and chancellor, Windward Community College.

III. Policy:

- A. The words and phrases in the Board of Regents Policies shall, unless inconsistent with the context, be construed as follows:
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IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- <http://lrbhawaii.org/con/>
- http://www.capitol.hawaii.gov/hrscurrent/Vol05_Ch0261-0319/HRS0304A/HRS_0304A-.htm

Approved as to Form:

Cynthia Quinn
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 1, General Provisions

Regents Policy RP 1.201, Definitions Policies and Policy-Setting

Effective Date: ~~Oct. 18, 2002~~ Sept. XX, 2018

Prior Dates Amended: Sept. 21, 1990; Sept. 17, 1993; Oct. 31, 2014 (recodified)

Review Date: August ~~2017~~ 2020

I. Purpose:

To ~~define words and phrases in the Board of Regents Policies.~~ set forth guidelines relating to policies and policy-setting.

II. Definitions:

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6. "Secretary" means the executive administrator and secretary of the Board of Regents.
7. "In consultation" and/or "consult with the board" mean to obtain input, comment, advice, and direction from the board or the board's designee prior to making a recommendation to the board for decision-making and in certain instances, prior to administrative action by the president or the administration.
8. "Vice Presidents" means the ~~executive~~ vice president for academic affairs planning and policy; vice president for administration; vice president for budget and finance/chief financial officer; vice president for community colleges; vice president for information technology/chief information officer;

vice president for research and innovation; and university general counsel and vice president for legal affairs.

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 6. ~~"Secretary" means the executive administrator and secretary of the Board of Regents.~~
 7. ~~"In consultation" and/or "consult with the board" mean to obtain input, comment, advice, and direction from the board or the board's designee prior to making a recommendation to the board for decision-making and in certain instances, prior to administrative action by the president or the administration.~~
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 9. ~~"Chancellors" means the chancellor for the University of Hawai'i, Mānoa; chancellor for the University of Hawai'i, Hilo; chancellor for the University of Hawai'i, West O'ahu; chancellor, Hawai'i Community College; chancellor,~~

~~Honolulu Community College; chancellor, Kapi'olani Community College; chancellor, Kaua'i Community College; chancellor, Leeward Community College; chancellor, Maui College; and chancellor, Windward Community College.~~

- A. Regent policies may be initiated by board members or by the President, through recommendations to the Board. The President shall be responsible for informing the Board of policies and changes to existing policies which the President believes may be necessary.
- B. The Secretary may make nonsubstantive amendments to policies as needed for purposes of clarity and consistency, with the concurrence of the Chair; provided that such amendments do not change the meaning or intent of the policy. The Secretary shall notify the Board of policy changes made under this section.
- C. Should any section of the board's policies or portion thereof be in conflict with any other part of these policies, it shall be the exclusive jurisdiction of the board to determine the application of such section(s) applicability of such sections and the resolution of such conflict. In such instances, the section(s) in conflict shall not be invalidated and to this end the provisions of these policies are severable.

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- <http://lrbhawaii.org/con/>
- http://www.capitol.hawaii.gov/hrscurrent/Vol05_Ch0261-0319/HRS0304A/HRS_0304A-.htm

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 1, General Provisions
Regents Policy RP 1.201, Policies and Policy-Setting
Effective Date: Sept. XX, 2018
Prior Dates Amended: Sept. 21, 1990; Sept. 17, 1993; Oct. 31, 2014 (recodified)
Review Date: August 2020

I. Purpose:

To set forth guidelines relating to policies and policy-setting.

II. Definitions:

A. The words and phrases in the Board of Regents Policies shall, unless inconsistent with the context, be construed as follows:

1. “Board” means the Board of Regents of the University of Hawai‘i. (Note: By statute, references in these policies with respect to the university’s authority refer to the Board of Regents.)
2. “Chair” or “Chairperson” means the chairperson of the board.
3. “University” means the University of Hawai‘i System except in references to authority (see section ‘1’ above). (Note: By statute, the university also refers to the Board of Regents.)
4. “President” means the president of the University of Hawai‘i System.
5. “Executive Officer” or “Chief Executive Officer” means the University of Hawai‘i President.
6. “Secretary” means the executive administrator and secretary of the Board of Regents.
7. “In consultation” and/or “consult with the board” mean to obtain input, comment, advice, and direction from the board or the board’s designee prior to making a recommendation to the board for decision-making and in certain instances, prior to administrative action by the president or the administration.
8. “Vice Presidents” means the vice president for academic planning and policy; vice president for administration; vice president for budget and finance/chief financial officer; vice president for community colleges; vice president for information technology/chief information officer; vice president for research and innovation; and university general counsel and vice president for legal affairs.

9. "Chancellors" means the chancellor for the University of Hawai'i, Mānoa; chancellor for the University of Hawai'i, Hilo; chancellor for the University of Hawai'i, West O'ahu; chancellor for the University of Hawai'i, Maui College; chancellor, Hawai'i Community College; chancellor, Honolulu Community College; chancellor, Kapi'olani Community College; chancellor, Kaua'i Community College; chancellor, Leeward Community College; and chancellor, Windward Community College.

III. Policy:

- A. Regent policies may be initiated by board members or by the President, through recommendations to the Board. The President shall be responsible for informing the Board of policies and changes to existing policies which the President believes may be necessary.
- B. The Secretary may make nonsubstantive amendments to policies as needed for purposes of clarity and consistency, with the concurrence of the Chair; provided that such amendments do not change the meaning or intent of the policy. The Secretary shall notify the Board of policy changes made under this section.
- C. Should any section of the board's policies or portion thereof be in conflict with any other part of these policies, it shall be the exclusive jurisdiction of the board to determine the applicability of such sections and the resolution of such conflict. In such instances, the section(s) in conflict shall not be invalidated and to this end the provisions of these policies are severable.

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- <http://lrbhawaii.org/con/>
- [HRS Chapter 304A](#)

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date

**Item VI.A.3.
Revisions to
Regents Policies**

b. RP 1.203

c. RP 1.206

d. RP 2.201

e. RP 3.203



UNIVERSITY of HAWAI'I SYSTEM

DTJ19061

John Morton

Vice President for Community Colleges

UNIVERSITY OF HAWAII BOARD OF REGENTS

18 AUG 31 11:42

RECEIVED

August 30, 2018

'18 AUG 31 11:57

TO: Lee Putnam
Chair, Board of Regents

Eugene Bal III
Chair, BOR Committee on Personnel Affairs & Board Governance

UNIVERSITY OF HAWAII PRESIDENT'S OFFICE

VIA: David Lassner
President

Handwritten signature of David Lassner

FROM: John Morton
Vice President for Community Colleges

SUBJECT: REQUEST FOR REVISIONS TO BOARD OF REGENTS POLICIES
1.203, 1.206, 2.201 and 3.203

SPECIFIC ACTION REQUESTED:

It is requested that the Board of Regents approve the following changes to Board of Regents Policies 1.203, 1.206, 2.201 and 3.203.

- (1) Revise current RP 1.203 Rules of Practice and Procedure before the Board, and update reference to new chapter number of Hawai'i Administrative Rules;
(2) Repeal RP 1.207 University Logo to consolidate under RP 1.206 University Seal;
(3) Repeal RP 1.208 Coat of Arms;
(4) Revise current RP 2.201 Officers of the University of Hawaii to update Executive titles; and
(5) Revise current RP 3.203 Organization Chart to add new reference to Administrative Procedures.

The Board of Regents will be proposing amendments to RP 1.201 Definitions and RP 1.211 Severability.

Lee Putnam
Eugene Bal III
August 30, 2018
Page 2

RECOMMENDED EFFECTIVE DATE:

Upon Board of Regents approval.

ADDITIONAL COST:

There are no additional costs associated with this request.

PURPOSE:

To fulfill obligation to conduct regular review of Board of Regents Policies based on approved schedule, which designated Chapters 1 through 4 for review in the 2017-2018 Academic Year.

BACKGROUND:

Initial assessment of the twenty-eight policies in Chapters 1 through 4 was conducted in summer 2017 by the VP for Community Colleges. The VP for Administration, VP for Legal Affairs/University General Counsel, and VP for Academic Planning and Policy were also consulted.

An initial report to the Committee on Personnel Affairs and Board Governance on November 1, 2017 summarized the initial assessment, which placed policies into one of four categories: (1) policy should remain unchanged; (2) policy should be modified for editorial clarity or consistency with the previous board actions or policy changes not accurately reflected in the policy; (3) policy should undergo a substantive review and modification; (4) policy should be repealed. At that time, only six or seven policies were recommended for substantive review, and one new policy was suggested.

A further Status Report on Board of Regents Policy Reviews was provided to the Committee on Personnel Affairs and Board Governance on April 5, 2018 (attached.)

The Action Requested and Action Recommended here include those policies in categories (1) and (2)—no change, or minor change.

The following policies have been reviewed and no necessary changes have been identified: 1.202 *Relationship of the Board to Administration & University*, 1.205 *Policy on Non-Discrimination and Affirmative Action*, 1.209 *Use of University's Name by National or Other Organizations*, 1.210 *Faculty Involvement in Academic Decision-Making and Academic Policy Development*, 1.211 *Severability*, 2.202 *Duties of the President*, 2.205 *Policy on Whistleblowing and Retaliation*, 3.201 *Major Organizational Units of the University of Hawaii*, and 3.202 *Reorganizations*. The next review date for these policies should be updated as appropriate.

RP 1.204 *Rights and Responsibilities of the University of Hawai'i Community* may be recommended for revision in the future, upon finalization of pending HARS revisions.

Administration is not proposing revisions to the following policies, which fall under the jurisdiction of the BOR: RP 2.203 *Policy on Evaluation of the President*, RP 2.204 *Policy on Board Self Evaluation*, and RP 2.206 *Policy on Regents as Employees*.

Administration is considering proposing revisions to Chapter 4 policies to better align with the integrated planning framework.

ACTION RECOMMENDED:

It is recommended that the Board of Regents approve the following changes to Board of Regents Policies 1.203, 1.206, 2.201 and 3.203.

- (1) Revise current RP 1.203 *Rules of Practice and Procedure before the Board*, and update reference to new chapter number of Hawai'i Administrative Rules;
- (2) Repeal RP 1.207 *University Logo* to consolidate under RP 1.206 *University Seal*;
- (3) Repeal RP 1.208 *Coat of Arms*;
- (4) Revise current RP 2.201 *Officers of the University of Hawaii* to update Executive titles; and
- (5) Revise current RP 3.203 *Organization Chart* to add new reference to Administrative Procedures.

Attachments:

1. Board of Regents Policy Review Summary of April 5, 2018
2. RP 1.203, Rules of Practice and Procedure before the Board, original
3. RP 1.203, Rules of Practice and Procedure before the Board, redline
4. RP 1.203, Rules of Practice and Procedure before the Board, clean
5. RP 1.206, University Seal, original
6. RP 1.206, University Seal, redline
7. RP 1.206, University Seal and Logo, clean
8. RP 2.201, Officers of the University of Hawai'i, original
9. RP 2.201, Officers of the University of Hawai'i, redline
10. RP 2.201, Officers of the University of Hawai'i, clean
11. RP 3.203, Organization Chart, original
12. RP 3.203, Organization Chart, redline
13. RP 3.203, Organization Chart, clean

c: Executive Administrator and Secretary of the Board Oishi

BOARD OF REGENTS POLICY REVIEW SUMMARY (April 5, 2018)

Policy No.	Title	Rec. Action	Notes / Details	Lead on Substance	Other Consult
1-201	Definitions	Minor changes	Eliminate redundancy; update Executive titles (see III.8)	Administration	
1.202	Relationship of the Board to Administration & University	Minor changes		Administration	
1.203	Rules of Practice and Procedure before the Board	Minor changes	HAR amended to adopt new Chapter 1.1; change RP reference to new Chapter number.	Administration Legal Affairs	
1.204	Rights & Responsibilities of the UH Community	Delete after HAR repeal	HAR repeal in final 30 day notification phase. Upon repeal, existing policy will be abolished.	Administration Legal Affairs	
1.205	Policy on Non-Discrimination and Affirmative Action	No change	Policy current with all State and Federal laws and regulations	Legal Affairs	EEO/AA Officers
1.206	University Seal	No change, recommend consolidate with 1.207 and 1.208		Administration	
1.207	University Logo	No change, consolidate with 1.207 and 1.208		Administration	

1.208	Coat of Arms	No change, consolidate with 1.207 and 1.208	Research history shows the coat of arms was adopted in 1954 but so far no color image can be found	Administration	
1.209	Use of University's Name by National or Other Organizations	No change		Administration	
1.210	Faculty Involvement in Academic Decision-Making...	No change	Board is entering into conversations	Board P&BG, Administration	
1.211	Severability	No change		Administration	Legal Affairs
2.201	Officers of the University of Hawaii	Minor changes	Update Executive Titles (III.A)	Administration	
2.202	Duties of the President	No change		Administration	
2.203	Policy on Evaluation of the President	Substantive Review	Added to the list of policies undergoing substantive review	Board P&BG, President	
2.204	Policy on Board Self-Evaluation	Substantive Review	BOR may wish to have a more flexible policy in how it approaches self-evaluation	Board P&BG committee	
2.205	Policy on Whistleblowing and Retaliation	No change	Recently adopted policy, no review necessary	Administration	
2.206	Policy on Regents as Employees	Substantive Review	Board is considering additional new language regarding elective office	Board P&BG committee	
3.201	Major Organizational Units of the University of Hawaii	No change		Administration	
3.202	Reorganizations	No change		Administration	
3.203	Organization Chart	Minor changes		Administration	

4.201	Mission and Purpose of the University	Realignment with other policies to emphasize mission and purpose	Focus on mission and purpose; move implementation and details into other policies	Academic Planning and Policy	
4.202	Strategic Planning	Substantive Review	Bring into line with IAFP and UH strategic plan targets; clarify review/approval procedures. Likely combine 4.202, 4.203, 4.204, and 4.206 into two policies. Drafts currently under review.	Academic Planning and Policy	
4.203	Unit Academic Plans	Substantive Review	Bring into line with IAFP and UH strategic plan targets; clarify review/approval procedures (see above)	Academic Planning and Policy	
4.204	Long-Range Physical Development Plans	Substantive Review	Bring into line with IAFP and P3 initiatives (see above)	Academic Planning and Policy and Administration	
4.205	Institutional Accountability and Performance	No change		Academic Planning and policy	
4.206	Enrollment Planning	Substantive Review	Bring into line with IAFP and P3 initiatives (see above)	Academic Planning and Policy	
4.207	Community College System	No change		Community Colleges	
4.208	Sustainability Policy	No change	Upon further review, RP is current	Administration	



Regents Policy Chapter 1, General Provisions
Regents Policy RP 1.203, Rules of Practice and Procedure before the Board
Effective Date: See Administrative Rules, Title 20, University of Hawai'i, Chapter 1
Prior Dates Amended: Oct. 31, 2014 (recodified)
Review Date: August 2017

I. Purpose

To set forth policy regarding the rules of practice and procedure before the board.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. (See Administrative Rules, Title 20, University of Hawai'i, Chapter 1)

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Cynthia Quinn

Date

Executive Administrator and
Secretary of the Board of Regents



Regents Policy Chapter 1, General Provisions
Regents Policy RP 1.203, Rules of Practice and Procedure before the Board
Effective Date: See Administrative Rules, Title 20, University of Hawai'i, Chapter 1.1
Prior Dates Amended: Oct. 31, 2014 (recodified)
Review Date: August ~~2017~~2020

I. Purpose:

To set forth policy regarding the rules of practice and procedure before the board.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. (See Administrative Rules, Title 20, University of Hawai'i, Chapter 1.1)

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 1, General Provisions
Regents Policy RP 1.203, Rules of Practice and Procedure before the Board
Effective Date: See Administrative Rules, Title 20, University of Hawai'i, Chapter 1.1
Prior Dates Amended: Oct. 31, 2014 (recodified)
Review Date: August 2020

I. Purpose:

To set forth policy regarding the rules of practice and procedure before the board.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. (See Administrative Rules, Title 20, University of Hawai'i, Chapter 1.1)

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 1, General Provisions
Regents Policy RP 1.206, University Seal
Effective Date: Oct. 18, 2002
Prior Dates Amended: Apr. 7, 1921; March 29, 1946; Oct. 31, 2014 (recodified)
Review Date: August 2017

I. Purpose

To set forth policy on the university's seal and establish its appropriate use.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The university's seal, located at the end of this chapter, was adopted by the board on April 7, 1921 and amended on March 29, 1946. The president shall develop and recommend to the board for approval, appropriate guidelines for the legally authorized use and licensing of the university's seal, trademarks, logos, and related symbols.

IV. Delegation of Authority:

The president shall develop and recommend to the board for approval, appropriate guidelines for the legally authorized use and licensing of the university's seal, trademarks, logos, and related symbols.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

- http://www.capitol.hawaii.gov/hrscurrent/Vol01_Ch0001-0042F/HRS0005/HRS_0005-0006.htm

VII. Exhibits and Appendices:



Approved as to Form:

Cynthia Quinn
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 1, General Provisions

Regents Policy RP 1.206, University Seal and Logo

Effective Date: ~~Oct. 18, 2002~~ Sept. XX, 2018

Prior Dates Seal Amended: Apr. 7, 1921; March 29, 1946; Oct. 31, 2014 (recodified); August 2017

Prior Dates Logo Amended: Feb. 19, 1970; Oct. 31, 2014 (recodified); August 2017

Review Date: August ~~2017~~ 2020

I. Purpose:

To set forth policy on the university's ~~seal~~ seal and logo, and establish ~~its~~ their appropriate use.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The university's ~~seal, and the university logo or trademark, as adopted by the board, are included at the end of this policy. located at the end of this chapter, was adopted by the board on April 7, 1921 and amended on March 29, 1946. The president shall develop and recommend to the board for approval, appropriate guidelines for the legally authorized use and licensing of the university's seal, trademarks, logos, and related symbols.~~

IV. Delegation of Authority:

The president shall develop ~~and recommend to the board for approval,~~ appropriate guidelines for the legally authorized use and licensing of the university's seal, trademarks, logos, and related symbols.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- http://www.capitol.hawaii.gov/hrscurrent/Vol01_Ch0001-0042F/HRS0005/HRS_0005-0006.htm

VII. Exhibits and Appendices:

A. University Seal (adopted April 7, 1921, and amended on March 29, 1946)



B. University Logo (amended February 19, 1970)



Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 1, General Provisions

Regents Policy RP 1.206, University Seal and Logo

Effective Date: Sept. XX, 2018

Prior Dates Seal Amended: Apr. 7, 1921; March 29, 1946; Oct. 31, 2014 (recodified); August 2017

Prior Dates Logo Amended: Feb. 19, 1970; Oct. 31, 2014 (recodified); August 2017

Review Date: August 2020

I. Purpose:

To set forth policy on the university's seal and logo, and establish their appropriate use.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The university's seal and the university logo or trademark, as adopted by the board, are included at the end of this policy.

IV. Delegation of Authority:

The president shall develop appropriate guidelines for the legally authorized use and licensing of the university's seal, trademarks, logos, and related symbols.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- http://www.capitol.hawaii.gov/hrscurrent/Vol01_Ch0001-0042F/HRS0005/HRS_0005-0006.htm

VII. Exhibits and Appendices:

A. University Seal (adopted April 7, 1921, and amended on March 29, 1946)



B. University Logo (amended February 19, 1970)



Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 2, Administration

Regents Policy RP 2.201, Officers of the University of Hawai'i

Effective Date of Recodification: November 20, 2014

Prior Dates Amended: Jan. 13, 1966; Oct. 20, 1978; Nov. 15, 1985; Mar. 21, 1986;
Sept. 21, 1990; Sept. 17, 1993; May 16, 1997; July 18, 1997; Oct. 18, 2002; Sept. 19,
2008; Nov. 20, 2014

Review Date: August 2017

I. Purpose

To set forth policy regarding the officers of the University of Hawai'i, acting president, and other officers.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. Officers shall include the following:

1. President, University of Hawai'i,
2. Executive administrator and secretary of the Board of Regents,
3. Chancellor, University of Hawai'i, Mānoa,
4. Chancellor, University of Hawai'i, Hilo,
5. Chancellor, University of Hawai'i, West Oahu,
6. Vice president for academic affairs,
7. Vice president for administration,
8. Vice president for community colleges,
9. Vice president for legal affairs and university general counsel,

10. Vice president for budget and finance/chief financial officer,
11. Vice president for research and innovation,
12. Vice president for information technology/chief information officer.

B. Acting president

1. The vice president shall designate a vice president or any other appropriate individual as the acting president when the president is out-of-state, incapacitated or otherwise unable to discharge the prescribed duties of the office.

C. Other officers. The board may appoint other officers and prescribe their duties.

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- http://www.capitol.hawaii.gov/hrscurrent/Vol02_Ch0046-0115/HRS0089C/HRS_0089C-0004.htm

Approved as to Form:

Cynthia Quinn
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 2, Administration

Regents Policy RP 2.201, Officers of the University of Hawai'i

Effective Date ~~of Recodification: November 20, 2014~~ Sept. XX, 2018

Prior Dates Amended: Jan. 13, 1966; Oct. 20, 1978; Nov. 15, 1985; Mar. 21, 1986;
Sept. 21, 1990; Sept. 17, 1993; May 16, 1997; July 18, 1997; Oct. 18, 2002; Sept. 19,
2008; Nov. 20, 2014; Aug. 2017

Review Date: August ~~2017~~ 2020

I. Purpose:

To set forth policy regarding the officers of the University of Hawai'i, acting president, and other officers.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. Officers shall include the following:

1. President, University of Hawai'i,
2. Executive administrator and secretary of the Board of Regents,
3. Chancellor, University of Hawai'i, Mānoa,
4. Chancellor, University of Hawai'i, Hilo,
5. Chancellor, University of Hawai'i, West Oahu,
6. Vice president for academic ~~affairs~~ planning and policy,
7. Vice president for administration,
8. Vice president for community colleges,
9. Vice president for legal affairs and university general counsel,
10. Vice president for budget and finance/chief financial officer,
11. Vice president for research and innovation,
12. Vice president for information technology/chief information officer.

B. Acting president

1. The ~~vice~~ president shall designate a vice president or any other appropriate individual as the acting president when the president is out-of-state, incapacitated or otherwise unable to discharge the prescribed duties of the office.

C. Other officers. The board may appoint other officers and prescribe their duties.

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- http://www.capitol.hawaii.gov/hrscurrent/Vol02_Ch0046-0115/HRS0089C/HRS_0089C-0004.htm

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 2, Administration

Regents Policy RP 2.201, Officers of the University of Hawai'i

Effective Date: Sept. XX, 2018

Prior Dates Amended: Jan. 13, 1966; Oct. 20, 1978; Nov. 15, 1985; Mar. 21, 1986;
Sept. 21, 1990; Sept. 17, 1993; May 16, 1997; July 18, 1997; Oct. 18, 2002; Sept. 19,
2008; Nov. 20, 2014; Aug. 2017

Review Date: August 2020

I. Purpose:

To set forth policy regarding the officers of the University of Hawai'i, acting president, and other officers.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. Officers shall include the following:

1. President, University of Hawai'i,
2. Executive administrator and secretary of the Board of Regents,
3. Chancellor, University of Hawai'i, Mānoa,
4. Chancellor, University of Hawai'i, Hilo,
5. Chancellor, University of Hawai'i, West Oahu,
6. Vice president for academic planning and policy,
7. Vice president for administration,
8. Vice president for community colleges,
9. Vice president for legal affairs and university general counsel,
10. Vice president for budget and finance/chief financial officer,
11. Vice president for research and innovation,
12. Vice president for information technology/chief information officer.

B. Acting president

1. The president shall designate a vice president or any other appropriate individual as the acting president when the president is out-of-state, incapacitated or otherwise unable to discharge the prescribed duties of the office.

C. Other officers. The board may appoint other officers and prescribe their duties.

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- http://www.capitol.hawaii.gov/hrscurrent/Vol02_Ch0046-0115/HRS0089C/HRS_0089C-0004.htm

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 3, Organization
Regents Policy RP 3.203, Organization Chart
Effective Date: Sept. 27, 2007
Prior Dates Amended: Nov. 15, 1985; Nov. 20, 1987; Apr. 14, 1989; Sept. 21, 1990;
Sept. 17, 1993; May 16, 1997; Sept. 11, 1998; Jan. 19, 2001; Nov. 18, 2004; June 22,
2005; Mar. 16, 2007; Oct. 31, 2014 (recodified)
Review Date: August 2017

I. Purpose

To set forth policy establishing the university's organization chart.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

- A. The most current approved organization chart of the university showing units and positions reporting directly to the board and president shall be provided the board upon revision and/or request. The president shall promulgate policies and procedures regarding the maintenance of and changes to the organization and functions of university units.

IV. Delegation of Authority:

The president shall promulgate policies and procedures regarding the maintenance of and changes to the organization and functions of university units. See RP 3.203(A).

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Cynthia Quinn
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 3, Organization
Regents Policy RP 3.203, Organization Chart

Effective Date: Sept. ~~27XX~~, 200718

Prior Dates Amended: Nov. 15, 1985; Nov. 20, 1987; Apr. 14, 1989; Sept. 21, 1990;
Sept. 17, 1993; May 16, 1997; Sept. 11, 1998; Jan. 19, 2001; Nov. 18, 2004; June 22,
2005; Mar. 16, 2007; Oct. 31, 2014 (recodified); Aug. 2017

Review Date: August ~~2017~~2020

I. Purpose:

To set forth policy establishing the university's organization chart.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The most current approved organization chart of the university showing units and positions reporting directly to the board and president shall be provided the board upon revision and/or request. The president shall promulgate policies and procedures regarding the maintenance of and changes to the organization and functions of university units.

IV. Delegation of Authority:

The president ~~shall promulgate policies and procedures regarding the maintenance of and changes to the organization and functions of university units. See RP 3.203(A).~~ may delegate authority as authorized under RP 2.202.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://hawaii.edu/offices/bor>
- <http://www.hawaii.edu/policy/docs/temp/ap3.101.pdf>

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 3, Organization

Regents Policy RP 3.203, Organization Chart

Effective Date: Sept. XX, 2018

Prior Dates Amended: Nov. 15, 1985; Nov. 20, 1987; Apr. 14, 1989; Sept. 21, 1990;
Sept. 17, 1993; May 16, 1997; Sept. 11, 1998; Jan. 19, 2001; Nov. 18, 2004; June 22,
2005; Mar. 16, 2007; Oct. 31, 2014 (recodified); Aug. 2017

Review Date: August 2020

I. Purpose:

To set forth policy establishing the university's organization chart.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The most current approved organization chart of the university showing units and positions reporting directly to the board and president shall be provided the board upon revision and/or request. The president shall promulgate policies and procedures regarding the maintenance of and changes to the organization and functions of university units.

IV. Delegation of Authority:

The president may delegate authority as authorized under RP 2.202.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://hawaii.edu/offices/bor>
- <http://www.hawaii.edu/policy/docs/temp/ap3.101.pdf>

Approved as to Form:

Kendra Oishi
Executive Administrator and

Date

Secretary of the Board of Regents

Item VI.A.3.

Revisions to Regent
Policy

f. RP 11.208



August 31, 2018

MEMORANDUM

TO: Lee Putnam
Chairperson, Board of Regents

Eugene Bal III
Chair, BOR Committee of Personnel Affairs & Board Governance

VIA: David Lassner
President

FROM: Garret T. Yoshimi 
Vice President for Information Technology and Chief Information Officer

SUBJECT: REQUEST FOR REVISIONS TO BOARD OF REGENTS POLICY 11.208,
Information and Communication Technologies

SPECIFIC ACTION REQUESTED:

It is requested that the Board of Regents approve changes to Board of Regents Policy 11.208 to integrate references to cybersecurity within the existing policy on information and communication technologies.

RECOMMENDED EFFECTIVE DATE:

Upon Board of Regents approval.

ADDITIONAL COST:

There are no additional costs associated with this request.

PURPOSE:

To integrate explicit references to cybersecurity with the scope and context of the existing policy on information and communication technologies.

Lee Putnam
Eugene Bal III
August 31, 2018
Page 2 of 2

BACKGROUND:

Given the increasing visibility and critical nature of cybersecurity policies and practices to the institution, at the request of the Board, we conducted a review of current policies across large public higher education institutions to determine common practices among our peers. We also reviewed our existing policies to ensure there would be proper coverage of the subject, and to ensure that we will properly reflect the critical nature of cybersecurity in the context of our overall technology efforts.

ACTION RECOMMENDED:

It is recommended that the Board of Regents approve the following changes to Board of Regents Policies 11.208.

- (1) Revise the title and language of the current RP 11.208 *Information and Communication Technologies*, to reflect the integration of cybersecurity within the context of the policy; and
- (2) Changes the contact information for RP 11.208 to the Office of the Vice President for Information Technology/Chief Information Officer.

Attachments:

1. RP 11.208, Information, Communication and Cybersecurity Technologies, current
2. RP 11.208, Information, Communication and Cybersecurity Technologies, redline
3. RP 11.208, Information, Communication and Cybersecurity Technologies, clean

c: Executive Administrator and Secretary of the Board Oishi



Regents Policy Chapter 11, Miscellaneous
Regents Policy RP 11.208, Information and Communication Technologies
Effective Date:
Prior Dates Amended: Oct. 31, 2014 (recodified)
Review Date: August 2019

I. Purpose

To set forth policy regarding information and communication technologies.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

- A. The University of Hawai'i is a knowledge-based enterprise that makes extensive and increasing use of information and communication technologies in support of its activities in instruction, research, service, economic development and administration. These information and communication technologies include but are not limited to networks, computing systems and databases, through which a wide range of services are enabled to support the mission of the university.
- B. The university is committed to fostering maximum openness, accessibility and adherence to ethical principles of conduct in its digital environment without compromising the safety and security of its users or the data with which the institution is entrusted. The university is also committed to a cost-effective and sustainable technology environment that provides for institutional compliance with applicable local, state and federal and statutes.
- C. The president shall direct the management and administration of all aspects of the university's information and communication technology environment and services and shall promulgate policies and procedures regarding the use of information and communication technologies throughout the institution. At a minimum, such policies shall outline the responsibilities of users and managers of technology systems and services and shall provide for the protection of sensitive and personal information in the stewardship of the university.

D. The president shall have the authority to take such actions as may be necessary to protect and ensure the availability of information and technology resources, including but not limited to suspending individual access to systems and networks, disconnecting systems from university networks, and taking disciplinary action in accord with applicable policies, procedures and collective bargaining agreements.

IV. Delegation of Authority:

The president shall direct the management and administration of all aspects of the university's information and communication technology environment and services. See RP 11.208(C) and (D).

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Cynthia Quinn
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 11, Miscellaneous
Regents Policy RP 11.208, Information, ~~and~~ Communication, and Cybersecurity
Technologies

Effective Date: Sept. XX, 2018

Prior Dates Amended: Oct. 31, 2014 (recodified)

Review Date: August ~~2019~~2021

I. Purpose:

To set forth policy regarding information, ~~and~~ communication, and cybersecurity technologies.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The University of Hawai'i is a knowledge-based enterprise that makes extensive and increasing use of information and communication technologies in support of its activities in instruction, research, service, economic development and administration. These information and communication technologies include but are not limited to networks, computing systems and databases, through which a wide range of services are enabled to support the mission of the university.

B. Information created, collected, or distributed by the university is a valuable asset and must be protected from unauthorized disclosure, use, modification, or destruction. The degree of protection warranted is based on the nature of the resource and its intended use.

~~B.C.~~ _____ The university is committed to fostering maximum openness, accessibility and adherence to ethical principles of conduct in its digital environment without compromising the safety and security of its users or the data with which the institution is entrusted. The university is also committed to a cost-effective and sustainable technology environment that provides for institutional compliance with applicable local, state and federal and statutes.

~~C.D.~~ _____ The president shall direct the management and administration of all aspects of the university's information, ~~and~~ communication, and cybersecurity technology environment and services and shall promulgate policies and procedures regarding the use of information, ~~and~~ communication, and cybersecurity technologies throughout the institution, including the necessary

security policies, procedures, and practices to minimize the risk to the confidentiality, integrity, and availability of information assets important to the university and its community. At a minimum, such policies shall outline the responsibilities of users and managers of technology systems and services and shall provide for the protection of sensitive and personal information in the stewardship of the university.

~~D.E.~~ The president shall have the authority to take such actions as may be necessary to protect and ensure the security and availability of information and technology resources, including but not limited to suspending individual access to systems and networks, disconnecting systems from university networks, and taking disciplinary action in accord with applicable policies, procedures and collective bargaining agreements.

IV. Delegation of Authority:

The president ~~shall direct the management and administration of all aspects of the university's information and communication technology environment and services. See RP 11.208(C) and (D).~~ may delegate authority as authorized under RP 2.202.

V. Contact Information:

Office of the ~~Board of Regents~~ Vice President for Information Technology/Chief Information Officer, 956-~~8213~~3501, ~~bor~~gyoshimi@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 11, Miscellaneous
Regents Policy RP 11.208, Information, Communication, and Cybersecurity
Technologies

Effective Date: Sept. XX, 2018

Prior Dates Amended: Oct. 31, 2014 (recodified)

Review Date: August 2021

I. Purpose:

To set forth policy regarding information, communication, and cybersecurity technologies.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

- A. The University of Hawai'i is a knowledge-based enterprise that makes extensive and increasing use of information and communication technologies in support of its activities in instruction, research, service, economic development and administration. These information and communication technologies include but are not limited to networks, computing systems and databases, through which a wide range of services are enabled to support the mission of the university.
- B. Information created, collected, or distributed by the university is a valuable asset and must be protected from unauthorized disclosure, use, modification, or destruction. The degree of protection warranted is based on the nature of the resource and its intended use.
- C. The university is committed to fostering maximum openness, accessibility and adherence to ethical principles of conduct in its digital environment without compromising the safety and security of its users or the data with which the institution is entrusted. The university is also committed to a cost-effective and sustainable technology environment that provides for institutional compliance with applicable local, state and federal and statutes.
- D. The president shall direct the management and administration of all aspects of the university's information, communication, and cybersecurity technology environment and services and shall promulgate policies and procedures regarding the use of information, communication, and cybersecurity technologies throughout the institution, including the necessary security policies, procedures, and practices to minimize the risk to the confidentiality, integrity, and availability

of information assets important to the university and its community. At a minimum, such policies shall outline the responsibilities of users and managers of technology systems and services and shall provide for the protection of sensitive and personal information in the stewardship of the university.

- E. The president shall have the authority to take such actions as may be necessary to protect and ensure the security and availability of information and technology resources, including but not limited to suspending individual access to systems and networks, disconnecting systems from university networks, and taking disciplinary action in accord with applicable policies, procedures and collective bargaining agreements.

IV. Delegation of Authority:

The president may delegate authority as authorized under RP 2.202.

V. Contact Information:

Office of the Vice President for Information Technology/Chief Information Officer,
956-3501, gyoshimi@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date

Item VI.A.4.
Repeal of Regents
Policies

a. RP 1.207

b. RP 1.208

c. RP 1.211



Regents Policy Chapter 1, General Provisions
Regents Policy RP 1.207, University Logo
Effective Date: ~~Oct. 18, 2002~~ Sept. XX, 2018
Prior Dates Amended: Feb. 19, 1970; Oct. 31, 2014 (recodified)
Review Date: August 2017

I. Purpose

To set forth policy on the university's logo and establish its proper use.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The university logo or trademark, as approved by the board, is located at the end of this chapter and shall be the logo or trademark of the university. Provisions for the use and licensing of the university logo, or any other logo pertaining to a particular campus, shall be as stipulated in RP 1.206 above.

IV. Delegation of Authority:

The president shall develop and recommend to the board for approval, appropriate guidelines for the legally authorized use and licensing of the university's seal, trademarks, logos, and related symbols.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- ~~http://www.hawaii.edu/offices/bor/~~
- ~~http://www.capitol.hawaii.gov/hrscurrent/Vol01_Ch0001-0042F/HRS0005/HRS_0005-0006.htm~~

VII. Exhibits and Appendices:



Approved as to Form:

Cynthia Quinn _____ Date
Executive Administrator and
Secretary of the Board of Regents

REPEALED



Regents Policy Chapter 1, General Provisions

Regents Policy RP 1.208, Coat of Arms

Effective Date: ~~Oct. 18, 2002~~ [Sept. XX, 2018](#)

Prior Dates Amended: June 15, 1954; Oct. 31, 2014 (recodified)

Review Date: August 2017

I. Purpose

To set forth policy on the coat of arms of the university and proper use.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The coat of arms of the university, as approved by the board, is located at the end of this chapter. Provisions for the use and licensing of the coat of arms shall be as stipulated in RP 1.206 above.

IV. Delegation of Authority:

The president shall develop and recommend to the board for approval, appropriate guidelines for the legally authorized use and licensing of the university's seal, trademarks, logos, and related symbols.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- http://www.capitol.hawaii.gov/hrscurrent/Vol01_Ch0001-0042F/HRS0005/HRS_0005-0006.htm

VII. Exhibits and Appendices:



Approved as to Form:

Cynthia Quinn
Executive Administrator and
Secretary of the Board of Regents

Date

REPEALED



Regents Policy Chapter 1, General Provisions
Regents Policy RP 1.211, Severability
Effective Date: ~~Oct. 28, 2002~~ Sept. XX, 2018
Prior Dates Amended: Oct. 31, 2014 (recodified)
Review Date: August 2017

I. Purpose

~~To set forth policy on severability and exclusive jurisdiction of the board.~~

II. Definitions:

~~No policy specific or unique definitions apply.~~

III. Policy:

~~A. Should any section of the board's policies or portion thereof be in conflict with any other part of these policies, it shall be the exclusive jurisdiction of the board to determine the application of such section(s). In such instances, the section(s) in conflict shall not be invalidated and to this end the provisions of these policies are severable.~~

IV. Delegation of Authority:

~~There is no policy specific delegation of authority.~~

V. Contact Information:

~~Office of the Board of Regents, 956-8213, bor@hawaii.edu~~

VI. References:

- ~~• <http://www.hawaii.edu/offices/bor/>~~

Approved as to Form:

Cynthia Quinn _____ Date
Executive Administrator and
Secretary of the Board of Regents

REPEALED



UNIVERSITY *of* HAWAII®

President's Salary Review

Board of Regents
September 20, 2018



President's Salary – Internal Comparisons

Salaries of University of Hawai'i Presidents

President – Name	Effective Date	Salary
David Lassner (Current)	7/1/2014	\$375,000
MRC Greenwood	8/1/2009	\$475,008
David McClain	3/1/2008	\$414,096
Evan Dobbelle	7/1/2001	\$442,008

Hypothetical: If President had accepted adjustments using methodology applied to Executive/Managerial employees

Year	Salary
2015 (FY16)	\$386,250
2016 (FY17)	\$399,769
2017 (FY18)	\$405,765
2018 (FY19)	\$414,252

President's Salary – External Comparisons

PUBLIC CEO-SYSTEM SALARIES

Year	20 th %ile	Median	80 th %ile
2016-2017	\$425,017	\$525,000	\$624,000
2017-2018	\$599,082	\$705,305	\$809,409

(Source: College & University Professional Association Survey)

No adjustment to \$375,000 salary since July 1, 2014 appointment

Item VI.C.1
Repeal of Regent
Policy

RP 1.204

and

Item VI.C.2.
Amendment to
Regent Policy
RP 6.203



UNIVERSITY OF HAWAII BOARD OF REGENTS

18 SEP 14 12:11 September 20, 2018

RECEIVED

MEMORANDUM

TO: Lee Putnam, Chair Board of Regents

'18 SEP 14 12:09

VIA: David Lassner President

Handwritten signature of David Lassner

UNIVERSITY OF HAWAII PRESIDENT'S OFFICE

FROM: Carrie K. S. Okinaga Gary Y. Takeuchi Office of the Vice President for Legal Affairs and University General Counsel

Handwritten signature of Carrie K. S. Okinaga

SUBJECT: RECOMMEND REPEAL OF RP 1.204, RIGHTS AND RESPONSIBILITIES OF THE UNIVERSITY OF HAWAI'I COMMUNITY, AND AMENDMENT OF ATTACHMENT 1 TO RP 6.203, ENTITLED "BOARD OF REGENTS APPROVED FEES" TO REMOVE REFERENCES TO LIBRARY EXTERNAL SERVICE FEES IN SECTION 5.d

I. SPECIFIC ACTION REQUESTED

The Board of Regents ("BOR") is requested to repeal Board of Regents Policy 1.204, Rights and Responsibilities of the University of Hawai'i Community. The policy consists solely of a reference to Chapter 20-2, Hawai'i Administrative Rules ("HAR"). That chapter was repealed effective August 24, 2018, thus rendering RP 1.204 obsolete and unnecessary. The BOR is also requested to amend Attachment 1 to RP 6.203, to remove references to library external service fees in Section 5.d. of the attachment. The library external service fees were established by HAR Chapter 20-21, "Fees of the University of Hawaii System Libraries," which was also repealed effective August 24, 2018. Therefore, the references to library external service fees in Attachment 1 to RP 6.203 are also obsolete and unnecessary.

II. RECOMMENDED EFFECTIVE DATE

Upon approval by the BOR.

III. PURPOSE

The purpose of the requested action is to conform Board of Regents policies to recent changes to the HAR, specifically the repeal of Chapters 20-2 and 20-21.

IV. BACKGROUND INFORMATION

As part of an ongoing effort by the University of Hawai'i ("University") to govern itself through University policies and procedures where allowed, instead of by administrative rules, various chapters of the HAR pertaining to the University have been repealed when not required by statute, outdated, inconsistent with current statutory mandates, and/or addressed in existing BOR policies and executive policies and procedures. Among these chapters are Chapter 20-2, "Statement on Rights and Responsibilities of the University of Hawaii Community", and Chapter 20-21, "Fees of the University of Hawaii System Libraries," which were repealed on August 24, 2018, following approval by the BOR and the Governor, and filing with the Office of the Lieutenant Governor.

When the BOR was requested at its meeting of June 7, 2018 to approve asking the Governor to give final approval to the repeal HAR Chapters 20-2, 20-21 and six other chapters, the pertinent Board action memo dated May 7, 2018 ("Action Memo") stated that "if the proposed action to request the Governor's final approval of the repeal of all 8 chapters is approved and Chapter 20-2 is repealed, the BOR will be asked to repeal RP 1.204, which consists only of a reference to Chapter 20-2."

As set forth in part III.A. of RP 1.204, the policy statement in its entirety reads as follows: "(See Administrative Rules, Title 20, University of Hawaii, Chapter 2)." Now that HAR Chapter 20-2 has been repealed, RP 1.204 is obsolete and unnecessary, and should be repealed.

The Action Memo also stated that "if the proposed action to request the Governor's final approval of the repeal of all 8 chapters is approved and Chapter 20-21 is repealed, the BOR will be asked to approve an amendment to Attachment 1 of RP 6.203, entitled 'Board of Regents Approved Fees' to remove a reference to library external service fees in Section 5.d of Attachment 1."

Attachment 1, Section 5, to RP 6.203 lists various Ancillary and Auxiliary Service Fees. Item d. of Section 5 identifies "Library cost recovery fees **and library external service fees** including but not limited to: copying, digitizing, microform services, preservation **reformatting, database searches**, archival research, document retrieval and delivery, photographic services, **preservation and pest management, training** and other

library-related services **as requested**" (emphasis added). As explained in prior presentations to the BOR (see memorandum to Jan N. Sullivan, Chair, dated September 14, 2017, at pages 15-16, in meeting materials for BOR meeting of September 21, 2017, available at http://www.hawaii.edu/offices/bor/regular/materials/201709210945/BOR_Meeting_of_09_21_17_Materials_FOR_UPLOAD.pdf, pages 83-84), University libraries no longer offer the library external services, and EP 2.208 and AP 6.100 were repealed with regard to such services. Given these circumstances, and the repeal of HAR Chapter 20-21, the BOR is requested to approve the deletion of the words in bold text in the quoted sentence from Attachment 1, Section 5.d. to RP 6.203, and other edits for clarity, such that Section 5.d. will read as follows:

"Library cost recovery fees including but not limited to: copying, digitizing, microform services, preservation services, archival research, document retrieval and delivery, photographic services, and other library-related services."

V. ACTION RECOMMENDED

It is recommended that the BOR approve repeal of RP 1.204, Rights and Responsibilities of the University of Hawai'i Community, and amendment of Attachment 1 to RP 6.203, entitled "Board of Regents Approved Fees" to remove references to library external service fees in Section 5.d.

APPROVED/DISAPPROVED

LEE PUTNAM
Chair, Board of Regents
University of Hawai'i



Regents Policy Chapter 6, Tuition, Financial Assistance, and Fees

Regents Policy RP 6.203, Fees

Effective Date: June 1, 2017

Prior Dates Amended: Nov. 16, 2006; am: Nov. 21, 2008, ad: Mar. 20, 2009; am: Apr. 16, 2009; Oct. 31, 2014 (recodified)

Review Date: August 2020

I. Purpose

To set forth policy establishing board-approved fees and fee adjustment authority.

II. Definitions

No policy specific or unique definitions apply.

III. Policy

A. The following fees, which impact a student's cost of attendance, are established by the board and in specific instances the fee amounts themselves require approval by the board. Proposals for new fees that impact a student's cost of attendance must be must be approved by the board, and accompanied by operational and financial plans that describe when the proposed fees will begin, when the proposed services will be available, and whether and how fee revenue will be utilized in any interim period to support start up the new services. These include:

1. Professional fees, which may be assessed to students enrolled in select undergraduate professional programs that have high costs in comparison to other instructional programs with the same tuition. Revenues from fees are earmarked to ensure programs have the equipment, supplies, and services available to meet accreditation standards. The students are generally assessed the professional fee once each semester during enrollment in the program. The fee is not necessarily applied during summer or other short terms;

Increases to professional fees are capped at the rate of undergraduate resident tuition increases for that campus during the same academic year the

proposed fee increase will take effect. For example, if the rate of increase for resident undergraduate tuition at a campus is three percent in the next academic year, likewise, professional fee increases can be increased up to no more than three percent in that same academic year;

The president or the president's designee is delegated the authority to approve proposed adjustments to previously established professional fees that fall at or below the cap. Proposed increases above the cap must be approved by the board. Proposals must be submitted for approval at least one semester in advance of the effective date. Professional fees are to be reviewed annually by the campus. The schedule of professional fees is available in Executive Policy E6.201, Tuition Schedules.

2. Mandatory student fees

- a. Mandatory student fees shall be generally assessed of all students, in amounts set in accordance with Board of Regents policies, and as set forth in a fees schedule attached to Executive Policy EP 6.208.
 - b. Student organization fees are mandatory fees used to fund the operations of student governance organizations and other co-curricular student activities, publications, broadcasting, and campus center operations.
 - c. Distance education students who cannot take advantage of services offered by the student organizations, such as those requiring on-campus attendance, may be exempt from the assessment of these fees; and
 - d. The president or the president's designee is delegated the authority to adjust these fees (with the exception of mandatory student fees related to intercollegiate athletics and UPASS), provided that fee increases shall not exceed the increase in the real cost of services provided to the students or 3% per year, whichever is less. The president shall first consult with the respective student government and provide a minimum of a one semester notice to the student body of a fee increase, and a written report to the board outlining the rationale for the increase.
- B. The following fees are established by the board. Once a fee is established by the board in the following categories, the board delegates to the president the authority to make adjustments to the fee rate. These types of fees include:
1. Housing fees, including but not limited to application and cancellation fees. Housing room rates are distinct from "housing fees," and student housing room rates are established pursuant to Regents Policy RP 7.205.

-
2. Course and laboratory fees, which may be assessed to students enrolled in select courses that have unique costs in comparison to standard offerings or which are outside the normal credit course offerings;
 3. Transaction and service fees, which may be assessed to users of selected services as a means to offset all or part of the cost of providing the service or as a result of other directly related costs incurred to the institution;
 4. Ancillary and auxiliary service fees, which are the charges for certain university services used by students, faculty, staff, and the general public. The revenues are used to offset all or part of the operational costs of providing those services, including in some instances the bond indebtedness. Programs shall be responsible for providing public notice of the applicable charges;
 5. Commercial enterprise and other self-supporting operations which are certain programs, goods, and services provided by the university to students and the general community on a self-support basis. These programs are expected to make sufficient revenue to offset their costs and to provide overhead support to overall campus operations. Because the charges associated with these programs, goods, and services are subject to market demand, changing supply costs, competition, and rapid change, they are not subject to prior approval by the board; and
 6. Athletic admission fees and charges to athletic events, which are charges to university athletic events based on the premise that athletic programs are expected to be largely self-sufficient while providing one of the major venues for interaction between the university and its external constituencies.
- C. A list of board-approved fees (including, but not limited to, mandatory student fees, housing fees, course and laboratory fees, transaction and service fees, ancillary and auxiliary service fees, and athletic admission fees and charges to athletic events) may be found as Attachment 1 at the end of this chapter.

IV. Delegation of Authority

Authority to approve proposed increases to previously established professional fees that fall at or below the cap is delegated to the president or president's designee. See RP 6.203(A)(1).

Authority to raise mandatory student fees (with the exception of mandatory student fees related to intercollegiate athletics and UPASS) by up to 3% per year, but no



Regents Policy Chapter 6, Tuition, Financial Assistance, and Fees
Regents Policy RP 6.203, Fees

Effective Date: ~~June 1, 2017~~ [Sept. XX, 2018](#)

Prior Dates Amended: Nov. 16, 2006; am: Nov. 21, 2008, ad: Mar. 20, 2009; am: Apr. 16, 2009; Oct. 31, 2014 (recodified)

Review Date: August 2020

I. Purpose:

To set forth policy establishing board-approved fees and fee adjustment authority.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The following fees, which impact a student's cost of attendance, are established by the board and in specific instances the fee amounts themselves require approval by the board. Proposals for new fees that impact a student's cost of attendance must be must be approved by the board, and accompanied by operational and financial plans that describe when the proposed fees will begin, when the proposed services will be available, and whether and how fee revenue will be utilized in any interim period to support start up the new services. These include:

1. Professional fees, which may be assessed to students enrolled in select undergraduate professional programs that have high costs in comparison to other instructional programs with the same tuition. Revenues from fees are earmarked to ensure programs have the equipment, supplies, and services available to meet accreditation standards. The students are generally assessed the professional fee once each semester during enrollment in the program. The fee is not necessarily applied during summer or other short terms;

Increases to professional fees are capped at the rate of undergraduate resident tuition increases for that campus during the same academic year the proposed fee increase will take effect. For example, if the rate of increase for resident undergraduate tuition at a campus is three percent in the next academic year, likewise, professional fee increases can be increased up to no more than three percent in that same academic year;

The president or the president's designee is delegated the authority to approve proposed adjustments to previously established professional fees

that fall at or below the cap. Proposed increases above the cap must be approved by the board. Proposals must be submitted for approval at least one semester in advance of the effective date. Professional fees are to be reviewed annually by the campus. The schedule of professional fees is available in Executive Policy E6.201, Tuition Schedules.

2. Mandatory student fees

- a. Mandatory student fees shall be generally assessed of all students, in amounts set in accordance with Board of Regents policies, and as set forth in a fees schedule attached to Executive Policy EP 6.208.
 - b. Student organization fees are mandatory fees used to fund the operations of student governance organizations and other co-curricular student activities, publications, broadcasting, and campus center operations.
 - c. Distance education students who cannot take advantage of services offered by the student organizations, such as those requiring on-campus attendance, may be exempt from the assessment of these fees; and
 - d. The president or the president's designee is delegated the authority to adjust these fees (with the exception of mandatory student fees related to intercollegiate athletics and UPASS), provided that fee increases shall not exceed the increase in the real cost of services provided to the students or 3% per year, whichever is less. The president shall first consult with the respective student government and provide a minimum of a one semester notice to the student body of a fee increase, and a written report to the board outlining the rationale for the increase.
- B. The following fees are established by the board. Once a fee is established by the board in the following categories, the board delegates to the president the authority to make adjustments to the fee rate. These types of fees include:
1. Housing fees, including but not limited to application and cancellation fees. Housing room rates are distinct from "housing fees," and student housing room rates are established pursuant to Regents Policy RP 7.205.
 2. Course and laboratory fees, which may be assessed to students enrolled in select courses that have unique costs in comparison to standard offerings or which are outside the normal credit course offerings;
 3. Transaction and service fees, which may be assessed to users of selected services as a means to offset all or part of the cost of providing the service or as a result of other directly related costs incurred to the institution;
 4. Ancillary and auxiliary service fees, which are the charges for certain university services used by students, faculty, staff, and the general public.

The revenues are used to offset all or part of the operational costs of providing those services, including in some instances the bond indebtedness. Programs shall be responsible for providing public notice of the applicable charges;

5. Commercial enterprise and other self-supporting operations which are certain programs, goods, and services provided by the university to students and the general community on a self-support basis. These programs are expected to make sufficient revenue to offset their costs and to provide overhead support to overall campus operations. Because the charges associated with these programs, goods, and services are subject to market demand, changing supply costs, competition, and rapid change, they are not subject to prior approval by the board; and
 6. Athletic admission fees and charges to athletic events, which are charges to university athletic events based on the premise that athletic programs are expected to be largely self-sufficient while providing one of the major venues for interaction between the university and its external constituencies.
- C. A list of board-approved fees (including, but not limited to, mandatory student fees, housing fees, course and laboratory fees, transaction and service fees, ancillary and auxiliary service fees, and athletic admission fees and charges to athletic events) may be found as Attachment 1 at the end of this chapter.

IV. Delegation of Authority:

Authority to approve proposed increases to previously established professional fees that fall at or below the cap is delegated to the president or president's designee. See RP 6.203(A)(1).

Authority to raise mandatory student fees (with the exception of mandatory student fees related to intercollegiate athletics and UPASS) by up to 3% per year, but no more than the increase in the real cost of services provided to the students is delegated to the president or president's designee. See RP 6.203(A)(2)(d).

Authority to adjust fee rate delegated to the president. See RP 6.203(B).

V. Contact Information:

Office of the Vice President for Academic ~~Affairs, 956-7075,~~
~~risad@hawaii.edu~~ [Planning & Policy, 956-6897, ovpaa@hawaii.edu](#)

VI. References:

- A. <http://www.hawaii.edu/offices/bor/>
- B. RP 7.205
- C. EP 6.202

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 6, Tuition, Financial Assistance, and Fees

Regents Policy RP 6.203, Fees

Effective Date: Sept. XX, 2018

Prior Dates Amended: Nov. 16, 2006; am: Nov. 21, 2008, ad: Mar. 20, 2009; am: Apr. 16, 2009; Oct. 31, 2014 (recodified)

Review Date: August 2020

I. Purpose:

To set forth policy establishing board-approved fees and fee adjustment authority.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. The following fees, which impact a student's cost of attendance, are established by the board and in specific instances the fee amounts themselves require approval by the board. Proposals for new fees that impact a student's cost of attendance must be must be approved by the board, and accompanied by operational and financial plans that describe when the proposed fees will begin, when the proposed services will be available, and whether and how fee revenue will be utilized in any interim period to support start up the new services. These include:

1. Professional fees, which may be assessed to students enrolled in select undergraduate professional programs that have high costs in comparison to other instructional programs with the same tuition. Revenues from fees are earmarked to ensure programs have the equipment, supplies, and services available to meet accreditation standards. The students are generally assessed the professional fee once each semester during enrollment in the program. The fee is not necessarily applied during summer or other short terms;

Increases to professional fees are capped at the rate of undergraduate resident tuition increases for that campus during the same academic year the proposed fee increase will take effect. For example, if the rate of increase for resident undergraduate tuition at a campus is three percent in the next academic year, likewise, professional fee increases can be increased up to no more than three percent in that same academic year;

The president or the president's designee is delegated the authority to approve proposed adjustments to previously established professional fees

that fall at or below the cap. Proposed increases above the cap must be approved by the board. Proposals must be submitted for approval at least one semester in advance of the effective date. Professional fees are to be reviewed annually by the campus. The schedule of professional fees is available in Executive Policy E6.201, Tuition Schedules.

2. Mandatory student fees

- a. Mandatory student fees shall be generally assessed of all students, in amounts set in accordance with Board of Regents policies, and as set forth in a fees schedule attached to Executive Policy EP 6.208.
 - b. Student organization fees are mandatory fees used to fund the operations of student governance organizations and other co-curricular student activities, publications, broadcasting, and campus center operations.
 - c. Distance education students who cannot take advantage of services offered by the student organizations, such as those requiring on-campus attendance, may be exempt from the assessment of these fees; and
 - d. The president or the president's designee is delegated the authority to adjust these fees (with the exception of mandatory student fees related to intercollegiate athletics and UPASS), provided that fee increases shall not exceed the increase in the real cost of services provided to the students or 3% per year, whichever is less. The president shall first consult with the respective student government and provide a minimum of a one semester notice to the student body of a fee increase, and a written report to the board outlining the rationale for the increase.
- B. The following fees are established by the board. Once a fee is established by the board in the following categories, the board delegates to the president the authority to make adjustments to the fee rate. These types of fees include:
1. Housing fees, including but not limited to application and cancellation fees. Housing room rates are distinct from "housing fees," and student housing room rates are established pursuant to Regents Policy RP 7.205.
 2. Course and laboratory fees, which may be assessed to students enrolled in select courses that have unique costs in comparison to standard offerings or which are outside the normal credit course offerings;
 3. Transaction and service fees, which may be assessed to users of selected services as a means to offset all or part of the cost of providing the service or as a result of other directly related costs incurred to the institution;
 4. Ancillary and auxiliary service fees, which are the charges for certain university services used by students, faculty, staff, and the general public.

The revenues are used to offset all or part of the operational costs of providing those services, including in some instances the bond indebtedness. Programs shall be responsible for providing public notice of the applicable charges;

5. Commercial enterprise and other self-supporting operations which are certain programs, goods, and services provided by the university to students and the general community on a self-support basis. These programs are expected to make sufficient revenue to offset their costs and to provide overhead support to overall campus operations. Because the charges associated with these programs, goods, and services are subject to market demand, changing supply costs, competition, and rapid change, they are not subject to prior approval by the board; and
6. Athletic admission fees and charges to athletic events, which are charges to university athletic events based on the premise that athletic programs are expected to be largely self-sufficient while providing one of the major venues for interaction between the university and its external constituencies.

C. A list of board-approved fees (including, but not limited to, mandatory student fees, housing fees, course and laboratory fees, transaction and service fees, ancillary and auxiliary service fees, and athletic admission fees and charges to athletic events) may be found as Attachment 1 at the end of this chapter.

IV. Delegation of Authority:

Authority to approve proposed increases to previously established professional fees that fall at or below the cap is delegated to the president or president's designee. See RP 6.203(A)(1).

Authority to raise mandatory student fees (with the exception of mandatory student fees related to intercollegiate athletics and UPASS) by up to 3% per year, but no more than the increase in the real cost of services provided to the students is delegated to the president or president's designee. See RP 6.203(A)(2)(d).

Authority to adjust fee rate delegated to the president. See RP 6.203(B).

V. Contact Information:

Office of the Vice President for Academic Planning & Policy, 956-6897,
ovpaa@hawaii.edu

VI. References:

- A. <http://www.hawaii.edu/offices/bor/>
- B. RP 7.205
- C. EP 6.202

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date

Board of Regents Policy, RP 6.203, Attachment 1
Board of Regents Approved Fees

1. Mandatory Student Fees

- a. Student activity and program fees;
- b. Student publication fees;
- c. Campus center/student union fees;
- d. Student government fees;
- e. Campus communication fees;
- f. Student health fees in cases where the health fee is mandatory for all students;
- g. Student technology fees;
- h. U-PASS/Transportation fees;
- i. Student athletic fees.

2. Housing Fees

- a. Student housing at UH-Mānoa, UH-Hilo, and Maui College; and
- b. Faculty housing.

3. Course and Laboratory Fees

- a. Credit by examination
- b. Laboratory fees designed to recover costs of supplies and materials consumed including fees for televised courses to recover the costs associated with the use of copyrighted materials that carry a per-student license charge; and
- c. Applied music fees.

4. Transaction and Service Fees

- a. Application fee;

- b. Late registration fee;
- c. Change in registration fee;
- d. Thesis fee;
- e. Diploma fee;
- f. Transcript and associated rush fees;
- g. Returned check fees;
- h. Collection agency fee;
- i. ID Card fees;
- j. Test proctoring and examination fees;
- k. New student orientation fee; and
- l. Health insurance fees where health insurance is not mandatory.

5. Ancillary and Auxiliary Service Fees

- a. Parking and parking fines;
- b. Transportation services;
- c. Library fines and non-return costs;
- d. Library cost recovery fees and library external service fees including but not limited to: copying, digitizing, microform services, preservation reformatting, database searches, archival research, document retrieval and delivery, photographic services, preservation and pest management, training and other library-related services as requested.
- e. Other copying and printing charges;
- f. Charges associated with use of University facilities;
- g. Locker fees;
- h. Dental hygiene clinic fee (UH Mānoa);

- i. Individual health services visits where a mandatory health fee is not charged;
- j. Child care;
- k. Laboratory animal services;
- l. Telecommunications charges.

6. Commercial Enterprise and Other Self-Supporting Operations.

- a. All commercial enterprise activities, goods and services including charges to the public for services provided or produced by students in the course of their studies;
- b. UH Bookstore charges;
- c. Food service operations conducted by the University;
- d. Non-credit offerings made available to the general public and/or to companies and organizations through contract;
- e. Conference and symposium activities;
- f. Special events such as lectures, performances, video presentations, and similar events;
- g. Information technology services including videoconferencing and software licenses;
- h. Agricultural diagnostic service fees, and
- i. Waikīkī Aquarium admission and user fees.

7. Athletic Admission Fees and Charges to Athletic Events

- a. Football
- b. Women's Volleyball
- c. Men's Basketball
- d. Wahine Basketball

- e. Men's Volleyball
- f. Baseball
- g. Wahine Softball
- h. Wahine Soccer
- i. Water Polo

Regents Policy Chapter 6, Tuition, Financial Assistance, and Fees
Regents Policy RP 6.203, Attachment 1, Board of Regents Approved Fees

Effective Date: ~~October 16, 2014~~ [Sept. XX, 2018](#)

Prior Dates Amended: Nov. 16, 2006; am: Nov. 21, 2008, ad: Mar. 20, 2009; am: Apr. 16, 2009; Oct. 26, 2011; Jan. 19, 2012; Oct. 31, 2014 (recodified), June 1, 2017 (non substantive corrections)

Review Date: August 2018

I. Purpose:

To provide Attachment 1 to RP 6.203 that sets forth policy establishing board-approved fees and adjustment authority.

II. Definitions:

None.

III. Policy:

See RP 6.203.

IV. Delegation of Authority:

See RP 6.203.

V. Contact Information:

Office of the Vice President for Academic ~~Affairs, 956-7075, risad@hawaii.edu~~ [Planning & Policy, 956-6897, \[ovpaa@hawaii.edu\]\(mailto:ovpaa@hawaii.edu\)](#)

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- RP 7.205
- EP 6.202

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date

Board of Regents Policy, RP 6.203, Attachment 1
Board of Regents Approved Fees

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- e. Campus communication fees;
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- g. Student technology fees;
- h. U-PASS/Transportation fees; [and](#)
- i. Student athletic fees.

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- a. Student housing at UH-Mānoa, UH-Hilo, and Maui College; and
- b. Faculty housing.

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 - h. Collection agency fee;
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 - j. Test proctoring and examination fees;
 - k. New student orientation fee; and
 - l. Health insurance fees where health insurance is not mandatory.

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- d. Library cost recovery fees ~~and library external service fees~~ including but not limited to: copying, digitizing, microform services, preservation ~~reformatting,~~ ~~database searches~~ services, archival research, document retrieval and delivery, photographic services, ~~preservation and pest management, training~~ and other library-related services ~~as requested~~.
- e. Other copying and printing charges;
- f. Charges associated with use of University facilities;
- g. Locker fees;
- h. Dental hygiene clinic fee (UH Mānoa);
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- h. Wahine Soccer
- i. Water Polo

Regents Policy Chapter 6, Tuition, Financial Assistance, and Fees
Regents Policy RP 6.203, Attachment 1, Board of Regents Approved Fees
Effective Date: Sept. XX, 2018

Prior Dates Amended: Nov. 16, 2006; am: Nov. 21, 2008, ad: Mar. 20, 2009; am: Apr. 16, 2009; Oct. 26, 2011; Jan. 19, 2012; Oct. 31, 2014 (recodified), June 1, 2017 (non substantive corrections)

Review Date: August 2018

I. Purpose:

To provide Attachment 1 to RP 6.203 that sets forth policy establishing board-approved fees and adjustment authority.

II. Definitions:

None.

III. Policy:

See RP 6.203.

IV. Delegation of Authority:

See RP 6.203.

V. Contact Information:

Office of the Vice President for Academic Planning & Policy, 956-6897,
ovpaa@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- RP 7.205
- EP 6.202

Approved as to Form:

Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date

Board of Regents Policy, RP 6.203, Attachment 1
Board of Regents Approved Fees

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- f. Student health fees in cases where the health fee is mandatory for all students;
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- h. U-PASS/Transportation fees; and
- i. Student athletic fees.

2. Housing Fees

- a. Student housing at UH-Mānoa, UH-Hilo, and Maui College; and
- b. Faculty housing.

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- a. Credit by examination;
- b. Laboratory fees designed to recover costs of supplies and materials consumed including fees for televised courses to recover the costs associated with the use of copyrighted materials that carry a per-student license charge; and
- c. Applied music fees.

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- d. Thesis fee;
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- f. Transcript and associated rush fees;
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5. Ancillary and Auxiliary Service Fees

- a. Parking and parking fines;
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- d. Library cost recovery fees including but not limited to: copying, digitizing, microform services, preservation services, archival research, document retrieval and delivery, photographic services, and other library-related services.
- e. Other copying and printing charges;
- f. Charges associated with use of University facilities;
- g. Locker fees;
- h. Dental hygiene clinic fee (UH Mānoa);
- i. Individual health services visits where a mandatory health fee is not charged;
- j. Child care;
- k. Laboratory animal services; and
- l. Telecommunications charges.

6. Commercial Enterprise and Other Self-Supporting Operations.

- a. All commercial enterprise activities, goods and services including charges to the public for services provided or produced by students in the course of their studies;
- b. UH Bookstore charges;
- c. Food service operations conducted by the University;

- d. Non-credit offerings made available to the general public and/or to companies and organizations through contract;
- e. Conference and symposium activities;
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- d. Wahine Basketball
- e. Men's Volleyball
- f. Baseball
- g. Wahine Softball
- h. Wahine Soccer
- i. Water Polo



Regents Policy Chapter 1, General Provisions

Regents Policy RP 1.204, Rights and Responsibilities of the University of Hawai'i
Community

Effective Date: [Sept. XX, 2018](#) See Administrative Rules, Title 20, University of Hawai'i,
Chapter 2

Prior Dates Amended: Oct. 31, 2014 (recodified)

Review Date: August 2017

I. Purpose:

To set forth policy regarding the rights and responsibilities of the University of
Hawai'i community.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. (See Administrative Rules, Title 20, University of Hawai'i, Chapter 2)

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Board of Regents, 956-8213, bor@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Cynthia Quinn _____ Date
Executive Administrator and
Secretary of the Board of Regents

REPORT OF THE PUBLIC-PRIVATE PARTNERSHIP (P3) PERMITTED ACTION GROUP

The purpose of this final report is to share the resulting findings and recommendations of the Public-Private Partnership (P3) Task Group (Task Group) with the full board. Deliberation and decision making regarding the final report and dissolution of the Task Group will take place during a subsequent meeting, pursuant to the statute on permitted interactions under the Sunshine Law, Section 92-2.5(b), Hawaii Revised Statutes.

I. Background

- A. The Task Group was formed by the Board of Regents (Board) on October 19, 2017; link to minutes here:
<https://www.hawaii.edu/offices/bor/regular/minute/201710191030.regular.pdf>
1. The Task included the following Regents:
 - a) Board Chair Jan Sullivan
 - b) Board Vice Chair Ben Kudo
 - c) Board Vice Chair Randy Moore
 - d) Budget & Finance Committee Chair Wayne Higaki
 - e) Planning & Facilities Committee Chair Stanford Yuen
 2. UH President David Lassner, Vice President (VP) for Budget and Finance and Chief Financial Officer Kalbert Young, VP for Administration Jan Gouveia, and VP for Legal Affairs and University General Counsel Carrie Okinaga participated in several of the task group meetings.
- B. The purpose of the Task Group was to make recommendations regarding organizational structure for executing P3s; evaluate and recommend P3 projects; and establish priorities, goals, and performance criteria for P3s. The impetus for this Task Group was the recognition that insufficient capital funds are available from the Legislature and private donations to keep up with maintenance and new construction of University facilities.
- C. The Task Group and members of Administration reviewed resource materials regarding P3 efforts made and/or projects built and operated by other institutions and government entities, criteria to be examined and analyses to be completed prior to determining whether or not P3

opportunities should be pursued, and legal and business frameworks for P3s in other states. The Task Group met at least four times beginning October 2017 and ending May 2018. In the meantime, VP Young briefed the Board on administration's P3 activities during the Board's retreat on October 31, 2017. The Task Group discussed various issues, as enumerated in the findings and recommendations below.

II. Findings and Recommendations

- A. Administration has identified multiple projects that could potentially be viable P3s. Specific projects will still need to be evaluated for P3 viability (P3 as the appropriate delivery method). Also, note that many projects may not be true P3s, but would include some element of partnership and leveraging of risk and resources, and thus have been loosely referred to as P3 opportunities.
- B. The University has limited bandwidth and staffing to create a P3 infrastructure. There has been a legislative appropriation of \$90,000 and one position to establish an Office of Strategic Development and Partnerships in FY19. Administration is in the process of developing a position description and determining how best to utilize the position.
- C. As such, any comprehensive P3 program across the System would be heavily consultant-dependent, costly, and require a longer planning horizon, without any guarantees of sufficient funding support from the Legislature or the private sector for any projects at this time.
- D. As a result, Administration believes the initial focus should be on a limited number of projects, and has identified two potential P3 projects on or adjacent to the UH Manoa campus: the NOAA building and Atherton YMCA property. Administration is working with consultants on both of the above projects and working on project plans. While it is too early to identify these as true P3 projects, the intent is to pursue a private partnership to assist with design, construction, financing, operations, and/or maintenance of the properties.
- E. The Task Group and Administration held discussions on whether there is a need for a P3 policy or whether changes should be made to existing policies. At this time, no policy changes or statutory changes were identified as necessary or ripe.
- F. Although progress has been made with regard to P3, it does not appear that there is a need for the Task Group to continue at this time. The

University does not appear to have the necessary bandwidth and resources to create a comprehensive programmatic structure for executing P3s across the System and establishing goals and performance criteria for P3s.

G. The Task Group recommends:

- The dissolution of the P3 Task Group.
- Administration should continue its efforts with specific projects and work towards acquiring the necessary resources to create the organizational structure to maximize P3 opportunities.

III. Conclusion

The Task Group members agree that the necessary resources and capacity are currently insufficient to maximize the University's P3 potential, but recognizes the Administration's ongoing efforts in using its current (limited) bandwidth to making progress with projects such as the NOAA building and the Atherton YMCA property. As such, the Task Group recommends dissolution of the Task Group at this time.



UNIVERSITY
of HAWAII
HILO

18 SEP 14 A11:41

September 12, 2018

RECEIVED

MEMORANDUM

TO: Lee Putnam
Chairperson, Board of Regents

'18 SEP 14 A11:15

VIA: David Lassner
President, University of Hawai'i

David Lassner

UNIVERSITY OF HAWAII
PRESIDENT'S OFFICE

FROM: Marcia Sakai
Interim Chancellor, University of Hawai'i UH at Hilo

Marcia Sakai

SUBJECT: Appointment of Roberta Chu, Julie Leialoha, Kepā Maly, Alapaki Nahale-a, Douglas Simons, and Barry Taniguchi to the Mauna Kea Management Board

SPECIFIC ACTION REQUESTED:

On behalf of the Office of Mauna Kea Management, it is respectfully requested that the Board of Regents ("BOR") for the University of Hawai'i approve the appointment of Roberta Chu, Julie Leialoha, Kepā Maly, Alapaki Nahale-a, Douglas Simons, and Barry Taniguchi to the Mauna Kea Management Board, effective July 1, 2018.

ADDITIONAL COST:

There are no additional costs associated with this request.

RECOMMENDED EFFECTIVE DATE:

Upon BOR approval.

PURPOSE:

To fulfill the mandate of the 2000 Mauna Kea Science Reserve Master Plan.

BACKGROUND:

The Mauna Kea Science Reserve Master Plan ("Master Plan"), approved by the BOR in June 2000, established the community-based Mauna Kea Management Board ("MKMB"). The Master Plan states:

"Community involvement in the management of the mountain begins with the membership of the Mauna Kea Management Board. The Board's primary role is to advise the Office of the Chancellor at the University of Hawai'i at Hilo (UH Hilo) on management of the Mauna Kea Science Reserve ... and shall be the main community voice for activities and development planned for the Science Reserve."

recommendations for MKMB membership to the BOR for its consideration and approval. Two BOR members also serve on the MKMB in a non-voting, ex-officio capacity.

The MKMB was initially formed in October 2000. The initial terms for the seven voting members were staggered to end as follows:

- One member with 1-year term (ending 6/30/01)
- Two members with 2-year terms (ending 6/30/02)
- Two members with 3-year terms (ending 6/30/03)
- Two members with 4-year terms (ending 6/30/04)

Thereafter, appointments were to be for 4-year terms (July to June). Under the current Bylaws of the MKMB, Section 3.1, no member may serve more than 12 consecutive years (except as holdovers). Members whose terms have expired, including those who have served 12 consecutive years, may serve as holdovers until a successor is appointed.

At this time, due to term expirations, resignations, and holdover status, there are six MKMB positions needing to be filled to restore the membership to staggered terms, as originally intended. The following appointments are proposed for BOR approval, for the terms stated. As their terms expire, the new MKMB members will be eligible for reappointment to a four-year term, should they wish to continue serving.

•Barry Taniguchi is recommended for appointment to the MKMB, for a term ending June 30, 2019.

•Roberta Chu and Kepā Maly are recommended for appointment to the MKMB, for terms ending June 30, 2020.

•Alapaki Nahale-a is recommended for appointment to the MKMB, for a term ending June 30, 2021.

•Douglas Simons and Julie Leialoha are recommended for appointment to the MKMB, for terms ending June 30, 2022. Dr. Simons is currently a holdover member of the MKMB, and eligible to serve one more term.

MKMB Chair Gregory Chun is currently serving a term ending June 30, 2021.

NOMINEES

ROBERTA CHU is a fifth generation Hilo resident, graduate of Hilo High School and then Mount Holyoke College in Massachusetts. She currently serves as Senior Vice President of the Hawai'i Island Commercial Banking Center and Hawai'i Island Manager for the Bank of Hawaii. She has over 25 years of commercial banking experience managing loan portfolios and client relationships in Los Angeles and on Hawai'i Island. Ms. Chu is a member of the Hawai'i Community Foundation Board of Governors, advisory board member for the HCF East Hawaii Fund and Pauahi Foundation's THINK Fund, board chair of The Kohala Center, president of Lei Ho'olaha (a certified Community Development Financial Institution), twice past chair of Hawai'i Island Economic Development Board and board member of Community First. She is also a member of the Hawaii Leeward Planning Conference.

JULIE LEIALOHA has worked in the natural resources management field in Hawai'i since 1985. Upon completing her Bachelor of Science degree in environmental science from Evergreen State College in Olympia, Washington, Ms. Leialoha was recruited by NOAA's Endangered Marine Mammals Program in Honolulu and worked on recovering the critically endangered Hawaiian monk seal, traveling to Laysan Atoll and French Frigate Shoals in the Northwestern Hawaiian Islands. She gained extensive experience in forest ecosystem and invasive species management while working for Hawai'i Volcanoes National Park, the Department of Land and Natural Resources Division of Forestry and Wildlife Natural Area Reserve System, and the Wao Kele o Puna Forest Reserve Partnership with the Office of Hawaiian Affairs. She served as manager for the Big Island Invasive Species Committee and served on the Hawai'i Cooperative Studies Unit in partnership with the USGS-Pacific Islands Ecological Research Center working on the Palila management program. She currently serves as program/project coordinator for the Hawai'i Cooperative Studies Unit. She has served on multiple boards, including the Conservation Council for Hawai'i, Papahānaumokuākea Research Advisory Council, and Western Pacific Fisheries Management Council Native Hawaiian advisory group.

KEPĀ MALY is a resident of Hilo and a highly sought after and respected expert on historical and ethnographic studies, producing studies for Mauna Kea, East Maui Waters and Land Tenure, Fisheries of the Hawaiian Archipelago, Island of Lana'i, and Phases I-IV of the Honolulu Rail Transit project. He is a principal of Kumu Pono Associates, LLC (www.kumupono.com) and has served on many cultural heritage and natural resource management boards across the state. Mr. Maly served as Senior Vice President of Culture and Historic Preservation of Lana'i Resorts LLC and served as its Vice President of Culture and Historic Preservation. Mr. Maly was responsible for supervising all Pūlama Lāna'i activities related to archaeological-cultural surveys, preservation planning of cultural resources, as well as sharing the history of Lana'i with staff members, residents and visitors to Lana'i. Prior to joining Pūlama Lāna'i, he was Director of the Lāna'i Culture & Heritage Center. In 1999, Mr. Maly received the American Planning Association (Hawai'i Chapter) Environmental/Preservation Award and, in 2010, he and his wife Onaona were recognized by the Historic Hawai'i Foundation for their work on Lana'i. In 2016 he was recognized by the Hawai'i Community Foundation with the prestigious "Ho'okele Award" for excellence in non-profit leadership.

ALAPAKI NAHALE-A was born and raised in Hilo. He is a graduate of Kamehameha Schools (KS) and holds a bachelor's degree in political science from the University of Pennsylvania. Mr. Nahale-a is currently Kamehameha Schools' Senior Director of Community Engagement and Resources for Hawai'i Island and previously served as a senior director of regional strategies for KS on Hawai'i island. Most recently, he served as director of the Laupahoehoe Community Public Charter School and also served on the Presidential Scholars Commission, appointed by President Obama. Prior to joining KS, Mr. Nahale-a spent over 20 years serving the community in a variety of roles. He was the director and chairman of the Department of Hawaiian Home Lands (DHHL), East Hawai'i DHHL commissioner, director of Kā 'Umeke Kā'eo Immersion Public Charter School, chairperson of the County of Hawai'i Cultural Resources Commission, board member of Native Hawaiian Legal Corporation, and executive director of the Hawai'i Charter Schools Network.

DOUGLAS SIMONS is Executive Director of the Canada-France-Hawai'i Telescope (CFHT) on Maunakea. After receiving his Ph.D in astronomy from the Institute for Astronomy at UH Mānoa, he began working as a staff astronomer for CFHT. He later joined the Gemini 8-meter telescope project as a systems scientist and eventually was named manager of Gemini's instrument development program. In 2006, he was appointed Director of Gemini, and in 2012, he returned to CFHT as its Executive Director. Hilo has been Dr. Simon's home since 1997 where he and his wife raised three children. He has devoted countless hours of volunteer service to the Waiakea Elementary and High schools and serves as guest lecturer in the classroom on astronomy and other science fields. He volunteers on the Office of Maunakea Management's invasive weed pulls at Halepohaku. Dr. Simons is the creator of the Maunakea Scholars program which provides Hawai'i high school students with opportunities to develop research projects and be provided time on Maunakea's powerful telescopes. Dr. Simons was appointed to MKMB in 2014, and is eligible for a second term on the board.

BARRY TANIGUCHI is a well-respected business leader and a tireless volunteer for many community and charitable organizations, and boards. He was appointed President of KTA Super Stores in 1989 and became Chairman and Chief Executive Officer in 2014. Mr. Taniguchi served as Chairman of Hawaii Employers Mutual Insurance Company, the Chair of The Food Basket (Hawai'i Island's Foodbank) and Vice Chair of the Hawaii Health System Corporation Corporate Board. He has been an Independent Director at Hawaiian Electric Industries, Inc., and a Director at American Savings Bank, F.S.B. He served on the Hawaii Employers Council, and has been a Trustee of the Hawai'i Community Foundation, the Tax Foundation of Hawaii, and the Queen's Health Systems. He is currently Chair of Community First, and serves on the Hawai'i Island Economic Development Board, and the boards of the Public Schools of Hawaii Foundation, Lyman House Memorial Museum, Pacific Tsunami Museum, and Valley Isle Produce, among others. Mr. Taniguchi previously served on the MKMB from 2000 to 2012, and was chair of the board from 2007 to 2012.

ACTION RECOMMENDED:

It is recommended that the Board of Regents approve the appointments of Roberta Chu, Julie Leialoha, Kepā Maly, Alapaki Nahale-a, Douglas Simons, and Barry Taniguchi to the Mauna Kea Management Board, effective July 1, 2018, for terms expiring at various intervals, as set forth above.

C: Executive Administrator and Secretary to the Board

Item VII.A.1.,
VII.A.2., VII.A.3.

Executive Session

Personnel

**ITEMS TO BE
DISCUSSED IN
EXECUTIVE
SESSION**

Item VIII.A.
Item for Discussion
Evaluation of the
President

NO MATERIALS
ORAL REPORT