

AMENDED

**Notice of Meeting
UNIVERSITY OF HAWAI'I
BOARD OF REGENTS**

Board business not completed on this day will be taken up on another day and time announced at the conclusion of the meeting.

Date: Thursday, September 16, 2021

Time: 8:30 a.m.

Place: Virtual Meeting

In light of the evolving COVID-19 situation, protecting the health and welfare of the community is of utmost concern. As such, this will be a virtual meeting and written testimony and oral testimony will be accepted in lieu of in-person testimony. Meetings may be monitored remotely via the livestream pilot project. See the Board of Regents website for information on accessing the livestream: www.hawaii.edu/bor. Mahalo for your consideration.

AGENDA

- I. Call Meeting to Order**
- II. Approval of the Minutes of the August 19, 2021 Meeting**
- III. Public Comment Period for Agenda Items:**

All written testimony on agenda items received after posting of this agenda and up to 24 hours in advance of the meeting will be distributed to the board. Late testimony on agenda items will be distributed to the board within 24 hours of receipt. Written testimony may be submitted via the board's website through the testimony link provided on the [Meeting Agendas, Minutes and Materials](#) page. Testimony may also be submitted via email at bor.testimony@hawaii.edu, U.S. mail, or facsimile at (808) 956-5156. All written testimony submitted are public documents. Therefore, any testimony that is submitted for use in the public meeting process is public information and will be posted on the board's website.

Those wishing to provide oral testimony for the virtual meeting may register [here](#). Given constraints with the online format of our meetings, individuals wishing to orally testify must register no later than 7:00 a.m. on the day of the meeting in order to be accommodated. It is highly recommended that written testimony be submitted in addition to registering to provide oral testimony. Oral testimony will be limited to three (3) minutes per testifier.

- IV. Report of the President**
 - A. COVID-19 Update**
 - B. Enrollment Update**
 - C. Update on Clarence T.C. Ching Field Improvements**
 - D. Maunakea Stewardship Update**

For disability accommodations, contact the Board Office at (808) 956-8213 or bor@hawaii.edu.
Advance notice requested five (5) days in advance of the meeting.

- E. Update on Legislative Informational Briefings Related to Procurement, Projects, and the Research Corporation of the University of Hawai'i
 - F. Other
 - G. Leeward Community College Campus Presentation
 - V. Committee Reports
 - A. Report from the Committee on Budget and Finance
 - B. Report from the Committee on Planning and Facilities
 - C. Affiliate Reports
 - VI. Agenda Items
 - A. Consent Agenda
 - 1. Approval of Fiscal Year (FY) 2021-2022 Operating Budget
 - University Operating Budget for FY 2021-2022 Presentation
[Link to FY 2021-2022 Operating Budget Presentation](#)
 - FY 2021-2022 Operating Budget Narrative
[Link to FY 2021-2022 Operating Budget Narrative](#)
 - Appendix: University of Hawai'i System FY 2021-2022 Operating Budget Proposal Budget Worksheets
[Link to FY 2021-2022 Operating Budget Proposal Worksheets](#)
 - 2. Approval of the Operating Budget for the Office of the Board of Regents and Office of Internal Audit for FY 2021-2022
[Link to FY 2021-2022 BOR and Internal Audit Operating Budget](#)
 - 3. Approval of the ~~FY-Fiscal Biennium~~ 2021-~~2022-2023~~ Capital Improvement Project Expenditure Plan *(Title change only; materials unchanged)*
 - B. Approval of Appointment of Andy Adamson to the Mauna Kea Management Board *(Deferred from August 19, 2021 Meeting)*
 - C. Atherton Student Housing and Innovation Center (Center) Project at Tax Map Key No. (1) 2-8-016-001:
 - 1. Status Update and Organizational Structure
 - 2. Sublease between the University of Hawai'i and a Nonprofit Entity to be identified to allow the University to sublease space in the Center
 - 3. Student Housing Affiliation Agreement between the University of Hawai'i and a Nonprofit Entity to be identified to support the Center
- (For information and discussion only. No action will occur at this meeting.)***

VII. Executive Session (closed to the public):

- A. Legal and Real Estate Matters: *(To deliberate concerning the authority of persons designated by the board to conduct labor negotiations or to negotiate the acquisition of public property, or during the conduct of such negotiations, and to consult with the board's attorneys on questions and issues pertaining to the board's powers, duties, privileges, immunities, and liabilities, pursuant to Sections 92-5(a)(3) and 92-5(a)(4), Hawai'i Revised Statutes)*
1. Atherton Student Housing and Innovation Center Project at Tax Map Key No. (1) 2-8-016-001

VIII. Agenda Items (continued)

- A. Report of the Tenure Permitted Interaction Group *(For Information Only – No Board deliberation or action will occur at this meeting, pursuant to Section 92-2.5(b), Hawai'i Revised Statutes. Deliberation and decision making will occur at the next Board meeting.)*

IX. Announcements

- A. Next Meeting: October 21, 2021, at a location to be determined

X. Adjournment

ATTACHMENT

Attachment A – Personnel actions posted for information only

Attachment A: Pursuant to §89C-4, Hawai'i Revised Statutes, the following proposed compensation actions for excluded Executive/Managerial are disclosed for purposes of public comment.

Executive/Managerial

Campus	Last Name	First Name & Middle Initial	Proposed Title	Unit	Nature of Action	Monthly Salary	Effective Date
UH Mānoa	Quemuel	Christine	Interim Assistant Vice Provost for Student Success	Vice Provost for Student Success	Salary Adjustment	\$10,834	September 17, 2021 - July 31, 2022
UH Mānoa	Shintaku	Michael	Interim County Administrator (Hawai'i County)	College of Tropical Agriculture & Human Resources	Appointment	\$11,250	October 1, 2021 - September 30, 2022
Community College System	Oliveira	Judy	Academic & Student Affairs Program Officer	Office of the Vice President for Community Colleges	Appointment	\$10,834	October 1, 2021
Windward CC	Brown	Jennifer	Interim Vice Chancellor	Student Affairs	Appointment	\$9,168	October 1, 2021 - September 30, 2022

UNIVERSITY OF HAWAII
BOARD OF REGENTS

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DISCLAIMER - THE FOLLOWING ARE DRAFT MINUTES AND ARE SUBJECT TO FURTHER REVIEW AND CHANGE UPON APPROVAL BY THE BOARD

MINUTES

BOARD OF REGENTS MEETING

AUGUST 19, 2021

Note: On August 5, 2021, Governor David Y. Ige issued a proclamation related to the COVID-19 emergency that temporarily suspended Chapter 92, Hawai'i Revised Statutes, relating to public meetings and records, "only to the extent necessary to minimize the potential spread of COVID-19 and its variants".

I. CALL TO ORDER

Chair Moore called the meeting to order at 8:36 a.m. on Thursday, August 19, 2021. The meeting was conducted virtually with regents participating from various locations.

Quorum (10): Chair Randy Moore; Vice-Chair Alapaki Nahale-a; Vice-Chair Benjamin Kudo; Regent Simeon Acoba; Regent Kelli Acopan; Regent Eugene Bal; Regent William Haning; Regent Diane Paloma; Regent Robert Westerman; and Regent Ernest Wilson.

Excused (1): Regent Wayne Higaki

Others in attendance: President David Lassner; Vice President (VP) for Administration Jan Gouveia; VP for Community Colleges Erika Lacro; VP for Legal Affairs/University General Counsel Carrie Okinaga; VP for Research and Innovation Vassilis Syrmos; VP for Information Technology/Chief Information Officer Garret Yoshimi; VP for Budget and Finance/Chief Financial Officer Kalbert Young; VP for Advancement/UH Foundation (UHF) Chief Executive Officer (CEO) Tim Dolan; UH Mānoa (UHM) Provost Michael Bruno; UH Hilo (UHH) Chancellor Bonnie Irwin; UH West O'ahu Chancellor Maenette Benham; Executive Administrator and Secretary of the Board of Regents (Board Secretary) Kendra Oishi; and others as noted.

Prior to the commencing with the meeting, Chair Moore spoke on the passing of Regent Emeritus Michael T. McEnerney recognizing and honoring him for the significant contributions he made to both the board and the university, particularly in the area of risk management. He noted Regent McEnerney's background as an accountant, educator, university alumnus, and attorney which he deftly used to educate and provide keen insights to regents on various university matters; acknowledged and praised his outstanding work as Chair of the Committee on Independent Audit; and stated that his patience, leadership, professionalism, guidance, mentorship, and friendship will be sorely missed. Chair Moore offered the Board's condolences to his wife Irene and their family. A moment of silence was observed as a tribute to Regent Emeritus McEnerney.

II. APPROVAL OF THE MINUTES

Vice-Chair Kudo moved to approve the minutes of the July 15, 2021, meeting, seconded by Regent Westerman, and noting the excused absence of Regent Higaki, the motion carried, with all members present voting in the affirmative.

III. PUBLIC COMMENT PERIOD

Board Secretary Oishi announced that the Board Office received late written comments from Bria Blauvelt requesting that those with medical and religious exemptions have no further requirements placed upon them in order to attend in-person classes.

Late written comments were received from Jessica Hamad and Tina Lia in opposition to the COVID-19 vaccination and testing policy at the university.

Written comments may be viewed at the Board of Regents website as follows:

[Late Written Testimony Comment Received](#)

Five individuals signed up to provide oral testimony. Merlyn J. Travis, Bruce Douglas, Holly Molloy, and Tina Lia, representing the Pono Coalition for Informed Consent, and Bria Blauvelt provided comments regarding the vaccination requirements for students attending the university in person in the fall.

IV. REPORT OF THE PRESIDENT

A. COVID-19 UPDATE

President Lassner provided an update on the university's current state of affairs with regard to the COVID-19 pandemic, including vaccination and testing requirements, stating that all of the university's protocols were developed in consultation with medical, public health, and scientific experts. While the university understands concerns expressed regarding COVID-19 vaccination and testing requirements, it must continue to remain vigilant against community transmission to ensure the health and safety of students, faculty, and staff. He stressed that all available and credible scientific data indicate that vaccination, along with maintenance of physical distancing and mask-wearing requirements, provides the best chance to accomplish this and will afford students the best opportunity to safely return to classrooms this fall. It was also noted that these mandates are being added to existing student health clearance requirements, which have been in existence for students attending courses on a university campus for decades, and that vaccination requirements have been upheld by the judicial system.

The results of a student COVID-19 vaccination survey conducted in mid-August which had a response rate of approximately 27 percent were shared with President Lassner highlighting that just under 70 percent to more than 90 percent of student respondents across the various campuses indicated that they were either fully or partially vaccinated. It was noted that almost half of the student respondents who were unvaccinated stated that they would not do so until the COVID-19 vaccine had received full authorization for use by the United States Food and Drug Administration. Given this data, the university anticipates that between 90 and 95 percent of students on the

university's campuses will be vaccinated. A similar survey conducted among university employees in late-June has suggested that, if employee respondents represent population, just over 95 percent of university employees will be vaccinated.

The university is currently shifting its focus to reporting for employees and students to address compliance with its testing and vaccination requirements. While some State agencies are using attestation forms to validate compliance with established vaccination and testing mandates, the university will be verifying compliance through the Lumisight application (Lumisight App) which it currently uses to conduct daily health-checks that are necessary to access university campuses. The Lumisight App will allow for greater accuracy in determining vaccination and testing status of faculty, staff, and students, as well as gathering data. President Lassner commended VP Yoshimi and the information technology staff of the university for their efforts.

As COVID-19 case counts surge in Hawai'i, the university has continued to take actions to ensure a safe academic and work environment. Negotiations with public employee unions are underway with regard to implementation of the Governor's vaccination or frequent-testing mandate for all state employees. The university has taken additional actions to address the statewide increase in COVID-19 case counts including the negotiation of a new contract for COVID-19 testing that will allow for the integration of test results with the Lumisight App; establishment of vaccination requirements for all student-athletes; and holding on-campus student vaccination clinics over the coming weeks. The university also continued to work with the City and County of Honolulu (City) and Hawai'i County in determining whether spectators will be allowed at sporting events in the fall, as well as developing optimal conditions to allow this to occur including vaccination requirements for attendees. President Lassner recognized and praised the university staff and administrators for their exceptional efforts on these matters.

B. Enrollment Update

Preliminary indications continue to show improvement in enrollment for the fall semester although the situation remains dynamic. President Lassner indicated that the university is neither following the current national trend among colleges and universities, which have been experiencing declining enrollment, particularly among the community colleges, nor following the trends which occurred during the last recession which led to significant increases in community college enrollment. As a system, current headcount students registered for fall 2021 has increased by 3 percent over the same period last year, with UHM experiencing the largest increase in enrollment at 8.5 percent, which equates to an additional 1,400 students, and UHH experiencing an enrollment increase of 3.1 percent. The community colleges have experienced minimal enrollment increases and remain relatively flat. The university anticipates total enrollment to be near 50,000 students by the end of the 2022 academic year. If these increases are sustained, it will be the first increase for the university system and a number of the campuses in a decade, although it was noted that enrollment at UHM has increased in three of the past four years. It was further noted that for UHM, enrollment increases are occurring across all student categories including resident; non-resident domestic; non-resident international; freshman; transfer; and new transfer students. A full analysis of

enrollment statistics will be provided to the board in November after the official census is completed.

President Lassner also noted that occupancy at student housing facilities on both the UHM and UHH campuses continues to increase with facilities at UHM experiencing a 100 percent occupancy rate. Similarly, three of the four student housing facilities at UHH have reached full capacity with the fourth facility witnessing a current occupancy rate of 50 percent.

C. Update on Clarence T.C. Ching Field Improvements

Work is nearing completion on facility improvements that will allow the Clarence T.C. Ching Athletic Complex (Ching Complex) to be used as the site to host home games for the university's football team beginning this fall. President Lassner explained that use of the Ching Complex was necessitated by the State's decision to demolish Aloha Stadium which required the university to find a new venue to host home games for possibly the next three to four years. While a wide range of factors were considered and a number of possible alternatives, including the use of off-campus and neighbor island facilities, were contemplated, the university determined that the Ching Complex was the most suitable location. It was noted that all newly-constructed hospitality suites in the Ching Complex have been sold to various sponsors and that a number of legislators have been invited to site visits, with several already touring the facilities and expressing their compliments. He stated that the Ching Complex is expected to be opened in time to host the first home football game of the season on September 4th and commended the efforts, efficacy, and efficiency of UHM Athletics Director David Matlin and his team, VP Gouveia and her team, as well as various university administrators and staff, in completing this monumental task in a very short timeframe.

D. Update on Legislative Informational Briefings Related to Procurement, Projects, and the Research Corporation of the University of Hawai'i (RCUH)

President Lassner provided an update on two recently held legislative informational briefings. He stated that the first briefing, which was a joint meeting with the Senate Committee on Higher Education and Senate Committee on Ways and Means, focused mainly on matters related to procurement specific to the Ching Complex, the Cancer Center's Early Phase Clinical Research Center (EPCRC), and RCUH. Although the Atherton YMCA project was also on the briefing agenda, time constraints did not allow for discussion to take place on this matter and it was deferred until a later date. The second briefing, which took place before the Senate Special Committee on State of Hawaii Procurement, primarily dealt with various aspects of the university's construction procurement process, including procurement procedures, timelines, and challenges. At each briefing, the university provided information addressing items contained on the respective agendas.

Questions posed by legislators at each of the briefings were highlighted and included queries about the procurement process used for facility improvements to the Ching Complex and the reasoning behind this process; the tragic fatality that occurred during construction of improvements to the Ching Complex; RCUH, specifically with

regard to the conferral of degrees, agreements with respect to the university and other State agencies, outside employment, and the advertisement of positions to serve on university projects; funding for the construction of the EPCRC and RCUH's involvement with the construction; and aspects of specific construction projects, particularly with regard to a change order request involving the Public Broadcasting Service (PBS) building, which legislators expressed concerns about.

President Lassner stated that the university provided detailed answers to specific inquiries and provided the board an overview of its responses. It was noted that while RCUH provides administrative support for some programs, it does not confer degrees. He expounded upon the response regarding the procurement process with respect to the Ching Complex stating that an internal assessment determined that the use of change orders to address the unforeseen improvements to the Ching Complex necessitated by the State's decision to demolish Aloha Stadium was the most expeditious and efficient way to complete the project in time to allow for the fall football season to proceed. It was noted that the administration did not believe it would be prudent to have multiple contractors working in a constrained site on a compressed timeframe. President Lassner also announced that, as a result of these discussions and after conducting follow-up meetings with individual legislators, the university has cancelled a yet-to-be executed change order involving the demolition of the PBS building and will be initiating a new contract for the project despite an expected increase in project costs and extension of project timelines that will delay monetization of the property.

E. Other

The university's community college system has been ranked as the best in the nation among community colleges according to WalletHub's 2021 Best and Worst Community Colleges study. President Lassner noted that this ranking is based on a number of considerations including cost and financing, as well as educational and career outcomes. Individual community college campuses also performed well in the rankings with Kaua'i Community College, Kapi'olani Community College, and Honolulu Community College all being ranked 7th, 11th, and 30th respectively.

The second iteration of the O'ahu Back to Work program is occurring this fall. O'ahu Back to Work was initiated in fall 2020 as a collaborative effort between the university and the City to address employment impacts related to the COVID-19 pandemic. President Lassner explained that the program, which is operated by the university through its community college system and supported by COVID-19 federal relief funds received by the City, provides free, short-term employment training to assist residents in learning new skills for in-demand jobs. A follow-up survey conducted in February 2021 highlighted the success of last fall's program with approximately 22 percent of program participants indicating that they have been employed and an additional 55 percent stating that they had either applied for employment or intended to apply for employment in the near future. The university's goal for the second round of the program is to assist 500 individuals in receiving workforce training.

On August 17 the university welcomed its first cadre of 23 Naval Reserve Officer Training (NROTC) midshipmen. President Lassner stated that the NROTC program is expected to attract more students in the coming years with a total enrollment of over 100 midshipmen anticipated by the time this first group graduates.

Referencing copies of letters received from legislators that were forwarded to Regents and which note concerns with several university issues, Regent Acoba asked if the administration would be responding to the issues raised in the correspondence. President Lassner responded that the administration, board, and RCUH received separate communications and that each will be providing a response to the questions raised by Senators.

Regent Acoba asked if discussions have taken place regarding fan attendance at University of Hawai'i Women's Volleyball games. President Lassner replied in the affirmative but stated that discussions involving the university, Governor, Mayor of the City, and Director of the Department of Health are ongoing with no final decision being made to date.

Regent Acoba praised the university and the administration for their numerous accomplishments including the increase in student enrollment; the achievement of excellent rankings for a number of community colleges, including the highest rank for the community college system as a whole; and the completion of facility improvements to the Ching Complex, which he described as remarkable given the timeframe.

V. REPORT OF THE UNIVERSITY OF HAWAI'I FOUNDATION (UHF)

VP/UHF CEO Dolan provided a report on the work of UHF for fiscal year (FY) 2021 stating that it experienced a strong fundraising year despite a difficult external economic situation. UHF received \$100.2 million in philanthropic donations in FY 2021 which is an increase of 16 percent as compared to the same period last year and a 29 percent increase as compared to FY 2019. Fundraising efforts in FY 2022 are expected to significantly outperform those of the last FY. VP Dolan reviewed several of the philanthropic grants received by UHF over the past year, as well as some of the fundraising activities undertaken including the crowdfunding campaign known as the Vulcan Challenge which raised just over \$200,000, and noted their impacts to both the university community and the community at-large. UHF also continues to experience increased success in securing major gifts, defined as gifts of \$25,000 or more, with 89 percent of total donations for the fiscal year coming from major donors, which is a two percent increase from FY 2020.

Mr. John Han, Chief Operating Officer for UHF, presented an overview of UHF's operating budget as of June 30, 2021, stating that operating revenues generated were approximately \$11.99 million and expenses incurred were approximately \$11.62 million. As a result, UHF experienced a net operating revenue surplus of just over \$369,000. While the amount of operating revenue generated in FY 2021 was roughly \$2.8 million less than the amount generated in FY 2020, the majority of this decrease was attributed to lost income from expendable accounts due to investment management changes and

a rebalancing of UHF's investment portfolio. UHF expects revenues to rebound in FY 2022 although it anticipates that a nine percent decrease will still be experienced.

UHF's endowment fund portfolio assets were \$456.5 million as of June 30, 2021. Mr. Han stated that the portfolio continues to perform well, producing competitive returns-on-investment. In FY 2021, the portfolio experienced a cumulative performance of 36.3 percent surpassing the established benchmark of 26.9 percent, with the primary drivers for this performance being private investments and a rebound in the financial markets. Since inception, UHF's endowment fund portfolio has realized performance returns of over 7.1 percent, which has exceeded the established benchmark of 6.2 percent.

Regent Bal congratulated UHF on their successes and asked whether the COVID-19 pandemic and associated restrictions on gathering has negatively impacted UHF's fundraising efforts involving large activities and events. VP Dolan replied that fundraising efforts are generally trending away from larger, turnstile-type events such as banquets and food festivals. While UHF does not plan on eliminating these types of fundraisers, it was noted that conversations with potential donors on outcomes achieved from financial commitments have a greater fiscal impact than large fundraising events.

Referencing UHF's statement of operations provided in the materials, Regent Acoba requested clarification on the figures presented for total revenues, total expenses, and net revenues for FY 2022. Mr. Han explained that the goal of UHF is to encumber all available revenues for fundraising efforts. As such, the amount of total projected expenses equates to the total amount of projected revenues which accounts for the projected net revenues for FY 2022 appearing as zero on the statement of operations. Once the fiscal year is completed, UHF will determine whether actual revenues exceeded actual expenses which would result in a net revenue gain.

Citing net revenue gains experienced by UHF over the last four fiscal years, Regent Acoba asked if a revenue surplus was likely in FY 2022. Mr. Han replied that it was projected that UHF would have a slight revenue surplus for FY 2022. Regent Acoba question what this projection was based upon. Mr. Han responded that UHF has experienced difficulties in hiring qualified staff to assist in fundraising efforts. As a result, it is anticipated that actual personnel expenses will be lower than projected resulting in a decrease in actual expenses overall and surplus in revenue.

VI. COMMITTEE AND AFFILIATE REPORTS

A. Report from the Committee on Independent Audit

Committee Chair Kudo summarized the committee report.

B. Report from the Committee on Personnel Affairs and Board Governance

Committee Chair Moore summarized the committee report.

C. Affiliate Reports

There were no affiliate reports.

VII. AGENDA ITEMS**A. Consent Agenda**

1. **Approval of Indemnification Provision in an Agreement Between the U.S. Department of Commerce National Oceanic and Atmospheric Administration (NOAA) and the University of Hawai'i for a Cooperative Institute for Marine and Atmospheric Research (CIMAR)**

Chair Moore provided a brief background on indemnifications, explained the reasoning behind the approval of indemnification requests by the board, and described the consent agenda process for the benefit and edification of the newly appointed Regents.

Vice-Chair Kudo moved to approve the consent agenda, seconded by Regent Westerman, and noting the excused absence of Regent Higaki, the motion carried with all members present voting in the affirmative.

President Lassner thanked the Regents for approving the indemnification provision contained within the CIMAR agreement noting that this contract encompasses one of the largest research awards given to the university with a potential value of \$200 million in collaborative research.

B. Private Donation:

1. **Approval of Naming of the University of Hawai'i at Mānoa School of Nursing and Dental Hygiene (SONDH)**
2. **Approval of the Establishment and Naming of the Nancy Atmospera-Walch Endowed Professorship in School Health**
3. **Approval of the Establishment and Naming of the Nancy Atmospera-Walch Early Career Endowed Professorships in Population Health**

President Lassner provided information on a private donation for renaming SONDH as the Nancy Atmospera-Walch School of Nursing and for the establishment and naming of the Nancy Atmospera-Walch Endowed Professorship in School Health and the Nancy Atmospera-Walch Early Career Endowed Professorships in Population Health at SONDH. Funds will be used to, among other things, retain and reward a faculty member of SONDH who is dedicated to improving the health of Hawai'i's youth in an effort to increase academic success through the establishment of an endowed professorship in school health; provide fiscal resources to expand the activities of the Hawai'i State Center for Nursing in meeting identified and future nursing workforce needs of the State; make available graduate and undergraduate scholarships in nursing with preferences for nursing students from under-represented populations; and create an endowment for two early career endowed professorships in population health that will offer recognition and support for new nursing faculty in this field. While the donor requested that the amount of the donation not be disclosed, this matter was fully discussed in executive session during the July 2021 board meeting.

Regent Westerman moved to approve the naming of SONDH as the Nancy Atmospera-Walch School of Nursing, and the establishment and naming of the Nancy Atmospera-Walch Endowed Professorship in School Health and the Nancy Atmospera-Walch Early Career Endowed Professorships in Population Health, seconded by Regent Wilson, and noting the excused absence of Regent Higaki, the motion carried with all members present voting in the affirmative.

Chair Moore offered the board's thanks and appreciation to the philanthropic donors, as well as all benefactors of the university that make such generous donations.

C. Approval of Appointment of Andy Adamson to the Mauna Kea Management Board (MKMB)

Chancellor Irwin noted that the Mauna Kea Science Reserve Master Plan approved by the board in June 2000 called for the establishment of the community-based MKMB. She stated that the departure of Doug Simons, who was recently selected as the Director of the Institute for Astronomy, has created a vacancy on the MKMB. After soliciting recommendations for appointments to fill this vacancy and subjecting these recommendations through a standard vetting process, Dr. Andy Adamson was selected for appointment. If approved, Dr. Adamson, who is the Associate Director of the Gemini Observatory, would complete the final year of Dr. Simon's term on the MKMB and then serve for a full term.

Vice-Chair Kudo asked whether Dr. Adamson has had any significant interface with the community, in particular the Native Hawaiian community, as well as the business community and other groups on Hawai'i Island. Chancellor Irwin replied that Dr. Adamson has had some community interaction although admittedly not as much as Dr. Simons who has been a leader in community engagement and astronomy education on Hawai'i Island. She remarked that MKMB has historically had a member representing the viewpoint of the observatories and has relied on the other MKMB members to represent the community's interests. Chair Kudo stated that the MKMB has increased its visibility and importance in addressing issues related to Maunakea and is working to correct some of the management deficiencies of with regard to the mauna. As such, it was important that there be a balance of perspectives on the MKMB from both the observatories and community points-of-view. He opined that it was crucial for the individual representing the observatories on the MKMB to have a sense of the community and expressed his concerns as to the lack of information on Dr. Adamson's views on this issue. Chancellor Irwin stated that she understood Vice-Chair Kudo's concerns. She also noted that the 'Imiloa Astronomy Center has been working with the observatories on the issues of community engagement and outreach as part of a collaborative effort to establish better relationships with the community.

Vice-Chair Nahale-a echoed Vice-Chair Kudo's sentiments but added that the increased visibility and importance of the MKMB has made it difficult to recruit individuals willing to serve on the board, particularly given the recent controversies involving Maunakea, and requested Chancellor Irwin to provide insight into the recruiting challenges faced in this current environment. Chancellor Irwin replied that there has been a concerted effort, particularly over the last two years, to recruit Native

Hawaiians to serve on the MKMB as vacancies arise. Although many in the Native Hawaiian community have been approached and asked to serve, most have either become reticent or declined serving due to the controversies surrounding Maunakea. While there currently is Native Hawaiian representation on the MKMB, increasing that voice as part of the management and stewardship of Maunakea is important. She noted that current members of the MKMB have also approached members of the Native Hawaiian community to gather their thoughts and view of the university's management of the mauna and that, while some have been forthcoming in sharing their views off-the-record, they are very hesitant to do so publicly. Nevertheless, there is a keen awareness of the importance of community outreach and Dr. Adamson is well aware of the expectation that he serve as a voice of both the community and the observatories while serving on the MKMB.

Vice-Chair Kudo stated that, based upon his lack of knowledge of Dr. Adamson's views on Maunakea and his perspectives on community outreach, he will be voting against approval of the nomination. However, he suggested the possibility of deferring action on this matter so that the board can have a conversation with Dr. Adamson at the next board meeting to gain a better understanding of his thoughts and views on Maunakea, as well as his community outreach and engagement activities.

Regent Wilson questioned whether Dr. Adamson would be able to devote the time necessary to serve on the MKMB given that he is also the Associate Director of the Gemini Observatory and concurred with Vice-Chair Kudo's suggestion that this matter be deferred until such time that the board could hear from Dr. Adamson. President Lassner replied that Dr. Adamson is aware of the time commitment necessary to serve on the MKMB as the observatory directors meet regularly with the MKMB.

Regent Westerman remarked that he understood the concerns raised but asked whether an individual could be temporarily appointed to fill the vacancy on the MKMB and then be appointed to a full-term at a later date. Chancellor Irwin replied that when a vacancy occurs on the MKMB and the remainder of the term for that position is short, the standard practice of the university has been to request that the appointment be for the remainder of the term as well as an additional full term, in part to ensure that the terms of MKMB members are staggered. President Lassner added that the Chair of MKMB does not have the authority to make interim appointments. If a vacancy arises on the MKMB, the position remains vacant until an individual is appointed by the board. He also stated that, while individual candidates have never been asked to appear before the board during his time as President, the administration can plan on inviting candidates for these types of positions to speak to the board if this is the new expectation. However, the administration will have to determine how this can be achieved while adhering to statutory requirements regarding open meetings.

Chair Moore suggested possible courses of action on this matter including approving the appointment as requested; appointing Dr. Adamson to fill the remainder of the current vacant term and delay appointment to a full-term pending further review; or deferring the appointment until such time that Dr. Adamson has had an opportunity to appear before the board.

Regent Acoba asked if there was a position on MKMB reserved specifically for a representative of the observatories, and if not, whether Dr. Adamson would be considered a de facto representative of the observatories; whether the Gemini Observatory was on the list of observatories scheduled to be decommissioned; and if Dr. Adamson still was connected to any of the activities occurring at the Gemini Observatory site in Chile. Chancellor Irwin replied that there is no position on the MKMB reserved specifically for a representative of the observatories but that this has been more of a tradition since the observatories are an important component with respect to Maunakea management; the Gemini Observatory is not currently scheduled for decommissioning; and Dr. Adamson does not appear to have any current affiliation with the Gemini Observatory site in Chile.

Noting that her inclination was to support the appointment of Dr. Adamson to serve-out the remainder of the current term, thereby allowing questions raised by Regents to be addressed prior to a full-term appointment, Regent Paloma questioned what the impact of delaying the full-term appointment would have on the ability of the MKMB to carry out its mission. Chancellor Irwin reiterated the difficulties faced in recruiting individuals to serve on the MKMB which has impacted its ability to achieve quorum in the past and noted that this is a concern with regard to delaying the appointment of Dr. Adamson.

Regent Acopan agreed with Vice-Chair Kudo that it would be important to understand Dr. Adamson's experience with, and attitudes toward, community engagement regarding Maunakea which she did not believe was reflected in the documentation regarding his background that was provided to the board. However, she also noted her concerns about the ability of MKMB to function if this appointment was delayed given the challenges faced in acquiring board members. As such, she expressed support for the compromise position of appointing Dr. Adamson to serve-out the remainder of the current term and delaying appointment to a full-term pending further review.

Vice-Chair Kudo clarified that his suggestion to defer action on the appointment of Dr. Adamson would only be until the next board meeting so as to afford the board with an opportunity to hear from him with regard to his thoughts and views on community engagement in regard to Maunakea. He stressed the importance of MKMB with regard to the future of Maunakea and simply wanted to ensure that the individual selected to fill this vacancy and represent the observatories on the board is someone who will be proactive with regard to the observatories' relationship with the community.

Chair Moore asked Chancellor Irwin to provide her thoughts on the three possible courses of action regarding the appointment of Dr. Adamson that were noted earlier. Chancellor Irwin replied that, in her view, approval of the appointment as requested would be the best option. However, she understood the concerns raised by Regents and would defer to the Regents wishes although it would be preferred that Dr. Adamson be appointed to at least serve-out the remainder of the vacant term. It was noted that MKMB will be meeting in two weeks but that it was possible to function with the current membership.

Regent Haning asked if there was any incentive for Dr. Adamson to serve on the MKMB given the current environment. Chancellor Irwin replied that the MKMB is a purely voluntary, non-compensated board. She stated that, at present, the MKMB is extremely busy with activities related to revisions being made to the Mauna Kea Science Reserve Master Plan and Maunakea Comprehensive Management Plan and has resulted in meetings being increased to twice-a-month.

Vice-Chair Kudo moved to defer action on the appointment of Dr. Adamson to the MKMB until the next board meeting, seconded by Regent Wilson, and noting the excused absence of Regent Higaki, and the no votes of Chair Moore, Regent Haning, and Regent Westerman, the motion carried with all other members present voting in the affirmative and Regent Bal voting in the affirmative with reservations stating that he did not believe having a meeting with Dr. Adamson would achieve anything other than obtaining his affirmation of commitment to the position.

D. FY 2020-2021 Fourth Quarter UBS Legacy Endowment Fund (Fund) Investment Performance Report

Chair Moore explained the reasons for the fourth quarter Fund report, which is usually brought before the Committee on Budget and Finance (B&F), being taken up by the board at this time stating that UBS, the Fund's investment advisor, could neither attend this meeting nor the next regularly scheduled B&F meeting. As such, VP Young would be presenting the report. Should there be any specific questions from Regents for UBS, they can be taken up at the next scheduled B&F meeting at which UBS would be present.

VP Young provided a report on the Fund for the fourth quarter of the fiscal year ending June 30, 2021, stating that this report was significant in that it also covered the overall performance of the Fund for FY 2021. He noted that the Fund's portfolio performed extremely well increasing in value by \$18.98 million, which equates to a return of 25.81 percent, to end the fiscal year with a total net value of \$98.6 million. This was a considerable given that all draws and advances from the Fund had been completed. He also stated that the total expense percentage on the portfolio for the year was only 61 basis points which was a very decently low rate. Compared to established benchmarks, the Fund slightly lagged the major indexes but performed well with regard to the constructed waited indexes. Fund assets consisted of 1.71 percent cash, 24.51 percent fixed-income, and 73.78 percent equities which were all within the parameters established under Regents Policy (RP) 8.207. It was also noted that the portfolio's United States (US) equities experienced the greatest rate of return, contributing approximately 42.67 percent or \$5.3 million to its overall performance, followed by international growth and value investments which contributed 35.67 and 29.01 percent, respectively, to the portfolio's performance for a combined increase of \$2.1 million to its value.

VP Young noted that the materials provided by UBS discussed their overall forecasts for the financial markets and reiterated that the UBS representatives will be available to answer detailed questions about this report at the next meeting at which they are present.

Regent Paloma inquired as to whether the university had a policy that established internal performance investment targets, goals, and benchmarks. VP Young replied that RP 8.207 establishes overall investment parameters for the university including the weighting of investments and limiting the amount of the portfolio that can be drawn down to 4.25 percent. The policy also includes certain benchmarks agreed upon by the Regents to determine the overall performance of the Fund. However, there is no specific policy that states that the portfolio must experience a specific rate of return.

Regent Acoba requested clarification on the availability of UBS, particularly with respect to their next appearance at a B&F meeting. VP Young replied that the UBS representatives are currently attending a business event and were unavailable for this meeting and the scheduled B&F meeting in September. He noted that the next B&F meeting is slated for November and UBS will be presenting the first quarter report for FY 2022 at that time. However, if Regents had pressing questions regarding the FY 2021 report, the administration could inquire about the availability of UBS to answer these questions at the next board meeting.

Citing that fees on portfolio investments for the year were 61 basis points, Regent Acoba questioned what this amounted to in actual dollars. VP Young stated that 61 basis points equates to roughly between \$575,000 to \$590,000 in fees paid over the last fiscal year. He noted that the fees included payments made to UBS for its investment management services as well as fees paid to investment managers that are managing specific portions of the portfolio. Regent Acoba asked if this fee amount was appropriate. VP Young responded in the affirmative noting that, on a relative to performance basis, the Fund, which has a value of \$98.6 million, paid less than \$600,000 in fees for a return on investment of almost \$19 million. It was also noted that 61 basis points relative to other investment portfolios is very low in the industry.

Noting that RP 8.207 allows for up to 80 percent of the portfolio to be invested in equities, Regent Acoba asked about risk factors associated with the current equities market and whether the Fund should be increasing or drawing back on its equity investments. VP Young replied that this is something that is often discussed with UBS with regard to forward-looking investment strategies for the Fund. He stated that, in his opinion, the current US equity market has increased volatility and may be over-heated in areas, which may be a reason to re-evaluate the present allocation strategy. Similarly, adjustments may need to be made to the allocation of fixed-income assets due to possible actions being taken by the Federal Reserve System. VP Young emphasized that he was not speaking for UBS and that the administration will continue to work closely with UBS on these matters to determine the most advantageous investment strategy for the Fund over the next fiscal year.

Regent Acoba asked whether the administration had the authority to rebalance the portfolio's assets without board approval and whether there was a specific timeframe under which this rebalancing had to occur. VP Young replied that no board action is required to rebalance the portfolio and noted that the administration and UBS are constantly making minor, week-to-week changes to the overall portfolio mix of assets. However, changes to overall investment strategies involving things such as the intent of rebalancing or large changes to asset allocations would be something that the

DRAFT

administration would bring before the board to ensure that Regents were aware of the reasoning for these decisions.

VIII. ANNOUNCEMENTS

Chair Moore announced that the next board meeting was scheduled for September 16, 2021, at a location to be determined and noted that meetings will most likely be occurring in a virtual format for the near future.

IX. ADJOURNMENT

There being no further business, Vice-Chair Kudo moved to adjourn, seconded by Regent Paloma, and noting the excused absence of Regent Higaki, and with all members present voting in the affirmative, the motion carried and the meeting was adjourned at 11:05 a.m.

Respectfully Submitted,

Kendra Oishi
Executive Administrator and Secretary
of the Board of Regents

Item IV.

Report of the President
A-F

**NO MATERIALS
ORAL REPORT**

Item IV.G.

Report of the President
LeeCC Campus Report

MATERIALS

Welcome

Carlos Peñaloza, Ph.D.

Chancellor

Board of Regents, September 16, 2021



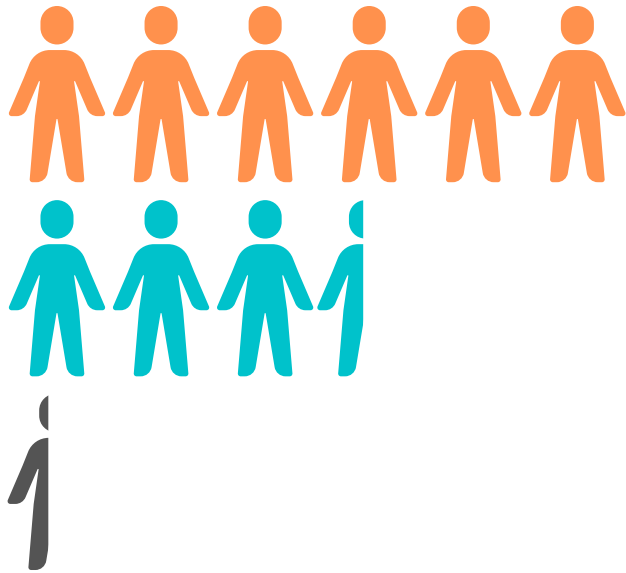
UNIVERSITY of HAWAII*
LEEWARD
COMMUNITY COLLEGE

Our Students

*Fall 2021 - current as of 8/25/21

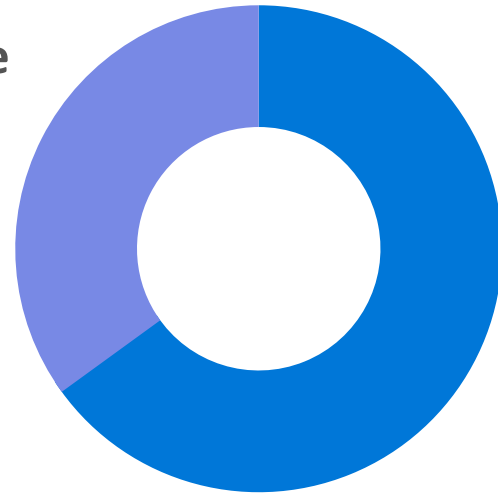


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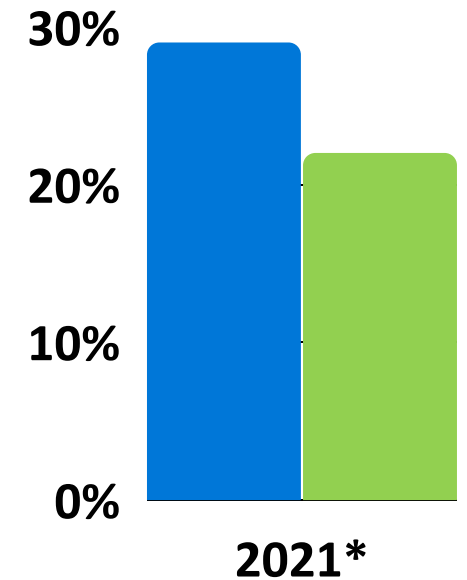
Female 61%
Male 35%
Non-Binary/Other 4%

35%
Full-time



65%
Part-time

First Time 24%
Returning 7%
Continuing 54%
Transfer 15%



Two largest student populations:

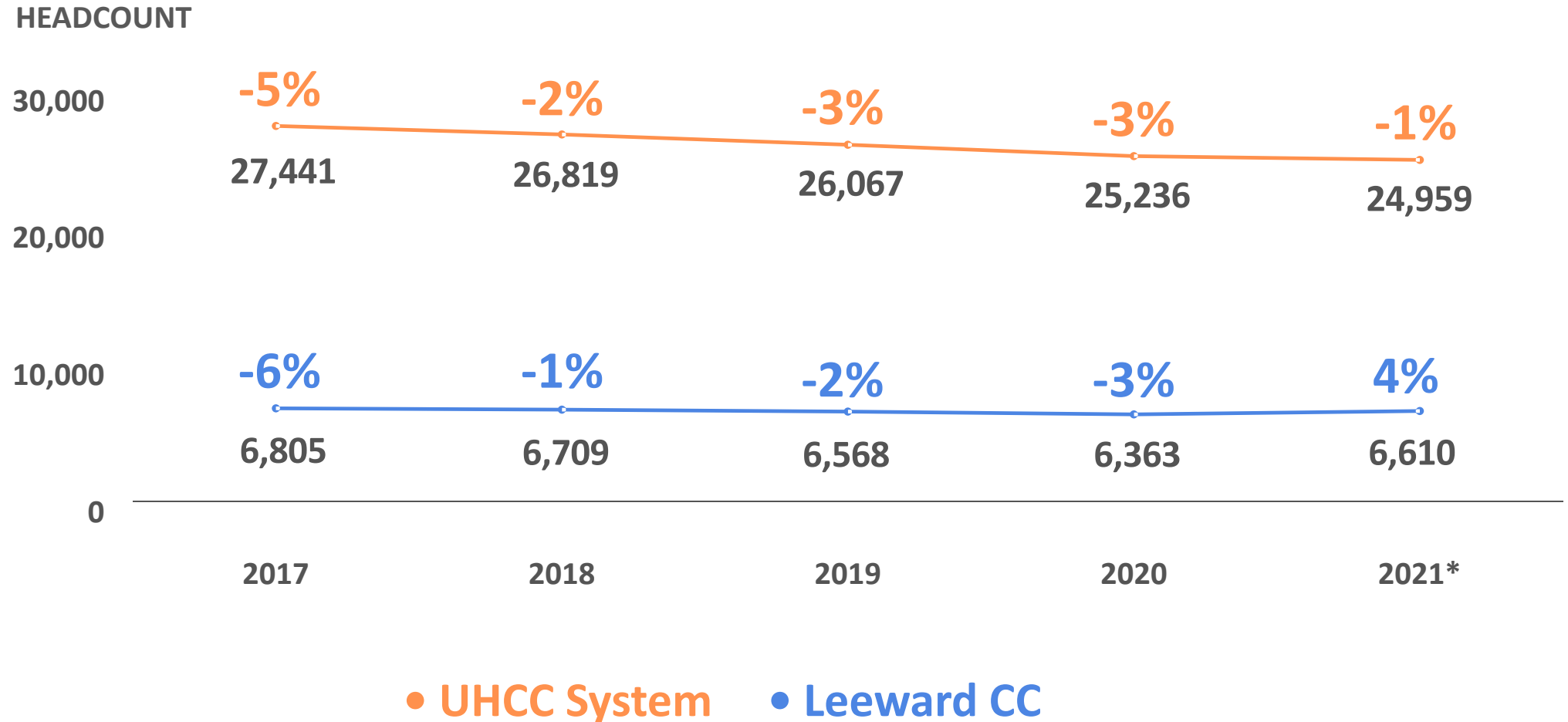
- **Native Hawaiian**
- **Filipino**

Fall Enrollment

*Fall 2021 - current as of 8/25/21



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Fall Enrollment

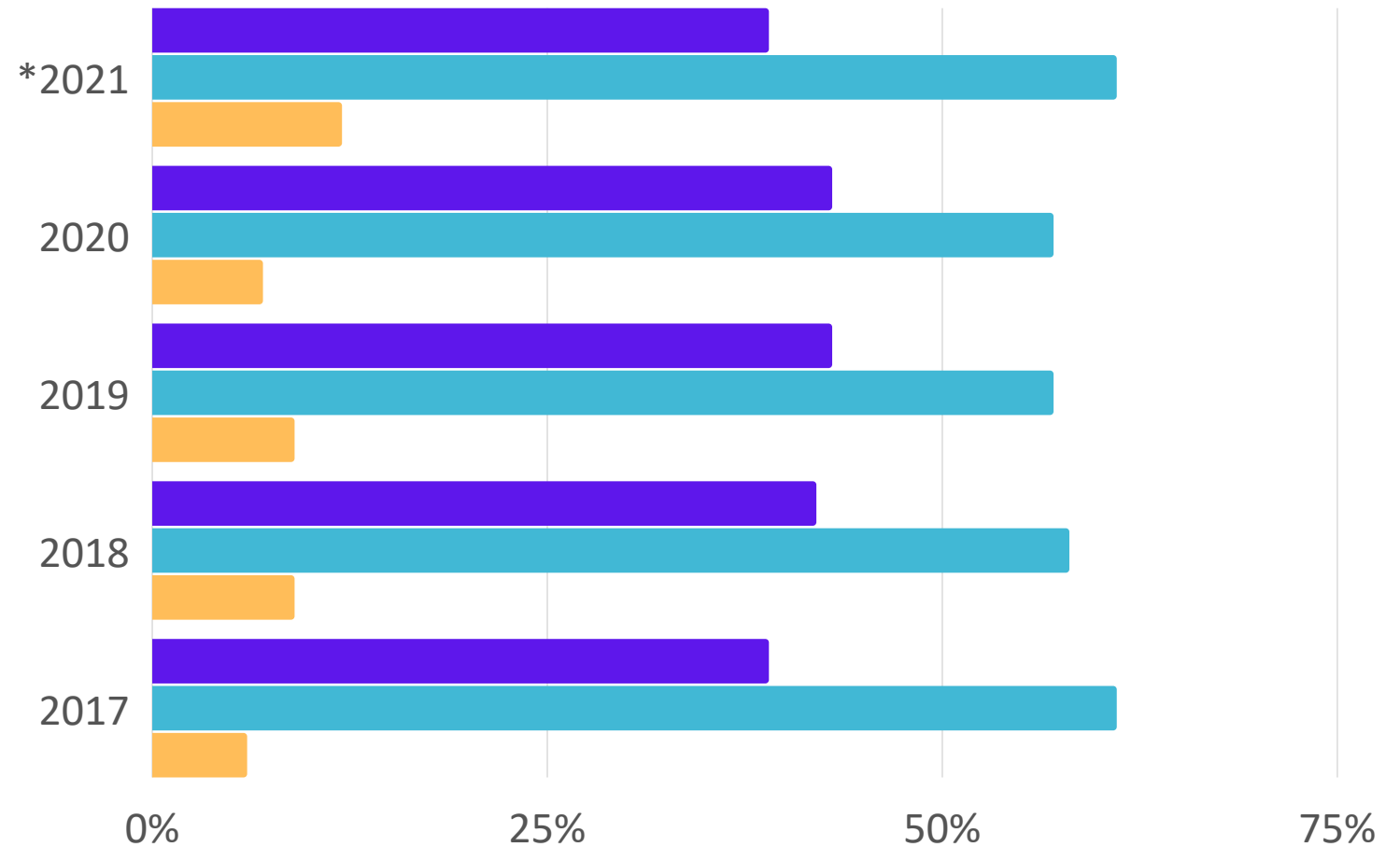
*Fall 2021 - current as of 8/25/21



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Student Type:

- New (First-time and Transfer)
- Continuing/Returning
- Early Admit

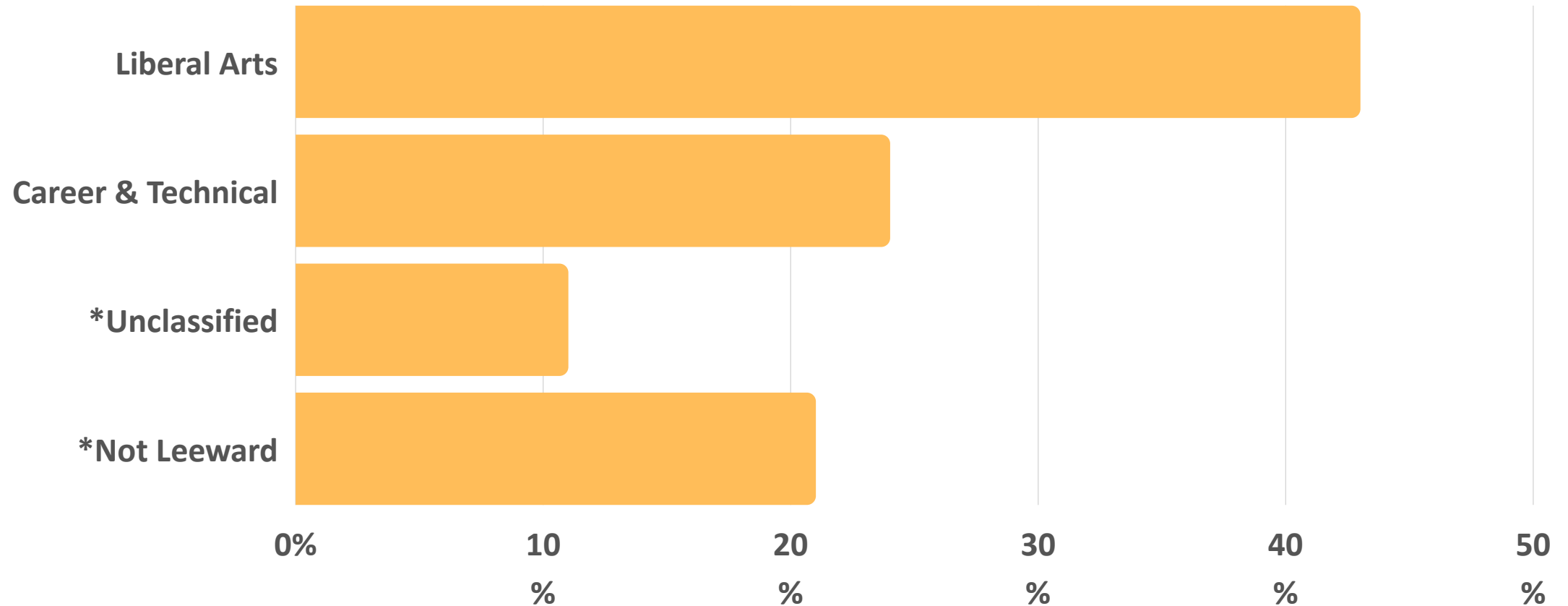


Our Students

*Fall 2021 - current as of 8/25/21



UNIVERSITY of HAWAII*
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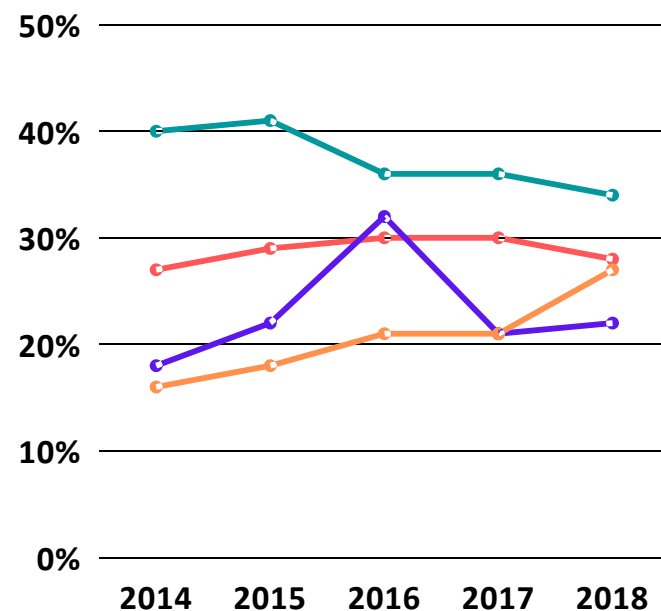
**"Unclassified" includes Early College. "Not Leeward" are home-based at another campus.*

Leeward Student Outcomes

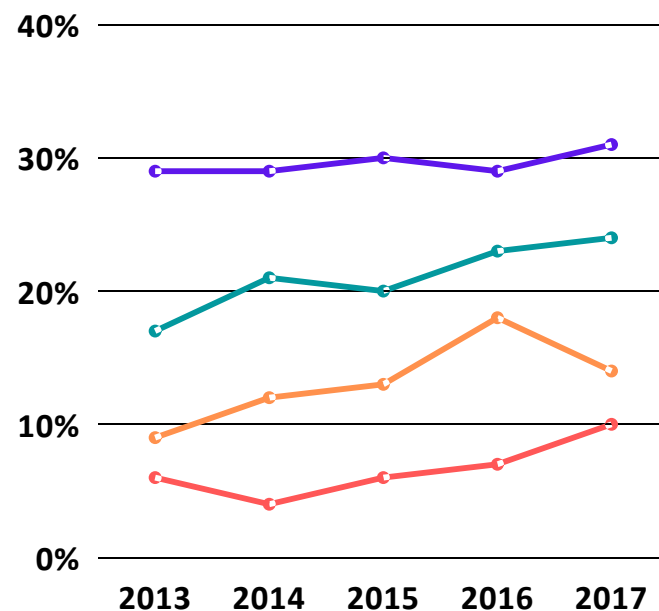


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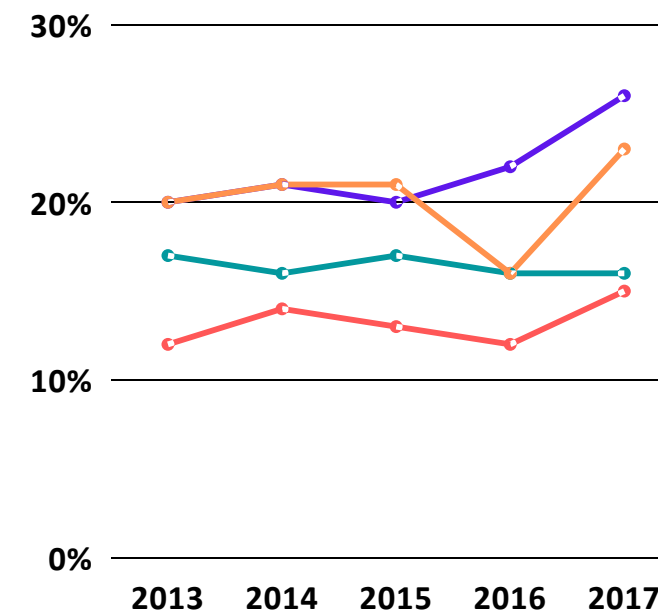
*Retention



*Graduation



*Transfer



First-time: ● Full-time ● Part-time

Transfer: ● Full-time ● Part-time

*Within three years

What our students have told us:



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- 35% “My current financial situation is **WORSE** than before the pandemic.”
- 30% “I am struggling to pay for college as a result of the pandemic.”
- 30% did not have enough money to buy food within the last 30 days
- 288 were hungry within the last 30 days because there wasn’t enough money for food
- 15% were not able to pay for housing within the last 12 months
- 30 were homeless within the last 12 months
- 45% suffered from job loss or reduced hours
- 65% who suffered from basic needs insecurity **DID NOT** apply for campus supports because they did not know how
- 57% received public benefits within the last 12 months
- 77% had children at home, at least part time, during the pandemic

Source: #RealCollege 2021: Basic Needs Insecurity During the Ongoing Pandemic. A Hope Center Publication. March 2021.

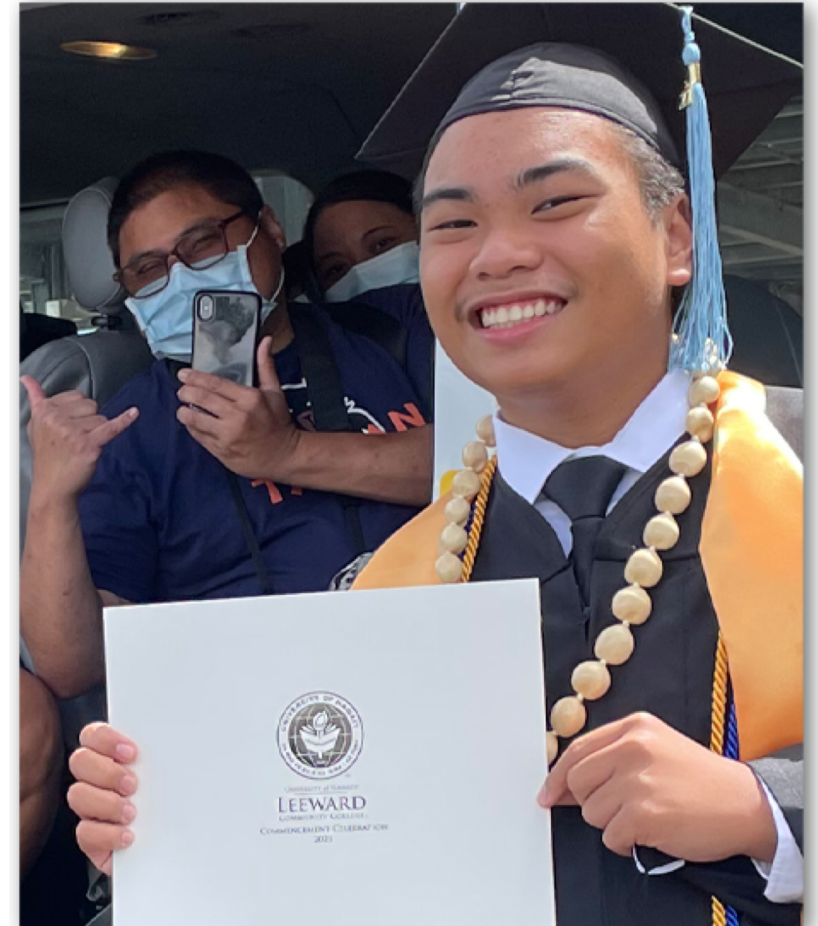
Source: CCSSE Special COVID Focus Questions (Spring 2021)

Early College, Fall 2021



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COMMUNITY COLLEGE

- 14 High School partners
- Proposed or running bridges/pathways from high schools to Leeward CC
- 793 seats filled (as of 8/25/21), 637 total Early College students enrolled



Leeward Leading in Open Educational Resources (OER)



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COMMUNITY COLLEGE

Enrollment in TXT0* classes since Spring 2016 is **65,428**
and total student savings is **\$6.3 million**.



487

TXT0 Classes



162

Instructors



8141

TXT0 Enrollment



52%

Total CRNs



190

Courses



\$814,100

Student Savings*

*TXT0 classes means that the course books are provided at no cost to the student.

Assessing Curriculum in Support of Student Outcomes



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DIVISION	# Courses Taught Past 5 Years	All Course SLOs Assessed In Past 5 Years as of:		
		Feb 13	May 14	June 14
Arts & Humanities	110	14.0%	31.0%	90.9%
Business	56	66.1%	85.7%	100%
Counseling/Student Services	7	0.0%	0.0%	100%
Language Arts	48	23.0%	36.5%	95.8%
Math & Science	144	39.7%	52.1%	90.2%
Professional Arts & Technology	62	43.3%	43.3%	96.7%
Social Sciences	71	26.8%	83.3%	100%
Total	496	32.8%	51.6%	94.4%



Michael Oishi

*Associate Professor, Literature
Chair, Faculty Senate*



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Focus on Improving Student Success: Major Areas

- Assessment of course learning outcomes and improvement of teaching practices.
- Improvement of instructional design and delivery of online courses.
- Updates to Student Services policies and procedures.
- Improvements to transparency and communication of college operations and resources.

Assessment of Course Learning Outcomes (CLOs) to Improve Student Success



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Faculty Senate Assessment Committee

- Identified and contacted faculty who had not assessed their course learning outcomes (CLOs) in the last five years.
- Efforts resulted in a 95.6% assessment completion rate through August 25, 2021.
- Assessment of CLOs assists instructional faculty in reflecting objectively, and with data, on the effectiveness of their instructional design and delivery to improve student success in courses.



Faculty Senate Distance Education Committee

- Created an Online Learning Orientation subcommittee to introduce students to distance education tools and technology (e.g., Laulima).
- Revised, and provided information sessions on, the Leeward CC Distance Education Self-Assessment process to help faculty reflect on and improve the design and delivery of their online courses.
- Provided recommendations for technological solutions to support faculty and staff in the implementation of distance learning and campus services.



Faculty Senate Student Affairs Committee

- Amended the Student Affairs description in the Faculty Senate Bylaws to ensure more informed decision making.
- Currently reviewing and updating Course Repeat, Course Substitution, Grading, Graduation, and Senior Audit policies.



Faculty Senate Faculty Committee

- Created an optional syllabus notification listing withdrawal deadlines for 16-week and part-of-term courses.
- Worked with Student Services and Student Government to create a Student Complaint Process document to make transparent to students the appropriate protocol for reporting students' instructional concerns.
- Generated an optional syllabus notification informing students of the campus's mental health services, as listed on our College's Counseling web page.

Looking Forward

Faculty Senate Goals for the 2021-2022 AY



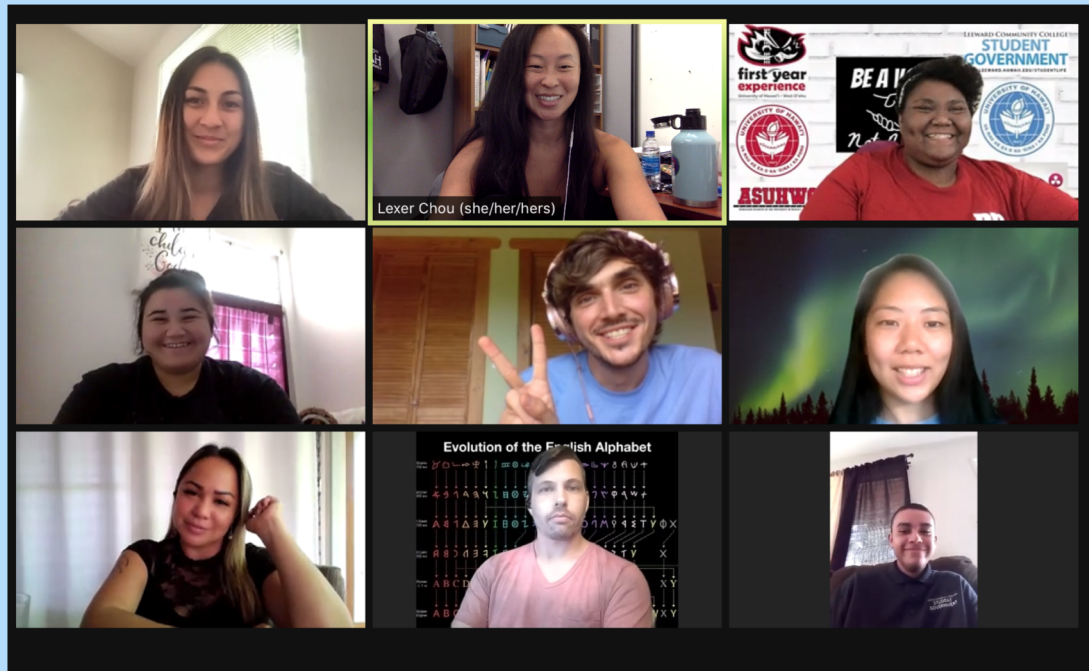
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Goals:

- Host campus forums, and review and advise, on the UH General Education Design Team's proposal for a new UH General Education curriculum.
- Host faculty workshops in Fall 2021 on the use of Anthology, Leeward CC's new assessment management system (AMS).
- Recommend amendments to the college's Course Repeat, Course Substitution, Grading, Graduation, and senior audit policies.

LEEWARD COMMUNITY COLLEGE STUDENT GOVERNMENT

WWW.LEEWARD.HAWAII.EDU/STUDENTLIFE



The mission of the 2021-2022 SG is to build a safe space community for all of our students (near and far) by building and maintaining relationships to understand the needs of our student body. SG aims to be equipped with knowledge of current resources and communicate reliable information to our students through various modes of communication.

What we've done...

- Student Government has been staying active on IG, and Youtube to communicate consistently with our students. A few highlights:
 - [Don't Wait, Stop the Hate](#) video on anti-AAPI hate
 - Once a month Talk Story sessions with our [Chancellor](#).
 - Educational series such as [Black History month](#), interviewing Leeward faculty
- Hosted virtual events
 - Constitution Day Giveaway
 - Spring Ahead Success Giveaway
 - Talent Show showcasing talents within Leeward CC
- Hosted in person events
 - Grab, Go, Get to Know
- Volunteered with Aloha Harvest
- Virtual Buddy with someone in jail, or kupuna
- Active participants in over 15 campus committees



Mahalo



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Agenda Items:

A. Committee Work Plan

Chair Westerman referenced the Committee Work Plan noting that it was an outline of the work to be performed by the committee during the coming year and inquired if Regents had any comments. No comments or questions were raised.

B. Fiscal Year (FY) 2020-2021 Fourth Quarter Financial Report

VP Young provided the financial report for the fourth quarter of FY 2020-2021 and noted that it also reflected the university's overall financial position for the fiscal year after encountering a full year of economic impacts related to the COVID-19 pandemic. Total revenues through the fourth quarter were higher than projected at the start of the fiscal year, which was largely due to increases in general fund (GF) appropriations for previously scheduled collective bargaining increases, while total expenditures were lower than projected due to factors such as the decline of an on-campus presence, the institution of a hiring freeze, travel restrictions, and other cash preservation strategies implemented by the administration. Overall, the university experienced a net operating income gain of \$85.5 million as compared to an originally projected shortfall of \$14.2 million across all funds. It was also noted that the university will be facing annual reductions in GF revenues in excess of \$40 million for FY 2022 and FY 2023 and beyond which will necessitate the use of reserve fund balances.

Discussions ensued on the receipt and use of federal relief funding to address some of the fiscal concerns facing the university as well as the methodology used to calculate future GF reductions.

C. Multi-Year Financial Forecasts

VP Young reported on a rolling six-year financial plan (Financial Plan) and noted that its purpose is to review and identify areas of fiscal concern that the university may face over the next several years. He reviewed the major factors that may impact the long-term fiscal condition of the university and strategies for addressing future fiscal shortfalls. It was noted that expected GF reductions over the next several FYs will necessitate the use of reserve fund balances but that significant ending fund balances realized in FY 2021 will be sufficient to meet the near-term fiscal needs of the university while strategic plans are being developed to address and eliminate fiscal shortfalls beyond FY 2024. The Financial Plan will continue to be refined over the course of the next six-years as economic conditions change.

Discussions occurred on the factors impacting the finances of the university as well as the administration's strategies for addressing budget deficits.

D. Recommend Board Approval of FY 2021-2022 Operating Budget

VP Young presented the university's proposed operating budget for FY 2021-2022 and reviewed the various assumptions considered in developing the expenditure plan. He reviewed budgeted, actual, and projected revenues and expenditures for each of the major units of the university across all fund categories highlighting that, as compared to FY 2021, total GF revenues are projected to decrease by 7.9 percent and Tuition and Fees Special Fund revenues are projected to decrease by 1.3 percent. While revenues

for other special and revolving funds are expected to increase due to greater on-campus activity, the administration also anticipates a concomitant increase in expenditures across all fund categories. Overall the administration is expecting the university to realize a net operating loss of \$1.7 million.

Action: The Committee recommended board approval of the university's operating budget.

E. Recommend Board Approval of the Operating Budget for the Office of the Board of Regents (Board Office) and Office of Internal Audit (OIA) for FY 2021-2022

Board Secretary Oishi and Glenn Shizumura, Director of OIA, presented the proposed operating budget for the Board Office and OIA for FY 2021-2022. It was noted that, due in part to the unusual economic situation facing the university and State over the last fiscal year, neither the Board Office nor OIA had received official budget allocations but were provided estimated budget targets which were utilized in developing the proposed operating budgets. Information was provided on budgeted-to-actual expenditures over the past three fiscal years, as well as cost-savings experienced during the past fiscal year. Minor changes to both of the proposed operating budgets in relation to budgets for the previous fiscal year were highlighted and reviewed.

Action: The Committee recommended board approval of the proposed operating budgets for the Board Office and OIA.

F. Report on the Distribution of Legacy Endowment Funds for FY 2021-2022

VP Young gave a brief overview and history of the Legacy Endowment Fund (Fund) noting that its corpus was received and invested on behalf of the university prior to the establishment of the University of Hawai'i Foundation. The current value of the Fund's overall portfolio is slightly more than \$96 million in both restricted and unrestricted sources of funding. He reviewed the process used for the distribution of the Fund's assets and provided a breakdown of the various purposes for which allocations from the Fund are made, noting that \$2.77 million has been authorized for distribution in FY 2021-2022. The administration continues to work on possible revisions to the use of unrestricted monies contained within the Fund as requested by the board.

Discussions occurred on allocation amounts for the funding for student scholarships and follow-up information was requested.

Agenda Items:

A. Committee Work Plan

Chair Nahale-a referenced the Committee Work Plan (Work Plan) noting that it was an outline of the work to be performed by the committee during the coming year and inquired if Regents had any comments. Discussions occurred on the timing for the review of lease agreements and it was suggested that the Work Plan reflect that lease agreements could potentially occur at any time throughout the year.

B. Recommend Board Approval of the Fiscal Biennium (FB) 2021-2023 Capital Improvement Project (CIP) Expenditure Plan

VP Gouveia reviewed the proposed CIP expenditure plan (Plan) for FB 2021-2023 stating that the Plan incorporated the most recent legislative appropriations and actions. She reported that the university was allocated approximately \$203.4 million in general obligation funds and \$8.7 million in American Rescue Plan Act funds for a total of about \$212 million in CIP funding for fiscal year (FY) 2021-2022. In FY 2022-2023, the university was allotted approximately \$106.6 million in CIP funding. A summarized breakdown of the various projects being funded by the FB 2021-2023 CIP allocation was presented for each of the major units of the university system.

Discussions ensued on several projects contained within the Plan, use of the design-build process for completing CIP projects, and the implications of not approving the Plan.

Action: The committee recommended board approval of the FB 2021-2023 CIP expenditure plan.

C. FY 2020-2021 Fourth Quarter CIP Status Report as of June 30, 2021

VP Gouveia provided a brief report on the status of CIPs through the fourth quarter of FY 2020-2021 stating that all projects are moving forward as planned with no significant issues. She highlighted a number of projects that were completed or nearing completion including renovations to Athletic Gyms 1 and 2, renovations to the Clarence T.C. Ching Athletic Complex, and the demolition of Snyder Hall. She also noted several projects that were added to, removed from, or will be removed from the CIP status report stating that a change from a manual project management system to an electronic project management system resulted in a few active but dated construction projects being added to the report.

D. University Land-Related Strategic Initiatives and Partnerships Program FY 2020-2021 Fourth Quarter Update

VP Young presented updates on several projects. Discussions are ongoing with parties interested in acquiring parcels for development of a private film studio on property encompassed by the UH West O'ahu (UHWO) - University District Project. The Atherton Project and NOAA Graduate Student Housing Project continue to move forward. A phase I environmental site assessment has been completed for the Kaimuki/Leahi Hospital Parcels Project and the administration continues to consider options for the disposition of these parcels. The UH Press Parcels Project continues to be evaluated for potential disposition and the administration is current procuring for a fair market value appraisal. Honolulu

Rapid Transit Project (HART) related projects are proceeding with finalization of a Master Use and Occupancy Agreement for rails stations on the UHWO campus expected to continue into the second quarter of FY 2021-2022.

E. Review and Discussion on Continued Improvements in Planning and Managing Processes Relating to University of Hawai'i Projects

VP Gouveia reviewed the processes for executing CIP projects stating that, in the past, projects experienced lengthy delays and the university received numerous complaints from contractors. It was noted that many of the issues experienced were attributable to a fragmented organization framework for executing CIP projects, manual processing, and a decentralized project management system. To address these issues, the university has developed a centralized organizational framework and electronic procurement process which has resulted in greater efficiency that has led to a reduction of deferred maintenance backlog costs although a backlog of projects still exists. Nevertheless, the administration is continuously striving to improve the process.

Discussion occurred on the impacts the new processes have had on deferred maintenance costs and what impacts can be expected in the future.

Item V.C.

Affiliate Reports

**NO MATERIALS
ORAL REPORTS**



University of Hawai'i Operating Budget for Fiscal Year 2021-2022

September 2, 2021

Committee on Budget and Finance



Overview

- General Fund Revenues are projected to decrease by **-\$42.5 million (-7.9%)** from FY21 levels, and TFSF Revenues are projected to decrease by **-\$4.3 million (-1.3%)** as compared to FY21.
- Increased on-campus activity will increase Revenues for Other Special and Other Revolving Funds. However, this will also result in increased expenditures from all fund categories.
- Net Operating across all funds is projected to be a **-\$1.7 million** loss.
- Although fund balances are considered at strong levels, they are anticipated to be needed over the next several fiscal years to mitigate the impacts of forecasted significant General Fund reductions.



Assumptions

- State directed 2% General Fund restriction on discretionary funds, pursuant to Executive Memorandum No. 21-04.
- Special and Revolving Fund reserves were used where needed and when available.
- Higher Education Emergency Relief Fund (HEERF) funds available to offset reductions. These uses are not delineated in this spending plan but federal requirements mandate quarterly reports which will also be presented to the Board of Regents.



Proposed FY22 Operating Budget

Revenues	Mānoa	Hilo	West O'ahu	CCs	Sys Support	Total
General Fund	\$ 230,780,332	\$ 38,175,644	\$ 18,315,333	\$ 152,745,144	\$ 55,718,283	\$ 495,734,736
TFSF	\$ 228,859,569	\$ 29,618,584	\$ 18,306,024	\$ 50,004,445	\$ 1,159,150	\$ 327,947,772
RTRF	\$ 29,584,693	\$ 2,982,997	\$ 195,390	\$ 1,434,237	\$ 14,799,873	\$ 48,997,190
Other Special Funds	\$ 76,462,202	\$ 7,417,006	\$ 43,260	\$ 7,217	\$ 4,500,773	\$ 88,430,458
Other Revolving Funds	\$ 16,295,518	\$ 1,405,812	\$ 662,000	\$ 17,471,856	\$ 860,019	\$ 36,695,205
Appropriated Federal	\$ 3,446,193	\$ 291,557	\$ 100,000	\$ 2,873,807	\$ 656,000	\$ 7,367,557
Total	\$ 585,428,507	\$ 79,891,600	\$ 37,622,007	\$ 224,536,706	\$ 77,694,098	\$ 1,005,172,918

Expenditures + Xfers	Mānoa	Hilo	West O'ahu	CCs	Sys Support	Total
General Fund	\$ 230,780,332	\$ 38,175,644	\$ 18,315,333	\$ 152,745,144	\$ 55,718,283	\$ 495,734,736
TFSF	\$ 246,974,395	\$ 29,888,584	\$ 16,862,512	\$ 50,004,445	\$ (1,814,795)	\$ 341,915,141
RTRF	\$ 29,459,591	\$ 3,804,734	\$ 325,000	\$ 1,434,237	\$ 15,996,632	\$ 51,020,194
Other Special Funds	\$ 59,015,271	\$ 7,308,745	\$ 70,000	\$ 7,217	\$ 4,836,805	\$ 71,238,038
Other Revolving Funds	\$ 17,249,513	\$ 2,577,591	\$ 1,274,000	\$ 17,471,856	\$ 1,004,815	\$ 39,577,775
Appropriated Federal	\$ 3,427,693	\$ 291,557	\$ 100,000	\$ 2,873,807	\$ 656,000	\$ 7,349,057
Total	\$ 586,906,795	\$ 82,046,855	\$ 36,946,845	\$ 224,536,706	\$ 76,397,740	\$ 1,006,834,941



Net Operating Income and Forecast of Reserve Balances

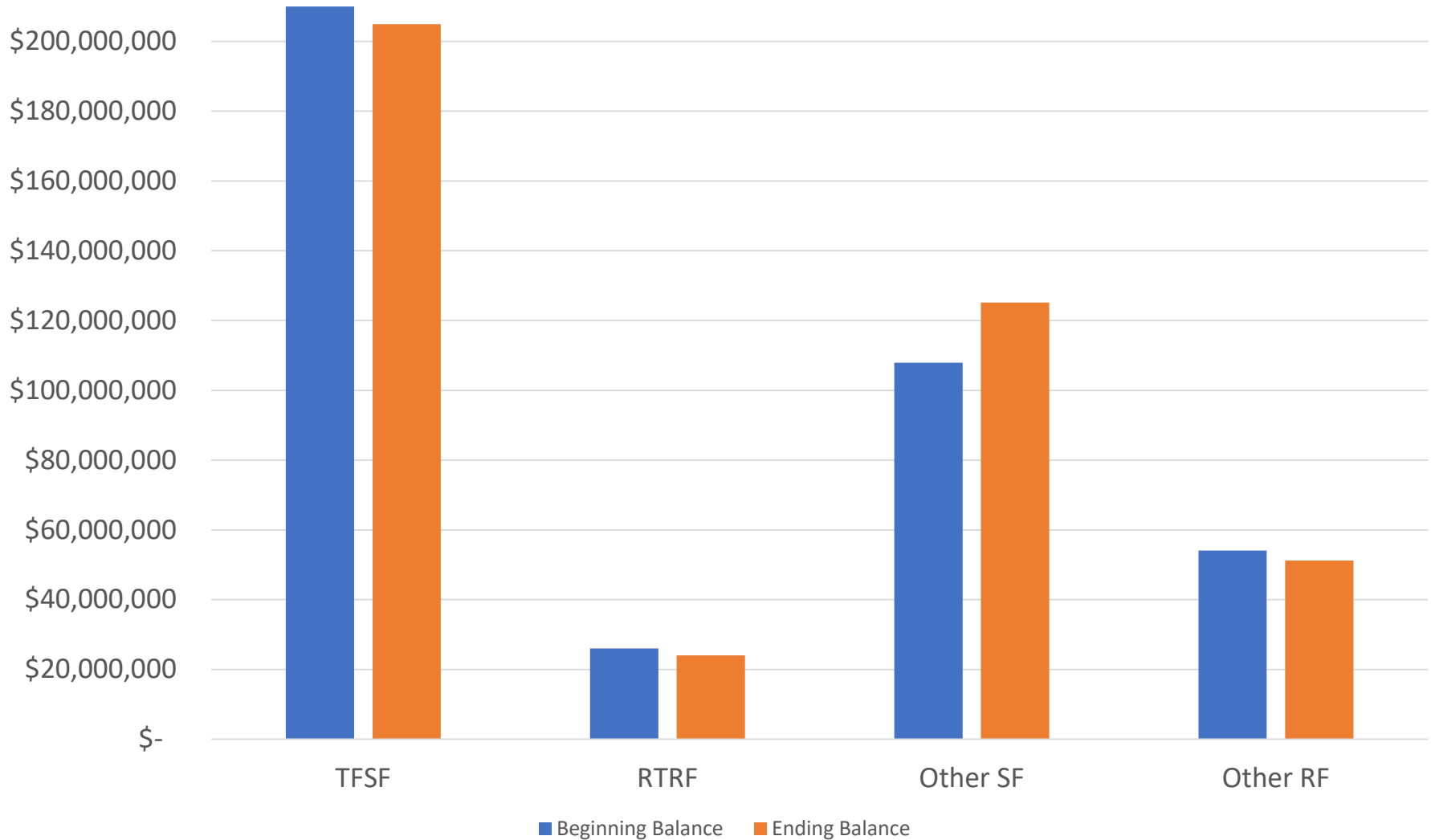
	GF	TFSF	RTRF	Other SF	Other RF	Fed Funds	Total
Beginning Balance	\$ -	\$ 218,880,967	\$ 26,029,194	\$ 107,952,443	\$ 54,082,737	\$ (274,790)	\$ 406,670,551
Rev less Exp (recur)	\$ -	\$ (9,450,276)	\$ (2,023,004)	\$ 20,378,142	\$ 3,221,017	\$ 18,500	\$ 12,144,379
One-Time Exp	\$ -	\$ 4,517,093	\$ -	\$ 3,185,723	\$ 6,103,587	\$ -	\$ 13,806,403
Ending Balance	\$ -	\$ 204,913,598	\$ 24,006,190	\$ 125,144,862	\$ 51,200,167	\$ (256,290)	\$ 405,008,527
16% Target	n/a	\$ 128,322,361	\$ 8,174,123	\$ 14,635,324	\$ 4,689,385	n/a	\$ 155,821,192

- Total Net Operating Income (Revenues less Expenditures) forecasts a gain of \$12.1 million.
- However, this is offset by one-time expenditures totaling \$13.8 million.
- The net result is a \$1.7 million decrease in fund balances.
- Fund balances remain above the 16% target.



Fund Balances

Beginning and Forecasted Ending, FY22

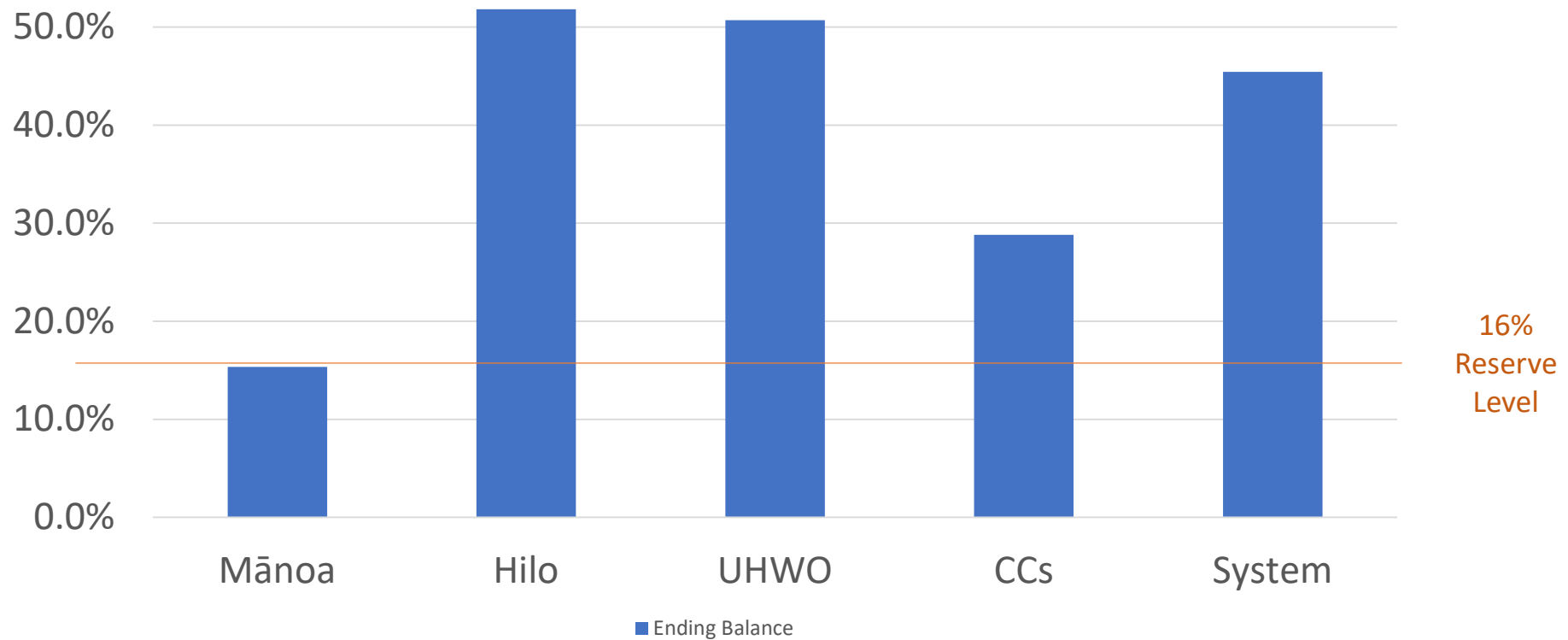




TFSF Gains and Fund Balances

Campus	Mānoa	Hilo	West O'ahu	CCs	Sys Support	Total
TFSF Gain (Loss)	\$ (18,114,826)	\$ (270,000)	\$ 1,443,512	\$ -	\$ 2,973,945	\$ (13,967,369)

Projected Ending FY22 TFSF Reserve Levels





FY22 Budget vs. FY21 Actual

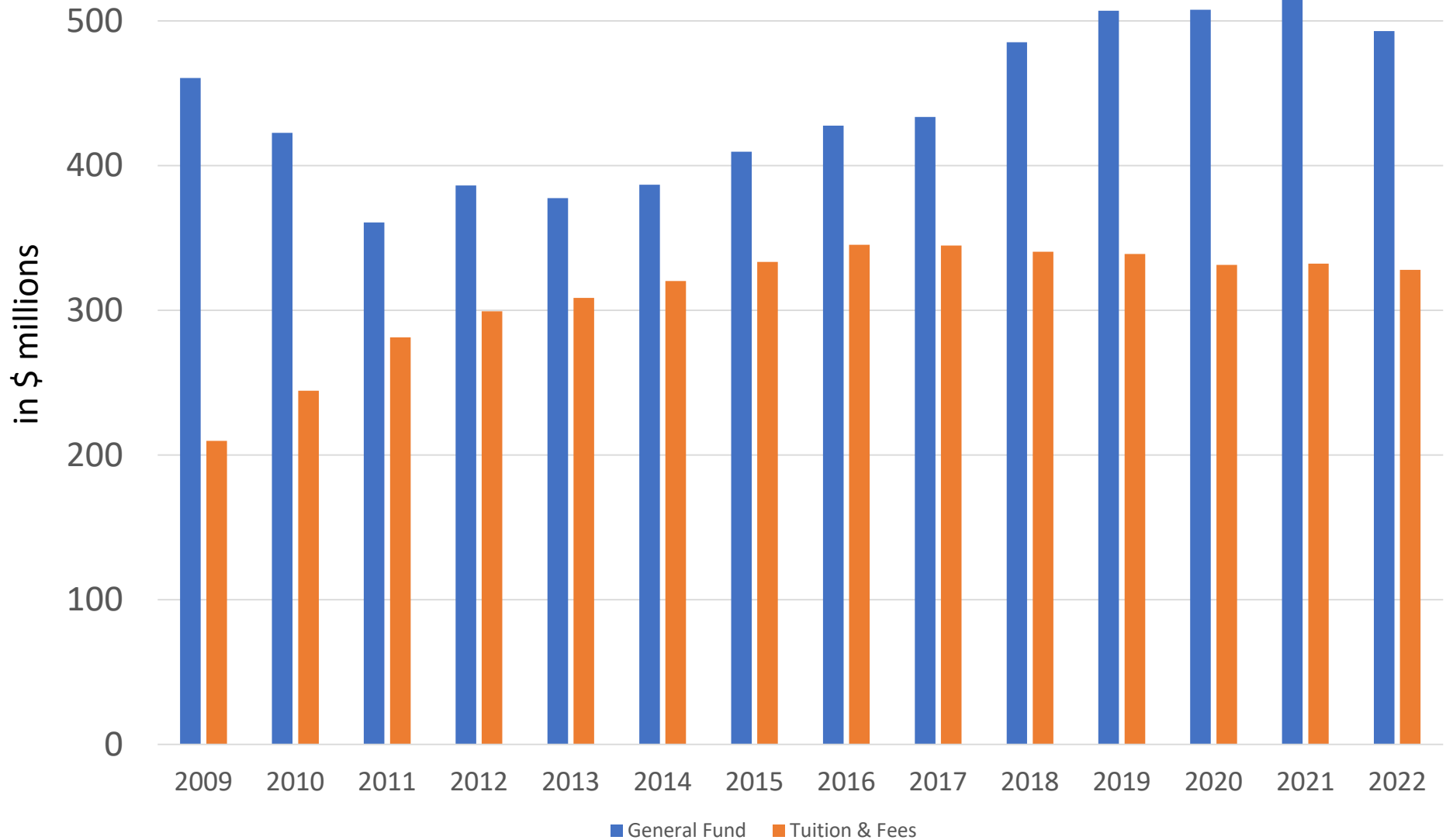
Type of Fund	Revenues			Expenditures & Net Transfers			Rev less Exp/Trf	
	FY22 Proj.	FY21 Act.	Diff	FY22 Proj.	FY21 Act.	Diff	FY22 Proj.	FY21 Act.
General Funds (incl. transfers)	\$493.0	\$535.5	(\$42.5)	\$493.0	\$535.5	(\$42.5)	\$0.0	\$0.0
Tuition & Fees	\$327.9	\$332.3	(\$4.3)	\$341.9	\$242.0	\$99.9	(\$14.0)	\$90.3
RTRF	\$49.0	\$52.6	(\$3.6)	\$51.0	\$48.7	\$2.4	(\$2.0)	\$3.9
Other Special Funds	\$88.4	\$77.5	\$10.9	\$71.2	\$85.6	(\$14.4)	\$17.2	(\$8.1)
Other Revolving Funds	\$36.7	\$16.3	\$20.4	\$39.6	\$16.9	\$22.7	(\$2.9)	(\$0.6)
Appropriated Federal Funds	\$7.4	\$6.0	\$1.3	\$7.3	\$6.0	\$1.3	\$0.0	\$0.0
Total	\$1,002.5	\$1,020.2	(\$17.8)	\$1,004.1	\$934.8	\$69.4	(\$1.7)	\$85.5

in millions

- FY22 General Fund Revenues are significantly lower than FY21 due to reduced appropriations.
- This General Fund reduction is the primary cause for increased TFSF spending.
- FY22 is projected to show a **-\$1.7** million loss across all funds, as compared to the \$85.5 million gain from FY21.



General Fund and TFSF Revenues, FY09-FY22





Mānoa

	<u>General Fund</u>	<u>TFSF</u>	<u>RTRF</u>	<u>Other Special</u>	<u>Other Revolving</u>	<u>Fed Funds</u>	<u>Total</u>
Revenues	\$ 230,780,332	\$ 228,859,569	\$ 29,584,693	\$ 76,462,202	\$ 16,295,518	\$ 3,446,193	\$ 585,428,507
Expenditures	\$ 230,780,332	\$ 191,889,866	\$ 27,790,343	\$ 122,198,262	\$ 15,567,442	\$ 3,427,693	\$ 591,653,938
Net Transfers	\$ -	\$ 55,084,529	\$ 1,669,248	\$ (63,182,991)	\$ 1,682,071	\$ -	\$ (4,747,143)
Rev less Exp/Trf	\$ -	\$ (18,114,826)	\$ 125,102	\$ 18,967,654	\$ (369,187)	\$ 18,500	\$ 627,243
One-Time Exp		\$ -	\$ -	\$ 1,520,723	\$ 584,808		\$ 2,105,531

- General Fund Revenues are projected to be **-\$33.6** million below FY21.
- TFSF Expenditures are increasing by \$62.3 million in response to these General Fund reductions and other needs including enrollment increases.
- Although TFSF Revenues are projected to increase by \$2.9 million (1.3%) due to enrollment, TFSF is still showing an **-\$18.1** million loss as the campus works toward bringing recurring expenses in line with recurring revenues.



Hilo

	<u>General Fund</u>	<u>TFSF</u>	<u>RTRF</u>	<u>Other Special</u>	<u>Other Revolving</u>	<u>Fed Funds</u>	<u>Total</u>
Revenues	\$ 38,175,644	\$ 29,618,584	\$ 2,982,997	\$ 7,417,006	\$ 1,405,812	\$ 291,557	\$ 79,891,600
Expenditures	\$ 37,532,515	\$ 24,099,626	\$ 3,968,334	\$ 13,574,657	\$ 2,662,700	\$ 291,557	\$ 82,129,389
Net Transfers	\$ 643,129	\$ 5,788,958	\$ (163,600)	\$ (6,265,912)	\$ (85,109)	\$ -	\$ (82,534)
Rev less Exp/Trf	\$ -	\$ -	\$ (821,737)	\$ 938,261	\$ -	\$ -	\$ 116,524
One-Time Exp	\$ -	\$ 270,000		\$ 830,000	\$ 1,171,779	\$ -	\$ 2,271,779

- General Fund Revenues are projected to be **-\$1.3** million lower than FY21. Additionally, TFSF Revenues are projected to be **-\$1.4** million less than FY21. Federal HEERF funds will be used to help offset this revenue loss.
- Other Special Fund Revenue is projected to increase by \$3.1 million primarily due to residence hall occupancy and increase in on-campus activity.
- TFSF is showing a one-time expenditure of \$270,000 to fund the PISCES program which was legislatively-transferred to UH-Hilo (from DBEDT) without funding. Legislative leaders have committed that they will correct this error in 2022.



West O'ahu

	<u>General Fund</u>	<u>TFSF</u>	<u>RTRF</u>	<u>Other Special</u>	<u>Other Revolving</u>	<u>Fed Funds</u>	<u>Total</u>
Revenues	\$ 18,315,333	\$ 18,306,024	\$ 195,390	\$ 43,260	\$ 662,000	\$ 100,000	\$ 37,622,007
Expenditures	\$ 18,400,333	\$ 10,362,272	\$ 325,000	\$ 3,500,000	\$ 1,274,000	\$ 100,000	\$ 33,961,605
Net Transfers	\$ (85,000)	\$ 6,500,240	\$ -	\$ (3,430,000)	\$ -	\$ -	\$ 2,985,240
Rev less Exp/Trf	\$ -	\$ 1,943,512	\$ (129,610)	\$ 808,260	\$ (437,000)	\$ -	\$ 2,185,162
One-Time Exp		\$ 500,000	\$ -	\$ 835,000	\$ 175,000		\$ 1,510,000

- General Fund Revenues are projected to be **-\$837,339 (-4.4%)** lower than FY21.
- TFSF Revenues are projected to be the same as FY21, and despite increased Expenditures, TFSF is forecasted to have a \$1.4 million gain for FY22.
- Other Special and Other Revolving Funds are expected to increase revenue due to the re-opening of the campus.



Community Colleges

	General Fund	TFSE	RTRF	Other Special	Other Revolving	Fed Funds	Total
Revenues	\$ 152,745,144	\$ 50,004,445	\$ 1,434,237	\$ 7,217	\$ 17,471,856	\$ 2,873,807	\$ 224,536,706
Expenditures	\$ 154,051,412	\$ 48,627,888	\$ 1,455,380	\$ 7,217	\$ 21,054,819	\$ 2,873,807	\$ 228,070,523
Net Transfers	\$ (1,306,268)	\$ 1,376,557	\$ (21,143)	\$ -	\$ (3,582,963)	\$ -	\$ (3,533,817)
Rev less Exp/Trf	\$ -	\$ 3,747,093	\$ -	\$ -	\$ 4,172,000	\$ -	\$ 7,919,093
One-Time Exp	\$ -	\$ 3,747,093	\$ -	\$ -	\$ 4,172,000	\$ -	\$ 7,919,093

- General Fund Revenues projected to decline by **-\$2.7** million.
- TFSF Revenues are projected to decline by **-\$5.1** million, or **-9.2%**, due to enrollment declines, especially in non-resident enrollment.
- Act 9/SSLH 2021 converted Community Colleges Special Fund to a Revolving Fund, shifting approximately \$16.6 million in revenues.



Systemwide Administration

	General Fund	TFSF	RTRF	Other Special	Other Revolving	Fed Funds	Total
Revenues	\$ 55,718,283	\$ 1,159,150	\$ 14,799,873	\$ 4,500,773	\$ 860,019	\$ 656,000	\$ 77,694,098
Expenditures	\$ 49,254,001	\$ 6,514,926	\$ 15,799,380	\$ 9,741,380	\$ 915,623	\$ 656,000	\$ 82,881,310
Net Transfers	\$ 6,464,282	\$ (8,329,721)	\$ 197,252	\$ (4,904,575)	\$ 89,192	\$ -	\$ (6,483,570)
Rev less Exp/Trf	\$ -	\$ 2,973,945	\$ (1,196,759)	\$ (336,032)	\$ (144,796)	\$ -	\$ 1,296,358
One-Time Exp	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

- General Fund Revenue projected to decline by **-\$4.1** million.
- System programs will have to continue to exercise prudent fiscal spending by limiting hiring, travel, campus allocations, and other operating expenses.



Next Steps

- Review and Recommend Acceptance, pursuant to Committee input.
- Consideration of Multi-Year Financial Forecast later in this agenda.
- Review and Acceptance by full Board at its September 16th meeting.
- Quarterly Financial Reports to monitor revenues and expenditures.
- Quarterly updates on HEERF spending.



Fiscal Year 2021-2022 Operating Budget

September 2021

Introduction and Context

University of Hawai'i (UH) Board of Regents (BOR) Policy 8.204 requires UH Administration to present an operating budget, or expenditure plan, each year for approval. Recognizing the extraordinary fiscal uncertainty as a result of the ongoing global pandemic that currently impacts UH revenues, expenses, and operations, this spending plan contains the framework, assumptions, and actions being taken to respond to and prepare for these unprecedented circumstances and the likely expectation of more adjustments that will be necessary before the fiscal year ends.

The UH depends on State General Funds for approximately 50% of its operating budget and tuition revenue accounts for another 30%.

The expenditure plan is based on the information that is available at this point in time:

- A 2% restriction on the discretionary portion of UH's General Fund budget. "Discretionary" is defined by the State Department of Budget and Finance (State B&F) as those costs not directly associated with instruction or instructional support. This restriction is based on the budget execution instructions put forth by the Governor and State B&F in Executive Memorandum No. 21-04.
- Although this restriction is smaller than the 10% amount in recent years, legislative appropriations to UH were significantly reduced during the 2021 Session. As a result, UH's General Fund Revenues are projected to decrease by \$42.5 million, or 7.9%, as compared to actual FY21 General Fund Revenues.
- After years of intentional and planned buildup of fund balances reserves in anticipation of challenging fiscal scenarios, it is now necessary to use a portion of those fund balances to address the impact of the General Fund reductions to UH's appropriations.
- The federal coronavirus response packages included funding specifically for institutions of higher education to mitigate the impacts of the COVID-19 pandemic and its economic repercussions. These moneys must be used within the next fiscal year or two and although they are not part of this spending plan, they will also be used to defray a portion of those expenditures that would normally be a part of UH's operating budget as well as the requirement to make direct payments to students. Quarterly expenditure reports are required by the federal government and these reports will continue to be presented to the Board of Regents.

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OVERVIEW

In aggregate across the entire UH system, the FY22 projected operating budget is forecasted to include \$1,002,456,775 in revenues against \$1,004,118,799 in expenditures and transfers. As a result, the net fiscal operating deficit is projected to be \$1,662,024 across all fund types for FY22 across UH system campuses. However, this deficit includes \$13,806,403 in one-time expenditures, and by excluding those one-time costs, UH is projecting a \$12.1 million operating gain across all funds.

As a result of the ongoing COVID-19 pandemic and the economic downturn, FY22 revenues across all fund types are projected to decline by \$17.8 million, or 1.7%, as compared to actual FY21 collections. The biggest decline in revenue is in the General Funds category, which is primarily related to reduced legislative appropriations (General Fund revenue will be \$42.5 million, or 7.9%, less than FY21 levels) as well as a 2% restriction on *discretionary* spending as directed by the Governor and State (B&F). Although this is less than the 10% restriction in recent fiscal years, the base appropriation is significantly smaller due to the legislative reductions.

Additionally, revenues for the Tuition and Fees Special Fund (TFSF) are projected to decline by \$4.3 million, or 1.3% of actual FY21 collections. As on-campus activity increases, Other Special Funds and Other Revolving Funds revenues are expected to be stronger this fiscal year and are projecting increases of 14.1% and 125.2%, respectively. However, it should be noted that Act 9, Special Session of Hawai'i 2021, converted the Community Colleges Special Fund into a Revolving Fund. As such, approximately \$16.6 million in revenues that was previously reported as Other Special Funds will now be reported as Other Revolving Funds.

FY22 operating expenditures, transfers, and one-time expenditures are projected to increase by \$69.3 million, or 7.4%, as compared to FY21 actual expenditures. This is primarily due to the shifting of costs from General Funds to TFSF. This shifting of personnel costs to TFSF requires UH to cover the cost of fringe associated with those positions in addition to the salary (the State General Fund picks up fringe costs for General Funded salaries). As a result, for every \$1 of salary shifted out of General Funds, it costs UH's non-General Funds about \$1.53 with expenditures expected to increase as on-campus services increase.

A net operating loss of \$1.7 million across all fund types is projected for FY22. Of that amount, \$13.8 million is attributable to one-time expenditures, leaving \$12.1 million as an operating gain. However, the net operating income varies by fund category. TFSF is projected to have a \$9.5 million loss (of which \$4.5 million is one-time) while Other Special Funds are projected to have a \$20.4 million gain (of which \$3.2 million is one-time costs).

Fund balances are still above target levels (16% of a three-year average for expenditures) pursuant to UH Board of Regents Policy (RP) 8.203. However, it is anticipated that these fund balances will be utilized in future fiscal years to address the General Fund reductions to UH's appropriations and the expiration of federal coronavirus response funds.

BUDGET HIGHLIGHTS BY CAMPUS

Mānoa

Mānoa is projecting a \$1.5 million net operating loss across all appropriated funds. Revenues are projected to be lower by 0.4% as significant reductions in General Funds are offset primarily by increases in Other Special Funds. Additionally, TFSF Revenues are projected to increase by 1.3% due to favorable enrollment trends. While many special and revolving funded operations are expecting to return to near pre-pandemic levels of activity, there is continued uncertainty given the current surge related to the Delta variant of COVID-19. Additionally, these projections were made prior to the latest restrictions on gatherings by the City and County of Honolulu and general guidance from the State. Expenditures are projected to be lower due to the inflow of federal coronavirus response money, scaled back operations due to the pandemic, and cost saving measures including hiring restrictions.

Hilo

General Fund and tuition revenue are both projected to decline in FY22. In response to this decline, UH Hilo will continue cost saving measures that began in the spring of 2020 as well as using HEERF moneys to cover lost revenues. Additionally, an increase in the number of students attending in-person classes as well as students residing on campus will result in increased revenue for Other Special and Other Revolving funds but will also increase personnel and operating expenditures.

West O'ahu (UHWO)

UHWO is projecting an enrollment consistent with FY21 with stable tuition revenue. Cost cutting measures to become leaner and more efficient will continue into FY22. Overall, UHWO is projecting a \$21.6 million ending balance.

Community Colleges

TFSF revenues are projected to decrease by \$5.1 million, or 9.2%. While the overall Student Semester Hour (SSH) enrollment drop is around 6%, the total drop in revenue is more pronounced due to reductions in non-resident enrollment. General Fund Revenues are also projected to decrease by \$4.0 million, or 2.6%. The Community Colleges plan to use \$8.0 million in HEERF funds to cover lost revenues, which will be transferred to cover various needs to help address these revenue declines.

Systemwide Administration (System)

System is projecting a decrease of \$4.1 million in General Fund Revenues, and an \$8.4 million, or 10%, decrease in Revenues when looking at all fund types. Through continued fiscal controls, System will address these revenue declines.

SYSTEMWIDE REVENUES

Type of Fund	FY22 Projected	FY 21 Actual	FY22 Projected vs. FY21 Actual	%age
General Funds	\$493,018,593	\$535,520,707	(\$42,502,114)	-7.9%
Tuition & Fees	\$327,947,772	\$332,295,333	(\$4,347,561)	-1.3%
RTRF	\$48,997,190	\$52,568,391	(\$3,571,201)	-6.8%
Other Special Funds	\$88,430,458	\$77,497,427	\$10,933,031	14.1%
Other Revolving Funds	\$36,695,205	\$16,297,920	\$20,397,285	125.2%
Appropriated Federal Funds	\$7,367,557	\$6,049,158	\$1,318,399	21.8%
Total Revenues	\$1,002,456,775	\$1,020,228,936	(\$17,772,161)	-1.7%

Type of Fund	FY 21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
General Funds	\$535,520,707	\$513,502,509	\$22,018,198	4.3%
Tuition & Fees	\$332,295,333	\$309,320,373	\$22,974,960	7.4%
RTRF	\$52,568,391	\$52,117,248	\$451,143	0.9%
Other Special Funds	\$77,497,427	\$86,612,004	(\$9,114,577)	-10.5%
Other Revolving Funds	\$16,297,920	\$20,482,084	(\$4,184,164)	-20.4%
Appropriated Federal Funds	\$6,049,158	\$8,869,683	(\$2,820,525)	-31.8%
Total Revenues	\$1,020,228,936	\$990,903,901	\$29,325,035	3.0%

General Fund revenues are reflected here as the Legislative appropriation net of transfers that occur at the start of the budget execution process. The disproportionate allocation of the General Fund cuts to the major units may be adjusted by the Administration.

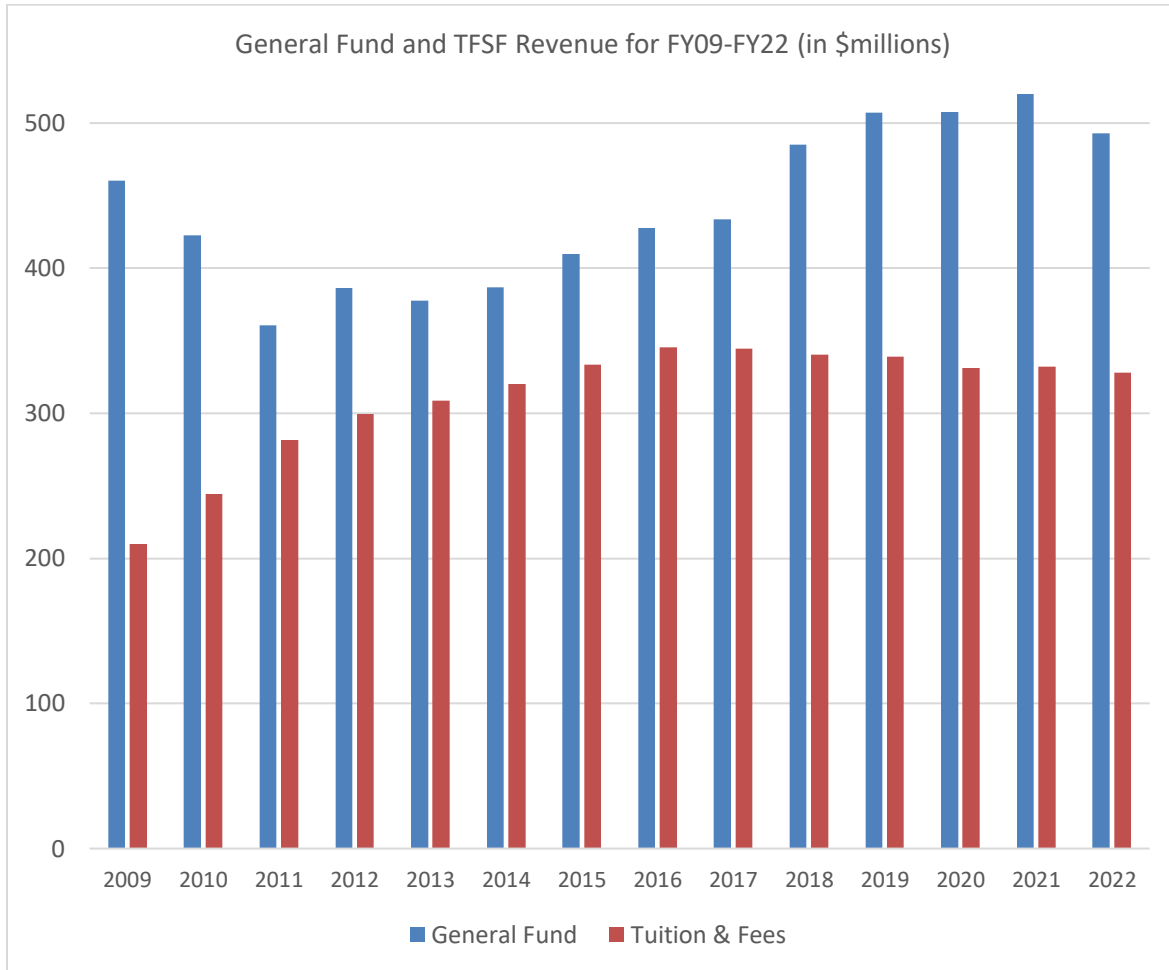
Compared to FY21 actual revenues, FY22 revenues are projected to decline by \$17.8 million, or 1.7%. However, the General Fund budget is projected to decline by \$42.5 million, or 7.9%, as a result of reductions made by the Legislature. The following table provides a breakout by campus of the difference between projected FY22 revenues and actual FY21 collections:

Campus	General Fund Reduction	TFSF Reduction
Mānoa	(\$33,570,950)	\$2,897,335
Hilo	(\$1,316,913)	(\$1,408,949)
West O'ahu	(\$837,339)	\$0
Community Colleges	(\$2,711,625)	(\$5,080,096)
Systemwide Admin	(\$4,065,287)	(\$755,851)
Total	(\$42,502,114)	(\$4,347,561)

As on-campus activity increases, revenues for Other Special Funds and Other Revolving Funds are projected to increase. Act 9, Special Session Laws of the Hawai'i, converted the Community Colleges Special Fund into a revolving fund. Because of this, approximately \$16.6 million of revenue that was previously accounted as Other Special Funds will now show as Other Revolving Funds beginning this fiscal year.

Historic Revenues for General Fund and Tuition

The following chart shows historic revenues for FY09 through FY21 and projected revenues for FY22 for the General Fund and TFSF. The range was selected to show the previous “high” prior to the Great Recession approximately ten years ago:



The General Fund revenue projection is significantly below recent fiscal years and is only about \$8 million higher than General Fund revenues for 2018 (\$485.2 million).

SYSTEMWIDE EXPENDITURES

Expenditures and Transfers by Fund Type

Expenditures and Transfers	FY22 Projected	FY 21 Actual	FY22 Proj. vs. FY21 Actual	%age
General Funds	\$493,018,593	\$535,518,136	(\$42,499,543)	-7.9%
Tuition & Fees	\$341,915,141	\$242,044,064	\$99,871,077	41.3%
RTRF	\$51,020,194	\$48,663,302	\$2,356,892	4.8%
Other Special Funds	\$71,238,039	\$85,628,369	(\$14,390,330)	-16.8%
Other Revolving Funds	\$39,577,775	\$16,862,286	\$22,715,489	134.7%
Appropriated Federal Funds	\$7,349,057	\$6,049,158	\$1,299,899	21.5%
Total Exp. & Transfers	\$1,004,118,799	\$934,765,315	\$69,353,484	7.4%

Expenditures and Transfers	FY 21 Actual	FY21 Projected	FY21 Actual vs. FY21 Proj.	%age
General Funds	\$535,518,136	\$513,502,509	\$22,015,627	4.3%
Tuition & Fees	\$242,044,064	\$291,031,368	(\$48,987,304)	-16.8%
RTRF	\$48,663,302	\$45,575,488	\$3,087,814	6.8%
Other Special Funds	\$85,628,369	\$124,828,987	(\$39,200,618)	-31.4%
Other Revolving Funds	\$16,862,286	\$21,327,706	(\$4,465,420)	-20.9%
Appropriated Federal Funds	\$6,049,158	\$8,869,683	(\$2,820,525)	-31.8%
Total Exp. & Transfers	\$934,765,315	\$1,005,135,741	(\$70,370,426)	-7.0%

In coordination with the decrease in General Fund revenues, expenditures from General Funds are projected to decrease by \$42.5 million. As UH operations are primarily funded through a combination of state General Fund and UH Tuition & Fees (TFSF), the decrease in General Fund expenditures is being offset in part by an increase in TFSF expenditures. When salaries previously paid by the General Fund are paid using TFSF fund dollars there may be some addition of fringe costs of approximately 53% of the salary. As a result, without mitigating measures the increase in TFSF expenditures is considerably larger than the reduction in General Funds.

Additionally, the increase in on-campus activity will require additional services to be provided, which will result in increased expenditures from nearly all fund types.

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$636,851,324	\$617,774,114	\$19,077,210	3.1%
Lecturer Payroll	\$38,268,729	\$32,905,663	\$5,363,066	16.3%
Student Help Payroll	\$13,035,351	\$12,288,324	\$747,027	6.1%
Other Personnel	\$7,753,878	\$5,943,878	\$1,810,000	30.5%
<i>Subtotal Personnel</i>	<i>\$695,909,282</i>	<i>\$668,911,979</i>	<i>\$26,997,303</i>	<i>4.0%</i>
Utilities	\$67,791,956	\$56,223,211	\$11,568,745	20.6%
Scholarships, Tuition	\$62,951,306	\$60,642,563	\$2,308,743	3.8%
Other Operating Expenses	\$192,044,222	\$150,563,058	\$41,481,164	27.6%
<i>Subtotal Other</i>	<i>\$322,787,484</i>	<i>\$267,428,832</i>	<i>\$55,358,652</i>	<i>20.7%</i>
Total Expenditures	\$1,018,696,766	\$936,340,811	\$82,355,955	8.8%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$617,774,114	\$630,753,645	(\$12,979,531)	-2.1%
Lecturer Payroll	\$32,905,663	\$32,425,507	\$480,156	1.5%
Student Help Payroll	\$12,288,324	\$15,363,761	(\$3,075,437)	-20.0%
Other Personnel	\$5,943,878	\$6,990,763	(\$1,046,885)	-15.0%
<i>Subtotal Personnel</i>	<i>\$668,911,979</i>	<i>\$685,533,676</i>	<i>(\$16,621,697)</i>	<i>-2.4%</i>
Utilities	\$56,223,211	\$65,377,807	(\$9,154,596)	-14.0%
Scholarships, Tuition	\$60,642,563	\$61,943,894	(\$1,301,331)	-2.1%
Other Operating Expenses	\$150,563,058	\$175,313,003	(\$24,749,945)	-14.1%
<i>Subtotal Other</i>	<i>\$267,428,832</i>	<i>\$302,634,704</i>	<i>(\$35,205,872)</i>	<i>-11.6%</i>
Total Expenditures	\$936,340,811	\$988,168,380	(\$51,827,569)	-5.2%

Due to transfers, these numbers do not exactly match the previous table that reported expenditures by fund type. Again, as on-campus activities increase, expenditures are also increasing.

SYSTEMWIDE NET OPERATING INCOME

Revenues less expenditures produce the Net Operating Income for the UH. When looking at recurring costs, UH is showing a net gain of \$12.1 million. However, there are also \$13.8 million in one-time expenditures planned for FY22. The combined effect of these is a reduction of fund balances totaling \$1.7 million for FY22.

Net Operating Income	FY22 Projected
General Funds	\$0
Tuition & Fees	(\$9,450,276)
RTRF	(\$2,023,004)
Other Special Funds	\$20,378,142
Other Revolving Funds	\$3,221,017
Appropriated Federal Funds	\$18,500
Total NOI	\$12,144,379

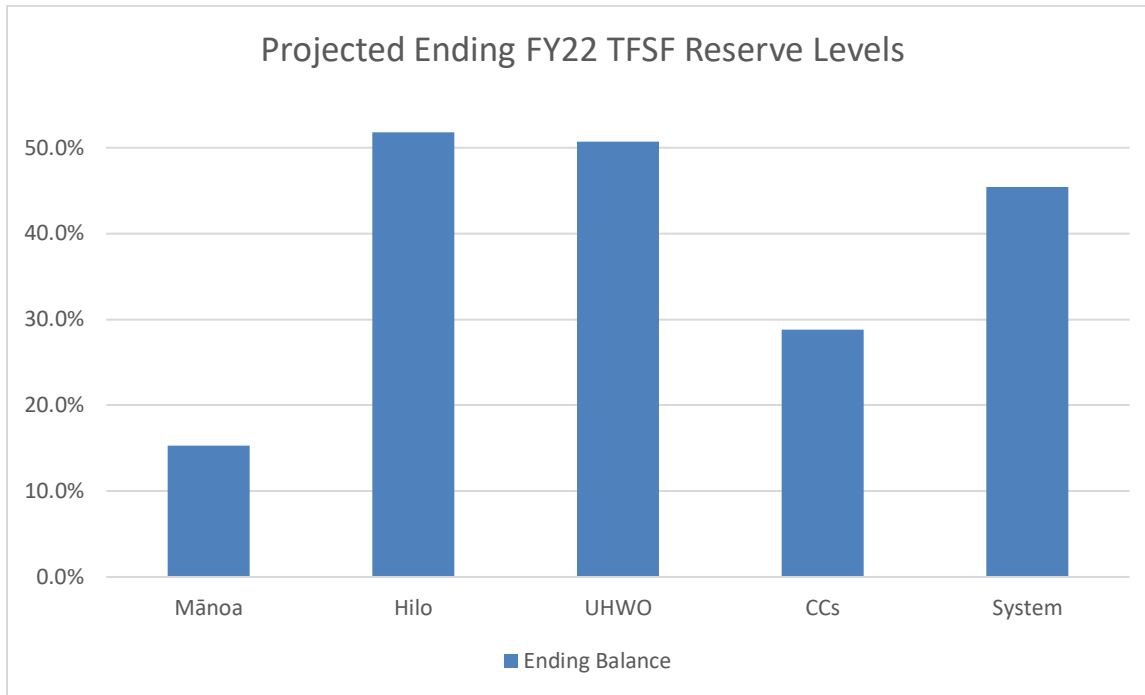
One-Time Expenditures	FY22 Projected
Tuition & Fees	\$4,517,093
RTRF	\$0
Other Special Funds	\$3,185,723
Other Revolving Funds	\$6,103,587
Total NOI	\$13,806,403

When looking across all UH funds, reserves can adequately satisfy the operating loss for this fiscal year. However, individual funds may be impacted more than others. Additionally, further reductions of UH's General Fund appropriations in the next fiscal biennium are currently programmed into the State budget by the Legislature. HEERF moneys will also not be available at that time.

Net Operating Income (including one-time expenditures) (All Funds Summary)	FY22 Projected
Net Operating Income	(\$1,662,024)
Beginning Balance	\$406,670,551
Ending Balance	\$405,008,527
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$107,881,776
Revised Ending Balance	\$297,126,751
Reserve Requirement (5% Minimum)	\$49,688,487
Balance Above Minimum Reserve After Adjustments	\$247,438,264

TFSF RESERVE LEVELS

Despite the losses in the current fiscal year, TFSF reserve levels remain healthy. However, these fund balances were purposefully built up over the past few fiscal years and it is expected that these balances will need to be tapped for the next several.



Campus	FY22 Ending	Held at System
Mānoa	\$ 68,822,740	\$ 22,448,943
Hilo	\$ 31,603,770	\$ 3,049,486
UHWO	\$ 16,314,824	\$ 1,609,122
CCs	\$ 63,513,248	\$ 11,018,162
System	\$ 24,659,016	\$ 2,714,493
Total	\$ 204,913,598	\$ 40,840,206

This is the first year that Mānoa has joined the other units in having a portion of their fund balance held at the System level equivalent to 5% of their annual expenditures. This reserve can only be utilized on authority of UH's Chief Financial Officer.

ENROLLMENT

Across all UH campuses, Fall 2022 enrollment appears to be about 2% higher than Fall 2021. However, the experience is mixed with Mānoa and Hilo showing growth while UHWO and the Community Colleges show slight declines. Individual campus sections within this narrative have additional detail regarding enrollment.

Federal Coronavirus Response Funds

Since the start of the pandemic in March 2020, the federal government passed three funding measures in response to the COVID-19 pandemic and its economic impacts. These were the Coronavirus Aid Relief, and Economic Security (CARES) Act, the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), and the American Rescue Plan Act (ARPA). All three of these measures included funding for the Higher Education Emergency Relief Fund (HEERF).

HEERF moneys are available in three separate tranches: Tranche 1 funds are required to be used for aid awarded and paid directly to students; Tranche 2 can be used to cover any costs associated with significant changes to the delivery of instruction due to the coronavirus, such as purchase of equipment or software for distance learning, and training to operate in a remote learning environment; and Tranche 3 can be used to defray institutional expenses, including lost revenue, reimbursement for expenses already incurred, technology costs associated with the transition to distance education, and payroll.

This spending plan uses HEERF funds to offset decreases in revenues for other UH funds. Expiration dates vary as the grants were not all awarded simultaneously and these funds expire in approximately one year. Therefore, while HEERF funds will be used this fiscal year, some will not be available in subsequent years. Due to the one-time nature of these funds, these expenditures are not included in this plan. Quarterly reports on HEERF expenditures will continue to be made to the federal government and to the Board of Regents.

CONCLUSION

The FY22 spending plan for UH addresses the reduction to UH's General Fund appropriations through the utilization of fund balances and defraying some of these costs using HEERF. Additionally, as on-campus activity increases, revenues for Other Special and Other Revolving Funds should eventually return to near pre-pandemic levels.

Although UH appears to be able to manage its fiscal position for FY22, it will require the utilization of fund balances, which were intentionally built up over the past several years through prudent management. Continued uncertainty about COVID-19, the likelihood of additional General Fund reductions, and the loss of federal coronavirus relief funds will require UH to use these fund balances in the near future.

University of Hawai‘i at Mānoa

Introduction

The University of Hawai‘i at Mānoa (UH Mānoa) is the ‘flagship’ campus of the UH System, combining world-class research with graduate and undergraduate education. Consistent with its location in the most diverse community and environment in the world, UH Mānoa is a globally recognized center of learning and research with a kuleana to serve the people of Hawai‘i and our neighbors in the Pacific and Asia. The Carnegie Foundation classifies UH Mānoa as a Research 1 institution with “very high” research activity, and the National Science Foundation ranks UH Mānoa as 44th among public universities in federal research expenditures. UH Mānoa has widely recognized strengths in tropical agriculture, tropical medicine, oceanography, astronomy, marine biology, engineering, volcanology, microbiology, linguistics, comparative philosophy, comparative religion, Hawaiian studies, Asian studies, Pacific Islands studies, and public health.

UH Mānoa houses the State’s only medical school, law school, college of engineering and school of architecture. It provides distinctive professional degrees through schools in education, business, travel industry management, nursing, and social work. It also offers doctoral programs in major intellectual disciplines. As a land-, sea-, space- and sun-grant university, UH Mānoa has unique responsibilities and opportunities to deliver applied research and education programs that are relevant to the pressing needs of our State and the nation. UH Mānoa offers 102 bachelor’s degrees, 89 master’s degrees, 52 research doctorates, and 5 professional doctorates. Of these, 69 programs are professionally accredited. The campus offers 28 undergraduate certificates, 3 post-baccalaureate certificates, and 40 graduate certificates.

Strategic planning over the past several years provided the campus with a roadmap for budget strategies and priorities over the next five years, all of which has been upended by the COVID-19 pandemic and financial crisis. The post-pandemic planning process begun in Spring 2020 yielded a series of recommendations for programmatic adjustments, which are in the process of being implemented. A set of performance indicators for prioritization was developed in FY21 to be used for further evaluation of program, hiring priorities, and budget-making decisions. This planning and prioritization process will align the strategic deployment and investment of resources toward the goal of meeting the highest priority needs of the State to ensure economic and social recovery as it builds toward a more sustainable and robust future.

BUDGET SUMMARY

Overview

For all funds, UH Mānoa is projecting revenues of \$585.4 million and expenditures of \$591.7 million. Discussion of the projected net operating loss follows presentation of the summary tables below. The Tuition and Fees Special Fund is covered in a separate section following the discussion of all funds.

ALL FUNDS

Revenues

Type of Fund	FY22 Projected	FY 21 Actual	FY22 Projected vs. FY21 Actual	%age
General Funds	\$230,780,332	\$264,351,282	(\$33,570,950)	-12.7%
Tuition & Fees	\$228,859,569	\$225,962,234	\$2,897,335	1.3%
RTRF	\$29,584,693	\$30,960,165	(\$1,375,472)	-4.4%
Other Special Funds	\$76,462,202	\$50,931,543	\$25,530,659	50.1%
Other Revolving Funds	\$16,295,518	\$12,900,214	\$3,395,304	26.3%
Appropriated Federal Funds	\$3,446,193	\$2,535,038	\$911,155	35.9%
Total Revenues	\$585,428,507	\$587,640,476	(\$2,211,969)	-0.4%

Type of Fund	FY 21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
General Funds	\$264,351,282	\$252,839,614	\$11,511,668	4.6%
Tuition & Fees	\$225,962,234	\$206,107,415	\$19,854,819	9.6%
RTRF	\$30,960,165	\$32,513,608	(\$1,553,443)	-4.8%
Other Special Funds	\$50,931,543	\$62,478,697	(\$11,547,154)	-18.5%
Other Revolving Funds	\$12,900,214	\$15,938,528	(\$3,038,314)	-19.1%
Appropriated Federal Funds	\$2,535,038	\$4,954,277	(\$2,419,239)	-48.8%
Total Revenues	\$587,640,476	\$574,832,139	\$12,808,337	2.2%

When comparing the projected FY22 budget against FY21 actuals, revenue is expected to decrease by \$2.2 million. The 2021 Legislature reduced the UH Mānoa appropriation of General Funds by \$35.6 million in FY22 (the reduction is slightly lower in the above table due to one-time appropriations in FY21). Other Special and Revolving fund revenues were severely impacted by the pandemic in FY21 and are projected to begin recovering in FY22. RTRF is expected to decrease slightly from FY21 actuals.

TFSF revenue is currently projected to increase approximately 1.3% from the prior year. Enrollment is up by approximately 9% for Fall 2021, and tuition charged for Fall has increased correspondingly over the previous year. However, significant uncertainties regarding how much tuition will actually be collected remain to be resolved as we navigate through the semester. First, the uncollected tuition amount for Fall jumped in Fall 2020, and this trend continues for Fall 2021. Second, the assumptions usually used to project Spring enrollment as a percentage of Fall enrollment may not hold true this academic year due to pandemic conditions. Tuition waivers trended much lower than usual for FY21, and as a result the FY22 tuition revenue projection was adjusted to include a more

typical projection for waivers. The net result of all of these assumptions reduces the overall rate of increase to 1.3%.

Other Special and Revolving Fund operations include campus auxiliary operations such as bookstore, parking, athletics, student activities, and student and faculty housing. For FY22, most units are conservatively projecting that operations will be near 75% of pre-pandemic levels. Units that rely on in-person activities are hopeful that operations will eventually return to pre-pandemic levels in FY23. However, given the recent surge in cases and the restrictions in attendance for athletic events, these projections may require revision when more information is available.

Expenditures and Transfers by Fund Type

Expenditures and Transfers	FY22 Projected	FY21 Actual	FY22 Proj. vs. FY21 Actual	%age
General Funds	\$230,780,332	\$264,348,714	(\$33,568,382)	-12.7%
Tuition & Fees	\$246,974,395	\$184,630,140	\$62,344,255	33.8%
RTRF	\$29,459,591	\$29,790,278	(\$330,687)	-1.1%
Other Special Funds	\$59,015,271	\$68,475,732	(\$9,460,461)	-13.8%
Other Revolving Funds	\$17,249,513	\$13,862,857	\$3,386,656	24.4%
Appropriated Federal Funds	\$3,427,693	\$2,535,038	\$892,655	35.2%
Total Expenditures	\$586,906,795	\$563,642,759	\$23,264,036	4.1%

Expenditures and Transfer	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Proj.	%age
General Funds	\$264,348,714	\$252,839,614	\$11,509,100	4.4%
Tuition & Fees	\$184,630,140	\$197,540,802	(\$12,910,662)	-7.0%
RTRF	\$29,790,278	\$29,452,860	\$337,418	1.1%
Other Special Funds	\$68,475,732	\$99,321,308	(\$30,845,576)	-45.0%
Other Revolving Funds	\$13,862,857	\$15,980,922	(\$2,118,065)	-15.3%
Appropriated Federal Funds	\$2,535,038	\$4,954,277	(\$2,419,239)	-95.4%
Total Expenditures	\$563,642,759	\$600,089,783	(\$36,447,024)	-6.5%

Across all fund types, FY22 expenditures and net transfers are projected to increase by 4.1% over FY21 actual expenditures.

For General Funds, expenditures will be reduced by the amount of the reduction in legislative appropriation. Both TFSF funds and HEERF will be used to address this shortfall in General Funds.

TFSF expenditures on personnel will increase to cover the General Fund shortfall, and to cover the associated additional fringe benefit cost (projected to be approximately \$11 million for FY22). These personnel expenditure increases are offset in part by cost saving measures including the following:

- Continuation of the freeze on hiring across the Mānoa campus. Exceptions to the freeze will be considered for operational necessity, as further informed by the performance indicators recently developed;

- Continuation of the freeze on travel, equipment purchases, other purchases over \$25,000, as well as other operating costs. All such expenditures must be approved by the President, after scrutiny by the Provost and the Campus Budget Office. With the anticipation of a greater presence on campus in FY22, utilities and other operating expenses are expected to increase over FY21 actuals but to remain below FY19 actuals, which represents the last year in which spending was not impacted by the pandemic; and,
- UHM leadership is continuing to work with the campus units to identify additional opportunities for cost savings during FY22.

Other Special Fund expenditures are expected to decrease in FY22 due to delay of Repair & Replacement (R&R) projects because of the pandemic. While most of the units are anticipating a partial return to pre-pandemic levels of operations, prior planned R&R costs will be delayed. Some of the expenditures are being offset due to lost revenue funding being received in FY22 for the prior fiscal year due to the pandemic.

Other Revolving Fund expenditures are expected to increase in hopes of returning to near pre-pandemic levels of activities on campus. The prior year actuals reflect fewer expenditures related to student fee activities and commercial enterprise activities.

Expenditures by Category

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$382,186,046	\$376,302,083	\$5,883,963	1.6%
Lecturer Payroll	\$8,043,937	\$9,254,737	(\$1,210,800)	-13.1%
Student Help Payroll	\$7,424,986	\$7,734,721	(\$309,735)	-4.0%
Other Personnel	\$3,642,639	\$2,985,382	\$657,257	22.0%
<i>Subtotal Personnel</i>	<i>\$401,297,608</i>	<i>\$396,276,923</i>	<i>\$5,020,685</i>	<i>1.3%</i>
Utilities	\$47,461,877	\$40,512,718	\$6,949,159	17.2%
Scholarships, Tuition	\$43,247,964	\$41,059,017	\$2,188,947	5.3%
Other Operating Expenses	\$99,646,489	\$83,764,928	\$15,881,561	19.0%
<i>Subtotal Other</i>	<i>\$190,356,330</i>	<i>\$165,336,663</i>	<i>\$25,019,667</i>	<i>15.1%</i>
Total Expenditures	\$591,653,938	\$561,613,586	\$30,040,352	5.3%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$376,302,083	\$383,703,863	(\$7,401,780)	-1.9%
Lecturer Payroll	\$9,254,737	\$6,285,511	\$2,969,226	47.2%
Student Help Payroll	\$7,734,721	\$9,245,759	(\$1,511,038)	-16.3%
Other Personnel	\$2,985,382	\$3,079,157	(\$93,775)	-3.0%
<i>Subtotal Personnel</i>	<i>\$396,276,923</i>	<i>\$402,314,290</i>	<i>(\$6,037,367)</i>	<i>-1.5%</i>
Utilities	\$40,512,718	\$46,630,769	(\$6,118,051)	-13.1%
Scholarships, Tuition	\$41,059,017	\$41,608,377	(\$549,360)	-1.3%
Other Operating Expenses	\$83,764,928	\$89,441,649	(\$5,676,721)	-6.3%
<i>Subtotal Other</i>	<i>\$165,336,663</i>	<i>\$177,680,795</i>	<i>(\$12,344,132)</i>	<i>-6.9%</i>
Total Expenditures	\$561,613,586	\$579,995,085	(\$18,381,499)	-3.2%

Due to transfers, these numbers do not exactly match the previous table that reported expenditures by fund type. Expenditures in FY22 are projected to increase by 5.3% over actual FY21 expenditures. These increases are primarily in utilities and other operating expenses due to increased on-campus activity.

Net Operating Gain (Loss)

Type of Fund	FY22 Projected	FY21 Actual	FY22 Proj. vs. FY21 Actual	%age
General Funds	\$0	\$2,568	(\$2,568)	-100.0%
Tuition & Fees	(\$18,114,826)	\$41,332,094	(\$59,446,920)	-143.8%
RTRF	\$125,102	\$1,169,887	(\$1,044,785)	-89.3%
Other Special Funds	\$18,967,654	(\$17,544,189)	\$36,511,843	208.1%
Other Revolving Funds	(\$369,187)	(\$962,643)	\$593,456	61.6%
Appropriated Federal Funds	\$18,500	\$0	\$18,500	
Total Expenditures	\$627,243	\$23,997,717	(\$23,370,474)	-97.4%

Type of Fund	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Proj.	%age
General Funds	\$2,568	\$0	\$2,568	100.0%
Tuition & Fees	\$41,332,094	\$8,566,613	\$32,765,481	79.3%
RTRF	\$1,169,887	\$3,060,748	(\$1,890,861)	-161.6%
Other Special Funds	(\$17,544,189)	(\$36,842,611)	\$19,298,422	110.0%
Other Revolving Funds	(\$962,643)	(\$42,394)	(\$920,249)	-95.6%
Appropriated Federal Funds	\$0	\$0	\$0	
Total Expenditures	\$23,997,717	(\$25,257,644)	\$49,255,361	205.3%

Net Operating Gain/Loss is the difference between Revenue and the combined amount of Expenditures and Net Transfers (out).

The decrease in TFSF net operating is primarily caused by an increased amount of expenditure due to the decrease of General Funds. While there is a slight increase in projected revenue, this will not cover the loss of over \$30 million of General Funds. The projected operating balance will be critical to cover for anticipated shortfalls in the upcoming years.

RTRF net operating income is projected to decrease due to conservative revenue and expenditures assumptions for the upcoming year. With hiring controls and restrictions on purchasing in place, projected expenditures are expected to be flat while the revenue is projected to decrease slightly from the prior year.

With the anticipation of increased presence and activity on campus this academic year, the extent of COVID-related challenges to specific Other Special Funds are not projected to be as extensive as FY21. For example, Student Housing is operating at full capacity for Fall 2021 and thus revenues are projected to return to normal levels. However, with the recent announcement that the football and volleyball seasons will begin without fans, the revenue projections for the Athletics Special Fund are now subject to further uncertainty and will need to be revisited. It is not known at this time what impact the current surge in COVID case levels will have on special and revolving fund revenues.

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures)	FY22
(All Funds Summary)	Projected
Net Operating Income	(\$1,478,288)
Beginning Balance	\$207,727,494
Ending Balance	\$206,249,206
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$79,561,339
Revised Ending Balance	\$126,687,867
Reserve Requirement (5% Minimum)	\$29,173,955
Balance Above Minimum Reserve After Adjustments	\$97,513,912

After a projected net operating loss of \$1.5 million, Mānoa's anticipated ending balance is \$206.2 million. Much of this balance is already committed in the form of R&R reserves (\$58.2 million), bond covenant and requirements (\$953K) and RTRF commitments (\$20.4 million). The total of those adjustments is projected to be \$79.6 million in FY22. This revises the projected ending balance to be \$97.5 million, which remains above the 5% minimum reserve and slightly above the 16% target reserve level of \$93.3 million.

TUITION AND FEES SPECIAL FUND

Tuition and Fees Special Fund (TFSF) is projected to have revenues of \$228.9 million, expenditures of \$191.9 million and net transfers of \$55.1 million. This results in a projected net operating loss of \$18.1 million.

Revenues

FY22 Projected	FY21 Actual	FY22 Proj. vs. FY21 Actual	%age
\$223,168,863	\$225,962,234	(\$2,793,371)	-1.2%

FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Proj.	%age
\$225,962,234	\$206,107,415	\$19,854,819	9.6%

FY22 tuition is projected to be \$2.9 million more than what was received in FY21. This reflects a lower projection of growth than the current enrollment numbers indicate; however, uncertainties remain with regard to the revenues that may be realized.

Expenditures

FY22 expenditures are projected to increase by about 35.7% in comparison to FY21 actuals.

- **Personnel Expenses:** Personnel expenditures are expected to increase by 33.4 million over the last fiscal year. This reflects the use of TFSF funds towards the General Funds shortfall and associated increase in fringe benefits costs.
- **Utilities:** Expenditures for utilities on tuition funds are projected to be higher than in FY21, due to increased presence on campus and increases in rates.
- **Other Operating Expenses:** The combination of the freeze on travel, equipment purchases, and purchases over \$25,000 is expected to hold operating expenses on tuition funds below FY19 actuals, which represents the last year in which spending was not impacted by the pandemic. However, greater presence on campus is expected to result in an increase in operating costs over FY21 actuals.

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$95,810,706	\$62,380,275	\$33,430,431	53.6%
Lecturer Payroll	\$7,858,968	\$9,055,816	(\$1,196,848)	-13.2%
Student Help Payroll	\$2,772,507	\$3,946,509	(\$1,174,002)	-29.7%
Other Personnel	\$2,412,835	\$1,790,112	\$622,723	34.8%
<i>Subtotal Personnel</i>	<i>\$108,855,016</i>	<i>\$77,172,712</i>	<i>\$31,682,304</i>	<i>41.1%</i>
Utilities	\$35,195,924	\$26,125,784	\$9,070,140	34.7%
Scholarships, Tuition	\$2,191,876	\$2,211,698	(\$19,822)	-0.9%
Other Operating Expenses	\$45,647,050	\$35,897,920	\$9,749,130	27.2%
<i>Subtotal Other</i>	<i>\$83,034,850</i>	<i>\$64,235,402</i>	<i>\$18,799,448</i>	<i>29.3%</i>
Total Expenditures	\$191,889,866	\$141,408,114	\$50,481,752	35.7%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$62,380,275	\$68,424,602	(\$6,044,327)	-8.8%
Lecturer Payroll	\$9,055,816	\$5,845,700	\$3,210,116	54.9%
Student Help Payroll	\$3,946,509	\$4,173,738	(\$227,229)	-5.4%
Other Personnel	\$1,790,112	\$1,988,107	(\$197,995)	-10.0%
<i>Subtotal Personnel</i>	<i>\$77,172,712</i>	<i>\$80,432,147</i>	<i>(\$3,259,435)</i>	<i>-4.1%</i>
Utilities	\$26,125,784	\$30,484,127	(\$4,358,343)	-14.3%
Scholarships, Tuition	\$2,211,698	\$1,224,659	\$987,039	80.6%
Other Operating Expenses	\$35,897,920	\$33,419,947	\$2,477,973	7.4%
<i>Subtotal Other</i>	<i>\$64,235,402</i>	<i>\$65,128,733</i>	<i>(\$893,331)</i>	<i>-1.4%</i>
Total Expenditures	\$141,408,114	\$145,560,880	(\$4,152,766)	-2.9%

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures) (TFSF)	FY22 Projected
Net Operating Income	(\$18,114,826)
Beginning Balance	\$86,937,566
Ending Balance	\$68,822,740
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$0
Revised Ending Balance	\$68,822,740
Reserve Requirement (5% Minimum)	\$23,887,736
Balance Above Minimum Reserve After Adjustments	\$44,935,004

In FY22, TFSF is projected to have a net operating loss of \$18.1 million, which still places the ending balance above the 5% minimum reserve requirement of \$23.8 million outlined in Executive Policy (EP). These reserves will be essential to address revenue shortfalls in future fiscal years while campus reshaping plans are implemented.

University of Hawai'i at Hilo

UH Hilo is a comprehensive, regional university serving roughly 3,000 students on a 120-acre main campus. The primary focus of the campus is to provide high quality baccalaureate and select postgraduate education. In carrying out this mission, UH Hilo offers 35 undergraduate degrees, 5 graduate degrees, 2 doctoral degrees, and 2 professional degrees that take advantage of the unique physical and social characteristics of the island, attracting students who are qualified for baccalaureate entry and seek opportunities for highly engaging and experiential learning. This includes first-generation and non-traditional students, some of whom attend part-time.

While a primary target for UH Hilo is residents of Hawai'i Island, its quality programs are attractive to prospective students from other islands, the Pacific, the mainland U.S. and other countries. University-bound students from O'ahu in particular may select UH Hilo not only for its distinctive undergraduate programs but also for its rural setting, affordability, intimate character, or to leave home without leaving the state.

UH Hilo is anticipating an increase in the number of students attending in-person classes as well as students residing on campus. As a result, revenue in Other Special and Other Revolving funds will increase but so will personnel and operating expenditures.

General Fund and tuition revenue is projected to decline. In response to this decline, UH Hilo will continue cost saving measures that began in the spring of 2020.

ALL FUNDS

Revenues

Type of Fund	FY22 Projected	FY 21 Actual	FY22 Projected vs. FY21 Actual	%age
General Funds	\$37,532,515	\$38,849,428	(\$1,316,913)	-3.4%
Tuition & Fees	\$29,618,584	\$31,027,533	(\$1,408,949)	-4.5%
RTRF	\$2,982,997	\$2,962,497	\$20,500	0.0%
Other Special Funds	\$7,417,006	\$4,265,790	\$3,151,216	73.9%
Other Revolving Funds	\$1,405,812	\$1,262,589	\$143,223	11.3%
Appropriated Federal Funds	\$291,557	\$261,512	\$30,045	11.5%
Total Revenues	\$79,248,471	\$78,629,349	\$619,122	0.8%

Type of Fund	FY 21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
General Funds	\$38,849,428	\$37,343,067	\$1,506,361	4.0%
Tuition & Fees	\$31,027,533	\$30,888,533	\$139,000	0.5%
RTRF	\$2,962,497	\$2,388,217	\$574,280	24.0%
Other Special Funds	\$4,265,790	\$4,915,977	(\$650,187)	-13.2%
Other Revolving Funds	\$1,262,589	\$1,500,047	(\$237,458)	-15.8%
Appropriated Federal Funds	\$261,512	\$291,557	(\$30,045)	-10.3%
Total Revenues	\$78,629,349	\$77,327,398	\$1,301,951	1.7%

Overall, revenues are projected to increase by .8% when compared to actual FY21 collections. Although General Fund revenue is projected to be 3.4% less than FY21 actuals, HEERF funds will be used to help offset this loss of revenue. Tuition and Fees revenue is planned to be \$1.4 million less than FY21 actuals due to enrollment decline in the Daniel K. Inouye College of Pharmacy (DKICP). Other Special Fund revenue is projected to increase by \$3.2 million when compared with actual FY21 revenue.

The increase in Other Special Fund revenue is primarily due to a projected increase in residence hall occupancy and the number of students attending in-person classes. Revenue from theater ticket sales, athletic events, food concession rebates and facility use is also projected to increase when compared with FY 21 actual revenue. There is a slight increase in Other Revolving Fund revenue as a result of more students taking in-person classes.

Expenditures

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$47,477,972	\$42,837,347	\$4,640,625	10.8%
Lecturer Payroll	\$1,248,030	\$1,069,856	\$178,174	16.7%
Student Help Payroll	\$1,779,917	\$1,323,444	\$456,473	34.5%
Other Personnel	\$1,188,931	\$1,229,001	(\$40,070)	-3.3%
Subtotal Personnel	\$51,694,850	\$46,459,648	\$5,235,202	11.3%
Utilities	\$5,512,593	\$3,464,233	\$2,048,360	59.1%
Scholarships, Tuition	\$6,962,614	\$6,544,292	\$418,322	6.4%
Other Operating Expenses	\$17,959,332	\$10,399,882	\$7,559,450	72.7%
Subtotal Other	\$30,434,539	\$20,408,407	\$10,026,132	49.1%
Total Expenditures	\$82,129,389	\$66,868,055	\$15,261,334	22.8%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$42,837,347	\$44,467,544	(\$1,630,197)	-3.7%
Lecturer Payroll	\$1,069,856	\$1,377,521	(\$307,665)	-22.3%
Student Help Payroll	\$1,323,444	\$1,715,981	(\$392,537)	-22.9%
Other Personnel	\$1,229,001	\$1,034,777	\$194,224	18.8%
Subtotal Personnel	\$46,459,648	\$48,595,823	(\$2,136,175)	-4.4%
Utilities	\$3,464,233	\$5,317,087	(\$1,852,854)	-34.8%
Scholarships, Tuition	\$6,544,292	\$6,985,100	(\$440,808)	-6.3%
Other Operating Expenses	\$10,399,882	\$17,231,748	(\$6,831,866)	-39.6%
Subtotal Other	\$20,408,407	\$29,533,935	(\$9,125,528)	-30.9%
Total Expenditures	\$66,868,055	\$78,129,758	(\$11,261,703)	-14.4%

Overall, expenditures are projected to be 22.8% more compared to actual FY21 expenditures. Regular employee payroll is projected to increase by 10.8% due to the strategic filling of positions that were deferred since the spring of 2020. The projected FY22 utilities budget is about \$2.0 million more than actual in FY21 because the budgeted amount includes potential rate increases and increase in usage as a result of more students, faculty and staff being physically on campus. Other Operating Expenses projected for FY22 include one-time expenditures such as R&R projects for student housing and the Student Life Center. Some R&R projects planned for FY 2021 were not finalized and will be completed this year. Operating cost will increase as we welcome our students, faculty, staff and community back to campus.

In response to the projected decrease in General Fund and TFSF revenue, UH Hilo is continuing the following cost saving measures:

- Freeze on filling vacant positions and new hires are made on an exception basis only and requires the approval of the UH President;
- Postpone faculty special salary adjustments and staff special compensation adjustments for retention, equity and performance;
- Reduce 89-day hires by not extending or early termination of current appointments;

- A freeze on out-of-state travel was implemented in FY 2020 and continues into FY 2022. A travel freeze was also placed on inter-island travel;
- A freeze on equipment purchases and new contracts exceeding \$25,000 continues into FY 2022. All contract renewals are being carefully scrutinized and approved only if it is determined that they are absolutely necessary at this time;
- Pcard use has been reduced by limiting the number of employees authorized to make purchases. The Chancellor and Vice Chancellors provided approvals for up to two cardholders from each major department. The remaining cardholders have been instructed not to utilize their Pcards; and,
- Reduce other operational costs such as supplies, subscriptions, memberships, repairs and maintenance and printing.

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures)	FY22
(All Funds Summary)	Projected
Net Operating Income	(\$2,155,255)
Beginning Balance	\$43,099,172
Ending Balance	\$40,943,917
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$6,700,000
Revised Ending Balance	\$34,243,917
Reserve Requirement (5% Minimum)	\$4,055,608
Balance Above Minimum Reserve After Adjustments	\$30,188,309

Pursuant to EP2.212, a cash balance is maintained by the Revenue Undertaking Special Fund for a R&R reserve. An amount equal to 50% of its depreciation expense for the preceding fiscal year is transferred into this fund for Student Housing, Food Service, and DKICP projects. Balances are also held for repairing, maintaining, and replacing facility and equipment for revenue generating units, i.e., Student Life Center. In total, this reserve requirement is \$6.7 million.

Although UH Hilo proposes to spend \$2.2 million more than its revenues for FY22 to cover planned expenditures and to fund one-time expenses, the remaining balance of \$34.2 million is still above the minimum threshold.

TUITION AND FEES SPECIAL FUND

Revenues

FY22 Projected	FY21 Actual	FY22 Proj. vs. FY21 Actual	%age
\$29,618,584	\$31,027,533	(\$1,408,949)	-4.5%

FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Proj.	%age
\$31,027,533	\$30,888,533	\$139,000	0.5%

Tuition and Fee revenues are planned to be 4.5% less than the FY21 actual amount due to a projected enrollment decline in the DKICP.

Expenditures

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$8,649,768	\$4,429,934	\$4,219,834	95.3%
Lecturer Payroll	\$1,248,030	\$821,964	\$426,066	51.8%
Student Help Payroll	\$629,000	\$523,398	\$105,602	20.2%
Other Personnel	\$1,010,000	\$70,272	\$939,728	1337.3%
<i>Subtotal Personnel</i>	<i>\$11,536,798</i>	<i>\$5,845,568</i>	<i>\$5,691,230</i>	<i>97.4%</i>
Utilities	\$4,660,850	\$2,719,844	\$1,941,006	71.4%
Scholarships, Tuition	\$200,000	\$67,456	\$132,544	196.5%
Other Operating Expenses	\$7,701,978	\$5,311,575	\$2,390,403	45.0%
<i>Subtotal Other</i>	<i>\$12,562,828</i>	<i>\$8,098,875</i>	<i>\$4,463,953</i>	<i>55.1%</i>
Total Expenditures	\$24,099,626	\$13,944,443	\$10,155,183	72.8%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$4,429,934	\$6,046,579	(\$1,616,645)	-26.7%
Lecturer Payroll	\$821,964	\$1,362,521	(\$540,557)	-39.7%
Student Help Payroll	\$523,398	\$704,030	(\$180,632)	-25.7%
Other Personnel	\$70,272	\$860,000	(\$789,728)	-91.8%
<i>Subtotal Personnel</i>	<i>\$5,845,568</i>	<i>\$8,973,130</i>	<i>(\$3,127,562)</i>	<i>-34.9%</i>
Utilities	\$2,719,844	\$4,685,850	(\$1,966,006)	-42.0%
Scholarships, Tuition	\$67,456	\$200,000	(\$132,544)	-66.3%
Other Operating Expenses	\$5,311,575	\$9,065,544	(\$3,753,969)	-41.4%
<i>Subtotal Other</i>	<i>\$8,098,875</i>	<i>\$13,951,394</i>	<i>(\$5,852,519)</i>	<i>-41.9%</i>
Total Expenditures	\$13,944,443	\$22,924,524	(\$8,980,081)	-39.2%

Overall, personnel costs are planned to be higher in FY22 as compared to actual expenditures in FY21 due to the transfer of expenditures from General Funds to TFSF. This increase is partially funded by the transfer of HEERF funds to cover the General Fund revenue loss. The projected FY22 Utilities budget is \$1.9 million more than actual in FY21 because the budgeted amount includes potential rate increases and increase in usage as a result of more students, faculty and staff being physically on

campus. Other operating expenses are projected to increase when compared to FY21 actual expenditures however it is less than what was previously budgeted. FY21 expenditures were significantly reduced due to the uncertainty of the General Fund allocation which was released on a quarterly rather than annual basis. Strict restrictions implemented in FY21 to curtail expenditures were at a level that cannot be sustained for FY22.

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures)	FY22 Projected
(TFSF)	
Net Operating Income	(\$270,000)
Beginning Balance	\$31,873,770
Ending Balance	\$31,603,770
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$0
Revised Ending Balance	\$31,603,770
Reserve Requirement (5% Minimum)	\$3,371,055
Balance Above Minimum Reserve After Adjustments	\$28,232,715

UH Hilo's TFSF ending balance of \$31.6 million is above the 5% minimum reserve requirement set in EP8.210 Systemwide Financial Reserves.

One-time Commitment:

\$270,000 - PISCES

The Pacific International Space Center for Exploration Systems (PISCES) program was transferred back to UH Hilo from the DBEDT by Act 1, Special Session 2021. Funds for the PISCES program did not transfer due to a legislative error. UH Hilo will fund the program at a minimal level through FY22 only pending legislative action to correct the error for FY23.

University of Hawai‘i West O‘ahu

Introduction

The University of Hawai‘i West O‘ahu (UHWO) offers a distinct, learner-centered baccalaureate education that integrates liberal arts, social sciences, and STEM with professional and applied fields. As a diverse and inclusive indigenous-inspired institution, UHWO blends Native Hawaiian traditional and cultural practices with those of other ethnicities to provide dynamic learning environments where all students learn, discover, innovate, engage, and thrive as they become our 21st Century leaders; career creators.

As a growing campus, the slightest of deviations in General and/or Tuition and Fee Special Funds (TFSF) can have significant impacts on UHWO. In FY21, to address the anticipated fiscal crises, UHWO’s action strategy was “cash preservation.” This theme continues into FY22 as we continue to strategically reduce costs, prioritize signature academic programs, sharpen student services, grow enrollment (and tuition revenue), and increase efficiency and effectiveness. In addition to the President's mitigation efforts, UHWO has also implemented additional approvals for procurement transactions, temporarily suspended a majority of campus purchasing cards, developed a streamlined and comprehensive review process for all personnel and position action requests, conducted an assessment of all operational costs to include only those deemed operationally necessary, continued financial monitoring through monthly reporting and analyses, and strategically utilized federal relief funds.

Over the course of this fiscal year, we continue to explore and implement cost-saving measures to enhance our budget and continually seek opportunities for further savings and efficiencies. This short-term plan serves as a bridge affording our campus community an opportunity to define strategic actions that will reposition us academically and financially for success in FY22 and beyond.

**BUDGET SUMMARY
ALL FUNDS**

Revenues

Type of Fund	FY22 Projected	FY 21 Actual	FY22 Projected vs. FY21 Actual	%age
General Funds	\$18,400,333	\$19,237,672	(\$837,339)	-4.4%
Tuition & Fees	\$18,306,024	\$18,306,024	\$0	0.0%
RTRF	\$195,390	\$195,390	\$0	0.0%
Other Special Funds	\$43,260	\$35,293	\$7,967	22.6%
Other Revolving Funds	\$662,000	\$349,102	\$312,898	89.6%
Appropriated Federal Funds	\$100,000	\$65,214	\$34,786	53.3%
Total Revenues	\$37,707,007	\$38,188,695	(\$481,688)	-1.3%

Type of Fund	FY 21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
General Funds	\$19,237,672	\$18,348,857	\$888,815	4.8%
Tuition & Fees	\$18,306,024	\$16,560,000	\$1,746,024	10.5%
RTRF	\$195,390	\$367,653	(\$172,263)	-46.9%
Other Special Funds	\$35,293	\$54,558	(\$19,265)	-35.3%
Other Revolving Funds	\$349,102	\$717,911	(\$368,809)	-51.4%
Appropriated Federal Funds	\$65,214	\$100,000	(\$34,786)	-34.8%
Total Revenues	\$38,188,695	\$36,148,979	\$2,039,716	5.6%

UHWO's General Fund appropriation has been permanently reduced by \$1,080,000 by the Legislature (although this is mitigated somewhat by continuing transfers within UH). This is the primary reason for the overall 1.3% decrease in FY22 projected revenues compared to FY21 actual revenues. While campus administrators and various campus committees are in the process of evaluating and re-imagining the campus, strategic use of federal relief funds are being used to temporarily bridge this gap.

Despite the enrollment uncertainties during the COVID pandemic in FY21, through hard work and perseverance, UHWO managed to realize a 10.5% tuition revenue increase over its projected revenue. Remarkably, this increase was 3.4% higher than tuition revenue received in FY20. While COVID-related uncertainties continue in FY22, UHWO is projecting tuition revenue levels to be consistent with FY21.

Other Special Funds revenue is projected to increase by 22.6% in FY22 compared to FY21 actual revenue as international program activities cautiously resume. Other Revolving Funds is projecting an 89.6% increase primarily due to a projected increase in student fee revenues. With the re-opening of the campus this fall semester, more students are projected to return to campus, thus student fee rates will increase from \$22/semester for distance learning to \$120/semester for in-person.

Expenditures

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$21,828,625	\$20,751,380	\$1,077,245	5.2%
Lecturer Payroll	\$2,624,172	\$2,568,176	\$55,996	2.2%
Student Help Payroll	\$678,135	\$274,077	\$404,058	147.4%
Other Personnel	\$276,575	\$85,092	\$191,483	225.0%
Subtotal Personnel	\$25,407,507	\$23,678,725	\$1,728,782	7.3%
Utilities	\$1,802,000	\$1,221,907	\$580,093	47.5%
Scholarships, Tuition	\$2,430,000	\$1,793,855	\$636,145	35.5%
Other Operating Expenses	\$4,322,098	\$1,517,181	\$2,804,917	184.9%
Subtotal Other	\$8,554,098	\$4,532,943	\$4,021,155	88.7%
Total Expenditures	\$33,961,605	\$28,211,668	\$5,749,937	20.4%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$20,751,380	\$21,070,548	(\$319,168)	-1.5%
Lecturer Payroll	\$2,568,176	\$2,818,259	(\$250,083)	-8.9%
Student Help Payroll	\$274,077	\$448,826	(\$174,749)	-38.9%
Other Personnel	\$85,092	\$304,878	(\$219,786)	-72.1%
Subtotal Personnel	\$23,678,725	\$24,642,511	(\$963,786)	-3.9%
Utilities	\$1,221,907	\$2,003,000	(\$781,093)	-39.0%
Scholarships, Tuition	\$1,793,855	\$2,390,000	(\$596,145)	-24.9%
Other Operating Expenses	\$1,517,181	\$3,050,296	(\$1,533,115)	-50.3%
Subtotal Other	\$4,532,943	\$7,443,296	(\$2,910,353)	-39.1%
Total Expenditures	\$28,211,668	\$32,085,807	(\$3,874,139)	-12.1%

Overall, expenditures in FY22 are projected to increase by 20.4% compared to FY21 actual expenditures or 6.2% increase over FY20 actual expenditures. While regular employee and lecturer costs are similar to FY21 costs, there was a noticeable increase in casual hires and student help. The primary increase in “Student Help Payroll” and “Other Personnel” is due to the projected increase in student activities which are supported by student fee revenues. Additional student help is also budgeted to support the opening of the Creative Media building in the Fall semester and to provide support for the Student Services division. Other non-personnel expenditures are expected to increase in FY22 as the campus re-opens and rebounds from the pandemic to include nearly \$1.5 million in campus repair and replacement projects.

UHWO significantly reduced its operating expenditures in FY21 and expended \$3.9 million less than projected. Factors contributing to the decrease in expenditures included a review of all purchasing requests by the Chancellor for all institutional funds to maximize cash preservation, utility savings realized due to the delayed opening of the Creative Media building and the decrease in campus usage of facilities during the pandemic, funds provided by the System to assist with EAB costs, strategic use of federal relief funds, personnel savings realized due to the hiring freeze and strategic hiring, deferment of a campus repair project to FY22, and an overall decrease in the level of campus activities on campus.

In compliance with EP 6.204 Student Financial Assistance Program, UHWO budgeted \$2.39 million of its AY19-20 tuition revenue for student scholarships in FY21. Although scholarships awarded in FY21 exceeded the amount allocated, not all students actually matriculated/enrolled, hence 24%, or \$566,145, was not used.

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures)	FY22
(All Funds Summary)	Projected
Net Operating Income	\$675,162
Beginning Balance	\$20,937,888
Ending Balance	\$21,613,050
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$2,725,437
Revised Ending Balance	\$18,887,613
Reserve Requirement (5% Minimum)	\$1,846,592
Balance Above Minimum Reserve After Adjustments	\$17,041,021

The projected net operating gain of \$675,162 includes one-time expenditures totaling \$1.5 million for campus wide repair, replacement, and maintenance projects and the possible purchase of a vehicle. Across all funds, UHWO is projected to have an ending balance of \$21.6 million. Of this amount, \$2.7 million is held in the R&R reserve per EP2.212. The revised ending balance of \$18.9 million remains above the 5% and 16% minimum reserve requirements.

TUITION AND FEES SPECIAL FUND

Revenues

FY22 Projected	FY21 Actual	FY22 Proj. vs. FY21 Actual	%age
\$18,306,024	\$18,306,024	\$0	0.0%

FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Proj.	%age
\$18,306,024	\$16,560,000	\$1,746,024	10.5%

Despite the enrollment uncertainties during the COVID-19 pandemic in FY21, UHWO realized a 10.5% tuition revenue increase over the projected revenue through various efforts such as an aggressive recruitment campaign with the assistance of EAB Global, Inc., counseling and advising services to retain current students, and the issuance of federal relief funds to students. This increase was 3.4% higher than the actual tuition revenue received in FY20. While COVID-19 uncertainties continue into FY22, UHWO is projecting tuition revenue to be consistent with FY21.

Expenditures

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$5,265,657	\$3,762,015	\$1,503,642	40.0%
Lecturer Payroll	\$416,807	\$112,315	\$304,492	271.1%
Student Help Payroll	\$399,135	\$122,565	\$276,570	225.7%
Other Personnel	\$91,575	\$13,089	\$78,486	599.6%
<i>Subtotal Personnel</i>	<i>\$6,173,174</i>	<i>\$4,009,984</i>	<i>\$2,163,190</i>	<i>53.9%</i>
Utilities	\$1,750,000	\$1,133,898	\$616,102	54.3%
Scholarships, Tuition	\$0	\$0	\$0	
Other Operating Expenses	\$2,439,098	\$1,186,076	\$1,253,022	105.6%
<i>Subtotal Other</i>	<i>\$4,189,098</i>	<i>\$2,319,974</i>	<i>\$1,869,124</i>	<i>80.6%</i>
Total Expenditures	\$10,362,272	\$6,329,958	\$4,032,314	63.7%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$3,762,015	\$5,019,728	(\$1,257,713)	-25.1%
Lecturer Payroll	\$112,315	\$167,319	(\$55,004)	-32.9%
Student Help Payroll	\$122,565	\$226,076	(\$103,511)	-45.8%
Other Personnel	\$13,089	\$26,320	(\$13,231)	-50.3%
<i>Subtotal Personnel</i>	<i>\$4,009,984</i>	<i>\$5,439,443</i>	<i>(\$1,429,459)</i>	<i>-26.3%</i>
Utilities	\$1,133,898	\$1,977,500	(\$843,602)	-42.7%
Scholarships, Tuition	\$0	\$0	\$0	
Other Operating Expenses	\$1,186,076	\$2,096,471	(\$910,395)	-43.4%
<i>Subtotal Other</i>	<i>\$2,319,974</i>	<i>\$4,073,971</i>	<i>(\$1,753,997)</i>	<i>-43.1%</i>
Total Expenditures	\$6,329,958	\$9,513,414	(\$3,183,456)	-33.5%

FY22 TFSF expenditures are projected to increase 63.7% or \$4 million over the actual amount

expended in FY21 and .2% decrease compared with FY20 actual expenditures. With the \$1.08 million decrease in General Fund allocation in FY22, TFSF and federal relief funds will be used to bridge this gap while campus administrators and campus committees re-imagine and prioritize its needs and available resources. Additional funds are needed to provide student help for the Fall opening of the Creative Media building, assistance for the Student Services division, and casual hires to offset workforce shortages as a result of the hiring freeze.

An additional \$1.9 million will be expended in “Other” costs in FY22 over FY21 actuals which includes \$500,000 in repairs and maintenance projects and \$616,000 in additional utility costs due to the opening of the Creative Media building in the fall and the re-opening of the campus.

Transfers

In FY22, UHWO will transfer approximately \$7.6 million, or 41% of its estimated tuition revenue, for debt service commitments (\$4 million), UHWO scholarships (\$2.4 million) per EP 6.204, R&R reserve (\$1 million) per EP 2.212, and various system assessments (~\$125,000).

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures) (TFSF)	FY22 Projected
Net Operating Income	\$1,443,512
Beginning Balance	\$14,871,312
Ending Balance	\$16,314,824
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$0
Revised Ending Balance	\$16,314,824
Reserve Requirement (5% Minimum)	\$1,763,142
Balance Above Minimum Reserve After Adjustments	\$14,551,682

With a cautious approach to its spending plan in FY22, the campus is projecting to have an ending balance of \$16.3 million, sufficient to meet its 5% and 16% reserve requirements.

Community Colleges

Introduction

The University of Hawai'i Community Colleges (UHCC) is dedicated to increasing the educational capital of the State, addressing the needs of underserved populations, and revitalizing and expanding the State's economy. The UHCC provides first generation college students, educationally and economically disadvantaged individuals, and under-represented groups a gateway for upward mobility, while providing a highly trained and highly skilled workforce for Hawai'i industries.

ALL FUND SUMMARY

Revenues

Type of Fund	FY22 Projected	FY 21 Actual	FY22 Projected vs. FY21 Actual	%age
General Funds	\$154,051,412	\$156,763,037	(\$2,711,625)	-1.7%
Tuition & Fees	\$50,004,445	\$55,084,541	(\$5,080,096)	-9.2%
RTRF	\$1,434,237	\$1,124,305	\$309,932	27.6%
Other Special Funds	\$7,217	\$16,566,270	(\$16,559,053)	-100.0%
Other Revolving Funds	\$17,471,856	\$772,477	\$16,699,379	2161.8%
Appropriated Federal Funds	\$2,873,807	\$2,848,932	\$24,875	0.9%
Total Revenues	\$225,842,974	\$233,159,562	(\$7,316,588)	-3.1%

Type of Fund	FY 21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
General Funds	\$156,763,037	\$151,477,974	\$5,285,063	3.5%
Tuition & Fees	\$55,084,541	\$54,585,497	\$499,044	0.9%
RTRF	\$1,124,305	\$1,504,078	(\$379,773)	-25.2%
Other Special Funds	\$16,566,270	\$14,524,272	\$2,041,998	14.1%
Other Revolving Funds	\$772,477	\$987,426	(\$214,949)	-21.8%
Appropriated Federal Funds	\$2,848,932	\$2,867,849	(\$18,917)	-0.7%
Total Revenues	\$233,159,562	\$225,947,096	\$7,212,466	3.2%

Revenues for General Funds show a decrease of \$2.7 million, or 1.7%, due to legislative budget reductions. General Funds makes up approximately 75% of what is considered the General Operating Budget (General Funds plus Tuition & Fees) for the Community Colleges that funds the majority of operational expenses at the campuses.

TFSF revenues are projected to decrease by \$5.1 million, or 9.2%. While the overall Student Semester Hour (SSH) enrollment drop is around 6%, the total drop in revenue is more pronounced due to reductions in non-resident enrollment. UHCC enrollment has declined during the pandemic. Conventional expectations of an increase in enrollment under recessionary conditions did not materialize as Community College enrollments fell across the nation. It is believed that Community College students have been more susceptible to the extraordinary economic strain created by the pandemic and that this group of students have been more likely to put their education plans on-hold in

order to meet pressing familial obligations. The UHCC has been working hard to remove as many financial barriers to attendance as possible, including strategizing the way tuition funded scholarships are awarded, providing additional Hawai'i Promise scholarships and disbursing HEERF funding for Student Aid. As a result, the decline in enrollment at UHCC has been generally smaller than what has been experienced nationwide. Going forward, it is hoped that tuition revenue will fully recover as the UHCC continue to play an essential role in training workers for the new economy shaped by the COVID-19 pandemic.

Other Special Fund and Other Revolving Fund revenue projections show massive differences due to passage of Act 9, SSLH 2021, which converted the UH Community College Special Fund (CCSF) to the Community College Revolving Fund (CCRF). This shifted funds from the former CCSF from the Other Special Fund category in FY21 to the Other Revolving Fund category in FY22, creating the significant mismatches represented on the table. If the effects of this shift were eliminated, the variance between the FY 2022 projection and FY 2021 actual would be negligible.

Expenses

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$140,696,178	\$132,521,028	\$8,175,150	6.2%
Lecturer Payroll	\$26,352,590	\$20,012,713	\$6,339,877	31.7%
Student Help Payroll	\$2,229,172	\$1,884,267	\$344,905	18.3%
Other Personnel	\$1,252,189	\$1,150,584	\$101,605	8.8%
<i>Subtotal Personnel</i>	<i>\$170,530,129</i>	<i>\$155,568,592</i>	<i>\$14,961,537</i>	<i>9.6%</i>
Utilities	\$11,612,032	\$9,554,182	\$2,057,850	21.5%
Scholarships, Tuition	\$7,310,728	\$8,282,879	(\$972,151)	-11.7%
Other Operating Expenses	\$38,617,634	\$19,979,819	\$18,637,815	93.3%
<i>Subtotal Other</i>	<i>\$57,540,394</i>	<i>\$37,816,880</i>	<i>\$19,723,514</i>	<i>52.2%</i>
Total Expenditures	\$228,070,523	\$193,385,472	\$34,685,051	17.9%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$132,521,028	\$136,412,696	(\$3,891,668)	-2.9%
Lecturer Payroll	\$20,012,713	\$21,944,216	(\$1,931,503)	-8.8%
Student Help Payroll	\$1,884,267	\$2,978,788	(\$1,094,521)	-36.7%
Other Personnel	\$1,150,584	\$1,291,415	(\$140,831)	-10.9%
<i>Subtotal Personnel</i>	<i>\$155,568,592</i>	<i>\$162,627,115</i>	<i>(\$7,058,523)</i>	<i>-4.3%</i>
Utilities	\$9,554,182	\$9,507,050	\$47,132	0.5%
Scholarships, Tuition	\$8,282,879	\$7,960,417	\$322,462	4.1%
Other Operating Expenses	\$19,979,819	\$30,986,783	(\$11,006,964)	-35.5%
<i>Subtotal Other</i>	<i>\$37,816,880</i>	<i>\$48,454,250</i>	<i>(\$10,637,370)</i>	<i>-22.0%</i>
Total Expenditures	\$193,385,472	\$211,081,365	(\$17,695,893)	-8.4%

UHCC relies on State appropriated General Funds to subsidize a significant portion of its general operating budget to keep post-secondary education costs within reach for target populations. In FY21, the full-year GF allocation normally provided in the first quarter was replaced by a series of quarter-by-

quarter allotments. The uncertainty surrounding the GF coupled with anticipated losses of Tuition revenue prompted UHCC campuses to adopt a highly conservative approach to expending funds. This approach was facilitated by the hiring freeze on regular positions and reductions in campus expenses associated with providing instruction online. Our overall strategy was to build reserves to carry the UHCC forward through highly uncertain times. This strategy, however, did not come without a significant cost as campuses struggled with staffing issues and deferred expenditures that were not deemed essential.

The FY22 budget was increased over FY21 actuals to address some of the issues mentioned above. The first issue is that much of the face-to-face instruction will resume at UHCC campuses driving-up projected Other Operating and Utilities costs. The second is that UHCC staffing shortages need to be alleviated through the filling of vacant positions in an effort to prevent long-term harm to campus programs. Faculty vacancies that remain unfilled will be covered by lecturers to ensure that the demand for instruction can be met. The third is that items deferred during the past year and a half need to be funded. The task to catch-up on deferred items has been made far easier due to HEERF grants that allow campuses to claim monies for lost revenues. The FY22 plan includes \$8.0 million in HEERF lost revenues that will be transferred to cover various UHCC needs.

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures)	FY22
(All Funds Summary)	Projected
Net Operating Income	\$0
Beginning Balance	\$91,437,305
Ending Balance	\$91,437,305
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$3,395,000
Revised Ending Balance	\$88,042,305
Reserve Requirement (5% Minimum)	\$11,148,458
Balance Above Minimum Reserve After Adjustments	\$76,893,847

Other Reserve Requirements include debt service payments for energy projects and new facilities at Kapi'olani Community College and Hawai'i Community College that were financed using revenue bonds. It also includes contributions toward the R&R reserve fund required pursuant to EP2.212.

TUITION AND FEES SPECIAL FUND

Revenues

FY22 Projected	FY21 Actual	FY22 Proj. vs. FY21 Actual	%age
\$50,004,445	\$55,084,541	(\$5,080,096)	-9.2%

FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Proj.	%age
\$55,084,541	\$54,585,497	\$499,044	0.9%

TFSF revenue projections show a \$5.0 million decrease, or 9.2%. While the overall Student Semester Hour (SSH) enrollment drop is around 6%, the total drop in revenue is more pronounced due to reductions in non-resident enrollment. Please refer to the TFSF portion of the All Fund Summary for additional information.

Expenditures

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$2,863,096	\$3,175,561	(\$312,465)	-9.8%
Lecturer Payroll	\$5,144,213	\$426,536	\$4,717,677	1106.0%
Student Help Payroll	\$1,144,585	\$1,144,586	(\$1)	-0.0%
Other Personnel	\$581,452	\$581,451	\$1	0.0%
<i>Subtotal Personnel</i>	<i>\$9,733,346</i>	<i>\$5,328,134</i>	<i>\$4,405,212</i>	<i>82.7%</i>
Utilities	\$4,559,391	\$2,195,024	\$2,364,367	107.7%
Scholarships, Tuition	\$5,439,159	\$5,439,158	\$1	0.0%
Other Operating Expenses	\$28,895,992	\$8,821,926	\$20,074,066	227.5%
<i>Subtotal Other</i>	<i>\$38,894,542</i>	<i>\$16,456,108</i>	<i>\$22,438,434</i>	<i>136.4%</i>
Total Expenditures	\$48,627,888	\$21,784,242	\$26,843,646	123.2%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$3,175,561	\$5,821,891	(\$2,646,330)	-45.5%
Lecturer Payroll	\$426,536	\$0	\$426,536	
Student Help Payroll	\$1,144,586	\$1,826,182	(\$681,596)	-37.3%
Other Personnel	\$581,451	\$295,958	\$285,493	96.5%
<i>Subtotal Personnel</i>	<i>\$5,328,134</i>	<i>\$7,944,031</i>	<i>(\$2,615,897)</i>	<i>-32.9%</i>
Utilities	\$2,195,024	\$6,001,705	(\$3,806,681)	-63.4%
Scholarships, Tuition	\$5,439,158	\$5,386,474	\$52,684	1.0%
Other Operating Expenses	\$8,821,926	\$21,010,543	(\$12,188,617)	-58.0%
<i>Subtotal Other</i>	<i>\$16,456,108</i>	<i>\$32,398,722</i>	<i>(\$15,942,614)</i>	<i>-49.2%</i>
Total Expenditures	\$21,784,242	\$40,342,753	(\$18,558,511)	-46.0%

While the All Fund Summary describes changes in overall UHCC budget priorities, isolating Tuition & Fees expenditures introduces distortions caused by efforts to balance available General Fund and TFSF revenues with general operating expenditure needs. For example, it is not anticipated that Lecturer requirements will grow by an astronomical 1,106% , rather, a greater proportion of Tuition funds will be used in FY 22 for lecturer expenses. The FY22 budget is only planning for a 31.7% increase as campuses elect to hire Lecturers to meet instructional needs in lieu of filling vacant tenure track Faculty positions. This explanation also applies for the Utilities and Other Operating expenditure categories.

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures) (TFSF)	FY22 Projected
Net Operating Income	\$0
Beginning Balance	\$63,513,248
Ending Balance	\$63,513,248
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$2,593,000
Revised Ending Balance	\$60,920,248
Reserve Requirement (5% Minimum)	\$10,202,793
Balance Above Minimum Reserve After Adjustments	\$50,717,455

Other Reserve Requirements include debt service payments for energy projects and new facilities that were financed using revenue bonds. It also includes contributions toward the R&R reserve fund required pursuant to EP2.212.

Systemwide Administration

Introduction

The UH Systemwide Administration (System) is committed to providing the vision, leadership, and stewardship needed to enable the ten University campuses to advance missions that promote distinctive pathways to excellence, differentially emphasize instruction, research, and service while contributing to a coherent response to the needs of a technologically advanced, globally competitive, and culturally diverse island state.

The System offices and programs are organized under the Board of Regents Office, President's Office, Vice President for Academic Strategy, Vice President for Administration, Vice President for Budget and Finance and Chief Financial Officer, Vice President for Information Technology and Chief Information Officer, Vice President for Legal Affairs and University General Counsel, and Vice President for Research and Innovation. The System budget supports 54 offices and programs which include 416 appropriated General Fund, 20 Special Fund, 4 Federal Fund, and 15 Revolving Fund permanent Full Time Equivalent (FTE) positions.

Due to the impact of COVID-19, the Legislature reduced the UH's budget in FY22 by \$48.2 million, of which System's share is \$3.4 million. System's operating budget will focus on minimizing adverse impact to core central functions that support all ten campuses, while containing costs due to a smaller General Funds budget allocation. Additionally, in line with Board Resolution 21-01, System has begun to implement reorganizations to reduce personnel cost, and to then realign some of these savings to strategic priorities such as student success and monetizing our real property assets.

BUDGET SUMMARY
ALL FUNDS

Revenues

Type of Fund	FY22 Projected	FY 21 Actual	FY22 Projected vs. FY21 Actual	%age
General Funds	\$52,254,001	\$56,319,288	(\$4,065,287)	-7.2%
Tuition & Fees	\$1,159,150	\$1,915,001	(\$755,851)	-39.5%
RTRF	\$14,799,873	\$17,326,034	(\$2,526,161)	-14.6%
Other Special Funds	\$4,500,773	\$5,698,531	(\$1,197,758)	-21.0%
Other Revolving Funds	\$860,019	\$1,013,538	(\$153,519)	-15.1%
Appropriated Federal Funds	\$656,000	\$338,462	\$317,538	93.8%
Total Revenues	\$74,229,816	\$82,610,854	(\$8,381,038)	-10.1%

Type of Fund	FY 21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
General Funds	\$56,319,288	\$53,492,997	\$2,826,291	5.3%
Tuition & Fees	\$1,915,001	\$1,178,928	\$736,073	62.4%
RTRF	\$17,326,034	\$15,343,692	\$1,982,342	12.9%
Other Special Funds	\$5,698,531	\$4,638,500	\$1,060,031	22.9%
Other Revolving Funds	\$1,013,538	\$1,338,172	(\$324,634)	-24.3%
Appropriated Federal Funds	\$338,462	\$656,000	(\$317,538)	-48.4%
Total Revenues	\$82,610,854	\$76,648,289	\$5,962,565	7.8%

Total System revenue is projected to trend lower than last year across all sources of funds. A comparison of FY22 projected revenue over FY21 actual in General Funds show a 7.2% decrease, or \$4 million. This is primarily due to the legislative reduction of General Funds for the biennium. For TFSF, interest income earned will continue to decline due to low interest rates paid on deposits. RTRF for System will also trend slightly lower this year due to low or exempt Facilities & Administration (F&A) rates on large federal awards such as CARES funds.

Other Special and Other Revolving Funds projections remain conservative due to the uncertainty of program revenues rebounding this year. Programs such as Discoveries & Inventions Commercial Enterprises Collegiate Licensing, and Animal Veterinary Services are not expected to recover lost revenue for this fiscal year.

Expenditures

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$44,662,503	\$45,362,276	(\$699,773)	-1.5%
Lecturer Payroll	\$0	\$182	(\$182)	-100.0%
Student Help Payroll	\$923,141	\$1,071,814	(\$148,673)	-13.9%
Other Personnel	\$1,393,543	\$493,818	\$899,725	182.2%
<i>Subtotal Personnel</i>	<i>\$46,979,187</i>	<i>\$46,928,090</i>	<i>\$51,097</i>	<i>0.1%</i>
Utilities	\$1,403,454	\$1,470,171	(\$66,717)	-4.5%
Scholarships, Tuition	\$3,000,000	\$2,962,520	\$37,480	1.3%
Other Operating Expenses	\$31,498,669	\$34,901,248	(\$3,402,579)	-9.7%
<i>Subtotal Other</i>	<i>\$35,902,123</i>	<i>\$39,333,939</i>	<i>(\$3,431,816)</i>	<i>-8.7%</i>
Total Expenditures	\$82,881,310	\$86,262,029	(\$3,380,719)	-3.9%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$45,362,276	\$45,098,994	\$263,282	0.6%
Lecturer Payroll	\$182	\$0	\$182	
Student Help Payroll	\$1,071,814	\$974,407	\$97,407	10.0%
Other Personnel	\$493,818	\$1,280,536	(\$786,718)	-61.4%
<i>Subtotal Personnel</i>	<i>\$46,928,090</i>	<i>\$47,353,937</i>	<i>(\$425,847)</i>	<i>-0.9%</i>
Utilities	\$1,470,171	\$1,919,901	(\$449,730)	-23.4%
Scholarships, Tuition	\$2,962,520	\$3,000,000	(\$37,480)	-1.2%
Other Operating Expenses	\$34,901,248	\$34,602,527	\$298,721	0.9%
<i>Subtotal Other</i>	<i>\$39,333,939</i>	<i>\$39,522,428</i>	<i>(\$188,489)</i>	<i>-0.5%</i>
Total Expenditures	\$86,262,029	\$86,876,365	(\$614,336)	-0.7%

Other Operating Expenses are projected to decrease from last year due to balancing the Legislative cuts to General Funds. System programs will continue to practice a freeze on hiring with limited exceptions, approve selective but minimal out-of-state travel, and use conservative approaches for other operating expenses to preserve cash for the challenging fiscal years ahead.

Net Operating Gain (Loss)

Net Operating Income (including one-time expenditures)	FY22
(All Funds Summary)	Projected
Net Operating Income	\$1,296,358
Beginning Balance	\$43,468,692
Ending Balance	\$44,765,050
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$15,500,000
Revised Ending Balance	\$29,265,050
Reserve Requirement (5% Minimum)	\$3,463,873
Balance Above Minimum Reserve After Adjustments	\$25,801,177

Net operating income is projected to be positive by keeping operating expenditures in check for this fiscal year in order to maximize carryover cash balances for the next couple of fiscal years as program revenues recover. The System currently has well over the minimum reserve requirements. However, reserves are necessary and critical to respond quickly to Information Technology (IT) needs due to the pandemic including investments in technology infrastructure, software licensing, and data security measures.

Other reserve requirements include \$1.5 million for IT building debt service, \$3 million University Foundation annual payment, \$5 million Risk Management special fund for self-insurance, \$1.5 million in IT Special Fund for ongoing operational cost and building maintenance, \$3 million for B+ scholarships for ensuing academic year, and \$1.5 million in Revenue Undertaking Fund by bond covenants.

GENERAL FUNDS

Based on the current budget allocation from State B&F, System programs will have to adjust General Funds expenses compared to last year due to the Legislative reductions. Mitigative measures to maximize spending on General Funds to preserve non-General Funds cash balances is being implemented. Office reorganizations and prudent operating spending will accommodate the budget cuts.

Legislative appropriation for specific initiatives in the System budget, will continue to be disseminated to the campuses. In FY22, 12.07% or \$6.31 million of the General Fund budget will be transferred to the campuses for scholarships and other program expenses. Moreover, while the Western Interstate Commission for Higher Education (WICHE) scholarship budget of \$1.45 million is managed by System, the scholarships directly support higher education options for students at the campuses. Consequently, a total of 14.84% or \$7.76 million of the General Fund budget is in fact spent by the campuses rather than System operations.

General Fund Transfers	FY22 Budget	FY21 Actual	FY22 Budget vs. FY21 Actual	%age
Performance Funding	\$2,000,000	\$2,072,763	(\$72,763)	-3.5%
B Plus Scholarships	\$3,000,000	\$3,000,000	\$0	0.0%
Workers Comp/UI	\$800,000	\$800,000	\$0	0.0%
Na Pua Noeau	\$322,242	\$322,242	\$0	0.0%
Student Affairs	\$187,000	\$187,000	\$0	0.0%
<i>Total Transfers</i>	<i>\$6,309,242</i>	<i>\$6,382,005</i>	<i>(\$72,763)</i>	<i>-3.5%</i>
WICHE	\$1,447,465	\$1,427,732	\$19,733	1.4%
Grand Total	\$7,756,707	\$7,809,737	(\$53,030)	-0.7%

TUITION AND FEES SPECIAL FUND

Revenues

FY22 Projected	FY21 Actual	FY22 Proj. vs. FY21 Actual	%age
\$1,159,150	\$1,915,001	(\$755,851)	-39.5%

FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Proj.	%age
\$1,915,001	\$1,178,928	\$736,073	62.4%

TFSF revenues in System are not from student tuition. Rather, revenue is gained through fees from: Late Registration, the Tuition payment plan, interest income, and applications for use of UH facilities for commercial filming. FY22 interest income projections are anticipated to be down due to continued lower interest rates.

Expenditures

Expenditure Category	FY22 Budget	FY21 Actual	FY22 Projected vs. FY21 Actual	%age
Regular Employee Payroll	\$238,052	\$451,682	(\$213,630)	-47.3%
Lecturer Payroll	\$0	\$0	\$0	
Student Help Payroll	\$0	\$8	(\$8)	-100.0%
Other Personnel	\$116,470	\$0	\$116,470	
<i>Subtotal Personnel</i>	<i>\$354,522</i>	<i>\$451,690</i>	<i>(\$97,168)</i>	<i>-21.5%</i>
Utilities	\$17,832	\$162,138	(\$144,306)	-89.0%
Scholarships, Tuition	\$0	\$0	\$0	
Other Operating Expenses	\$6,142,572	\$4,154,200	\$1,988,372	47.9%
<i>Subtotal Other</i>	<i>\$6,160,404</i>	<i>\$4,316,338</i>	<i>\$1,844,066</i>	<i>42.7%</i>
Total Expenditures	\$6,514,926	\$4,768,028	\$1,746,898	36.6%

Expenditure Category	FY21 Actual	FY21 Projected	FY21 Actual vs. FY21 Projected	%age
Regular Employee Payroll	\$451,682	\$352,553	\$99,129	28.1%
Lecturer Payroll	\$0	\$0	\$0	
Student Help Payroll	\$8	\$78,239	(\$78,231)	-100.0%
Other Personnel	\$0	\$700	(\$700)	-100.0%
<i>Subtotal Personnel</i>	<i>\$451,690</i>	<i>\$431,492</i>	<i>\$20,198</i>	<i>4.7%</i>
Utilities	\$162,138	\$19,200	\$142,938	744.5%
Scholarships, Tuition	\$0	\$0	\$0	
Other Operating Expenses	\$4,154,200	\$8,189,384	(\$4,035,184)	-49.3%
<i>Subtotal Other</i>	<i>\$4,316,338</i>	<i>\$8,208,584</i>	<i>(\$3,892,246)</i>	<i>-47.4%</i>
Total Expenditures	\$4,768,028	\$8,640,076	(\$3,872,048)	-44.8%

FY22 personnel expense is projected to be lower due to not filling vacated positions and a reduction on student help on TFSF funds. Programs will continue to practice essential operating spending to preserve cash reserves for the years ahead.

Net Operating Income

Net Operating Income (including one-time expenditures)	FY22
(All Funds Summary)	Projected
Net Operating Income	\$2,973,945
Beginning Balance	\$21,685,071
Ending Balance	\$24,659,016
Other Reserve Requirements (R&R, Bond, RTRF Commitments)	\$4,500,000
Revised Ending Balance	\$20,159,016
Reserve Requirement (5% Minimum)	\$2,371,960
Balance Above Minimum Reserve After Adjustments	\$17,787,056

RESERVES

In 2015, the Legislature passed Act 236 which annually requires moneys from each campus's TFSF to lapse to the credit of System. As a result, System begins FY22 with an unencumbered cash balance of \$260.6 million after \$242.0 million in FY21 unencumbered cash balances were swept from the campuses. Of this amount, \$40.8 million will be held centrally by System as the 5% TFSF reserve requirement for Mānoa, Hilo, West O'ahu, the Community Colleges, and System itself. A total of \$219.8 million was returned to the campuses for inclusion in their FY22 campus operating budgets.

APPENDIX

University of Hawai'i System Fiscal Year 2021-22 Operating Budget Proposal



BUDGET WORKSHEETS

**UH System Operating Budget
FY 2022 (07/01/21 to 06/30/22)**

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	285,926,213	28,105,348	113,023,840	57,476,006	(274,790)	484,256,617
Less Encumbrances FY21	-	(16,114,538)	(2,076,154)	(4,631,064)	(1,279,366)	-	(24,101,122)
Unencumbered Ending Cash FY21	-	269,811,675	26,029,194	108,392,776	56,196,640	(274,790)	460,155,495
Act 236 Transfer	-	-	-	-	-	-	-
Less Campus Reserves Held Centrally	-	(40,840,205)	-	-	-	-	(40,840,205)
Less Deferred Revenue (FYE21)	-	(10,090,503)	-	(440,333)	(2,113,903)	-	(12,644,739)
Beginning Balance FY22	-	218,880,967	26,029,194	107,952,443	54,082,737	(274,790)	406,670,551
Revenue/Appropriation Projection	495,734,736	327,947,772	48,997,190	88,430,458	36,695,205	7,367,557	1,005,172,918
Transfer Projections (Transfer-Ins)							
Performance Based Funding	920,845	-	-	-	-	-	920,845
Debt Service	-	-	-	2,987,607	-	-	2,987,607
Assessments	-	9,072,054	-	1,900,000	-	-	10,972,054
Tuition Scholarships	-	-	-	48,708,199	-	-	48,708,199
Lost Revenue Replacement (HEERF) *	-	27,107,093	-	15,458,534	4,259,109	-	46,824,736
Other Transfers	12,508,606	235,016,087	35,418,815	65,949,855	3,379,295	-	352,272,658
Total Transfer Projection	13,429,451	271,195,234	35,418,815	135,004,195	7,638,404	-	462,686,099
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	450,339,567	112,827,279	18,463,429	36,804,979	15,369,382	3,046,688	636,851,324
Lecturer Payroll	19,183,996	14,668,018	14,341	179,913	4,057,723	164,738	38,268,729
Student Help Payroll	832,644	4,945,227	351,942	3,439,946	1,712,799	1,752,793	13,035,351
Other Personnel (Non-Regular Payroll)	658,584	4,212,332	318,926	1,196,069	1,187,109	180,858	7,753,878
Subtotal Personnel	471,014,791	136,652,856	19,148,638	41,620,907	22,327,013	5,145,077	695,909,282
<i>Other Expenditures</i>							
Utilities & Communication **	6,523,340	46,183,997	5,673,151	8,960,174	448,289	3,005	67,791,956
Scholarships, Tuition, Stipends & Allowances	1,800,000	7,831,035	340,899	52,147,710	831,662	-	62,951,306
Repairs & Maintenance	205,000	28,840,107	1,591,026	8,610,956	1,645,759	12,098	40,904,946
Other Operating Expenses	10,475,462	61,986,583	22,584,723	37,681,770	16,221,861	2,188,877	151,139,276
Subtotal Other	19,003,802	144,841,722	30,189,799	107,400,610	19,147,571	2,203,980	322,787,484
Total Expenditure Projection	490,018,593	281,494,578	49,338,437	149,021,517	41,474,584	7,349,057	1,018,696,766
Transfer Projections (Transfer-Outs)							
Performance Based Funding	2,000,000	-	-	-	-	-	2,000,000
Debt Service	-	7,561,983	363,638	30,314,883	589,037	-	38,829,541
Assessments	-	10,893,354	-	-	-	-	10,893,354
Tuition Scholarships	3,000,000	45,708,199	-	-	-	-	48,708,199
Other Transfers	14,145,594	267,452,261	36,736,934	26,905,834	5,152,558	-	350,393,181
Total Transfer Projection	19,145,594	331,615,797	37,100,572	57,220,717	5,741,595	-	450,824,275
Projected Net Operating Income w/out One-Time Expenditures	-	(9,450,276)	(2,023,004)	20,378,142	3,221,017	18,500	12,144,379
Projected One-Time Expenditures ***	-	4,517,093	-	3,185,723	6,103,587	-	13,806,403
Estimated Ending Balance	-	204,913,598	24,006,190	125,144,862	51,200,167	(256,290)	405,008,527
Reserve Held Centrally		40,840,205					40,840,205
5% Reserve Target for FY 2023 (Executive Policy)	n/a	41,596,687	2,551,010	3,561,902	1,978,889	n/a	49,688,487
16% Reserve Target for FY 2023 (BOR Policy)	n/a	128,322,361	8,174,123	14,635,324	4,689,385	n/a	155,821,192

GF = General Funds

TFSF = Tuition & Fee Special Fund

RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund

** Includes electricity, water, sewer, gas, telecom, postage

*** Included in expenditure budgets, but backed out to calculate projected NOI

University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	9,234,601	19,705,303	82,256,348	19,387,067	(274,790)	130,308,529
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	9,234,601	19,705,303	82,256,348	19,387,067	(274,790)	130,308,529
Act 236 Transfer	-	86,836,708	-	-	-	-	86,836,708
Less Deferred Revenue (FYE21)	-	(9,133,743)	-	(284,000)	-	-	(9,417,743)
Beginning Balance FY22	-	86,937,566	19,705,303	81,972,348	19,387,067	(274,790)	207,727,494
Revenue/Appropriation Projection	230,780,332	228,859,569	29,584,693	76,462,202	16,295,518	3,446,193	585,428,507
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	36,728,199	-	-	36,728,199
Lost Revenue Replacement (HEERF) *	-	20,000,000	-	14,530,175	2,000	-	34,532,175
Other Transfers	-	217,510,308	31,166,651	64,530,360	3,376,795	-	316,584,114
Total Transfer Projection	-	237,510,308	31,166,651	115,788,734	3,378,795	-	387,844,488
Expenditure Projections							
Personnel							
Regular Employee Payroll	230,748,814	95,810,706	11,697,725	34,905,036	7,341,765	1,682,000	382,186,046
Lecturer Payroll	-	7,858,968	1,923	179,913	3,133	-	8,043,937
Student Help Payroll	22,644	2,772,507	240,813	2,946,409	517,420	925,193	7,424,986
Other Personnel (Non-Regular Payroll)	-	2,412,835	123,960	651,341	419,503	35,000	3,642,639
Subtotal Personnel	230,771,458	108,855,016	12,064,421	38,682,699	8,281,821	2,642,193	401,297,608
Other Expenditures							
Utilities & Communication **	-	35,195,924	4,339,698	7,697,865	227,090	1,300	47,461,877
Scholarships, Tuition, Stipends & Allowances	-	2,191,876	334,878	40,167,710	553,500	-	43,247,964
Repairs & Maintenance	-	11,138,796	926,113	4,996,602	322,580	9,200	17,393,291
Other Operating Expenses	8,874	34,508,254	10,125,233	30,653,386	6,182,451	775,000	82,253,198
Subtotal Other	8,874	83,034,850	15,725,922	83,515,563	7,285,621	785,500	190,356,330
Total Expenditure Projection	230,780,332	191,889,866	27,790,343	122,198,262	15,567,442	3,427,693	591,653,938
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	25,699,909	-	-	25,699,909
Assessments	-	7,200,000	-	-	-	-	7,200,000
Tuition Scholarships	-	36,728,199	-	-	-	-	36,728,199
Other Transfers	-	248,666,638	32,835,899	26,905,834	5,060,866	-	313,469,237
Total Transfer Projection	-	292,594,837	32,835,899	52,605,743	5,060,866	-	383,097,345
Projected Net Operating Income w/out One-Time Expenditures	-	(18,114,826)	125,102	18,967,654	(369,187)	18,500	627,243
Projected One-Time Expenditures ***	-	-	-	1,520,723	584,808	-	2,105,531
Estimated Ending Balance	-	68,822,740	19,830,405	99,419,279	18,433,072	(256,290)	206,249,206
Reserve Held Centrally	-	22,448,943	-	-	-	-	22,448,943
5% Reserve Target for FY 2023 (Executive Policy)	n/a	23,887,736	1,472,980	2,950,764	862,476	n/a	29,173,955
16% Reserve Target for FY 2023 (BOR Policy)	n/a	73,417,336	4,833,536	11,558,916	3,028,571	n/a	92,838,359

GF = General Funds

TFSF = Tuition & Fee Special Fund

RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund

** Includes electricity, water, sewer, gas, telecom, postage

*** Included in expenditure budgets, but backed out to calculate projected NOI

University of Hawaii at Hilo Operating Budget (UOH-210)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	3,531,468	995,756	8,033,019	2,958,446	-	15,518,689
Less Encumbrances FY21	-	(3,528,210)	(4,046)	(521,115)	(222,781)	-	(4,276,152)
Unencumbered Ending Cash FY21	-	3,258	991,710	7,511,904	2,735,665	-	11,242,537
Act 236 Transfer		32,261,538					32,261,538
Less Deferred Revenue (FYE21)		(391,026)	-	-	(13,877)		(404,903)
Beginning Balance FY22	-	31,873,770	991,710	7,511,904	2,721,788	-	43,099,172
Revenue/Appropriation Projection	38,175,644	29,618,584	2,982,997	7,417,006	1,405,812	291,557	79,891,600
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-						-
Debt Service		-	-	-	-		-
Assessments		-	-	-	-		-
Tuition Scholarships		-	-	6,550,000	-		6,550,000
Lost Revenue Replacement (HEERF) *		2,280,000	-	928,359	85,109		3,293,468
Other Transfers	311,260	-	202,500	414,920	-		928,680
Total Transfer Projection	311,260	2,280,000	202,500	7,893,279	85,109	-	10,772,148
Expenditure Projections							
Personnel							
Regular Employee Payroll	37,250,198	8,649,768	206,928	992,425	378,653	-	47,477,972
Lecturer Payroll	-	1,248,030	-	-	-	-	1,248,030
Student Help Payroll	-	629,000	-	455,787	403,573	291,557	1,779,917
Other Personnel (Non-Regular Payroll)	-	1,010,000	-	87,091	91,840	-	1,188,931
Subtotal Personnel	37,250,198	11,536,798	206,928	1,535,303	874,066	291,557	51,694,850
Other Expenditures							
Utilities & Communication **	-	4,660,850	144,000	706,243	1,500	-	5,512,593
Scholarships, Tuition, Stipends & Allowances	-	200,000	1,100	6,550,000	211,514	-	6,962,614
Repairs & Maintenance	-	1,400,000	12,000	1,073,936	777,300	-	3,263,236
Other Operating Expenses	282,317	6,301,978	3,604,306	3,709,175	798,320	-	14,696,096
Subtotal Other	282,317	12,562,828	3,761,406	12,039,354	1,788,634	-	30,434,539
Total Expenditure Projection	37,532,515	24,099,626	3,968,334	13,574,657	2,662,700	291,557	82,129,389
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-						-
Debt Service		-	-	1,627,367	-		1,627,367
Assessments		937,157	-	-	-		937,157
Tuition Scholarships		6,550,000	-	-	-		6,550,000
Other Transfers	954,389	581,801	38,900	-	-		1,575,090
Total Transfer Projection	954,389	8,068,958	38,900	1,627,367	-	-	10,689,614
Projected Net Operating Income w/out One-Time Expenditures	-	-	(821,737)	938,261	-	-	116,524
Projected One-Time Expenditures ***	-	270,000	-	830,000	1,171,779	-	2,271,779
Estimated Ending Balance	-	31,603,770	169,973	7,620,165	1,550,009	-	40,943,917
Reserve Held Centrally		3,049,486					3,049,486
5% Reserve Target for FY 2023 (Executive Policy)	n/a	3,371,055	190,237	365,437	128,880	n/a	4,055,608
16% Reserve Target for FY 2023 (BOR Policy)	n/a	10,485,307	482,255	1,028,413	285,998	n/a	12,281,973

GF = General Funds
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RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund
** Includes electricity, water, sewer, gas, telecom, postage
*** Included in expenditure budgets, but backed out to calculate projected NOI

UH West Oahu Operating Budget (UOH-700)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	493,605	315,922	3,759,269	2,024,634	-	6,593,430
Less Encumbrances FY21	-	(447,827)	(10,991)	(11,625)	(10,633)	-	(481,076)
Unencumbered Ending Cash FY21	-	45,778	304,931	3,747,644	2,014,001	-	6,112,354
Act 236 Transfer	-	15,223,750	-	-	-	-	15,223,750
Less Deferred Revenue (FYE21)	-	(398,216)	-	-	-	-	(398,216)
Beginning Balance FY22	-	14,871,312	304,931	3,747,644	2,014,001	-	20,937,888
Revenue/Appropriation Projection	18,315,333	18,306,024	195,390	43,260	662,000	100,000	37,622,007
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	2,430,000	-	-	2,430,000
Lost Revenue Replacement (HEERF) *	-	1,080,000	-	-	-	-	1,080,000
Other Transfers	85,000	5,000	-	1,000,000	-	-	1,090,000
Total Transfer Projection	85,000	1,085,000	-	3,430,000	-	-	4,600,000
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	16,192,968	5,265,657	50,000	85,000	235,000	-	21,828,625
Lecturer Payroll	2,207,365	416,807	-	-	-	-	2,624,172
Student Help Payroll	-	399,135	-	25,000	160,000	94,000	678,135
Other Personnel (Non-Regular Payroll)	-	91,575	25,000	35,000	125,000	-	276,575
Subtotal Personnel	18,400,333	6,173,174	75,000	145,000	520,000	94,000	25,407,507
<i>Other Expenditures</i>							
Utilities & Communication **	-	1,750,000	50,000	-	2,000	-	1,802,000
Scholarships, Tuition, Stipends & Allowances	-	-	-	2,430,000	-	-	2,430,000
Repairs & Maintenance	-	500,000	-	835,000	137,000	-	1,472,000
Other Operating Expenses	-	1,939,098	200,000	90,000	615,000	6,000	2,850,098
Subtotal Other	-	4,189,098	250,000	3,355,000	754,000	6,000	8,554,098
Total Expenditure Projection	18,400,333	10,362,272	325,000	3,500,000	1,274,000	100,000	33,961,605
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	4,025,240	-	-	-	-	4,025,240
Assessments	-	130,000	-	-	-	-	130,000
Tuition Scholarships	-	2,430,000	-	-	-	-	2,430,000
Other Transfers	-	1,000,000	-	-	-	-	1,000,000
Total Transfer Projection	-	7,585,240	-	-	-	-	7,585,240
Projected Net Operating Income w/out One-Time Expenditures	-	1,943,512	(129,610)	808,260	(437,000)	-	2,185,162
Projected One-Time Expenditures ***	-	500,000	-	835,000	175,000	-	1,510,000
Estimated Ending Balance	-	16,314,824	175,321	3,720,904	1,402,001	-	21,613,050
Reserve Held Centrally		1,609,122					1,609,122
5% Reserve Target for FY 2023 (Executive Policy)	n/a	1,763,142	16,250	3,500	63,700	n/a	1,846,592
16% Reserve Target for FY 2023 (BOR Policy)	n/a	5,464,059	40,323	(35,037)	121,568	n/a	5,590,913

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University of Hawaii Community Colleges Operating Budget (UOH-800)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	9,867,402	1,523,341	51,851	29,246,445	-	40,689,040
Less Encumbrances FY21	-	(10,075,558)	(112,000)	-	(685,555)	-	(10,873,113)
Unencumbered Ending Cash FY21	-	(208,156)	1,411,341	51,851	28,560,890	-	29,815,927
Act 236 Transfer	-	63,888,922	-	-	-	-	63,888,922
Less Deferred Revenue (FYE21)	-	(167,518)	-	-	(2,100,026)	-	(2,267,544)
Beginning Balance FY22	-	63,513,248	1,411,341	51,851	26,460,864	-	91,437,305
Revenue/Appropriation Projection	152,745,144	50,004,445	1,434,237	7,217	17,471,856	2,873,807	224,536,707
Transfer Projections (Transfer-Ins)							
Performance Based Funding	920,845	-	-	-	-	-	920,845
Debt Service	-	-	-	2,987,607	-	-	2,987,607
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	3,747,093	-	-	4,172,000	-	7,919,093
Other Transfers	12,112,346	16,283,279	24,667	-	-	-	28,420,292
Total Transfer Projection	13,033,191	20,030,372	24,667	2,987,607	4,172,000	-	40,247,837
Expenditure Projections							
Personnel							
Regular Employee Payroll	128,938,261	2,863,096	715,589	-	6,994,544	1,184,688	140,696,178
Lecturer Payroll	16,976,631	5,144,213	12,418	-	4,054,590	164,738	26,352,590
Student Help Payroll	-	1,144,585	10,738	-	631,806	442,043	2,229,172
Other Personnel (Non-Regular Payroll)	-	581,452	35,090	-	489,789	145,858	1,252,189
Subtotal Personnel	145,914,892	9,733,346	773,835	-	12,170,729	1,937,327	170,530,129
Other Expenditures							
Utilities & Communication **	6,336,520	4,559,391	497,581	-	216,835	1,705	11,612,032
Scholarships, Tuition, Stipends & Allowances	1,800,000	5,439,159	4,921	-	66,648	-	7,310,728
Repairs & Maintenance	-	15,139,311	5,494	-	408,879	2,898	15,556,582
Other Operating Expenses	-	13,756,681	173,549	7,217	8,191,728	931,877	23,061,052
Subtotal Other	8,136,520	38,894,542	681,545	7,217	8,884,090	936,480	57,540,394
Total Expenditure Projection	154,051,412	48,627,888	1,455,380	7,217	21,054,819	2,873,807	228,070,523
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	2,385,410	-	2,987,607	589,037	-	5,962,054
Assessments	-	2,626,197	-	-	-	-	2,626,197
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	11,726,923	16,395,322	3,524	-	-	-	28,125,769
Total Transfer Projection	11,726,923	21,406,929	3,524	2,987,607	589,037	-	36,714,020
Projected Net Operating Income w/out One-Time Expenditures	-	3,747,093	-	-	4,172,000	-	7,919,093
Projected One-Time Expenditures ***	-	3,747,093	-	-	4,172,000	-	7,919,093
Estimated Ending Balance	-	63,513,248	1,411,341	51,851	26,460,864	-	91,437,305
Reserve Held Centrally	-	11,018,162	-	-	-	-	11,018,162
5% Reserve Target for FY 2023 (Executive Policy)	n/a	10,202,793	71,712	361	873,593	n/a	11,148,458
16% Reserve Target for FY 2023 (BOR Policy)	n/a	31,251,520	229,570	1,426,179	1,057,511	n/a	33,964,780

GF = General Funds
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University of Hawaii Systemwide Operating Budget (UOH-900)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	262,799,137	5,565,025	18,923,353	3,859,414	-	291,146,929
Less Encumbrances FY21	-	(2,062,943)	(1,949,117)	(4,098,324)	(360,397)	-	(8,470,781)
Unencumbered Ending Cash FY21	-	260,736,194	3,615,908	14,825,029	3,499,017	-	282,676,148
Act 236 Transfer		(198,210,918)					(198,210,918)
Less Campus Reserves Held Centrally		(40,840,205)					(40,840,205)
Less Deferred Revenue (FYE21)		-	-	(156,333)	-		(156,333)
Beginning Balance FY22	-	21,685,071	3,615,908	14,668,696	3,499,017	-	43,468,692
Revenue/Appropriation Projection	55,718,283	1,159,150	14,799,873	4,500,773	860,019	656,000	77,694,098
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service		-	-	-	-	-	-
Assessments		9,072,054	-	1,900,000	-	-	10,972,054
Tuition Scholarships		-	-	3,000,000	-	-	3,000,000
Lost Revenue Replacement (HEERF) *		-	-	-	-	-	-
Other Transfers	-	1,217,500	4,024,997	4,575	2,500	-	5,249,572
Total Transfer Projection	-	10,289,554	4,024,997	4,904,575	2,500	-	19,221,626
Expenditure Projections							
Personnel							
Regular Employee Payroll	37,209,326	238,052	5,793,187	822,518	419,420	180,000	44,662,503
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	810,000	-	100,391	12,750	-	-	923,141
Other Personnel (Non-Regular Payroll)	658,584	116,470	134,876	422,636	60,977	-	1,393,543
Subtotal Personnel	38,677,910	354,522	6,028,454	1,257,904	480,397	180,000	46,979,187
Other Expenditures							
Utilities & Communication *	186,820	17,832	641,872	556,066	864	-	1,403,454
Scholarships, Tuition, Stipends & Allowances	-	-	-	3,000,000	-	-	3,000,000
Repairs & Maintenance	205,000	662,000	647,419	1,705,418	-	-	3,219,837
Other Operating Expenses	10,184,271	5,480,572	8,481,635	3,221,992	434,362	476,000	28,278,832
Subtotal Other	10,576,091	6,160,404	9,770,926	8,483,476	435,226	476,000	35,902,123
Total Expenditure Projection	49,254,001	6,514,926	15,799,380	9,741,380	915,623	656,000	82,881,310
Transfer Projections (Transfer-Outs)							
Performance Based Funding	2,000,000	-	-	-	-	-	2,000,000
Debt Service		1,151,333	363,638	-	-	-	1,514,971
Assessments		-	-	-	-	-	-
Tuition Scholarships	3,000,000	-	-	-	-	-	3,000,000
Other Transfers	1,464,282	808,500	3,858,611	-	91,692	-	6,223,085
Total Transfer Projection	6,464,282	1,959,833	4,222,249	-	91,692	-	12,738,056
Projected Net Operating Income w/out One-Time Expenditures	-	2,973,945	(1,196,759)	(336,032)	(144,796)	-	1,296,358
Projected One-Time Expenditures **	-	-	-	-	-	-	-
Estimated Ending Balance	-	24,659,016	2,419,149	14,332,664	3,354,221	-	44,765,050
Reserve Held Centrally		2,714,493					2,714,493
5% Reserve Target for FY 2023 (Executive Policy)	n/a	2,371,960	799,832	241,840	50,241	n/a	3,463,873
16% Reserve Target for FY 2023 (BOR Policy)	n/a	7,704,139	2,588,439	656,853	195,737	n/a	11,145,168

GF = General Funds

TFSF = Tuition & Fee Special Fund

RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund

** Includes electricity, water, sewer, gas, telecom, postage

*** Included in expenditure budgets, but backed out to calculate projected NOI



University of Hawai'i – Mānoa Units

University of Hawaii at Manoa Operating Budget (UOH-881)
FY 2022 (07/01/21 to 06/30/22)
Waikiki Aquarium

FY22 Revenues over Expenditures	GF	TF SF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	-	(531,888)	(642,856)	2,847	-	(1,171,897)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	-	(531,888)	(642,856)	2,847	-	(1,171,897)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	-	(531,888)	(642,856)	2,847	-	(1,171,897)
Revenue/Appropriation Projection	552,713	-	-	1,500,000	720,000	-	2,772,713
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Expenditure Projections							
Personnel							
Regular Employee Payroll	552,713	-	499,612	500,000	100,000	-	1,652,325
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	5,000	4,000	-	9,000
Other Personnel (Non-Regular Payroll)	-	-	-	40,000	12,000	-	52,000
Subtotal Personnel	552,713	-	499,612	545,000	116,000	-	1,713,325
Other Expenditures							
Utilities & Communication **	-	-	-	300,000	50,000	-	350,000
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	-	32,276	100,000	-	-	132,276
Other Operating Expenses	-	-	-	100,000	400,000	-	500,000
Subtotal Other	-	-	32,276	500,000	450,000	-	982,276
Total Expenditure Projection	552,713	-	531,888	1,045,000	566,000	-	2,695,601
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	(531,888)	455,000	154,000	-	77,112
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	-	(1,063,776)	(187,856)	156,847	-	(1,094,785)
Reserve Held Centrally							-

GF = General Funds

TF SF = Tuition & Fee Special Fund

RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund

** Includes electricity, water, sewer, gas, telecom, postage

*** Included in expenditure budgets, but backed out to calculate projected NOI

University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Architecture

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	(153,754)	(12,555)	662	42,069	-	(123,578)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	(153,754)	(12,555)	662	42,069	-	(123,578)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	(153,754)	(12,555)	662	42,069	-	(123,578)
Revenue/Appropriation Projection	1,938,598	-	7,748	-	900,000	-	2,846,346
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	916,898	2,250	-	125,000	-	1,044,148
Total Transfer Projection	-	916,898	2,250	-	125,000	-	1,044,148
Expenditure Projections							
Personnel							
Regular Employee Payroll	1,938,598	687,940	-	-	571,740	-	3,198,278
Lecturer Payroll	-	20,394	-	-	-	-	20,394
Student Help Payroll	-	920	-	-	135,000	-	135,920
Other Personnel (Non-Regular Payroll)	-	7,455	-	-	75,000	-	82,455
Subtotal Personnel	1,938,598	716,709	-	-	781,740	-	3,437,047
Other Expenditures							
Utilities & Communication **	-	-	-	-	-	-	-
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	-	-	-	-	-	-
Other Operating Expenses	-	372	2,155	662	126,629	-	129,818
Subtotal Other	-	372	2,155	662	126,629	-	129,818
Total Expenditure Projection	1,938,598	717,081	2,155	662	908,369	-	3,566,865
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	198,500	-	-	125,000	-	323,500
Total Transfer Projection	-	198,500	-	-	125,000	-	323,500
Projected Net Operating Income w/out One-Time Expenditures	-	1,317	7,843	(662)	(8,369)	-	129
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	(152,437)	(4,712)	-	33,700	-	(123,449)
Reserve Held Centrally							-

GF = General Funds

TFSF = Tuition & Fee Special Fund

RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund

** Includes electricity, water, sewer, gas, telecom, postage

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Athletics

FY22 Revenues over Expenditures	GF	TF SF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	-	-	(26,755,572)	289,379	-	(26,466,193)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	-	-	(26,755,572)	289,379	-	(26,466,193)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	-	-	(26,755,572)	289,379	-	(26,466,193)
Revenue/Appropriation Projection	2,246,170	-	-	19,655,300	-	-	21,901,470
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	6,000,000	-	-	6,000,000
Other Transfers	-	-	-	421,253	125,000	-	546,253
Total Transfer Projection	-	-	-	6,421,253	125,000	-	6,546,253
Expenditure Projections							
Personnel							
Regular Employee Payroll	2,246,170	-	-	12,598,966	-	-	14,845,136
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	500,000	-	-	500,000
Other Personnel (Non-Regular Payroll)	-	-	-	515,172	-	-	515,172
Subtotal Personnel	2,246,170	-	-	13,614,138	-	-	15,860,308
Other Expenditures							
Utilities & Communication **	-	-	-	160,000	-	-	160,000
Scholarships, Tuition, Stipends & Allowances	-	-	-	1,684,084	-	-	1,684,084
Repairs & Maintenance	-	-	-	134,904	-	-	134,904
Other Operating Expenses	-	-	-	11,811,442	-	-	11,811,442
Subtotal Other	-	-	-	13,790,430	-	-	13,790,430
Total Expenditure Projection	2,246,170	-	-	27,404,568	-	-	29,650,738
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	185,000	-	-	185,000
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	59,190	-	-	59,190
Total Transfer Projection	-	-	-	244,190	-	-	244,190
Projected Net Operating Income w/out One-Time Expenditures	-	-	-	(1,572,205)	125,000	-	(1,447,205)
Projected One-Time Expenditures **	-	-	-	-	-	-	-
Estimated Ending Balance	-	-	-	(28,327,777)	414,379	-	(27,913,398)
Reserve Held Centrally							-

GF = General Funds
TF SF = Tuition & Fee Special Fund
RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund
** Includes electricity, water, sewer, gas, telecom, postage
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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
College of Arts, Languages & Letters

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	11,813,419	(196,606)	2,187,857	20,008	-	13,824,678
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	11,813,419	(196,606)	2,187,857	20,008	-	13,824,678
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	11,813,419	(196,606)	2,187,857	20,008	-	13,824,678
Revenue/Appropriation Projection	28,816,562	-	364,487	317,390	55,000	-	29,553,439
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	12,488,194	193,774	4,750	-	-	12,686,718
Total Transfer Projection	-	12,488,194	193,774	4,750	-	-	12,686,718
Expenditure Projections							
Personnel							
Regular Employee Payroll	28,816,562	9,747,649	108,915	381,755	3,133	-	39,058,014
Lecturer Payroll	-	1,238,032	4,988	76,284	3,133	-	1,322,437
Student Help Payroll	-	161,633	6,601	75,025	3,373	-	246,632
Other Personnel (Non-Regular Payroll)	-	86,546	363	34,170	10,361	-	131,440
Subtotal Personnel	28,816,562	11,233,860	120,867	567,234	20,000	-	40,758,523
Other Expenditures							
Utilities & Communication **	-	184,515	1,864	2,210	-	-	188,589
Scholarships, Tuition, Stipends & Allowances	-	75,971	1,436	-	-	-	77,407
Repairs & Maintenance	-	776	-	5,300	5,000	-	11,076
Other Operating Expenses	-	993,072	62,872	63,236	2,042	-	1,121,222
Subtotal Other	-	1,254,334	66,172	70,746	7,042	-	1,398,294
Total Expenditure Projection	28,816,562	12,488,194	187,039	637,980	27,042	-	42,156,817
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	4,874	-	-	-	4,874
Total Transfer Projection	-	-	4,874	-	-	-	4,874
Projected Net Operating Income w/out One-Time Expenditures	-	-	366,348	(265,840)	27,958	-	128,466
Projected One-Time Expenditures ***	-	-	-	50,000	-	-	50,000
Estimated Ending Balance	-	11,813,419	169,742	1,872,017	47,966	-	13,903,144
Reserve Held Centrally	-	-	-	-	-	-	-

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** Includes electricity, water, sewer, gas, telecom, postage

*** Included in expenditure budgets, but backed out to calculate projected NOI

University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Campus Services

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	3,802,298	-	19,031,650	(1,440,072)	-	21,393,876
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	3,802,298	-	19,031,650	(1,440,072)	-	21,393,876
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	(284,000)	-	-	(284,000)
Beginning Balance FY22	-	3,802,298	-	18,747,650	(1,440,072)	-	21,109,876
Revenue/Appropriation Projection	2,940,154	-	-	22,158,580	269,000	-	25,367,734
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	2,017,464	-	-	2,017,464
Other Transfers	-	1,419,794	-	516,690	-	-	1,936,484
Total Transfer Projection	-	1,419,794	-	2,534,154	-	-	3,953,948
Expenditure Projections							
Personnel							
Regular Employee Payroll	2,931,280	646,194	-	5,225,760	91,010	-	8,894,244
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	30,834	-	305,168	10,977	-	346,979
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	2,931,280	677,028	-	5,530,928	101,987	-	9,241,223
Other Expenditures							
Utilities & Communication **	-	6,545	-	863,395	342	-	870,282
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	17,506	-	695,265	-	-	712,771
Other Operating Expenses	8,874	718,714	-	11,487,369	138,031	-	12,352,988
Subtotal Other	8,874	742,765	-	13,046,029	138,373	-	13,936,041
Total Expenditure Projection	2,940,154	1,419,793	-	18,576,957	240,360	-	23,177,264
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	1,976,238	-	-	1,976,238
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	696,690	-	-	696,690
Total Transfer Projection	-	-	-	2,672,928	-	-	2,672,928
Projected Net Operating Income w/out One-Time Expenditures	-	1	-	3,713,572	28,640	-	3,742,213
Projected One-Time Expenditures ***	-	-	-	270,723	-	-	270,723
Estimated Ending Balance	-	3,802,299	-	22,190,499	(1,411,432)	-	24,581,366
Reserve Held Centrally							-

GF = General Funds
TF SF = Tuition & Fee Special Fund
RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund
** Includes electricity, water, sewer, gas, telecom, postage
*** Included in expenditure budgets, but backed out to calculate projected NOI

University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Cancer Center

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	888,885	(5,233,386)	26,638,085	296,174	-	22,589,758
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	888,885	(5,233,386)	26,638,085	296,174	-	22,589,758
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	888,885	(5,233,386)	26,638,085	296,174	-	22,589,758
Revenue/Appropriation Projection	3,081,180	-	3,505,230	146,363	88,190	-	6,820,963
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	2,236,806	4,051,306	20,750,636	129	-	27,038,877
Total Transfer Projection	-	2,236,806	4,051,306	20,750,636	129	-	27,038,877
Expenditure Projections							
Personnel							
Regular Employee Payroll	3,081,180	2,236,806	3,279,471	4,319,932	-	-	12,917,389
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	-	-	-	-
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	3,081,180	2,236,806	3,279,471	4,319,932	-	-	12,917,389
Other Expenditures							
Utilities & Communication **	-	-	1,270,877	15,519	-	-	1,286,396
Scholarships, Tuition, Stipends & Allowances	-	-	-	11,144	-	-	11,144
Repairs & Maintenance	-	-	191,049	503,196	-	-	694,245
Other Operating Expenses	-	-	491,989	1,162,177	364,450	-	2,018,616
Subtotal Other	-	-	1,953,915	1,692,036	364,450	-	4,010,401
Total Expenditure Projection	3,081,180	2,236,806	5,233,386	6,011,968	364,450	-	16,927,790
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	6,448,235	-	-	6,448,235
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	9,394,585	-	-	9,394,585
Total Transfer Projection	-	-	-	15,842,820	-	-	15,842,820
Projected Net Operating Income w/out One-Time Expenditures	-	-	2,323,150	(957,789)	(276,131)	-	1,089,230
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	888,885	(2,910,236)	25,680,296	20,043	-	23,678,988
Reserve Held Centrally							-

GF = General Funds

TFSF = Tuition & Fee Special Fund

RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund

** Includes electricity, water, sewer, gas, telecom, postage

*** Included in expenditure budgets, but backed out to calculate projected NOI

University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
College of Tropical Agriculture and Human Resources

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	8,059,694	(476,267)	139,156	291,309	(273,995)	7,739,897
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	8,059,694	(476,267)	139,156	291,309	(273,995)	7,739,897
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	8,059,694	(476,267)	139,156	291,309	(273,995)	7,739,897
Revenue/Appropriation Projection	15,051,380	-	1,079,005	101,500	57,300	2,395,000	18,684,185
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	9,331,731	867,373	5,000	-	-	10,204,104
Total Transfer Projection	-	9,331,731	867,373	5,000	-	-	10,204,104
Expenditure Projections							
Personnel							
Regular Employee Payroll	15,051,380	6,462,351	133,205	97,500	-	1,682,000	23,426,436
Lecturer Payroll	-	237,658	-	-	-	-	237,658
Student Help Payroll	-	167,125	11,301	2,000	10,000	39,000	229,426
Other Personnel (Non-Regular Payroll)	-	34,952	(4,219)	-	-	35,000	65,733
Subtotal Personnel	15,051,380	6,902,086	140,287	99,500	10,000	1,756,000	23,959,253
Other Expenditures							
Utilities & Communication **	-	401,328	6,921	500	7,000	1,300	417,049
Scholarships, Tuition, Stipends & Allowances	-	15,193	3,300	-	-	-	18,493
Repairs & Maintenance	-	206,544	141,577	-	500	9,200	357,821
Other Operating Expenses	-	1,806,577	147,304	6,500	10,000	610,000	2,580,381
Subtotal Other	-	2,429,642	299,102	7,000	17,500	620,500	3,373,744
Total Expenditure Projection	15,051,380	9,331,728	439,389	106,500	27,500	2,376,500	27,332,997
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	74,341	-	-	-	74,341
Total Transfer Projection	-	-	74,341	-	-	-	74,341
Projected Net Operating Income w/out One-Time Expenditures	-	3	1,432,648	-	29,800	18,500	1,480,951
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	8,059,697	956,381	139,156	321,109	(255,495)	9,220,848
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Education

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	12,922,343	(308,072)	19,654	-	-	12,633,925
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	12,922,343	(308,072)	19,654	-	-	12,633,925
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	12,922,343	(308,072)	19,654	-	-	12,633,925
Revenue/Appropriation Projection	11,805,897	-	935,347	-	-	-	12,741,244
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	4,824,489	397,093	-	-	-	5,221,582
Total Transfer Projection	-	4,824,489	397,093	-	-	-	5,221,582
Expenditure Projections							
Personnel							
Regular Employee Payroll	11,783,254	4,379,092	217,536	-	-	-	16,379,882
Lecturer Payroll	-	256,611	-	-	-	-	256,611
Student Help Payroll	22,644	81,817	985	-	-	-	105,446
Other Personnel (Non-Regular Payroll)	-	59,662	4,326	-	-	-	63,988
Subtotal Personnel	11,805,898	4,777,182	222,847	-	-	-	16,805,927
Other Expenditures							
Utilities & Communication **	-	70,090	15,271	-	-	-	85,361
Scholarships, Tuition, Stipends & Allowances	-	2,189	-	-	-	-	2,189
Repairs & Maintenance	-	8,769	456	-	-	-	9,225
Other Operating Expenses	-	542,257	59,311	-	-	-	601,568
Subtotal Other	-	623,305	75,038	-	-	-	698,343
Total Expenditure Projection	11,805,898	5,400,487	297,885	-	-	-	17,504,270
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	(1)	(575,998)	1,034,555	-	-	-	458,556
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	(1)	12,346,345	726,483	19,654	-	-	13,092,481
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Environmental Health & Safety Office

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	490,177	1,644	-	-	-	491,821
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	490,177	1,644	-	-	-	491,821
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	490,177	1,644	-	-	-	491,821
Revenue/Appropriation Projection	1,124,252	-	-	-	-	-	1,124,252
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	466,141	15,673	-	-	-	481,814
Total Transfer Projection	-	466,141	15,673	-	-	-	481,814
Expenditure Projections							
Personnel							
Regular Employee Payroll	1,124,252	261,283	-	-	-	-	1,385,535
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	8,411	-	-	-	-	8,411
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	1,124,252	269,694	-	-	-	-	1,393,946
Other Expenditures							
Utilities & Communication **	-	5,258	-	-	-	-	5,258
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	36,566	(1,347)	-	-	-	35,219
Other Operating Expenses	-	154,623	(296)	-	-	-	154,327
Subtotal Other	-	196,447	(1,643)	-	-	-	194,804
Total Expenditure Projection	1,124,252	466,141	(1,643)	-	-	-	1,588,750
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	17,316	-	-	-	17,316
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	490,177	18,960	-	-	-	509,137
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Engineering

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	1,350,700	(1,048,004)	413	66,774	-	369,883
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	1,350,700	(1,048,004)	413	66,774	-	369,883
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	1,350,700	(1,048,004)	413	66,774	-	369,883
Revenue/Appropriation Projection	8,722,936	-	963,963	2	260	-	9,687,161
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	4,297,717	785,359	-	-	-	5,083,076
Total Transfer Projection	-	4,297,717	785,359	-	-	-	5,083,076
Expenditure Projections							
Personnel							
Regular Employee Payroll	8,722,936	1,996,769	371,471	-	-	-	11,091,176
Lecturer Payroll	-	215,959	(14,438)	-	-	-	201,521
Student Help Payroll	-	137,426	22,889	-	-	-	160,315
Other Personnel (Non-Regular Payroll)	-	49,216	3,062	-	-	-	52,278
Subtotal Personnel	8,722,936	2,399,370	382,984	-	-	-	11,505,290
Other Expenditures							
Utilities & Communication **	-	114,053	830	-	-	-	114,883
Scholarships, Tuition, Stipends & Allowances	-	-	16,075	-	-	-	16,075
Repairs & Maintenance	-	20,296	1,853	-	-	-	22,149
Other Operating Expenses	-	807,995	488,831	-	-	-	1,296,826
Subtotal Other	-	942,344	507,589	-	-	-	1,449,933
Total Expenditure Projection	8,722,936	3,341,714	890,573	-	-	-	12,955,223
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	956,000	10,305	-	-	-	966,305
Total Transfer Projection	-	956,000	10,305	-	-	-	966,305
Projected Net Operating Income w/out One-Time Expenditures	-	3	848,444	2	260	-	848,709
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	1,350,703	(199,560)	415	67,034	-	1,218,592
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Facilities (Manoa)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	11,932,943	-	377,830	616,244	-	12,927,017
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	11,932,943	-	377,830	616,244	-	12,927,017
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	11,932,943	-	377,830	616,244	-	12,927,017
Revenue/Appropriation Projection	13,377,181	-	-	131,300	576,453	-	14,084,934
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	19,281,444	-	-	198,000	-	19,479,444
Total Transfer Projection	-	19,281,444	-	-	198,000	-	19,479,444
Expenditure Projections							
Personnel							
Regular Employee Payroll	13,377,181	3,227,636	-	-	-	-	16,604,817
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	82,111	-	-	-	-	82,111
Other Personnel (Non-Regular Payroll)	-	203,835	-	-	-	-	203,835
Subtotal Personnel	13,377,181	3,513,582	-	-	-	-	16,890,763
Other Expenditures							
Utilities & Communication **	-	-	-	-	7,700	-	7,700
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	10,310,000	-	100,000	-	-	10,410,000
Other Operating Expenses	-	5,457,862	-	16,000	424,217	-	5,898,079
Subtotal Other	-	15,767,862	-	116,000	431,917	-	16,315,779
Total Expenditure Projection	13,377,181	19,281,444	-	116,000	431,917	-	33,206,542
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	-	15,300	342,536	-	357,836
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	11,932,943	-	393,130	958,780	-	13,284,853
Reserve Held Centrally	-	-	-	-	-	-	-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Hawaiiinuiakea School of Hawaiian Knowledge

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	2,003,761	(11,247)	9,263	-	-	2,001,777
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	2,003,761	(11,247)	9,263	-	-	2,001,777
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	2,003,761	(11,247)	9,263	-	-	2,001,777
Revenue/Appropriation Projection	4,346,322	-	-	-	-	-	4,346,322
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	1,515,386	13,637	7,500	-	-	1,536,523
Total Transfer Projection	-	1,515,386	13,637	7,500	-	-	1,536,523
Expenditure Projections							
Personnel							
Regular Employee Payroll	4,346,322	1,078,210	1,151	-	-	-	5,425,683
Lecturer Payroll	-	108,633	-	-	-	-	108,633
Student Help Payroll	-	8,924	-	-	-	-	8,924
Other Personnel (Non-Regular Payroll)	-	50,841	-	-	-	-	50,841
Subtotal Personnel	4,346,322	1,246,608	1,151	-	-	-	5,594,081
Other Expenditures							
Utilities & Communication **	-	3,356	-	-	-	-	3,356
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	5,971	-	-	-	-	5,971
Other Operating Expenses	-	259,451	500	7,500	-	-	267,451
Subtotal Other	-	268,778	500	7,500	-	-	276,778
Total Expenditure Projection	4,346,322	1,515,386	1,651	7,500	-	-	5,870,859
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	610	-	-	-	610
Total Transfer Projection	-	-	610	-	-	-	610
Projected Net Operating Income w/out One-Time Expenditures	-	-	11,376	-	-	-	11,376
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	2,003,761	129	9,263	-	-	2,013,153
Reserve Held Centrally	-	-	-	-	-	-	-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Institute for Astronomy

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	5,046,971	(5,638,124)	169,206	79,813	-	(342,134)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	5,046,971	(5,638,124)	169,206	79,813	-	(342,134)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	5,046,971	(5,638,124)	169,206	79,813	-	(342,134)
Revenue/Appropriation Projection	6,103,924	-	1,916,889	-	24,500	-	8,045,313
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	3,471,147	1,802,091	181,369	-	-	5,454,607
Total Transfer Projection	-	3,471,147	1,802,091	181,369	-	-	5,454,607
Expenditure Projections							
Personnel							
Regular Employee Payroll	6,103,924	2,415,054	271,515	-	-	-	8,790,493
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	2,926	-	-	-	2,926
Other Personnel (Non-Regular Payroll)	-	22,393	-	-	-	-	22,393
Subtotal Personnel	6,103,924	2,437,447	274,441	-	-	-	8,815,812
Other Expenditures							
Utilities & Communication **	-	115,891	-	-	1,800	-	117,691
Scholarships, Tuition, Stipends & Allowances	-	-	20,357	-	-	-	20,357
Repairs & Maintenance	-	32,932	404	-	-	-	33,336
Other Operating Expenses	-	884,876	1,724,794	700	20,000	-	2,630,370
Subtotal Other	-	1,033,699	1,745,555	700	21,800	-	2,801,754
Total Expenditure Projection	6,103,924	3,471,146	2,019,996	700	21,800	-	11,617,566
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	148,503	135,000	-	-	283,503
Total Transfer Projection	-	-	148,503	135,000	-	-	283,503
Projected Net Operating Income w/out One-Time Expenditures	-	1	1,550,481	45,669	2,700	-	1,598,851
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	5,046,972	(4,087,643)	214,875	82,513	-	1,256,717
Reserve Held Centrally	-	-	-	-	-	-	-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Law

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	467,225	(6,720)	44,270	3,771	-	508,546
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	467,225	(6,720)	44,270	3,771	-	508,546
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	467,225	(6,720)	44,270	3,771	-	508,546
Revenue/Appropriation Projection	6,537,500	-	161,698	503	10,789	-	6,710,490
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	3,861,657	6,369	215,854	-	-	4,083,880
Total Transfer Projection	-	3,861,657	6,369	215,854	-	-	4,083,880
Expenditure Projections							
Personnel							
Regular Employee Payroll	6,537,500	2,207,739	-	-	14,560	-	8,759,799
Lecturer Payroll	-	62,539	-	-	-	-	62,539
Student Help Payroll	-	346,648	-	-	-	-	346,648
Other Personnel (Non-Regular Payroll)	-	202,069	-	-	-	-	202,069
Subtotal Personnel	6,537,500	2,818,995	-	-	14,560	-	9,371,055
Other Expenditures							
Utilities & Communication **	-	48,019	-	-	-	-	48,019
Scholarships, Tuition, Stipends & Allowances	-	443	100	-	-	-	543
Repairs & Maintenance	-	1,019	-	-	-	-	1,019
Other Operating Expenses	-	993,182	5,845	2,152	-	-	1,001,179
Subtotal Other	-	1,042,663	5,945	2,152	-	-	1,050,760
Total Expenditure Projection	6,537,500	3,861,658	5,945	2,152	14,560	-	10,421,815
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	258,441	-	-	258,441
Total Transfer Projection	-	-	-	258,441	-	-	258,441
Projected Net Operating Income w/out One-Time Expenditures	-	(1)	162,122	(44,236)	(3,771)	-	114,114
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	467,224	155,402	34	-	-	622,660
Reserve Held Centrally							-

GF = General Funds

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RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund

** Includes electricity, water, sewer, gas, telecom, postage

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Library

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	5,110,537	(11,531)	352,981	-	-	5,451,987
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	5,110,537	(11,531)	352,981	-	-	5,451,987
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	5,110,537	(11,531)	352,981	-	-	5,451,987
Revenue/Appropriation Projection	5,878,133	-	-	8,200	-	-	5,886,333
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	12,711	-	-	12,711
Other Transfers	-	11,160,533	70,309	-	-	-	11,230,842
Total Transfer Projection	-	11,160,533	70,309	12,711	-	-	11,243,553
Expenditure Projections							
Personnel							
Regular Employee Payroll	5,878,133	2,153,736	-	3,200	-	-	8,035,069
Lecturer Payroll	-	734	-	-	-	-	734
Student Help Payroll	-	651,156	11,531	-	-	-	662,687
Other Personnel (Non-Regular Payroll)	-	194,828	-	-	-	-	194,828
Subtotal Personnel	5,878,133	3,000,454	11,531	3,200	-	-	8,893,318
Other Expenditures							
Utilities & Communication **	-	68,343	-	756	-	-	69,099
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	34,395	-	-	-	-	34,395
Other Operating Expenses	-	8,057,342	-	137,190	-	-	8,194,532
Subtotal Other	-	8,160,080	-	137,946	-	-	8,298,026
Total Expenditure Projection	5,878,133	11,160,534	11,531	141,146	-	-	17,191,344
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	(1)	58,778	(120,235)	-	-	(61,458)
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	5,110,536	47,247	232,746	-	-	5,390,529
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Lyon Arboretum

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	416,316	(45,352)	-	-	-	370,964
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	416,316	(45,352)	-	-	-	370,964
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	416,316	(45,352)	-	-	-	370,964
Revenue/Appropriation Projection	739,688	-	1,494	-	-	-	741,182
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	320,685	57,335	-	-	-	378,020
Total Transfer Projection	-	320,685	57,335	-	-	-	378,020
Expenditure Projections							
Personnel							
Regular Employee Payroll	739,688	184,918	44,074	-	-	-	968,680
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	56,205	-	-	-	-	56,205
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	739,688	241,123	44,074	-	-	-	1,024,885
Other Expenditures							
Utilities & Communication **	-	44,277	-	-	-	-	44,277
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	12,682	-	-	-	-	12,682
Other Operating Expenses	-	22,601	1,278	-	-	-	23,879
Subtotal Other	-	79,560	1,278	-	-	-	80,838
Total Expenditure Projection	739,688	320,683	45,352	-	-	-	1,105,723
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	2	13,477	-	-	-	13,479
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	416,318	(31,875)	-	-	-	384,443
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Manoa Budget Office

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	(108,551,172)	-	-	-	-	(108,551,172)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	(108,551,172)	-	-	-	-	(108,551,172)
Act 236 Transfer		86,836,708					86,836,708
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	(21,714,464)	-	-	-	-	(21,714,464)
Revenue/Appropriation Projection	-	187,468,070	-	-	-	-	187,468,070
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-						-
Debt Service		-	-	-	-		-
Assessments		-	-	-	-		-
Tuition Scholarships		-	-	-	-		-
Lost Revenue Replacement (HEERF) *		20,000,000	-	-	-		20,000,000
Other Transfers	-	10,000,000	-	-	-		10,000,000
Total Transfer Projection	-	30,000,000	-	-	-	-	30,000,000
Expenditure Projections							
Personnel							
Regular Employee Payroll	(1)	7,800,000	-	-	-	-	7,799,999
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	-	-	-	-
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	(1)	7,800,000	-	-	-	-	7,799,999
Other Expenditures							
Utilities & Communication **	-	-	-	-	-	-	-
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	-	-	-	-	-	-
Other Operating Expenses	-	1,000,000	-	-	-	-	1,000,000
Subtotal Other	-	1,000,000	-	-	-	-	1,000,000
Total Expenditure Projection	(1)	8,800,000	-	-	-	-	8,799,999
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-						-
Debt Service		-	-	-	-		-
Assessments		7,200,000	-	-	-		7,200,000
Tuition Scholarships		36,728,199	-	-	-		36,728,199
Other Transfers	-	179,664,490	-	-	-		179,664,490
Total Transfer Projection	-	223,592,689	-	-	-	-	223,592,689
Projected Net Operating Income w/out One-Time Expenditures	1	(14,924,619)	-	-	-	-	(14,924,618)
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	1	(36,639,083)	-	-	-	-	(36,639,082)
Reserve Held Centrally		22,448,943					22,448,943

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Medicine

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	1,918,352	(5,711,953)	1,698,960	768,059	-	(1,326,582)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	1,918,352	(5,711,953)	1,698,960	768,059	-	(1,326,582)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	1,918,352	(5,711,953)	1,698,960	768,059	-	(1,326,582)
Revenue/Appropriation Projection	19,897,591	-	5,071,665	690,325	-	-	25,659,581
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	19,601,374	7,318,103	9,892,126	-	-	36,811,603
Total Transfer Projection	-	19,601,374	7,318,103	9,892,126	-	-	36,811,603
Expenditure Projections							
Personnel							
Regular Employee Payroll	19,897,591	11,489,707	2,078,809	120,452	187,895	-	33,774,454
Lecturer Payroll	-	-	11,291	-	-	-	11,291
Student Help Payroll	-	34,584	2,677	-	-	-	37,261
Other Personnel (Non-Regular Payroll)	-	230,605	48,875	26,247	-	-	305,727
Subtotal Personnel	19,897,591	11,754,896	2,141,652	146,699	187,895	-	34,128,733
Other Expenditures							
Utilities & Communication **	-	2,469,269	1,889,782	-	11,000	-	4,370,051
Scholarships, Tuition, Stipends & Allowances	-	-	10,436	266,180	-	-	276,616
Repairs & Maintenance	-	250,879	193,328	-	2,000	-	446,207
Other Operating Expenses	-	5,126,328	1,476,754	1,125,201	391,905	-	8,120,188
Subtotal Other	-	7,846,476	3,570,300	1,391,381	404,905	-	13,213,062
Total Expenditure Projection	19,897,591	19,601,372	5,711,952	1,538,080	592,800	-	47,341,795
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	9,396,286	-	-	9,396,286
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	173,728	53,640	7,200	-	234,568
Total Transfer Projection	-	-	173,728	9,449,926	7,200	-	9,630,854
Projected Net Operating Income w/out One-Time Expenditures	-	2	6,504,088	(405,555)	(600,000)	-	5,498,535
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	1,918,354	792,135	1,293,405	168,059	-	4,171,953
Reserve Held Centrally	-	-	-	-	-	-	-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Natural Science

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	(1,219,686)	(3,490,804)	(52,209)	3,871	-	(4,758,828)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	(1,219,686)	(3,490,804)	(52,209)	3,871	-	(4,758,828)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	(1,219,686)	(3,490,804)	(52,209)	3,871	-	(4,758,828)
Revenue/Appropriation Projection	16,756,744	-	2,715,740	-	-	-	19,472,484
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	6,161,746	2,425,320	-	-	-	8,587,066
Total Transfer Projection	-	6,161,746	2,425,320	-	-	-	8,587,066
Expenditure Projections							
Personnel							
Regular Employee Payroll	16,756,744	5,865,882	960,654	(57,263)	-	-	23,526,017
Lecturer Payroll	-	103,317	-	-	-	-	103,317
Student Help Payroll	-	107,778	60,559	-	-	-	168,337
Other Personnel (Non-Regular Payroll)	-	4,846	48,913	-	-	-	53,759
Subtotal Personnel	16,756,744	6,081,823	1,070,126	(57,263)	-	-	23,851,430
Other Expenditures							
Utilities & Communication **	-	6,893	9,866	-	-	-	16,759
Scholarships, Tuition, Stipends & Allowances	-	6,573	131,171	-	-	-	137,744
Repairs & Maintenance	-	2,608	41,315	-	-	-	43,923
Other Operating Expenses	-	63,849	692,733	-	-	-	756,582
Subtotal Other	-	79,923	875,085	-	-	-	955,008
Total Expenditure Projection	16,756,744	6,161,746	1,945,211	(57,263)	-	-	24,806,438
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	3,195,849	57,263	-	-	3,253,112
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	(1,219,686)	(294,955)	5,054	3,871	-	(1,505,716)
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Nursing

FY22 Revenues over Expenditures	GF	TF SF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	(614,437)	(26,339)	857,114	-	-	216,338
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	(614,437)	(26,339)	857,114	-	-	216,338
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	(614,437)	(26,339)	857,114	-	-	216,338
Revenue/Appropriation Projection	4,298,708	-	27,017	32,000	-	-	4,357,725
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	3,749,889	38,908	1,090,000	-	-	4,878,797
Total Transfer Projection	-	3,749,889	38,908	1,090,000	-	-	4,878,797
Expenditure Projections							
Personnel							
Regular Employee Payroll	4,298,708	2,868,848	25,060	741,790	-	-	7,934,406
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	36,543	-	-	-	-	36,543
Other Personnel (Non-Regular Payroll)	-	53,595	-	-	-	-	53,595
Subtotal Personnel	4,298,708	2,958,986	25,060	741,790	-	-	8,024,544
Other Expenditures							
Utilities & Communication **	-	124,394	-	2,785	-	-	127,179
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	34,163	-	-	-	-	34,163
Other Operating Expenses	-	632,347	1,279	(58,025)	-	-	575,601
Subtotal Other	-	790,904	1,279	(55,240)	-	-	736,943
Total Expenditure Projection	4,298,708	3,749,890	26,339	686,550	-	-	8,761,487
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	(1)	39,586	435,450	-	-	475,035
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	(614,438)	13,247	1,292,564	-	-	691,373
Reserve Held Centrally	-	-	-	-	-	-	-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Outreach College

FY22 Revenues over Expenditures	GF	TF SF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	27,514,015	(5,857)	(9,671,442)	-	-	17,836,716
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	27,514,015	(5,857)	(9,671,442)	-	-	17,836,716
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	(9,133,743)	-	-	-	-	(9,133,743)
Beginning Balance FY22	-	18,380,272	(5,857)	(9,671,442)	-	-	8,702,973
Revenue/Appropriation Projection	-	39,510,000	3,237	1,100,000	-	-	40,613,237
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	32,434,338	-	11,556,403	-	-	43,990,741
Total Transfer Projection	-	32,434,338	-	11,556,403	-	-	43,990,741
Expenditure Projections							
Personnel							
Regular Employee Payroll	-	8,217,000	-	1,338,000	-	-	9,555,000
Lecturer Payroll	-	3,068,000	-	100,000	-	-	3,168,000
Student Help Payroll	-	35,500	-	39,700	-	-	75,200
Other Personnel (Non-Regular Payroll)	-	26,800	-	-	-	-	26,800
Subtotal Personnel	-	11,347,300	-	1,477,700	-	-	12,825,000
Other Expenditures							
Utilities & Communication **	-	26,150	-	4,300	-	-	30,450
Scholarships, Tuition, Stipends & Allowances	-	683,000	-	1,200,000	-	-	1,883,000
Repairs & Maintenance	-	2,100	-	-	-	-	2,100
Other Operating Expenses	-	993,000	5,857	31,000	-	-	1,029,857
Subtotal Other	-	1,704,250	5,857	1,235,300	-	-	2,945,407
Total Expenditure Projection	-	13,051,550	5,857	2,713,000	-	-	15,770,407
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	62,190,669	-	-	-	-	62,190,669
Total Transfer Projection	-	62,190,669	-	-	-	-	62,190,669
Projected Net Operating Income w/out One-Time Expenditures	-	(3,297,881)	(2,620)	9,943,403	-	-	6,642,902
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	15,082,391	(8,477)	271,961	-	-	15,345,875
Reserve Held Centrally	-	-	-	-	-	-	-

GF = General Funds
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RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund
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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
President / Provost

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	3,187,687	-	(12,417,951)	50,016	-	(9,180,248)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	3,187,687	-	(12,417,951)	50,016	-	(9,180,248)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	3,187,687	-	(12,417,951)	50,016	-	(9,180,248)
Revenue/Appropriation Projection	1,511,702	-	-	-	-	-	1,511,702
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	690,230	-	1,400,000	-	-	2,090,230
Total Transfer Projection	-	690,230	-	1,400,000	-	-	2,090,230
Expenditure Projections							
Personnel							
Regular Employee Payroll	1,511,702	383,587	-	-	-	-	1,895,289
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	36,511	-	-	-	-	36,511
Other Personnel (Non-Regular Payroll)	-	33,200	-	-	36,718	-	69,918
Subtotal Personnel	1,511,702	453,298	-	-	36,718	-	2,001,718
Other Expenditures							
Utilities & Communication **	-	11,174	-	-	-	-	11,174
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	27	-	-	-	-	27
Other Operating Expenses	-	225,733	-	2,000	-	-	227,733
Subtotal Other	-	236,934	-	2,000	-	-	238,934
Total Expenditure Projection	1,511,702	690,232	-	2,000	36,718	-	2,240,652
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	(2)	-	1,398,000	(36,718)	-	1,361,280
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	3,187,685	-	(11,019,951)	13,298	-	(7,818,968)
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Shidler

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	(4,043,611)	(187,703)	-	5,161	-	(4,226,153)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	(4,043,611)	(187,703)	-	5,161	-	(4,226,153)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	(4,043,611)	(187,703)	-	5,161	-	(4,226,153)
Revenue/Appropriation Projection	12,367,295	1,881,499	27,496	-	25,000	-	14,301,290
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	11,806,532	147,260	-	-	-	11,953,792
Total Transfer Projection	-	11,806,532	147,260	-	-	-	11,953,792
Expenditure Projections							
Personnel							
Regular Employee Payroll	12,367,295	2,724,837	6,449	-	25,000	-	15,123,581
Lecturer Payroll	-	1,278,660	-	-	-	-	1,278,660
Student Help Payroll	-	236,991	-	-	-	-	236,991
Other Personnel (Non-Regular Payroll)	-	1,086,054	-	-	-	-	1,086,054
Subtotal Personnel	12,367,295	5,326,542	6,449	-	25,000	-	17,725,286
Other Expenditures							
Utilities & Communication **	-	291,560	-	-	-	-	291,560
Scholarships, Tuition, Stipends & Allowances	-	1,053,807	-	-	-	-	1,053,807
Repairs & Maintenance	-	5,446	-	-	-	-	5,446
Other Operating Expenses	-	671,351	11,149	-	538	-	683,038
Subtotal Other	-	2,022,164	11,149	-	538	-	2,033,851
Total Expenditure Projection	12,367,295	7,348,706	17,598	-	25,538	-	19,759,137
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	5,656,979	28,271	-	-	-	5,685,250
Total Transfer Projection	-	5,656,979	28,271	-	-	-	5,685,250
Projected Net Operating Income w/out One-Time Expenditures	-	682,346	128,887	-	(538)	-	810,695
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	(3,361,265)	(58,816)	-	4,623	-	(3,415,458)
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Social Science

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	5,998,451	(987,472)	44,844	245	-	5,056,068
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	5,998,451	(987,472)	44,844	245	-	5,056,068
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	5,998,451	(987,472)	44,844	245	-	5,056,068
Revenue/Appropriation Projection	17,724,812	-	1,003,043	4,173	-	-	18,732,028
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	6,725,510	999,571	-	-	-	7,725,081
Total Transfer Projection	-	6,725,510	999,571	-	-	-	7,725,081
Expenditure Projections							
Personnel							
Regular Employee Payroll	17,724,812	4,689,718	666,349	10,810	-	-	23,091,689
Lecturer Payroll	-	1,140,887	-	-	-	-	1,140,887
Student Help Payroll	-	87,347	39,335	2,500	-	-	129,182
Other Personnel (Non-Regular Payroll)	-	3,394	-	-	-	-	3,394
Subtotal Personnel	17,724,812	5,921,346	705,684	13,310	-	-	24,365,152
Other Expenditures							
Utilities & Communication **	-	258,818	4,300	300	-	-	263,418
Scholarships, Tuition, Stipends & Allowances	-	80,698	-	4,000	-	-	84,698
Repairs & Maintenance	-	2,136	5,650	1,000	-	-	8,786
Other Operating Expenses	-	462,512	271,838	2,000	-	-	736,350
Subtotal Other	-	804,164	281,788	7,300	-	-	1,093,252
Total Expenditure Projection	17,724,812	6,725,510	987,472	20,610	-	-	25,458,404
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	1,015,142	(16,437)	-	-	998,705
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	5,998,451	27,670	28,407	245	-	6,054,773
Reserve Held Centrally	-	-	-	-	-	-	-

GF = General Funds

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Social Work

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	3,333,950	(241,347)	4,459	-	-	3,097,062
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	3,333,950	(241,347)	4,459	-	-	3,097,062
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	3,333,950	(241,347)	4,459	-	-	3,097,062
Revenue/Appropriation Projection	2,950,290	-	553,474	-	-	-	3,503,764
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	1,529,803	202,048	-	-	-	1,731,851
Total Transfer Projection	-	1,529,803	202,048	-	-	-	1,731,851
Expenditure Projections							
Personnel							
Regular Employee Payroll	2,950,290	1,212,141	167,134	-	-	-	4,329,565
Lecturer Payroll	-	122,836	83	-	-	-	122,919
Student Help Payroll	-	4,403	17,391	-	-	-	21,794
Other Personnel (Non-Regular Payroll)	-	-	1,375	-	-	-	1,375
Subtotal Personnel	2,950,290	1,339,380	185,983	-	-	-	4,475,653
Other Expenditures							
Utilities & Communication **	-	33,106	5,520	-	-	-	38,626
Scholarships, Tuition, Stipends & Allowances	-	-	500	-	-	-	500
Repairs & Maintenance	-	-	-	-	-	-	-
Other Operating Expenses	-	157,317	42,553	-	-	-	199,870
Subtotal Other	-	190,423	48,573	-	-	-	238,996
Total Expenditure Projection	2,950,290	1,529,803	234,556	-	-	-	4,714,649
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	520,966	-	-	-	520,966
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	3,333,950	279,619	4,459	-	-	3,618,028
Reserve Held Centrally	-	-	-	-	-	-	-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
School of Ocean & Earth Science & Technology

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	1,607,610	(7,522,814)	11,439,923	299,882	-	5,824,601
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	1,607,610	(7,522,814)	11,439,923	299,882	-	5,824,601
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	1,607,610	(7,522,814)	11,439,923	299,882	-	5,824,601
Revenue/Appropriation Projection	22,156,773	-	6,591,341	-	13,613	-	28,761,727
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	8,654,431	6,829,615	2,100,000	-	-	17,584,046
Total Transfer Projection	-	8,654,431	6,829,615	2,100,000	-	-	17,584,046
Expenditure Projections							
Personnel							
Regular Employee Payroll	22,156,773	6,413,655	2,555,250	470,000	90,000	-	31,685,678
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	76,634	54,704	-	-	-	131,338
Other Personnel (Non-Regular Payroll)	-	29,613	17,006	30,000	-	-	76,619
Subtotal Personnel	22,156,773	6,519,902	2,626,960	500,000	90,000	-	31,893,635
Other Expenditures							
Utilities & Communication **	-	571,348	128,682	-	-	-	700,030
Scholarships, Tuition, Stipends & Allowances	-	-	151,074	-	-	-	151,074
Repairs & Maintenance	-	124,376	316,850	-	-	-	441,226
Other Operating Expenses	-	1,438,805	4,296,092	1,700,000	44,044	-	7,478,941
Subtotal Other	-	2,134,529	4,892,698	1,700,000	44,044	-	8,771,271
Total Expenditure Projection	22,156,773	8,654,431	7,519,658	2,200,000	134,044	-	40,664,906
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	78,573	-	-	-	78,573
Total Transfer Projection	-	-	78,573	-	-	-	78,573
Projected Net Operating Income w/out One-Time Expenditures	-	-	5,822,725	(100,000)	(120,431)	-	5,602,294
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	1,607,610	(1,700,089)	11,339,923	179,451	-	11,426,895
Reserve Held Centrally	-	-	-	-	-	-	-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Systemwide

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	-	2,787,538	12,172,371	-	-	14,959,909
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	-	2,787,538	12,172,371	-	-	14,959,909
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	-	2,787,538	12,172,371	-	-	14,959,909
Revenue/Appropriation Projection	-	-	701,237	44,966	-	-	746,203
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	-	30,945	9,396,286	-	-	9,427,231
Total Transfer Projection	-	-	30,945	9,396,286	-	-	9,427,231
Expenditure Projections							
Personnel							
Regular Employee Payroll	-	-	-	-	-	-	-
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	-	-	-	-
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	-	-	-	-	-	-	-
Other Expenditures							
Utilities & Communication **	-	-	-	-	-	-	-
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	-	-	-	-	-	-
Other Operating Expenses	-	-	-	-	-	-	-
Subtotal Other	-	-	-	-	-	-	-
Total Expenditure Projection	-	-	-	-	-	-	-
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	2,316,694	9,396,394	-	-	11,713,088
Total Transfer Projection	-	-	2,316,694	9,396,394	-	-	11,713,088
Projected Net Operating Income w/out One-Time Expenditures	-	-	(1,584,512)	44,858	-	-	(1,539,654)
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	-	1,203,026	12,217,229	-	-	13,420,255
Reserve Held Centrally							-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Telecomm

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	215,184	(238,988)	6,088,013	-	-	6,064,209
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	215,184	(238,988)	6,088,013	-	-	6,064,209
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	215,184	(238,988)	6,088,013	-	-	6,064,209
Revenue/Appropriation Projection	1,325,585	-	-	2,582,000	-	-	3,907,585
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	395,580	129,160	50,000	-	-	574,740
Total Transfer Projection	-	395,580	129,160	50,000	-	-	574,740
Expenditure Projections							
Personnel							
Regular Employee Payroll	1,325,585	273,687	229,927	268,131	-	-	2,097,330
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	100,160	7,676	-	-	-	107,836
Other Personnel (Non-Regular Payroll)	-	5,855	-	-	-	-	5,855
Subtotal Personnel	1,325,585	379,702	237,603	268,131	-	-	2,211,021
Other Expenditures							
Utilities & Communication **	-	-	-	1,190,000	-	-	1,190,000
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	-	-	12,650	-	-	12,650
Other Operating Expenses	-	15,877	1,301	165,000	-	-	182,178
Subtotal Other	-	15,877	1,301	1,367,650	-	-	1,384,828
Total Expenditure Projection	1,325,585	395,579	238,904	1,635,781	-	-	3,595,849
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	50,000	-	-	50,000
Total Transfer Projection	-	-	-	50,000	-	-	50,000
Projected Net Operating Income w/out One-Time Expenditures	-	1	(109,744)	2,146,219	-	-	2,036,476
Projected One-Time Expenditures ***	-	-	-	1,200,000	-	-	1,200,000
Estimated Ending Balance	-	215,185	(348,732)	7,034,232	-	-	6,900,685
Reserve Held Centrally							-

GF = General Funds

TFSF = Tuition & Fee Special Fund

RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund

** Includes electricity, water, sewer, gas, telecom, postage

*** Included in expenditure budgets, but backed out to calculate projected NOI

University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
UH Press

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	-	-	-	1,567,255	-	1,567,255
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	-	-	-	1,567,255	-	1,567,255
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	-	-	-	1,567,255	-	1,567,255
Revenue/Appropriation Projection	376,975	-	-	-	4,300,000	-	4,676,975
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Expenditure Projections							
Personnel							
Regular Employee Payroll	376,975	-	-	-	2,000,000	-	2,376,975
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	-	50,000	-	50,000
Other Personnel (Non-Regular Payroll)	-	-	-	-	100,000	-	100,000
Subtotal Personnel	376,975	-	-	-	2,150,000	-	2,526,975
Other Expenditures							
Utilities & Communication **	-	-	-	-	100,000	-	100,000
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	-	-	-	10,000	-	10,000
Other Operating Expenses	-	-	-	-	2,000,000	-	2,000,000
Subtotal Other	-	-	-	-	2,110,000	-	2,110,000
Total Expenditure Projection	376,975	-	-	-	4,260,000	-	4,636,975
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	-	-	40,000	-	40,000
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	-	-	-	1,607,255	-	1,607,255
Reserve Held Centrally	-	-	-	-	-	-	-

GF = General Funds
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RTRF = Research & Training Revolving Fund

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Utilities - FY22 Total

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	(352,665)	(2,200,000)	-	-	-	(2,552,665)
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	(352,665)	(2,200,000)	-	-	-	(2,552,665)
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	(352,665)	(2,200,000)	-	-	-	(2,552,665)
Revenue/Appropriation Projection	-	-	-	-	-	-	-
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	30,100,000	2,200,000	-	-	-	32,300,000
Total Transfer Projection	-	30,100,000	2,200,000	-	-	-	32,300,000
Expenditure Projections							
Personnel							
Regular Employee Payroll	-	-	-	-	-	-	-
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	-	-	-	-
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	-	-	-	-	-	-	-
Other Expenditures							
Utilities & Communication **	-	30,100,000	1,000,000	-	-	-	31,100,000
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	-	-	-	-	-	-
Other Operating Expenses	-	-	-	-	-	-	-
Subtotal Other	-	30,100,000	1,000,000	-	-	-	31,100,000
Total Expenditure Projection	-	30,100,000	1,000,000	-	-	-	31,100,000
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	1,200,000	-	-	-	1,200,000
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	(352,665)	(1,000,000)	-	-	-	(1,352,665)
Reserve Held Centrally							-

GF = General Funds
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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
VC for Academic Affairs

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	4,580,689	(77,020)	93,106	200,594	-	4,797,369
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	4,580,689	(77,020)	93,106	200,594	-	4,797,369
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	4,580,689	(77,020)	93,106	200,594	-	4,797,369
Revenue/Appropriation Projection	7,063,986	-	126,698	204,455	434,200	-	7,829,339
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	3,278,406	117,403	600	-	-	3,396,409
Total Transfer Projection	-	3,278,406	117,403	600	-	-	3,396,409
Expenditure Projections							
Personnel							
Regular Employee Payroll	7,063,986	2,823,248	897	-	276,625	-	10,164,756
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	54,265	2,102	15,000	-	-	71,367
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	7,063,986	2,877,513	2,999	15,000	276,625	-	10,236,123
Other Expenditures							
Utilities & Communication **	-	92,733	1,428	100	7,692	-	101,953
Scholarships, Tuition, Stipends & Allowances	-	-	429	250,528	-	-	250,957
Repairs & Maintenance	-	16,641	31	-	-	-	16,672
Other Operating Expenses	-	291,517	69,979	3,000	27,800	-	392,296
Subtotal Other	-	400,891	71,867	253,628	35,492	-	761,878
Total Expenditure Projection	7,063,986	3,278,404	74,866	268,628	312,117	-	10,998,001
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	2	169,235	(63,573)	122,083	-	227,747
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	4,580,691	92,215	29,533	322,677	-	5,025,116
Reserve Held Centrally							-

GF = General Funds

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
VC for Administration, Finance and Operations

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	2,019,082	-	-	-	-	2,019,082
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	2,019,082	-	-	-	-	2,019,082
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	2,019,082	-	-	-	-	2,019,082
Revenue/Appropriation Projection	940,478	-	-	-	-	-	940,478
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	1,642,992	-	-	-	-	1,642,992
Total Transfer Projection	-	1,642,992	-	-	-	-	1,642,992
Expenditure Projections							
Personnel							
Regular Employee Payroll	940,478	-	-	-	-	-	940,478
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	-	-	-	-
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	940,478	-	-	-	-	-	940,478
Other Expenditures							
Utilities & Communication **	-	-	-	-	-	-	-
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	-	-	-	-	-	-
Other Operating Expenses	-	1,642,992	-	-	-	-	1,642,992
Subtotal Other	-	1,642,992	-	-	-	-	1,642,992
Total Expenditure Projection	940,478	1,642,992	-	-	-	-	2,583,470
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	-	-	-	-	-
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	2,019,082	-	-	-	-	2,019,082
Reserve Held Centrally							-

GF = General Funds
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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
VC for Research

FY22 Revenues over Expenditures	GF	TF SF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	324,353	51,286,759	6	-	-	51,611,118
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	324,353	51,286,759	6	-	-	51,611,118
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	324,353	51,286,759	6	-	-	51,611,118
Revenue/Appropriation Projection	442,776	-	3,597,301	-	-	-	4,040,077
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	169,060	2,312,209	-	-	-	2,481,269
Total Transfer Projection	-	169,060	2,312,209	-	-	-	2,481,269
Expenditure Projections							
Personnel							
Regular Employee Payroll	442,776	117,227	31,460	-	-	-	591,463
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	11,826	135	-	-	-	11,961
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	442,776	129,053	31,595	-	-	-	603,424
Other Expenditures							
Utilities & Communication **	-	428	4,069	-	-	-	4,497
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	3	-	-	-	-	3
Other Operating Expenses	-	39,577	175,516	-	-	-	215,093
Subtotal Other	-	40,008	179,585	-	-	-	219,593
Total Expenditure Projection	442,776	169,061	211,180	-	-	-	823,017
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	30,000,000	-	-	-	30,000,000
Total Transfer Projection	-	-	30,000,000	-	-	-	30,000,000
Projected Net Operating Income w/out One-Time Expenditures	-	(1)	(24,301,670)	-	-	-	(24,301,671)
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	324,352	26,985,089	6	-	-	27,309,447
Reserve Held Centrally							-

GF = General Funds
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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
VC for Students

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	8,687,176	(41,730)	50,425,527	16,223,667	(795)	75,293,845
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	8,687,176	(41,730)	50,425,527	16,223,667	(795)	75,293,845
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	8,687,176	(41,730)	50,425,527	16,223,667	(795)	75,293,845
Revenue/Appropriation Projection	9,110,579	-	149,711	27,785,145	8,821,213	1,051,193	46,917,841
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	36,728,199	-	-	36,728,199
Lost Revenue Replacement (HEERF) *	-	-	-	6,500,000	2,000	-	6,502,000
Other Transfers	-	4,686,082	37,680	6,941,894	2,928,666	-	14,594,322
Total Transfer Projection	-	4,686,082	37,680	50,170,093	2,930,666	-	57,824,521
Expenditure Projections							
Personnel							
Regular Employee Payroll	9,110,579	3,062,338	4,034	8,886,003	3,981,803	-	25,044,757
Lecturer Payroll	-	4,708	-	3,629	-	-	8,337
Student Help Payroll	-	216,756	-	2,002,016	304,070	886,193	3,409,035
Other Personnel (Non-Regular Payroll)	-	27,076	4,259	5,752	185,423	-	222,510
Subtotal Personnel	9,110,579	3,310,878	8,293	10,897,400	4,471,296	886,193	28,684,639
Other Expenditures							
Utilities & Communication **	-	143,831	288	5,158,000	41,556	-	5,343,675
Scholarships, Tuition, Stipends & Allowances	-	274,003	-	36,751,774	553,500	-	37,579,277
Repairs & Maintenance	-	12,272	-	3,444,287	305,080	-	3,761,639
Other Operating Expenses	-	945,099	33,149	2,888,182	2,232,796	165,000	6,264,226
Subtotal Other	-	1,375,205	33,437	48,242,243	3,132,932	165,000	52,948,817
Total Expenditure Projection	9,110,579	4,686,083	41,730	59,139,643	7,604,228	1,051,193	81,633,456
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	7,694,149	-	-	7,694,149
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	6,861,894	4,928,666	-	11,790,560
Total Transfer Projection	-	-	-	14,556,043	4,928,666	-	19,484,709
Projected Net Operating Income w/out One-Time Expenditures	-	(1)	145,661	4,259,552	(196,207)	-	4,209,005
Projected One-Time Expenditures ***	-	-	-	-	584,808	-	584,808
Estimated Ending Balance	-	8,687,175	103,931	54,685,079	15,442,652	(795)	78,918,042
Reserve Held Centrally	-	-	-	-	-	-	-

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University of Hawaii at Manoa Operating Budget (UOH-100/110/115/881)
FY 2022 (07/01/21 to 06/30/22)
Water Resources Research Center

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	478,110	(118,859)	1,026	-	-	360,277
Less Encumbrances FY21	-	-	-	-	-	-	-
Unencumbered Ending Cash FY21	-	478,110	(118,859)	1,026	-	-	360,277
Act 236 Transfer	-	-	-	-	-	-	-
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	478,110	(118,859)	1,026	-	-	360,277
Revenue/Appropriation Projection	593,448	-	80,873	-	-	-	674,321
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF) *	-	-	-	-	-	-	-
Other Transfers	-	291,713	115,861	-	-	-	407,574
Total Transfer Projection	-	291,713	115,861	-	-	-	407,574
Expenditure Projections							
Personnel							
Regular Employee Payroll	593,448	183,451	44,753	-	-	-	821,652
Lecturer Payroll	-	-	-	-	-	-	-
Student Help Payroll	-	-	-	-	-	-	-
Other Personnel (Non-Regular Payroll)	-	-	-	-	-	-	-
Subtotal Personnel	593,448	183,451	44,753	-	-	-	821,652
Other Expenditures							
Utilities & Communication **	-	4,543	-	-	-	-	4,543
Scholarships, Tuition, Stipends & Allowances	-	-	-	-	-	-	-
Repairs & Maintenance	-	691	2,671	-	-	-	3,362
Other Operating Expenses	-	103,028	62,451	100	-	-	165,579
Subtotal Other	-	108,262	65,122	100	-	-	173,484
Total Expenditure Projection	593,448	291,713	109,875	100	-	-	995,136
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	-	-	-	-	-	-
Total Transfer Projection	-	-	-	-	-	-	-
Projected Net Operating Income w/out One-Time Expenditures	-	-	86,859	(100)	-	-	86,759
Projected One-Time Expenditures ***	-	-	-	-	-	-	-
Estimated Ending Balance	-	478,110	(32,000)	926	-	-	447,036
Reserve Held Centrally	-	-	-	-	-	-	-

GF = General Funds
TFSF = Tuition & Fee Special Fund
RTRF = Research & Training Revolving Fund

* HEERF = CARES Higher Education Emergency Relief Fund
** Includes electricity, water, sewer, gas, telecom, postage
*** Included in expenditure budgets, but backed out to calculate projected NOI



University of Hawai'i – Community College Campuses

Honolulu Community College Operating Budget (UOH-300)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	933,905	608,319	-	6,516,963	-	8,059,188
Less Encumbrances FY21	-	(944,827)	-	-	(226,554)	-	(1,171,380)
Unencumbered Ending Cash FY21	-	(10,921)	608,319	-	6,290,409	-	6,887,807
Act 236 Transfer	-	14,895,258	-	-	-	-	14,895,258
Less Deferred Revenue (FYE21)	-	(3,554)	-	-	(231,580)	-	(235,134)
Beginning Balance FY22	-	14,880,783	608,319	-	6,058,829	-	21,547,931
Revenue/Appropriation Projection	25,938,973	6,910,000	116,529	-	1,956,728	39,870	34,962,100
Transfer Projections (Transfer-Ins)							
Performance Based Funding	182,055	-	-	-	-	-	182,055
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF)	-	402,922	-	-	231,000	-	633,922
Other Transfers	384,417	1,199,290	-	-	-	-	1,583,707
Total Transfer Projection	566,472	1,602,212	-	-	231,000	-	2,399,684
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	21,134,759	242,076	11,529	-	1,012,885	-	22,401,249
Lecturer Payroll	3,176,709	37,567	-	-	273,966	-	3,488,242
Student Help Payroll	-	86,915	-	-	51,548	34,827	173,290
Other Personnel (Non-Regular Payroll)	-	74,711	-	-	6,009	-	80,720
Subtotal Personnel	24,311,468	441,269	11,529	-	1,344,408	34,827	26,143,501
<i>Other Expenditures</i>							
Utilities & Communication	994,687	524,566	63,642	-	10,230	-	1,593,125
Scholarships, Tuition, Stipends & Allowances	-	591,213	-	-	-	-	591,213
Repairs & Maintenance	-	4,659,440	-	-	136,299	-	4,795,739
Other Operating Expenses	-	1,007,097	41,358	-	696,791	5,043	1,750,289
Subtotal Other	994,687	6,782,316	105,000	-	843,320	5,043	8,730,366
Total Expenditure Projection	25,306,155	7,223,585	116,529	-	2,187,728	39,870	34,873,867
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	381,844	-	-	-	-	381,844
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	1,199,290	906,783	-	-	-	-	2,106,073
Total Transfer Projection	1,199,290	1,288,627	-	-	-	-	2,487,917
Projected Net Operating Income w/out One-Time Expenditures	-	402,922	-	-	231,000	-	633,922
Projected One-Time Expenditures	-	402,922	-	-	231,000	-	633,922
Estimated Ending Balance	-	14,880,783	608,319	-	6,058,829	-	21,547,931
Reserve Held Centrally	-	1,732,666	-	-	-	-	1,732,666

Kapi'olani Community College Operating Budget (UOH-310)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	463,809	192,391	44,519	6,089,699	-	6,790,417
Less Encumbrances FY21	-	(473,947)	-	-	(29,430)	-	(503,377)
Unencumbered Ending Cash FY21	-	(10,138)	192,391	44,519	6,060,268	-	6,287,039
Act 236 Transfer	-	7,799,004	-	-	-	-	7,799,004
Less Deferred Revenue (FYE21)	-	(97,228)	-	-	(822,309)	-	(919,537)
Beginning Balance FY22	-	7,691,638	192,391	44,519	5,237,960	-	13,166,507
Revenue/Appropriation Projection	27,611,072	13,885,544	117,443	2,798	5,335,270	21,094	46,973,221
Transfer Projections (Transfer-Ins)							
Performance Based Funding	92,869	-	-	-	-	-	92,869
Debt Service	-	-	-	589,037	-	-	589,037
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF)	-	1,304,968	-	-	1,800,000	-	3,104,968
Other Transfers	5,803,994	-	-	-	-	-	5,803,994
Total Transfer Projection	5,896,863	1,304,968	-	589,037	1,800,000	-	9,590,868
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	28,528,147	658,253	16,119	-	2,359,977	-	31,562,496
Lecturer Payroll	3,854,610	81,764	51	-	995,299	-	4,931,724
Student Help Payroll	-	131,296	1,868	-	189,685	12,305	335,154
Other Personnel (Non-Regular Payroll)	-	140,502	-	-	113,803	-	254,305
Subtotal Personnel	32,382,757	1,011,815	18,038	-	3,658,764	12,305	37,083,679
<i>Other Expenditures</i>							
Utilities & Communication	1,125,178	1,137,530	47,792	-	16,821	-	2,327,321
Scholarships, Tuition, Stipends & Allowances	-	1,542,697	-	-	23,564	-	1,566,261
Repairs & Maintenance	-	1,846,486	-	-	121,753	-	1,968,239
Other Operating Expenses	-	1,974,385	51,613	2,798	2,725,331	8,789	4,762,916
Subtotal Other	1,125,178	6,501,098	99,405	2,798	2,887,469	8,789	10,624,737
Total Expenditure Projection	33,507,935	7,512,913	117,443	2,798	6,546,233	21,094	47,708,416
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	589,037	589,037	-	1,178,074
Assessments	-	560,415	-	-	-	-	560,415
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	7,117,184	-	-	-	-	7,117,184
Total Transfer Projection	-	7,677,599	-	589,037	589,037	-	8,855,673
Projected Net Operating Income w/out One-Time Expenditures	-	1,304,968	0	-	1,800,000	-	3,104,968
Projected One-Time Expenditures	-	1,304,968	-	-	1,800,000	-	3,104,968
Estimated Ending Balance	-	7,691,638	192,391	44,519	5,237,960	-	13,166,507
Reserve Held Centrally	-	2,767,150	-	-	-	-	2,767,150

Leeward Community College Operating Budget (UOH-320)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	1,180,998	107,995	217	7,188,687	-	8,477,897
Less Encumbrances FY21	-	(1,212,226)	-	-	(140,373)	-	(1,352,599)
Unencumbered Ending Cash FY21	-	(31,228)	107,995	217	7,048,314	-	7,125,298
Act 236 Transfer	-	10,155,874	-	-	-	-	10,155,874
Less Deferred Revenue (FYE21)	-	(18,200)	-	-	(639,062)	-	(657,262)
Beginning Balance FY22	-	10,106,447	107,995	217	6,409,252	-	16,623,911
Revenue/Appropriation Projection	23,498,390	12,591,679	86,184	100	4,478,229	91,037	40,745,619
Transfer Projections (Transfer-Ins)							
Performance Based Funding	294,894	-	-	-	-	-	294,894
Debt Service	-	-	-	150,239	-	-	150,239
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF)	-	506,279	-	-	600,000	-	1,106,279
Other Transfers	4,894,228	-	-	-	-	-	4,894,228
Total Transfer Projection	5,189,122	506,279	-	150,239	600,000	-	6,445,640
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	23,798,951	668,879	82,660	-	1,819,961	-	26,370,451
Lecturer Payroll	3,531,520	46,632	-	-	1,351,465	-	4,929,617
Student Help Payroll	-	483,475	-	-	273,470	80,394	837,339
Other Personnel (Non-Regular Payroll)	-	1,430	-	-	64,087	-	65,517
Subtotal Personnel	27,330,471	1,200,416	82,660	-	3,508,983	80,394	32,202,924
<i>Other Expenditures</i>							
Utilities & Communication	1,357,041	889,730	-	-	-	-	2,246,771
Scholarships, Tuition, Stipends & Allowances	-	1,420,297	-	-	4,138	-	1,424,435
Repairs & Maintenance	-	698,875	-	-	59,306	-	758,181
Other Operating Expenses	-	2,208,039	-	100	1,505,802	10,643	3,724,584
Subtotal Other	1,357,041	5,216,941	-	100	1,569,246	10,643	8,153,971
Total Expenditure Projection	28,687,512	6,417,357	82,660	100	5,078,229	91,037	40,356,895
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	142,486	-	150,239	-	-	292,725
Assessments	-	482,614	-	-	-	-	482,614
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	6,055,501	3,524	-	-	-	6,059,025
Total Transfer Projection	-	6,680,601	3,524	150,239	-	-	6,834,364
Projected Net Operating Income w/out One-Time Expenditures	-	506,279	(0)	-	600,000	-	1,106,279
Projected One-Time Expenditures	-	506,279	-	-	600,000	-	1,106,279
Estimated Ending Balance	-	10,106,447	107,995	217	6,409,252	-	16,623,910
Reserve Held Centrally	-	2,148,391	-	-	-	-	2,148,391

Windward Community College Operating Budget (UOH-330)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	886,810	208,892	-	2,845,193	-	3,940,895
Less Encumbrances FY21	-	(895,681)	-	-	(28,791)	-	(924,472)
Unencumbered Ending Cash FY21	-	(8,871)	208,892	-	2,816,402	-	3,016,423
Act 236 Transfer	-	4,191,945	-	-	-	-	4,191,945
Less Deferred Revenue (FYE21)	-	(28,138)	-	-	(157,291)	-	(185,428)
Beginning Balance FY22	-	4,154,936	208,892	-	2,659,111	-	7,022,939
Revenue/Appropriation Projection	11,894,755	3,752,667	161,456	-	1,243,702	20,098	17,072,678
Transfer Projections (Transfer-Ins)							
Performance Based Funding	35,279	-	-	-	-	-	35,279
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF)	-	376,728	-	-	100,000	-	476,728
Other Transfers	191,639	-	-	-	-	-	191,639
Total Transfer Projection	226,918	376,728	-	-	100,000	-	703,646
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	10,085,115	245,798	50,120	-	464,288	-	10,845,321
Lecturer Payroll	1,320,863	862	-	-	381,508	-	1,703,233
Student Help Payroll	-	128,511	-	-	18,935	15,579	163,025
Other Personnel (Non-Regular Payroll)	-	15,004	9,521	-	128,593	-	153,118
Subtotal Personnel	11,405,978	390,175	59,641	-	993,324	15,579	12,864,697
<i>Other Expenditures</i>							
Utilities & Communication	715,695	456,361	96,994	-	8,521	-	1,277,571
Scholarships, Tuition, Stipends & Allowances	-	389,098	-	-	31,228	-	420,326
Repairs & Maintenance	-	1,143,297	3,751	-	13,538	-	1,160,586
Other Operating Expenses	-	1,066,025	1,070	-	297,091	4,519	1,368,705
Subtotal Other	715,695	3,054,781	101,815	-	350,378	4,519	4,227,188
Total Expenditure Projection	12,121,673	3,444,956	161,456	-	1,343,702	20,098	17,091,885
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	152,669	-	-	-	-	152,669
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	531,770	-	-	-	-	531,770
Total Transfer Projection	-	684,439	-	-	-	-	684,439
Projected Net Operating Income w/out One-Time Expenditures	-	376,728	0	-	100,000	-	476,728
Projected One-Time Expenditures	-	376,728	-	-	100,000	-	476,728
Estimated Ending Balance	-	4,154,936	208,893	-	2,659,111	-	7,022,940
Reserve Held Centrally	-	903,112	-	-	-	-	903,112

Hawai'i Community College Operating Budget (UOH-400)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	382,072	104,312	7,047	2,169,946	-	2,663,376
Less Encumbrances FY21	-	(380,301)	(110,000)	-	(112,297)	-	(602,598)
Unencumbered Ending Cash FY21	-	1,771	(5,688)	7,047	2,057,648	-	2,060,778
Act 236 Transfer	-	4,418,361	-	-	-	-	4,418,361
Less Deferred Revenue (FYE21)	-	(4,318)	-	-	(99,549)	-	(103,867)
Beginning Balance FY22	-	4,415,814	(5,688)	7,047	1,958,099	-	6,375,272
Revenue/Appropriation Projection	17,052,029	5,007,469	29,433	3,042	1,484,998	61,995	23,638,966
Transfer Projections (Transfer-Ins)							
Performance Based Funding	95,066	-	-	-	-	-	95,066
Debt Service	-	-	-	397,119	-	-	397,119
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF)	-	500,403	-	-	741,000	-	1,241,403
Other Transfers	182,708	447,974	-	-	-	-	630,682
Total Transfer Projection	277,774	948,377	-	397,119	741,000	-	2,364,270
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	14,213,566	343,707	29,433	-	415,287	-	15,001,993
Lecturer Payroll	2,165,003	69,033	-	-	326,713	-	2,560,749
Student Help Payroll	-	184,753	-	-	28,155	61,223	274,131
Other Personnel (Non-Regular Payroll)	-	114,347	-	-	69,433	-	183,780
Subtotal Personnel	16,378,569	711,840	29,433	-	839,588	61,223	18,020,653
<i>Other Expenditures</i>							
Utilities & Communication	503,260	305,792	-	-	2,592	-	811,644
Scholarships, Tuition, Stipends & Allowances	-	427,557	-	-	3,318	-	430,875
Repairs & Maintenance	-	2,357,762	-	-	58,263	-	2,416,025
Other Operating Expenses	-	1,043,584	-	3,042	1,322,237	772	2,369,635
Subtotal Other	503,260	4,134,695	-	3,042	1,386,410	772	6,028,179
Total Expenditure Projection	16,881,829	4,846,535	29,433	3,042	2,225,998	61,995	24,048,832
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	391,712	-	397,119	-	-	788,831
Assessments	-	279,762	-	-	-	-	279,762
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	447,974	437,837	-	-	-	-	885,811
Total Transfer Projection	447,974	1,109,311	-	397,119	-	-	1,954,404
Projected Net Operating Income w/out One-Time Expenditures	-	500,403	-	-	741,000	-	1,241,403
Projected One-Time Expenditures	-	500,403	-	-	741,000	-	1,241,403
Estimated Ending Balance	-	4,415,814	(5,688)	7,047	1,958,099	-	6,375,272
Reserve Held Centrally	-	1,256,890	-	-	-	-	1,256,890

Maui College Operating Budget (UOH-500)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	926,298	168,646	-	2,498,287	-	3,593,231
Less Encumbrances FY21	-	(1,068,351)	-	-	(122,334)	-	(1,190,685)
Unencumbered Ending Cash FY21	-	(142,053)	168,646	-	2,375,953	-	2,402,546
Act 236 Transfer	-	5,056,156	-	-	-	-	5,056,156
Less Deferred Revenue (FYE21)	-	(14,726)	-	-	(122,857)	-	(137,582)
Beginning Balance FY22	-	4,899,377	168,646	-	2,253,096	-	7,321,119
Revenue/Appropriation Projection	17,501,342	5,772,129	705,398	-	2,416,866	69,405	26,465,140
Transfer Projections (Transfer-Ins)							
Performance Based Funding	80,494	-	-	-	-	-	80,494
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF)	-	654,297	-	-	500,000	-	1,154,297
Other Transfers	254,231	131,565	-	-	-	-	385,796
Total Transfer Projection	334,725	785,862	-	-	500,000	-	1,620,587
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	14,567,813	357,725	334,557	-	757,599	-	16,017,694
Lecturer Payroll	2,139,471	176,316	12,367	-	643,844	-	2,971,998
Student Help Payroll	-	39,299	3,940	-	51,481	60,859	155,579
Other Personnel (Non-Regular Payroll)	-	148,109	25,569	-	107,864	-	281,542
Subtotal Personnel	16,707,284	721,449	376,433	-	1,560,788	60,859	19,426,813
<i>Other Expenditures</i>							
Utilities & Communication	997,218	744,095	287,238	-	178,309	-	2,206,860
Scholarships, Tuition, Stipends & Allowances	-	639,338	1,417	-	4,400	-	645,155
Repairs & Maintenance	-	1,207,126	1,743	-	18,805	-	1,227,674
Other Operating Expenses	-	2,220,892	38,567	-	1,154,564	8,546	3,422,569
Subtotal Other	997,218	4,811,451	328,965	-	1,356,078	8,546	7,502,258
Total Expenditure Projection	17,704,502	5,532,900	705,398	-	2,916,866	69,405	26,929,071
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	212,012	-	-	-	-	212,012
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	131,565	813,079	-	-	-	-	944,644
Total Transfer Projection	131,565	1,025,091	-	-	-	-	1,156,656
Projected Net Operating Income w/out One-Time Expenditures	-	654,297	-	-	500,000	-	1,154,297
Projected One-Time Expenditures	-	654,297	-	-	500,000	-	1,154,297
Estimated Ending Balance	-	4,899,377	168,646	-	2,253,096	-	7,321,119
Reserve Held Centrally	-	1,417,522	-	-	-	-	1,417,522

Kaua'i Community College Operating Budget (UOH-600)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	182,093	124,410	-	1,837,379	-	2,143,882
Less Encumbrances FY21	-	(181,109)	-	-	(20,413)	-	(201,523)
Unencumbered Ending Cash FY21	-	984	124,410	-	1,816,966	-	1,942,359
Act 236 Transfer	-	2,581,243	-	-	-	-	2,581,243
Less Deferred Revenue (FYE21)	-	(1,356)	-	-	(27,378)	-	(28,734)
Beginning Balance FY22	-	2,580,871	124,410	-	1,789,588	-	4,494,868
Revenue/Appropriation Projection	12,137,423	2,084,957	69,815	-	484,615	19,876	14,796,686
Transfer Projections (Transfer-Ins)							
Performance Based Funding	140,188	-	-	-	-	-	140,188
Debt Service	-	-	-	-	-	-	-
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF)	-	1,496	-	-	200,000	-	201,496
Other Transfers	393,855	-	-	-	-	-	393,855
Total Transfer Projection	534,043	1,496	-	-	200,000	-	735,539
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	11,240,100	121,390	32,780	-	161,128	-	11,555,398
Lecturer Payroll	788,455	5,017	-	-	81,795	-	875,267
Student Help Payroll	-	86,319	4,930	-	18,532	17,410	127,191
Other Personnel (Non-Regular Payroll)	-	19,370	-	-	-	-	19,370
Subtotal Personnel	12,028,555	232,096	37,710	-	261,455	17,410	12,577,226
<i>Other Expenditures</i>							
Utilities & Communication	642,911	372,343	-	-	362	-	1,015,616
Scholarships, Tuition, Stipends & Allowances	-	280,346	3,504	-	-	-	283,850
Repairs & Maintenance	-	194,533	-	-	915	-	195,448
Other Operating Expenses	-	486,659	28,601	-	421,883	2,466	939,609
Subtotal Other	642,911	1,333,881	32,105	-	423,160	2,466	2,434,523
Total Expenditure Projection	12,671,466	1,565,977	69,815	-	684,615	19,876	15,011,749
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	-	-	-	-
Assessments	-	89,351	-	-	-	-	89,351
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	-	431,125	-	-	-	-	431,125
Total Transfer Projection	-	520,476	-	-	-	-	520,476
Projected Net Operating Income w/out One-Time Expenditures	-	1,496	-	-	200,000	-	201,496
Projected One-Time Expenditures	-	1,496	-	-	200,000	-	201,496
Estimated Ending Balance	-	2,580,871	124,410	-	1,789,588	-	4,494,868
Reserve Held Centrally	-	792,431	-	-	-	-	792,431

Community Colleges Systemwide Support Operating Budget (UOH-906)
FY 2022 (07/01/21 to 06/30/22)

FY22 Revenues over Expenditures	GF	TFSF	RTRF	Other Special	Other Revolving	Approp Federal	TOTAL
Ending Cash FY21	-	4,911,418	8,376	68	100,292	-	5,020,154
Less Encumbrances FY21	-	(4,919,117)	(2,000)	-	(5,362)	-	(4,926,478)
Unencumbered Ending Cash FY21	-	(7,699)	6,376	68	94,931	-	93,676
Act 236 Transfer	-	14,791,081	-	-	-	-	14,791,081
Less Deferred Revenue (FYE21)	-	-	-	-	-	-	-
Beginning Balance FY22	-	14,783,382	6,376	68	94,931	-	14,884,757
Revenue/Appropriation Projection	17,111,160	-	147,979	1,277	71,448	2,550,432	19,882,296
Transfer Projections (Transfer-Ins)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	-	-	1,851,212	-	-	1,851,212
Assessments	-	-	-	-	-	-	-
Tuition Scholarships	-	-	-	-	-	-	-
Lost Revenue Replacement (HEERF)	-	-	-	-	-	-	-
Other Transfers	7,274	14,504,450	24,667	-	-	-	14,536,391
Total Transfer Projection	7,274	14,504,450	24,667	1,851,212	-	-	16,387,603
Expenditure Projections							
<i>Personnel</i>							
Regular Employee Payroll	5,369,810	225,268	158,391	-	3,419	1,184,688	6,941,576
Lecturer Payroll	-	4,727,022	-	-	-	164,738	4,891,760
Student Help Payroll	-	4,017	-	-	-	159,446	163,463
Other Personnel (Non-Regular Payroll)	-	67,979	-	-	-	145,858	213,837
Subtotal Personnel	5,369,810	5,024,286	158,391	-	3,419	1,654,730	12,210,636
<i>Other Expenditures</i>							
Utilities & Communication	530	128,974	1,915	-	-	1,705	133,124
Scholarships, Tuition, Stipends & Allowances	1,800,000	148,613	-	-	-	-	1,948,613
Repairs & Maintenance	-	3,031,792	-	-	-	2,898	3,034,690
Other Operating Expenses	-	3,750,000	12,340	1,277	68,029	891,099	4,722,745
Subtotal Other	1,800,530	7,059,379	14,255	1,277	68,029	895,702	9,839,172
Total Expenditure Projection	7,170,340	12,083,665	172,646	1,277	71,448	2,550,432	22,049,808
Transfer Projections (Transfer-Outs)							
Performance Based Funding	-	-	-	-	-	-	-
Debt Service	-	1,851,212	-	1,851,212	-	-	3,702,424
Assessments	-	467,530	-	-	-	-	467,530
Tuition Scholarships	-	-	-	-	-	-	-
Other Transfers	9,948,094	102,043	-	-	-	-	10,050,137
Total Transfer Projection	9,948,094	2,420,785	-	1,851,212	-	-	14,220,091
Projected Net Operating Income w/out One-Time Expenditures	-	-	-	-	0	-	0
Projected One-Time Expenditures	-	-	-	-	-	-	-
Estimated Ending Balance	-	14,783,382	6,376	68	94,931	-	14,884,757
Reserve Held Centrally	-	-	-	-	-	-	-



August 27, 2021

DTS-987

MEMORANDUM

TO: Randy Moore
Chair, Board of Regents

Robert Westerman
Chair, Committee on Budget and Finance

FROM: Kendra Oishi *KOishi*
Executive Administrator and Secretary of the Board of Regents

Glenn Shizumura *GShizumura*
Director, Office of Internal Audit

SUBJECT: Recommend Board Approval of FY 2021-2022 Operating Budget Plan for
the Office of the Board of Regents and Office of Internal Audit

SPECIFIC ACTION REQUESTED:

It is requested that the Board of Regents (Board) approve the operating budget plan for the Office of the Board of Regents (Board Office) and Office of Internal Audit (OIA) for Fiscal Year (FY) 2021-2022.

BACKGROUND:

This budget proposal presents anticipated operational funding and expenditures for the current fiscal year. Certain assumptions and estimates were made in developing this forecast.

The Board Office and OIA have not yet received formal budget allocations from the UH System, but were provided tentative amounts upon which to base its budgets and are subject to further adjustments. Both proposed budgets fall well within the tentative amounts that were provided. Due to the instability and uncertainty of the State and University's fiscal situation, the Board Office and OIA continue to be fiscally prudent in expending funds on an as-needed basis.

As compared to the FY21 budget, the proposed FY22 OIA budget reflects reductions in operational costs of approximately 7% for OIA and 10.8% for the Board Office. The

total proposed budget reflects an increase of less than ½% (0.3%) for OIA and nearly flat for the Board Office.

The Board Office realized substantial savings in travel costs last fiscal year due to the pandemic. Although a high level of uncertainty remains, the hope is that in-person meetings can resume sometime during the current fiscal year. As such, the proposed budget reflects a partial reinstatement of interisland travel for Board-related meetings. Note that this level of reduction is temporary due to the pandemic. Similar to last fiscal year, travel-related costs for professional development seminars have been eliminated from the proposed budget.

In late 2020, the Board Office embarked on a project to digitize hard-copy records dating back to 1907. The initial estimate provided was \$21,000. Subsequently, the Board Office, with the assistance of the Office of Administration, identified an alternative that resulted in substantial savings. While the initial project of digitizing records dating from 1907 to 1980 has been completed, the Board Office recently discovered a substantial amount of additional records it is recommending for digitization and has included the projected costs in the proposed budget in the “Services Fee Basis” category.

The Board Office and OIA developed the proposed FY22 budget keeping in mind the continued fiscal uncertainty facing the State and the University while ensuring that basic operational needs and statutory requirements and responsibilities of the Board will be met.

ACTION RECOMMENDED:

It is requested that the Board approve the operating budget plan for the Board Office and OIA for FY 2021-2022.

Attachment - Presentation

Office of the Board of Regents Office of Internal Audit FY21–22 Budget Plan

Board of Regents
Committee on Budget and Finance
September 2, 2021

Office of the Board of Regents Highlights

- ▶ **Board Office: 4 FTE positions, 1 Student Assistant**
 - Executive Administrator and Secretary
 - Executive Assistant
 - Private Secretary
 - Operations Specialist
 - Student Assistant (part-time)
- ▶ **Coordinates and Supports Board, SBCTE, and Committee Meetings**
Includes: agenda development; coordinating logistics; preparation, coordination, review, and compilation of materials; committee reports and minutes; certification of Board action; records maintenance.

Academic Year	Board	Committees	SBCTE & SPSEC	TOTAL	Days
20-21	10	35	2	47	23
19-20	13	27	3	43	21
18-19	10	34	4	48	26

- ▶ Provides support and guidance to 11 Regents, 7 standing committees, permitted interaction groups, Hawai'i Administrative Rules
- ▶ Serves as liaison to UH Administration
- ▶ Manages Regents Policies and supports policy development
- ▶ Conducts research and responds to internal and external inquiries and correspondence
- ▶ Manages official records of the Board dating back to 1907

Office of the Board of Regents
Expenses (Budget to Actual)
Fiscal Years 2020 - 2022

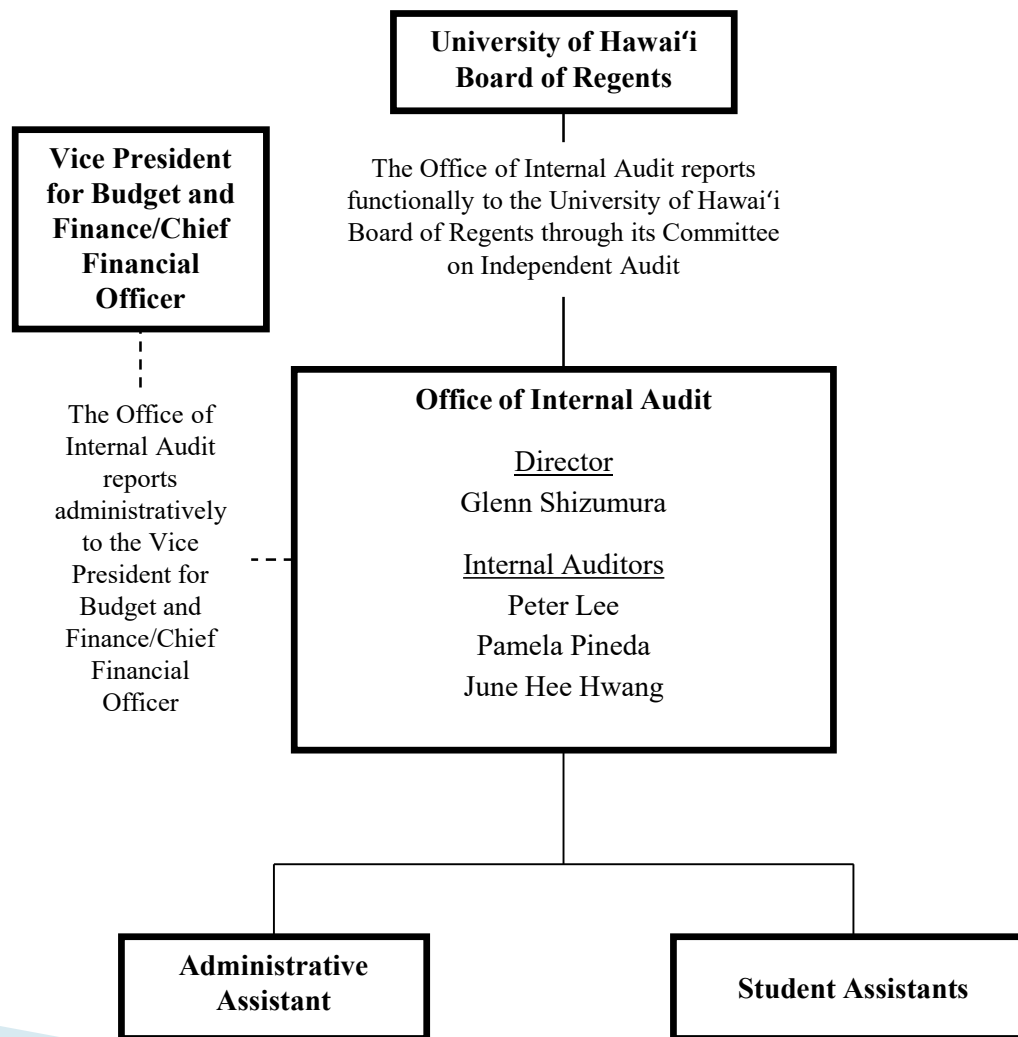
	Fiscal Year 2022	Fiscal Year 2021			Fiscal Year 2020		
	Preliminary Budget	Approved Budget	Actuals	(Over) / Under	Budget	Actual	(Over) / Under
Payroll & Benefits:							
Full-time (Salaries & Wages)	328,626.00	321,468.00	325,229.02	(3,761.02)	339,120.00	294,180.57	44,939.43
Casual	0.00	0.00	0.00	0.00	0.00	5,432.00	(5,432.00)
Students	15,000.00	15,000.00	10,380.94	4,619.06	15,000.00	11,922.66	3,077.34
Total Salaries	343,626.00	336,468.00	335,609.96	858.04	354,120.00	311,535.23	42,584.77
Other:							
Services Fee Basis	11,000.00	1,000.00	2,105.12	(6,908.36)	1,200.00	283.52	916.48
Encumbrance			5,803.24				
Materials & Supplies	4,000.00	4,000.00	1,370.87	2,629.13	12,000.00	5,824.97	6,175.03
Travel-Domestic	15,400.00	10,800.00	849.69	9,950.31	65,000.00	38,226.83	26,773.17
Print & Publications	400.00	400.00	0.00	400.00	500.00	260.00	240.00
Utilities & Communcation	3,500.00	3,500.00	1,990.05	1,509.95	5,000.00	1,826.07	3,173.93
Rentals	6,000.00	6,000.00	3,617.55	2,382.45	9,000.00	4,539.01	4,460.99
Repairs	1,500.00	1,000.00	1,153.89	(153.89)	2,000.00	507.48	1,492.52
Stipends & Allowances	3,312.00	3,312.00	3,312.00	0.00	3,312.00	3,312.00	0.00
Equipment	5,500.00	5,500.00	3,595.03	1,904.97	8,000.00	1,326.50	6,673.50
Others	6,500.00	28,500.00	3,191.13	25,308.87	15,000.00	4,140.79	10,859.21
Total Operating Expense	57,112.00	64,012.00	26,988.57	37,023.43	121,012.00	60,247.17	60,764.83
Total Expenses	400,738.00	\$400,480.00	\$362,598.53	37,881.47	\$475,132.00	\$371,782.40	\$103,349.60

Office of Internal Audit

Work and Services

- Annual Audit Plan
 - Describes and documents proposed audit engagements, scope, timing of work, etc.
 - Approved by the Committee on Independent Audit (Audit Committee)
 - Project criteria includes coverage of higher risk depts./units/functions.
 - Developed in consultation with the Audit Committee, University President and CFO, and external auditors as well as a review of historical internal audit reports and external auditor issued management letters.
- Audit Committee assistance (preparation of two Annual Reports pursuant to Hawai'i Revised Statutes §304A–321)
- Types of Services
 - Financial audits and reviews
 - Attestation engagements
 - Operational reviews
 - Compliance reviews
 - Follow-up audits

Office of Internal Audit Organization Chart August 2021



Office of Internal Audit

Expenses (Actual vs. Budget)

Fiscal Years 2022 - 2019

		Fiscal Year 2022		Fiscal Year 2021			Fiscal Year 2020			Fiscal Year 2019		
		Change from 2021	Proposed Budget	Budget	Actual	Over / (Under)	Budget	Actual	Over / (Under)	Budget	Actual	Over / (Under)
Payroll & Benefits:												
Full-time	1 %	\$ 470,676		\$ 466,840	\$ 470,653	\$ 3,813	\$ 635,349	\$ 455,724	\$ (179,625)	\$ 631,775	\$ 445,628	\$ (186,147)
Students	0 %	25,000		25,000	6,014	(18,986) ¹	37,000	23,765	(13,235)	37,000	32,106	(4,894)
	1 %	495,676		491,840	476,667	(15,173)	672,349	479,489	(192,860)	668,775	477,734	(191,041)
Other:												
Equipment rental (copy machine)	0 %	3,000		3,000	2,339	(661)	4,000	2,534	(1,466)	4,000	2,606	(1,394)
Dues & subscriptions	3 %	3,700		3,600	3,524	(76)	3,700	3,690	(10)	3,600	3,502	(98)
Repairs & maintenance	30 %	1,300		1,000	1,388	388	500	1,168	668	500	445	(55)
Supplies	(12)%	1,500		1,700	271	(1,429)	2,000	525	(1,475)	2,000	783	(1,217)
Computer hardware/software	0 %	2,500		2,500	583	(1,917)	3,200	-	(3,200)	3,200	1,184	(2,016)
Miscellaneous (travel, other)	0 %	500		500	43	(457)	1,000	137	(863)	1,500	690	(810)
	2 %	12,500		12,300	8,148	(4,152)	14,400	8,054	(6,346)	14,800	9,210	(5,590)
Whistleblower hotline												
Annual fee	(12)%	18,000 ²		20,500	17,760	(2,740)	18,900	19,681	781	18,500	18,285	(215)
Software modifications	-	-		-	690	690 ²	-	-	-	-	-	-
	(12)%	18,000		20,500	18,450	(2,050)	18,900	19,681	781	18,500	18,285	(215)
	(7)%	30,500		32,800	26,598	(6,202)	33,300	27,735	(5,565)	33,300	27,495	(5,805)
Total Expenses	0.3 %	\$ 526,176		\$ 524,640	\$ 503,265	\$ (21,375)	\$ 705,649	\$ 507,224	\$ (198,425)	\$ 702,075	\$ 505,229	\$ (196,846)

Budget variance explanations:

¹ Downsized student assistant headcount (One student is currently employed) and hours due to anticipated additional budget cuts. Expectation is to hire two additional students during the Fall 2021 semester.

² Represents the contractual costs with Navex Global. Contract was re-negotiated for a five year period commencing in March 2021. Annual costs approximate \$18,000 with no increases during the term of the contract. Software modification costs are associated with the addition of the "Are you a student of the University of Hawaii?" question to the Whistleblower intake form.



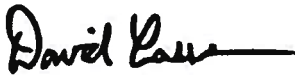
UNIVERSITY
of HAWAII
SYSTEM


Jan S. Gouveia
Vice President for Administration
UNIVERSITY OF HAWAII
BOARD OF REGENTS

21 AUG 27 P1:32

September 2, 2021

TO: RANDOLPH MOORE
Chairperson, Board of Regents

VIA: DAVID LASSNER 
President

FROM: JAN GOUVEIA 
Vice President for Administration

SUBJECT: Recommend Board Approval of the Fiscal Biennium 2021-2023 Capital Improvement Project (CIP) Expenditure Plan

SPECIFIC ACTION REQUESTED:

In accordance with Regent Policy (RP) 8.201 and 8.204, it is recommended that the Board of Regents of the University of Hawai'i (Board) approve the proposed Fiscal Biennium 2021-2023 Capital Improvement Project Expenditure Plan set forth herein, which incorporates the most recent legislative appropriations and actions. It is further recommended that the Board authorize the President or his designee to make amendments to the expenditure plan in accordance with State laws, rules, policies and procedures.

RECOMMENDED EFFECTIVE DATE:

Upon board approval.

BACKGROUND:

Improvement and modernization of the University's physical assets is key to delivering the University of Hawai'i's Strategic Direction that strives for 21st century facilities for learning, teaching and research. In FY21, the Board approved an updated 6-Year Capital Improvement Program (CIP) Plan for Fiscal Years 2022 – 2027 (6-Year CIP Plan) that sets forth a vision of a physical environment that supports and augments the high quality education and research that takes place at our University. It also presents a coordinated, long-term strategy that (1) prioritizes classrooms, laboratories, and student spaces with a

focus on improving the learning and research environment, (2) targets those facilities with the highest utility and poorest conditions through upgrades to the interior/exterior structures, building roofs, mechanical and electrical systems, pedestrian pathways, and roadways, and (3) changes the paradigm on how the University manages its space.

The University's CIP program is categorized into the following areas:

Project Categories

<i>Major Capital Improvement Projects (Major Projects)</i>	Whole building renovations or new structures.
<i>Renew, Improve, & Modernize Projects (RIM Projects)</i>	RIM Projects repair and/or improve campus buildings and infrastructure through modernization efforts. They prioritize classrooms, laboratories, and student spaces centered around improving the learning environment, as well as target those facilities with poorer conditions, through modernizing interior/exterior structures, building roofs, mechanical & electrical systems, pedestrian pathways and roadways.
<i>Minor Capital Improvement Projects (Minor Projects) – UHCC Only</i>	Minor Projects are a subset of RIM Projects that are separately categorized for the Community Colleges only. They address smaller improvements that prioritize classrooms, laboratories, and student spaces targeted at modernizing the learning and research environment through flexible spaces and shared programming.
<i>Planning Projects</i>	Planning Projects are those initiatives that support or deliver long-term development plans for future capital investments in the physical plant that strategically align with the core mission and vision of the campus.

At its November 2020 meeting, the Board approved a CIP biennium budget request for FY 2022 and FY 2023, in amounts totaling \$288 million and \$232.5 million of general obligation bond funds, respectively. In his CIP Budget request to the Legislature, the Governor included \$165 million in FY22 and \$150 million in FY23 for the University of Hawai'i. At the conclusion of the 2021 Legislative Session, the University of Hawai'i was appropriated the following:

Campus	Project Title	BOR Request FY22 (\$)	BOR Request FY23 (\$)	FY22 Appropriation (\$)	MOF ¹	FY23 Appropriation (\$)	MOF ¹
MA/SW	Renew, Improve, and Modernize	110,500,000	110,500,000	51,800,000	C	47,640,000	C
MA/SW	Manoa Mini Master Plan Phase 2	60,000,000	-	35,000,000	C	35,000,000	C
MA/SW	CTAHR-Waiale'e Research Station	-	-	1,600,000	C	-	-
MA/SW	Waikīkī Aquarium Discharge System Upgrade	1,500,000	9,000,000	1,500,000	C	-	-
MA/SW	Lyon Arboretum	-	-	1,200,000	C	-	-
MA/SW	Makai Research Pier	-	-	5,550,000	C	-	-
Hilo	Renew, Improve, and Modernize	24,000,000	13,500,000	1,300,000	C	-	-
Hilo	Renew, Improve, and Modernize	-	-	8,700,000	V	-	-
Hilo	Mauna Kea Telescope Removal	-	-	900,000	C	-	-
WO	Renew, Improve, and Modernize	3,000,000	3,000,000	3,500,000	C	-	-
CC	Resource and Education Center	-	-	42,500,000	C	-	-
CC	Capital Renewal & Deferred Maintenance, Statewide	25,000,000	25,000,000	25,000,000	C	-	-
CC	Minor CIP, Statewide	25,000,000	25,000,000	15,000,000	C	20,000,000	C
CC	Honolulu CC Technology Renovations, Phase I	15,000,000	-	15,000,000	C	-	-
CC	Windward CC Agripharmatech Bioprocessing Facility	3,000,000	-	3,000,000	C	-	-
CCs	Proof of Concept for Maui Health System	-	-	500,000	C	-	-
MA/SW	Central Admin Facility with Parking	4,000,000	-	-	-	-	-
WO	Planning Projects	500,000	500,000	-	-	-	-
CC	ADA Upgrades	14,000,000	14,000,000	-	-	-	-
CC	Kapiolani CC - Koki'o	2,500,000	30,000,000	-	-	-	-
CC	Maui College Vocational Tech	-	2,000,000	-	-	-	-
	Total	288,000,000	232,500,000	203,350,000 8,700,000	C V	102,640,000	C

The following sections will set forth the allocation of the above CIP appropriation to the respective campuses.

¹ C denotes General Obligation Bond funds; V denotes American Rescue Plan Act funds.

0100 MĀNOA APPROPRIATION:

Campus	Title/Description	MOF	FY22 Leg Appropriation	FY23 Leg Appropriation
Mānoa ²	Renew, Improve, Modernize (RIM) Projects	C	\$51,800,000	\$40,640,000
Mānoa	Mini Master Plan Phase 2	C	\$35,000,000	\$35,000,000
Mānoa	CTAHR – Waiale‘e Research Station	C	\$1,600,000	\$0
Mānoa	Waikīkī Aquarium Discharge System Upgrade	C	\$1,500,000	\$0
Mānoa	Lyon Arboretum	C	\$1,200,000	\$0
Mānoa ²	Makai Research Pier	C	\$5,550,000	\$0
	Total	C	\$96,650,000	\$75,640,000

Mānoa is allocated \$51.8 million in FY22 and \$40.64 million in FY23 to renew, improve, and modernize its facilities through small to medium-sized projects on the Mānoa campus that include the planning, design, construction, and equipment for renovations, replacements, and related improvements of new or existing facilities.

Mānoa RIM Breakdown:

	FY22		FY23	
Project Type	# Projects	Total	# Projects	Total
Building Envelope	10	\$8,100,000	6	\$13,600,000
Building Interior	4	\$7,250,000	1	\$1,000,000- \$5,000,000
Central Plant Systems	2	\$3,400,000	1	\$250,000- \$1,000,000
Electrical	5	\$5,650,000	3	\$3,750,000
Interior Mechanical	4	\$5,450,000	4	\$13,000,000
Roof	1	\$1,000,000- \$5,000,000	1	\$1,000,000- \$5,000,000
Site/Infrastructure	5	\$11,000,000	1	\$250,000- \$1,000,000
Energy Savings	1	\$1,000,000- \$5,000,000	1	\$1,000,000- \$5,000,000
Contingency		\$1,000,000- \$5,000,000		\$1,000,000- \$5,000,000
Total	32	\$51,800,000	18	\$40,640,000

² \$57,350,000 in FY22 and \$47,640,000 in FY23 was appropriated by the Legislature to System (0900) for allocation to the University campuses. This information reflects the allocation to Mānoa.

A detailed list of RIM projects are set forth in Attachment A. Of the \$51.8 million appropriation in FY22 and the \$40.64 million appropriation in FY23, the plan anticipates addressing \$46 million in deferred maintenance and expends \$9 million on energy efficiency projects.

Mānoa is also appropriated the following “line-item” projects:

Mānoa Mini Master Plan Phase 2: \$35,000,000 in FY22 and \$35,000,000 in FY23 was appropriated for plans, design, construction, and equipment for the Mānoa Mini Master Plan Phase 2, including site preparations for a Snyder Hall Replacement.

In August 2015, the Board approved the Mānoa Mini Master Plan that decreases the overall square footage on the campus:

- Phase 1: Demolish Henke Hall and Snyder Hall & construct Life Sciences Building
- Phase 2: Construct Snyder Hall replacement building
- Phase 3: Demolish and replace Kuykendall Hall

Currently, Phase 1 of the 3-Phase Mini Master Plan is complete. Henke Hall was demolished and the new Life Sciences building was completed in June 2020.

Phase 2 of the Mini Master Plan is now underway. Snyder Hall occupants were relocated to the new Life Sciences building and Snyder Hall was demolished in Summer 2021. In 2019, \$6 million in FY21 was appropriated for this project to commence the civil, soil remediation, geotechnical, and utility-related design work. Any unused funding will go toward the construction of the Snyder Hall replacement building.

The additional \$35 million in FY22 and \$35 million in FY23 will be used for plans, design, construction and equipment for the Snyder Hall replacement building. The 54,000 gsf, five-story building will provide a modern facility with offices and other faculty resources. The funds may also be used to improve walkways, if it is feasible to eliminate approximately 100 parking stalls in Varney Circle and connected roadways (Farrington Road and Campus Road) and to hardscape a pedestrian-friendly pathway on Campus Road from Metcalf Street to Varney Circle.

CTAHR – Waiale’e Research Station: \$1.6 million in FY22 was appropriated for plans, design, construction, and equipment for safety and security improvements, demolition of existing facilities and infrastructure, and all other project-related costs; ground and site improvements; equipment and appurtenances. The Waiale’e Research Station is located on the north shore of O’ahu. While it was previously used for experimental farming and agricultural operations, usage declined in the 1980s. In recent years, the University has undertaken significant measures to clean up the property, keep unauthorized occupants out and prevent illegal and/or dangerous activity from occurring on the property.

This project aims to improve the Research Station’s general conditions and the surrounding community by addressing Department of Health concerns and compliance

with waste water system regulations pertaining to the oxidation pond; removing structural liabilities that are a safety concern for the neighborhood; and demolishing structures used by squatters and the homeless. These improvements will mitigate community disruption, crime, and vandalism, while simultaneously supporting legitimate use and occupancy of the research station. The project scope includes decommissioning the oxidation pool, installing a waste water system, potable water and Board of Water Supply metering, and demolishing the jailhouse, swine barn, swine nursery, concrete silage pit walls, and makai sheep and cattle sheds.

Waikīkī Aquarium Discharge System Upgrade: \$1.5 million in FY22 was appropriated for plans and design for various repairs and improvements to the Waikīkī Aquarium. The project scope includes the design of repairs to the Waikīkī Aquarium and a new wastewater discharge system to comply with NPDES and City Industrial Wastewater Discharge permits and applicable laws and regulations. These funds supplement \$600,000 appropriated by the Legislature in FY21 for this project. The current effluent disposal system must be upgraded to comply with Department of Health discharge permits and City and County of Honolulu regulations for discharge into the city sewer system. Deferral of this project may expose the University to daily fines and could result in the indefinite closure of the aquarium. An additional \$9 million in construction funds are needed to complete this project.

Lyon Arboretum: \$1.2 million in FY22 was appropriated for plans, design, construction, and equipment for renovation, refurbishment, improvement, and/or new construction for a seed bank facility on O'ahu; ground and site improvements; equipment and appurtenances, and all project related costs. Renovations are needed to rebuild electric systems to provide adequate power and install a back-up generator. Plumbing and general construction is needed to repair structural beams and replace siding. These repairs will help to harden the building and provide emergency power in case of natural disasters.

Makai Research Pier: \$5.55 million in FY22 for plans, designs, construction, and equipment for the repair, renovation, refurbishment, and/or new construction of the makai research pier and all related structures; Makai Pier structural repairs and all related work; ground and site improvements; equipment and appurtenances. The pier is located in Waimanalo, near Makapu'u point. This project will include repairs to deteriorated piles and pile caps which support the pier, spalling concrete on the pier, and the Hawai'i Undersea Research Laboratory (HURL) building, which is located on the pier and was damaged by high winds in 2019.

0210 (HILO) APPROPRIATION:

Campus	Title/Description	MOF	FY22 Leg Appropriation	FY23 Leg Appropriation
Hilo ³	Renew, Improve, Modernize (RIM) Projects	C	\$1,300,000	\$7,000,000
Hilo	Renew, Improve, Modernize (RIM) Projects	V	\$8,700,000	\$0
Hilo	Mauna Kea Telescope Removal	C	\$900,000	\$0
	Total	C	\$2,200,000	\$7,000,000
		V	\$8,700,000	\$0

Hilo is allocated \$10 million in FY22 and \$7 million in FY23 to renew, improve, and modernize its facilities through small to medium-sized projects on the Hilo campus that include the planning, design, construction, and equipment for renovations, replacements, and related improvements of new or existing facilities:

- 8 projects in FY22 totaling \$10 million, including:
 - 5 projects totaling \$8.7 million (V funds); includes building interior, interior mechanical, energy savings and contingency projects
 - 3 projects totaling \$1.3 million (C funds); includes building interior and contingency projects
- 5 projects in FY23 totaling \$7 million (C funds); includes building interior, building envelope, interior mechanical and contingency projects.

See Attachment B for a detailed list of projects.

Hilo was also appropriated \$900,000 in FY22 for the Mauna Kea Telescope Removal for plans, design, construction and equipment for the removal of the Hōkū Ke'a telescope observatory structure, generator building and associated telecommunications and electrical infrastructure, site restoration and all related project costs.

In May 2015, Governor Ige presented his 10 point action plan for the stewardship of Maunakea, which stated that the University must decommission as many telescopes as possible with at least 25% of all telescopes gone by the time the Thirty Meter Telescope is ready for operation. In September 2015, the Office of Mauna Kea Management issued a Notice of Intent to Decommission Hōkū Ke'a Telescope in compliance with the 2010 Decommissioning Plan for Mauna Kea. The November 2019 BOR Resolution 19-03 also directed that the Hōkū Ke'a Telescope be decommissioned.

This project will remove the Hōkū Ke'a Observatory Building and Generator Building including the foundations of both buildings. All utilities between the Observatory Building

³ \$57,350,000 in FY22 and \$47,640,000 in FY23 was appropriated by the Legislature to System (0900) for allocation to the University campuses. This information reflects the allocation to Hilo.

and the Generator Building up to the Lunch Building will be removed. The voids remaining from the removal of the buildings and foundations would be backfilled with native cinder to the existing grade. Barriers will be erected to prevent off-road vehicle use and to demarcate the area.

0700 (WEST O'AHU) APPROPRIATION:

Campus	Title/Description	MOF	FY22 Leg Appropriation	FY23 Leg Appropriation
West O'ahu	Renew, Improve, Modernize (RIM) Projects	C	\$3,500,000	\$0
	Total	C	\$3,500,000	\$0

West O'ahu is allocated \$3.5 million in FY22 to renew, improve, and modernize its facilities through small to medium-sized projects on the West O'ahu campus that include the planning, design, construction, and equipment for renovations, replacements, and related improvements of new or existing facilities:

- Entry Plaza at Road B Site/Infrastructure Work – <\$250,000
- Building Exterior Wall Reseal – <\$250,000
- Library Tower Curtain Wall Repair, CMU Repoint Grout Joints - \$1-5 million
- Library Tower CMU Coating/Reseal – \$250,000-\$1 million
- Chiller Plant and Various HVAC/Utility Improvements - \$250,000-\$1 million
- Road/Parking Lot Reseal and Various Improvements - \$250,000-\$1 million
- Interior Renovations for Testing Center - \$250,000-\$1 million

0800 (COMMUNITY COLLEGE (CC)) APPROPRIATION:

Campus	Title/Description	MOF	FY22 Leg Appropriation	FY23 Leg Appropriation
CC	Minor CIP, Statewide	C	\$15,000,000	\$20,000,000
CC	Capital Renewal & Deferred Maintenance, Statewide	C	\$25,000,000	\$0
CC	Honolulu CC Technology Renovations, Phase I	C	\$15,000,000	\$0
CC	Windward CC Agripharmatech Bioprocessing Facility	C	\$3,000,000	\$0
CC	Resource and Education Center ⁴	C	\$42,500,000	\$0
CC	Proof of Concept for Maui Health System ⁴	C	\$500,000	\$0
	Total	C	\$101,000,000	\$20,000,000

The Community Colleges' (CC) expenditure plan includes the following projects appropriated by the 2021 Legislature:

- \$15,000,000 in FY22 and \$20,000,000 in FY23 was appropriated for the plans, design, construction, and equipment for Minor Capital Improvements Program projects for campus facilities within the CC System. The projects include renovations for the modernization of facilities, additions, demolition of existing facilities, and other improvements and project costs to upgrade and/or improve facilities of the CC System, as follows:
 - 2 building envelope projects in FY22 (\$5.5 million)
 - 13 building interior projects in FY22 (\$9.2 million) and FY23 (\$16.17 million)
 - 2 site and infrastructure projects in FY22 (\$250,000-\$1 million) and FY23 (\$2.55 million)
 - Contingency in FY23 (\$1-5 million)

See Attachment C for a detailed list of projects.

- \$25,000,000 in FY22 was appropriated for the planning, design, construction, and equipment for improvements to CC System facilities. The projects include capital renewal, reduction of maintenance backlog, major and minor renovations, modernization of facilities, re-roofing, mechanical and electrical systems, resurfacing, repainting, infrastructure, demolition of existing facilities, and/or other repairs and project costs to upgrade facilities at CC System campuses, as follows:
 - 1 building envelope project (\$1-5 million)
 - 1 building interior project (\$250,000-\$1 million)
 - 3 electrical projects (\$5.08 million)
 - 4 interior mechanical projects (\$13.85 million)

⁴ While the Community Colleges received these appropriations, there are no plans to request the release of these funds at this time.

- 1 roof project (\$250,000-\$1 million)
- 3 site and infrastructure projects (\$2.9 million)
- Contingency (\$250,000-\$1 million)

See Attachment D for a detailed list of projects.

- \$15,000,000 in FY22 was appropriated for the Honolulu CC Technology Renovations, Phase I design, construction, and equipment for the renovation of building 8802, 8803, 8805, 8813 and 8820. Honolulu CC will be streamlining and focusing its resources on technology-based programs, which are already established pathways into bachelor degree programs. The renovations will advance technical degrees in cybersecurity, networks and STEM, and enhance student services.
- \$3,000,000 in FY22 was appropriated for the Windward CC Agripharmatech Bioprocessing Facility design and construction. Project to include ground and site improvements, new modular facility, infrastructure, equipment and appurtenances, and all project related costs. This will be a new, temporary facility to provide a dedicated classroom and wet/dry lab space for the Agripharmatech program (plant biotechnology and ethnopharmacognosy). This program is part of Windward Community College's STEM program that is currently operating in an old, obsolete shipping container.

ACTION RECOMMENDED:

In accordance with Regents Policy 8.201 and 8.204, it is recommended that the Board approve the Fiscal Biennium 2021-2023 Capital Improvement Project Expenditure Plan set forth above, which incorporates the most recent legislative appropriations and actions. It is further recommended that the Board authorize the President or his designee to make minor changes to the project plan in accordance with State laws, rules, policies and procedures.

Attachments A - D

Attachment A - UH Mānoa FY22 FY23 RIM Project Breakdown

Campus	CIP Category	Project Description	FY22 \$ (In Thousands)	FY23 \$ (In Thousands)
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING BUILDING ENVELOPES AND RELATED IMPROVEMENTS				
UHM	Building Envelope	Exterior Painting and General Repairs (continuous)	\$1-\$5M	-
UHM	Building Envelope	Lower Campus Facilities (Athletics/HPER)	\$1-\$5M	-
UHM	Building Envelope	Off-Site Facilities - Various Locations	\$250K-\$1M	\$1-\$5M
UHM	Building Envelope	HIG Cooling Tower Building, Structural and Spall Repairs	\$250K-\$1M	-
UHM	Building Envelope	Krause Hall Re-roof asbestos roof (reprogramming)	\$250K-\$1M	\$1-\$5M
UHM	Building Envelope	Miller Hall Replace Windows and A/C system	\$250K-\$1M	-
UHM	Building Envelope	Mealani Research Station Bldg Repairs (Reprogramming)	\$1-\$5M	-
UHM	Building Envelope	Repair 12 Magoon Geenhouse (Reprogramming)	\$250K-\$1M	-
UHM	Building Envelope	Repair 6 Pope Greenhouses (Reprogramming)	<\$250K	-
UHM	Building Envelope	Various Building Envelope Assessments	\$1-\$5M	-
UHM	Building Envelope	Kuykendall Spall and Louver Repairs	-	\$1-\$5M
UHM	Building Envelope	Agricultural Engineering Building Reroofing and Misc. Repairs (reprogramming)	-	\$1-\$5M
UHM	Building Envelope	Waiale'e Demolition	-	\$1-\$5M
UHM	Building Envelope	Kona Research Station(CTAHR Priority)	-	\$1-\$5M
		TOTAL	8,100	13,600
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING BUILDING INTERIOR AND RELATED IMPROVEMENTS				
UHM	Building Interior	General Classrooms and Teaching Labs Interior Repairs - (painting, cabinets, flooring, etc.)(continuous)	\$1-\$5M	\$1-\$5M
UHM	Building Interior	Minor/ Urgent Repairs (under \$250,000)	\$1-\$5M	-
UHM	Building Interior	Upgrade/replace buidling access/keying system	\$1-\$5M	-
UHM	Building Interior	Various Relocation Projects	\$1-\$5M	-
		TOTAL	7,250	\$1-\$5M
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING CENTRAL PLANT SYSTEMS AND RELATED IMPROVEMENTS				
UHM	Central Plant	Krauss Replace/Upgrade Central Plant and HVAC Systems	\$1-\$5M	-
UHM	Central Plant	Biomedical Science Building Repair/Replace/Upgrade Central Plant/Cooling Tower/HVAC Systems	\$250K-\$1M	-
UHM	Central Plant	Holmes Hall/Loop A, Upgrade Central Chiller Plant Cooling Tower, HVAC Equipment and Controls	-	\$250K-\$1M
		TOTAL	3,400	\$250K-\$1M
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING ELECTRICAL SYSTEMS AND RELATED IMPROVEMENTS				
UHM	Electrical	General Electrical System Repairs (continuous)	\$1-\$5M	-
UHM	Electrical	Replace/Upgrade Padmounted Transformers and Switches, Various Locations	<\$250K	\$1-\$5M
UHM	Electrical	Various Buildings Replace/Upgrade Electrical Service Equipment	\$250K-\$1M	\$1-\$5M
UHM	Electrical	Various Buildings Replace/Upgrade Fire Alarm Systems (Continuous)	\$1-\$5M	\$250K-\$1M
UHM	Electrical	Replace 12KV Lead Cables Phase 2	\$1-\$5M	-
		TOTAL	5,650	3,750
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING INTERIOR MECHANICAL SYSTEMS AND RELATED IMPROVEMENTS				
UHM	Interior Mechanical	General Mechanical System Repairs (continuous)	\$1-\$5M	-
UHM	Interior Mechanical	Stan Sheriff Center Replace Grease Interceptors	\$1-\$5M	-
UHM	Interior Mechanical	Hawaii Hall / Crawford Hall / George Hall Replace Air Handler Units and Upgrade Controls	\$250K-\$1M	\$1-\$5M
UHM	Interior Mechanical	Law School Complex Replace AHUs and Upgrade Controls	\$250K-\$1M	-
UHM	Interior Mechanical	Retro-commissioning of various system operations and controls	-	\$1-\$5M
UHM	Interior Mechanical	Biomedical Sciences Building Court B and IBR Replace/Upgrade Variable Air Volume Units, HVAC and Control Systems	-	\$1-\$5M
UHM	Interior Mechanical	Various Building Water Conservation Upgrades - Replace Plumbing Fixtures Phase III	-	\$1-\$5M
		TOTAL	5,450	13,000

Attachment A - UH Mānoa FY22 FY23 RIM Project Breakdown

Campus	CIP Category	Project Description	FY22 \$ (In Thousands)	FY23 \$ (In Thousands)
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING ROOF AND RELATED IMPROVEMENTS				
UHM	Roof	Various Roof Repairs	\$1-\$5M	\$1-\$5M
		TOTAL	\$1-\$5M	\$1-\$5M
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING SITE/INFRASTRUCTURE AND RELATED IMPROVEMENTS				
UHM	Site/Infrastructure	Various Irrigation Systems Upgrade	\$1-\$5M	-
UHM	Site/Infrastructure	Long Range Planning (LRDP/PRU, Space Utilization and OneMap)	\$1-\$5M	-
UHM	Site/Infrastructure	Upgrade/Replace Mechanical Fire Protection Systems	\$1-\$5M	\$250K-\$1M
UHM	Site/Infrastructure	Demolition of Portable Structures	\$1-\$5M	-
UHM	Site/Infrastructure	Campus Accessibility (Pedestrian Lighting)	\$1-\$5M	-
		TOTAL	11,000	\$250K-\$1M
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR ENERGY SAVINGS PROJECTS AND RELATED IMPROVEMENTS OF VARIOUS FACILITIES				
UHM	Energy Savings	Solar PV (100 KW/Roof, \$500 K/100KW PV)	\$1-\$5M	\$1-\$5M
		TOTAL	\$1-\$5M	\$1-\$5M
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT CONTINGENCY FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING FACILITIES				
UHM	Contingency	Various Sites, Contingency	\$1-\$5M	\$1-\$5M
		TOTAL	\$1-\$5M	\$1-\$5M
		TOTAL - ALL PROJECTS	51,800	40,640

Attachment B - UH Hilo FY22 FY23 RIM Project Breakdown

Campus	CIP Category	Project Description	FY22 \$ (In Thousands)	FY23 \$ (In Thousands)	MOF
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING BUILDING ENVELOPES AND RELATED IMPROVEMENTS					
UHH	Building Envelope	Athletics, Phase 2	-	\$1-\$5M	C
		TOTAL	-	\$1-\$5M	
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING BUILDING INTERIOR AND RELATED IMPROVEMENTS					
UHH	Building Interior	Nurse Laboratory Renovations	\$250K-\$1M	-	C
UHH	Building Interior	CNHN Psychology Laboratory Renovations	\$250K-\$1M	-	V
UHH	Building Interior	Athletics Repair, CRDM Removal	\$250K-\$1M	-	V
UHH	Building Interior	University Classroom Building (UCB) CRDM Removal	-	\$250K-\$1M	C
UHH	Building Interior	Edith Kanakaole Hall (EKH) CRDM Removal	\$250K-\$1M	\$1-\$5M	C
		TOTAL	2,400	3,500	
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING INTERIOR MECHANICAL SYSTEMS AND RELATED IMPROVEMENTS					
UHH	Interior Mechanical	College of Agriculture AC Improvements	\$1-\$5M	-	V
UHH	Interior Mechanical	Library AC Improvements	-	\$1-\$5M	C
		TOTAL	\$1-\$5M	\$1-\$5M	
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR ENERGY SAVINGS PROJECTS AND RELATED IMPROVEMENTS OF VARIOUS					
	Energy Savings	PV Parking Canopy	\$5M-\$10M	-	V
		TOTAL	\$5M-\$10M	-	
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT CONTINGENCY FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING FACILITIES					
UHH	Contingency	Various Sites, Contingency	\$1-\$5M	-	V
UHH	Contingency	Various Sites, Contingency	<\$250K	\$1-\$5M	C
		TOTAL	\$1-\$5M	\$1-\$5M	
		TOTAL - ALL PROJECTS	1,300	7,000	C
			8,700	-	V

Attachment C - Community Colleges FY22 FY23 Minor CIP Project Breakdown

Campus	CIP Category	Project Description	FY22 \$ (In Thousands)	FY23 \$ (In Thousands)
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING BUILDING ENVELOPES AND RELATED IMPROVEMENTS				
MAU	Building Envelope	5015 Molokai Education Center Expansion	\$1M-\$5M	-
KAP	Building Envelope	6938 Manono, 6937 Manele - Outdoor Learning Space	\$250K-\$1M	-
		TOTAL	5,500	-
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING BUILDING INTERIOR AND RELATED IMPROVEMENTS				
HAW	Building Interior	379 Renovate/Modernize	\$250K-\$1M	\$1M-\$5M
HON	Building Interior	8806 Administration 2nd Floor Restrooms	\$250K-\$1M	-
KAP	Building Interior	6931 Olopuia Renovation	\$5M-\$10M	-
KAU	Building Interior	4459B FAI Modernization	\$250K-\$1M	\$1M-\$5M
LEE	Building Interior	7875 Learning Commons Building - Phase III	\$250K-\$1M	\$1M-\$5M
WIN	Building Interior	5979 Manaopono Classroom Renovation	<\$250K	\$250K-\$1M
HAW	Building Interior	321-324 Renovate/Modernize	\$250K-\$1M	-
LEE	Building Interior	7884 FA Lab Repurpose and Renovation - 2nd Floor Room 215	\$250K-\$1M	\$1M-\$5M
MAU	Building Interior	2216 Classroom 1, 2217 Classroom 2, 2218 Classroom 3, 2219 Classroom 4, 2222 Pavilion, 2227 Hale Restroom Renovation	\$250K-\$1M	-
WIN	Building Interior	592 Hale A'o Renovation (White House)	\$250K-\$1M	\$1M-\$5M
KAP	Building Interior	Various Buildings Classroom Technology Upgrades	\$250K-\$1M	-
LEE	Building Interior	7884 FA Building - Model Classroom Project	<\$250K	\$1M-\$5M
MAU	Building Interior	2201 Auto Body Shop - Assessment Study of Renovation	<\$250K	\$250K-\$1M
		TOTAL	9,200	16,170
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING SITE/INFRASTRUCTURE AND RELATED IMPROVEMENTS				
KAP	Site/Infrastructure	6922 Koa Outdoor Instructional Spaces	-	\$250K-\$1M
WIN	Site/Infrastructure	5991 Hale Palanakila - Theater Entry/Courtyard Renovation and Amphitheater Addition	\$250K-\$1M	\$1M-\$5M
		TOTAL	\$250K-\$1M	2,550
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT CONTINGENCY FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING FACILITIES				
CCS	Contingency	Contingency	-	\$1M-\$5M
		TOTAL	-	\$1-\$5M
		TOTAL - ALL PROJECTS	15,000	20,000

Attachment D - Community Colleges FY22 FY23 CRDM Project Breakdown

Campus	CIP Category	Project Description	FY22 \$ (In Thousands)	FY23 \$ (In Thousands)
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING BUILDING ENVELOPES AND RELATED IMPROVEMENTS				
HAW	Building Envelope	380, 388 & 389 - Repair Roofs and 382, 387 & 388 - Replace Gutters; Repair Buildings Campuswide	\$1M-\$5M	-
		TOTAL	\$1M-\$5M	-
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING BUILDING INTERIOR AND RELATED IMPROVEMENTS				
WIN	Building Interior	5991 Palanakila Theater - Replace Elevator Fire Curtains	\$250K-\$1M	-
		TOTAL	\$250K-\$1M	-
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING ELECTRICAL SYSTEMS AND RELATED IMPROVEMENTS				
KAU	Electrical	Upgrade Fire Alarm and PA System, Campuswide	\$1M-\$5M	-
KAU	Electrical	Repair/Replace Light Poles, Campuswide	<\$250K	-
WIN	Electrical	5982 Alakai, Upgrade Electrical System	\$250K-\$1M	-
		TOTAL	5,080	-
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING INTERIOR MECHANICAL SYSTEMS AND RELATED IMPROVEMENTS				
HON	Interior Mechanical	8852 Airport Training Center - Chiller Replacement	\$250K-\$1M	-
KAP	Interior Mechanical	6930 Ohelo - Replace AC System	\$5M-\$10M	-
MAU	Interior Mechanical	2208 Hookipa, 2251 Laulima - HVAC Repair/Replacement	\$1M-\$5M	-
KAU	Interior Mechanical	4453 NS Chemistry Fume Hood Replacement	\$1M-\$5M	-
		TOTAL	13,850	-
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING ROOF AND RELATED IMPROVEMENTS				
LEE	Roof	7876AD Reroof	\$250K-\$1M	-
		TOTAL	\$250K-\$1M	-
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING SITE/INFRASTRUCTURE AND RELATED IMPROVEMENTS				
HON	Site/Infrastructure	8872A & 8872B Reroof and Water/Sewer Connections (Temporary Classrooms and Offices)	\$250K-\$1M	-
LEE	Site/Infrastructure	Repair/Improve Walkways, Campus Wide	\$250K-\$1M	-
HON	Site/Infrastructure	8802 Campus Center/Classrooms - Telecommunications Infrastructure Upgrade	\$1M-\$5M	-
		TOTAL	2,900	-
PLANS, DESIGN, CONSTRUCTION, AND EQUIPMENT CONTINGENCY FOR RENOVATIONS, REPLACEMENTS, IMPROVEMENTS OF NEW OR EXISTING FACILITIES				
CCS	Contingency	Contingency	\$250K-\$1M	-
		TOTAL	\$250K-\$1M	-
		TOTAL - ALL PROJECTS	25,000	-



UNIVERSITY
of HAWAII
HILO

University of Hawai'i at Hilo Administration
Office of the Chancellor


UNIVERSITY OF HAWAII
BOARD OF REGENTS


'21 AUG 10 AM 11:45

August 9, 2021

MEMORANDUM

TO: Randy Moore
Chairperson, Board of Regents

VIA: David Lassner 
President, University of Hawai'i

FROM: Bonnie D. Irwin 
Chancellor, University of Hawai'i at Hilo

SUBJECT: Appointment of Andy Adamson to the Mauna Kea Management Board

SPECIFIC ACTION REQUESTED:

It is requested that the Board of Regents approve the appointment of Dr. Andy Adamson to fill the remaining months of the term of member Doug Simons (ending June 30, 2022) and a full four-year term immediately following on the Mauna Kea Management Board. The full term of Dr. Adamson would expire on June 30, 2026.

RECOMMENDED EFFECTIVE DATE:

Upon Board approval.

ADDITIONAL COST:

No additional costs.

PURPOSE:

To fulfill the mandate of the 2000 Mauna Kea Science Reserve Master Plan.

200 W. Kāwili St.
Hilo, Hawai'i 96720-4091
Telephone: (808) 932-7348
Fax: (808) 932-7338
hilo.hawaii.edu

An Equal Opportunity/Affirmative Action Institution

BACKGROUND INFORMATION:

The Mauna Kea Science Reserve Master Plan, approved by the BOR in June 2000, called for the establishment of the community-based Mauna Kea Management Board (MKMB). The Master Plan states:

"Community involvement in the management of the mountain begins with the membership of the Mauna Kea Management Board....The Board's primary role is to advise the Office of the Chancellor at the University of Hawai'i at Hilo (UH Hilo) on management of the Mauna Kea Science Reserve...and shall be the main community voice for activities and development planned for the Science Reserve."

The MKMB is comprised of seven members representing various constituencies with a stake in Maunakea: Native Hawaiians, environment, education, commercial activities, astronomy, land management, and general community. Pursuant to the Master Plan, the chancellor of UH Hilo submits her recommendations to the BOR for its consideration and approval.

Nominee

Dr. Andy Adamson

Dr. Andy Adamson is currently the Associate Director for the Hawai'i Site for Gemini Observatory. Dr. Adamson has worked for Gemini Observatory since 2010, and has acted as a Gemini Observatory representative to the MKO Director's group since 2018. Dr. Adamson has been a part of the Maunakea Observatories since 1998, when he first worked at UK Infrared Telescope as the Head of Operations and then Associate Director. He received his B.Sc. in Physics at Imperial College London, UK, in 1979, and Ph.D. in Astronomy at the University of Leicester, UK, in 1983. Prior to his time in Hawaii, he held positions at the University of St. Andrews and Lancashire Polytechnic (now the University of Central Lancashire). His principal research interests are the composition and physical properties of dust grains in the interstellar medium.

ACTION RECOMMENDED:

It is recommended that the Board of Regents approve the appointment of Andy Adamson to fill the remaining months of the term of Doug Simons (ending June 30, 2022) and a full four-year term immediately following on the Mauna Kea Management Board. The full term of Dr. Adamson would expire on June 30, 2026.

c: Executive Administrator and Secretary to the Board of Regents, Oishi

A J Adamson - Curriculum Vitae

Academic Qualifications

- 1979 B.Sc. in Physics, 1st Class Honours, Imperial College London, UK.
1983 Ph.D. in Astronomy, University of Leicester, UK.

Current Position

2018-present Associate Director Hawaii Site, Gemini Observatory, Hilo

Previous Employment

- | | |
|-----------|---|
| 2011-2018 | Associate Director Operations, Gemini Observatory, Hilo, Hi & La Serena, Chile |
| 2010-2011 | Associate Director Science Operations, Gemini Observatory, La Serena, Chile and then Hilo, Hi |
| 2007-2010 | Associate Director, UK Infrared Telescope, Hilo Hawaii |
| 1998-2007 | Head of Operations/Science director, UK Infrared Telescope, Hilo Hawaii |
| 1990-1998 | Postdoctoral Research Fellow/Starlink Computer Systems Manager/Lecturer, University of Central Lancashire, UK (UCLAN) |
| 1986-1990 | Postdoctoral Research Fellow, Lancashire Polytechnic |
| 1983-1986 | Postdoctoral Research Assistant, University of St. Andrews |

Teaching

- | | |
|------------------------------|--|
| UCLAN, UK | Lecture courses in Infrared, Radio, X-ray and Gamma-ray astronomy, Information Technology, Physics; 3 rd year Astronomy and Astrophysics Laboratories; Coordinator of remote-learning Certificate of Higher Education |
| University of St Andrews, UK | Infrared Astronomy (year 4 undergraduate course) |
| Open University, UK | Course tutor, remote-learning unit S281 |
| UCLAN | Undergraduate IT course organizer, 1994-1998 |

Other Responsibilities

- | | |
|----------|--|
| UCLAN | Data Protection contact, Physics & Astronomy |
| JAC Hilo | Data Protection Contact |

Committees, Panels, etc.

- 1989-1995 UKIRT Service time allocation panel

- 1988-1991 UKIRT Time Allocation Committee, Panel for Allocation of Telescope Time
(Particle Physics and Astronomy Research Council of the UK)
- 1994-1996 Member of UKIRT Board of Directors (Particle Physics and Astronomy
Research Council of the UK)
- 1997-1999 External Project Scientist on ORAC Project (observing preparation and
execution software for UK Infrared Telescope)

Postgraduate Supervision

- 1986-1999 Sole advisor: 3 completed Astrophysics Ph.D.s; 1 completed M.Phil
- 1986-1999 Second advisor: 1 Mathematics & 1 Astronomy Ph.D.

Refereeing

- 1998-2007 Various grant proposals for the UK Particle Physics and Astronomy Research
Council (PPARC)
- 2008-2010 Various large-grant proposal refereeing for the UK Science and Technology
Facilities Council.

Research Topics

Interstellar dust / volatile and refractory component compositions and morphologies via spectroscopy, polarimetry and spectropolarimetry.

Research Conference Organization

- 2002 Galactic Centre – the central 300 parsecs. Workshop held in Keauhou-Kona, Hawaii,
November 4-8 (Member, Local Organizing Committee).
- 2004 Astronomical Polarimetry – current status and future directions. Conference held in
Waikoloa, Hawaii, March 15-19 (Chair, Science and Local Organizing Committees;
Lead organizer for the meeting).
- 2008 Astronomical Polarimetry 2008. Quebec, Canada, July 2008. (Member, Science
Organizing Committee).

Science Publications

<http://orcid.org/0000-0003-1120-5178>



**UNIVERSITY
of HAWAII***
SYSTEM

UNIVERSITY OF HAWAII
BOARD OF REGENTS

21 SEP 10 P1:05

Kalbert K. Young
Vice President for Budget & Finance
Chief Financial Officer

September 10, 2021

**FOR INFORMATION AND
DISCUSSION ONLY**

TO: Randolph G. Moore
Chairperson, Board of Regents

Alapaki Nahale-a
Chair, Committee on Planning and Facilities
Board of Regents

VIA: David Lassner
President

FROM: Vassilis L. Symos
Vice President for Research and Innovation

Kalbert K. Young
Vice President for Budget and Finance/Chief Financial Officer

SUBJECT: Request for Board of Regents Approval of a Sublease and Student Housing Affiliation Agreement between the University of Hawai'i and a Nonprofit Entity to be Identified Related to the Atherton Student Housing and Innovation Center

A. SPECIFIC ACTIONS REQUESTED

We request the following as further discussed herein:

1. Board of Regents

- a. Sublease Agreement. Board of Regents approval of the Sublease between the University of Hawai'i and a Nonprofit Entity to be identified to allow the University to sublease space in the Atherton Student Housing and Innovation Center currently under construction at Tax Map Key No. (1) 2-8-016-001 ("**Sublease**").
- b. Affiliation Agreement. Board of Regents approval of the Student Housing Affiliation Agreement between the University of Hawai'i and a Nonprofit Entity to be identified to support the Atherton Student Housing and Innovation Center currently under construction at Tax Map Key No. (1) 2-8-016-001 ("**Affiliation Agreement**").

B. RECOMMENDED EFFECTIVE DATE

Upon approval of the Board of Regents.

C. ADDITIONAL COST

1. Sublease

Sublease rent by the University of Hawai'i ("**University**") is \$250,000.00 per year, to be offset by the University's ability to sublet office space.

2. Affiliation Agreement

No cost to the University.

D. PURPOSE

1. Sublease

For a term to end in forty (40) years from the date of occupancy currently projected for summer of 2023, within the Building to be constructed by Developer, the University will sublease a gross leasable area of approximately: (i) 8,978 square feet located on the second floor that will house the University's Pacific Asian Center for Entrepreneurship program ("**PACE Facility**"); and (ii) 14,598 square feet located on the second and third floor to be sublet by the University as a commercial office space ("**Office Facility**"). The PACE Facility and Office Facility is a combined gross leasable area of approximately 23,576 square feet ("**UH Facility**").

The UH Facility will be used for academic classrooms, administrative offices, and program related activities, including academic competitions, receptions, mixers, community events, co-working spaces, club meetings, and to support innovation and companies. The University will sublet space for offices in the Office Facility to offset annual rent. The University is not responsible for any common area maintenance fees but must pay for utilities that serve the UH Facility. The University's uses of the UH Facility are intended to complement the privately run, approximately 220-unit student housing facility, containing 374 beds ("**Student Housing Facility**") and the approximately 1,700 square feet located on the first floor to be leased as a retail space ("**Retail Facility**"). Together, the UH Facility, Student Housing Facility, and Retail Facility is referred to herein as the "**Building**." See Exhibit A, **Summary of Sublease Major Terms**.

2. Affiliation Agreement

For a term to end with the bond repayment for the Project, or approximately 40 years, the University will enter into the Affiliation Agreement to support the

Student Housing Facility. The Student Housing Facility will be privately developed, constructed, and operated with no financial obligation on the part of the University. The Affiliation Agreement ensures a level of service for University students who reside in the Student Housing Facility and coordinates certain operational details with the UH Mānoa campus and Student Housing Services. **See Exhibit B, Summary of Affiliation Agreement Major Terms.**

E. BACKGROUND

UHF Atherton Student Housing LLC, a Hawai'i limited liability company ("**Fee Owner**"), whose sole member is the University of Hawai'i Foundation, a Hawai'i nonprofit corporation, and a recognized 501(c)(3) organization under the Internal Revenue Code ("**Foundation**" and with the Fee Owner, the "**Foundation Entities**"), holds fee simple title to Tax Map Key No. (1) 2-8-016-001 containing approximately 43,107 square feet ("**Property**").

On December 28, 2018, Fee Owner issued a request for proposals entitled "Request for Proposals for the Development of a New Mixed-Use Student Housing/Innovation and Entrepreneurship Project" now known as the "Atherton Student Housing and Innovation Center" ("**RFP**"), which solicited proposals for the development of a multi-use student housing project to be located on the Property as more particularly described in the RFP ("**Project**").

On April 3, 2019, Hunt Development Group, LLC, a Texas limited liability company ("**Developer**") proposed a development concept, along with business, operating, and financing terms contemplated to develop, deliver, operate, and maintain the Project ("**Proposal**"), and based on the Proposal, the Foundation Entities entered into agreements with Developer to develop the Project.

To meet the objective of privately financing the Project, Developer proposed the following financing structure:

- Public Finance Authority ("**PFA**")¹ will issue taxable and non-taxable student housing revenue bonds ("**Bonds**").
- A nonprofit entity ("**Entity**") will be created or selected use Bond proceeds to:
 - (i) pay the costs of the acquisition, demolition, renovation, construction, furnishing, and equipping of the Project on the Property; (ii) establish a debt service reserve funds for the Bonds; (iii) pay the interest expected to accrue on the Bonds; (iv) pay working capital and marketing costs associated with the Project; (v) fund an operating reserve fund; (vi) fund a coverage reserve fund; and (vii) pay the costs of issuance.

¹ PFA is "a governmental entity established under Section 66.0304 of the Wisconsin State Statutes, authorized to issue tax-exempt, taxable, and tax credit conduit bonds for public and private entities throughout all 50 states. PFA is jointly sponsored by the National Association of Counties, National League of Cities, Wisconsin Counties Association and League of Wisconsin Municipalities." See <https://www.pfauthority.org>.

- Entity will enter into a ground lease with the Foundation Entities.
- Entity will sublease the UH Facility to the University.
- Rents generated by the Project will fund debt service, pay for operating expenses to operate the Project, including the Student Housing Facility, and to maintain the Property.
- Any revenues above Project expenses is paid to the Fee Owner.
- The term of bond financing is 40 years.

The University's only involvement in the Project is: (1) entering into the Sublease; (2) entering into the Affiliation Agreement; and (3) sharing in any Project revenue over the life of the Project with Fee Owner.

The University will obtain a sublease from the Entity that will receive a ground lease from Foundation Entities. The University will also enter into an Affiliation Agreement with the Entity. The Entity is contracted by Foundation Entities, not the University. Since the University is collaborating on this project with other project members, the University is aware that the formal choice and selection of the Entity by the Owner has not been completed yet. As such, Administration is not able to identify the Entity as of the writing of this memorandum. Administration anticipates that selection of the Entity will occur imminently. If the Board of Regents approves this request, the Entity must agree to the material terms summarized in Exhibits A and B, attached.

F. APPLICABLE REGENTS POLICY

1. Sublease

Under Board of Regents Policy 10.201, Board of Regents' approval is required for this real property transaction because it is a lease interest with a term exceeding five years. The six decision-making considerations enumerated under Board of Regents Policy 10.201.III.A are addressed in turn as follows.

- a. Promote and support the mission and goals of the university in education, research, service, and economic development.*

The Project integrates student housing and an innovation/entrepreneurship center, funded with private, non-taxpayer money to design, build, finance, and maintain a live, learn, work innovation facility. The Building will provide a dynamic, immersive educational and living experience to enable students to push the frontiers of innovation and entrepreneurship as they become the foundation of a more diverse and sustainable new economy.

The Building will integrate academic and collaborative spaces with student housing and be the new home for the PACE program. The

PACE will use the new environment to guide students in transforming laboratory research into applied commercialization opportunities. It will be a place where innovators can bounce ideas around and find the resources needed to move an idea to the next startup phase.

This Project is also one of several public-private partnerships ("P3") and real estate projects the University is pursuing to build modern educational facilities more affordably, develop alternative revenue streams to support the University mission, and remain a competitive higher education institution.

b. Advance principles and practices of sound environmental stewardship and sustainability.

The Project is being designed to meet Leadership in Energy and Environmental Design (LEED) Silver Certification and will continue to stress sustainability as a key factor in decision making and seek to minimize impervious surfaces on site to reduce potential run-off impacts to the environment. Further, project design efforts will also endeavor to reduce the carbon footprint of the Project both during construction and under operation.

The Project will renovate an iconic and historic building in the Mānoa neighborhood while incorporating a new adjacent building and modern building onto the Property. The facility will enhance the amenities available to the neighborhood and add to the aesthetic of the surrounding community as the gateway to the Mānoa valley.

c. Ensure that alternative actions are considered, investigated and analyzed.

Prior to the acquisition of this Property by UHF Atherton Student Housing LLC the property was owned by the YMCA and was used to provide transient housing, which primarily serviced UH Mānoa students. Since 2016, the University leased the Property from the Owner and continued to use the Property for very limited student housing and to generate some revenue from commercial tenants. However, it was determined that the age of the buildings and the cost of renovations would not create positive revenue. The Property was also considered for a publicly funded student housing project; however, the Fee Owner and the University could not justify bearing that cost using public funding. This P3 alternative creates a positive cash flow over time. It creates a stable long-term revenue stream for the Fee Owner and the University without a significant upfront capital investment in developing the Project and without the financial responsibility of the University operating housing on the Property.

- d. *Be fairly priced in the context of applicable fair market values and other relevant factors.*

The University is not contributing any funding for the development, construction, or operation of the Project. The University will rent a portion of the Building (approximately 23,576 square feet), the UH Facility, for the Office of Research and Innovation, and the PACE program at \$250,000.00 per year. The per square foot rental rate is comparable to similar properties.

The University is not responsible for any common area maintenance fees. It is only responsible for the cost of electricity, water, and sewer used within the UH Facility. In addition, the University is allowed to lease commercial office space to offset annual rent. Based on its analysis, the University expects to, at minimum, break-even during the term of the Sublease.

- e. *Generate revenue from real property not critical to long range plans for the university to support the university's core mission.*

This criterion does not apply since this is not University property; however, Fee Owner and University are entering into an agreement whereby Fee Owner will share with the University a portion of the proceeds it receives from revenue generated by the Project over the life of the Project.

- f. *Be consistent with and support long range plans that have been approved by the Board of Regents.*

The Property and the Project have been added to the long-range development plan ("LRDP") for the UH Mānoa campus and the UH Mānoa plan review use permit ("PRU"), which was approved by the Council of the City & County of Honolulu on August 11, 2021. The Project supports the Hawai'i Innovation Initiative, which is one of the five policies as set forth in the University's Strategic Direction, 2015-2021. The Project also supports the guiding principles of the UHM Framework for the Future established to further the University's desire to continue to be a leading global research institution.

2. Affiliation Agreement

Under Board of Regents Policy 8.201, *Contracts and Official Documents*, Board of Regents' approval is required where it is "determined, in consultation with the board, that a contract . . . is anticipated to have a significant impact on policies, programs, or operations."

The Affiliation Agreement entered into here would commit the University to certain obligations for at least 40 years. The purpose of supporting the Project is to allow the development of an additional 220 units and 374 beds of student housing for University students, and an innovative space for the PACE program that integrates their entrepreneurial program with a live, learn, work experience on the UH Mānoa campus. Some of these obligations are relevant because the property is now part of the LRDP and PRU, and some are relevant to ensure UH Mānoa students see some consistency in management and availability of the Student Housing Facility.

University obligations under the Affiliation Agreement do not commit University funds, significant University financial resources, and/or have significant impacts on programs. But, the Affiliation Agreement does commit UH Mānoa, through its usual campus operations, to do the following:

- generally include the Student Housing Facility in overall information and marketing materials regarding student housing available to students attending UH Mānoa and prospective students attending UH Mānoa, commencing at a reasonable time before the anticipated Substantial Completion of the Project;
- provide students residing at the Student Housing Facility with campus related security services and access to the computer network;
- take into account the Student Housing Facility in UH Mānoa planning for future student housing projects that serve students attending UH Mānoa; and
- commit to not construct or otherwise sponsor any additional student housing facilities on the UH Mānoa campus that creates additional student housing beds under certain market conditions.

In exchange, the Entity will agree to:

- directly or indirectly through a management entity be solely responsible for the management and operation of the Student Housing Facility independent from UH and UH Mānoa;
- process residential applications and agreements for the Student Housing Facility;
- provide beds to UH Mānoa students; and
- comply with applicable UH Student Conduct Code policies.

Exhibit B provides additional terms.

G. ACTIONS RECOMMENDED

We recommend the Board of Regents adopt and approve the actions proposed above under Section A, above. Once approved, the President and the Vice President for Budget and Finance/Chief Financial Officer will conclude

negotiations, finalize and execute the Sublease and Affiliation Agreement, and take such other action and execute such other documents as they determine necessary to implement the Sublease and Affiliation Agreement.

c: Executive Administrator and Secretary to the Board Kendra Oishi

Attachments:

- 1. Exhibit A, Summary of Sublease Agreement Major Terms**
- 2. Exhibit B, Summary of Affiliation Agreement Major Terms**

Atherton Student Housing and Innovation Center Project

Board of Regents
September 16, 2021

For Information and Discussion

Project Description

- A public-private partnership (P3) project with UHF as the landowner and UH as the affiliated program.
- Re-develop Atherton property to provide approximately 374 beds in 220 units for student housing for UH.
- Develop property to include a facility for UH innovation program(s).
- UH will affiliate as a program enhancement for the property.
- Private partner will design, finance, construct, operate, and maintain property and facility.



Recent Status

- The University was leasing the property from the UHF, but that lease has been terminated as of July 16th.
- The property has been vacated of retail tenants as of July 16th.
- Developer (Hunt) is slated to begin demolition of Mary Atherton building as early as before end of September. All permits for demolition have already been issued.
- Developer will start exterior rehabilitation work and interior renovations of the Charles Atherton building closer to start of 2022.
- The Honolulu City Council has approved inclusion of the property into the Plan Review Use (PRU) district of the University.

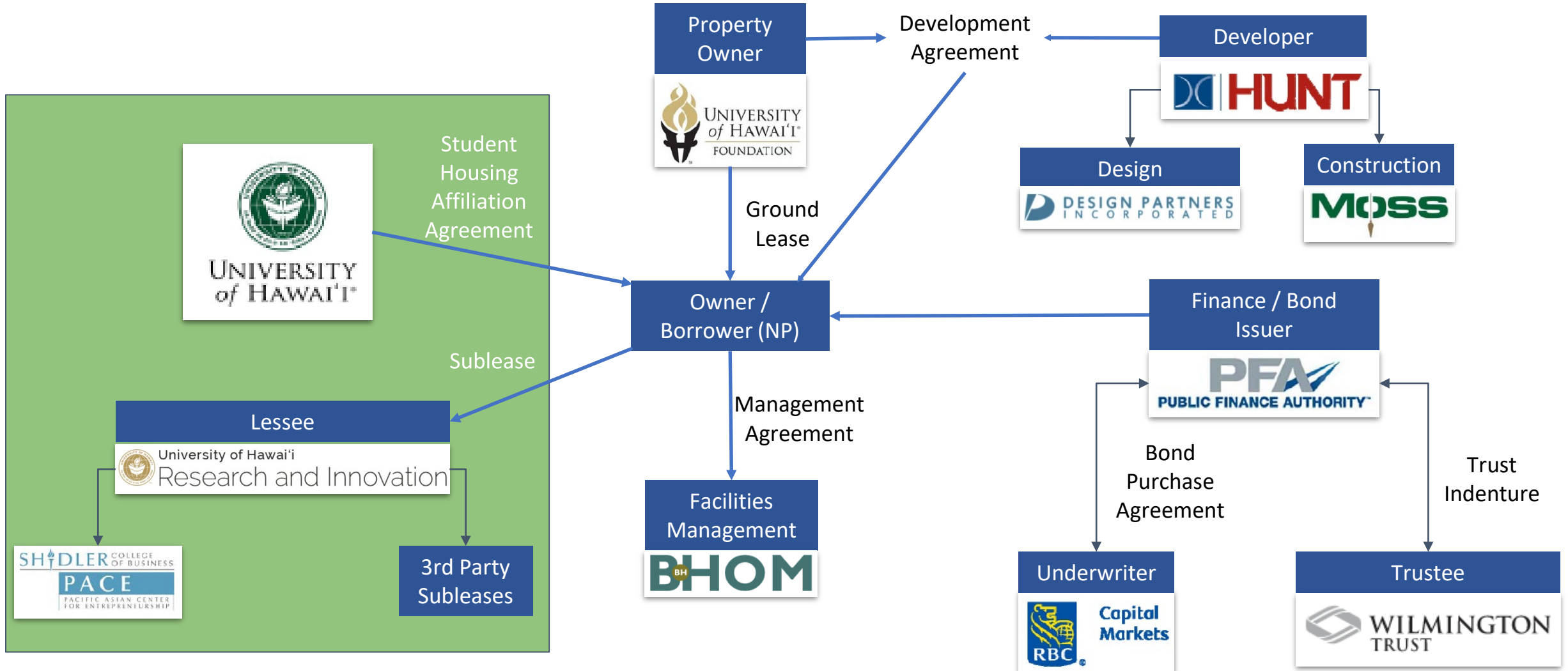
Future Progress

- Partners continue to refine negotiations for agreements for the project – including, leases, subleases, affiliation agreements, development agreements, etc.

<u>Documents where UH is a Party</u>	<u>Other Project Documents of Interest</u>
<ul style="list-style-type: none">• Student Housing Affiliation Agreement (UH/NP)• Sublease (UH/NP)	<ul style="list-style-type: none">• Ground Lease (UHF/NP)• Development Agreement (HUNT, UHF, NP)• Management Agreement (BHom/NP)

- The project anticipates capital financing toward the end of October.
- Capital financing will be approximately \$75 million.
- Full construction would start after financing is secured.
- Project is scheduled to be completed by Summer 2023 for occupancy by Fall 2023.

Organization



Proposed Sublease of Space

- UH to sublease interior space from the project Owner (non-profit to be identified), who will be the lessor of the property from UHF.
- 40-year lease, commencing in 2023.
- Approximately, 23,000 sq. ft. of gross lease-able sq. ft.
- Interior space on 2nd and part of the 3rd floor.
- Space will be used to accommodate innovation center program (PACE).
- UH will be able to sublease space to businesses, programs, etc.
- Approximately \$250,000 / year lease rent. 5-year rent increases, based on CPI, capped.
- No CAM, but utility and real property tax expenses derived from UH sublease area apply.

Proposed Affiliation Agreement

- Explicitly outlines an affiliation by the University of Hawai'i with the Atherton Student Housing and Innovation center project.
- Affiliation Agreement between UH and the project Owner (non-profit to be identified).
- Related to how private student housing portion of the project is run (for the benefit of UH students).
- Summarily outlines the limited obligations and expectations of the University.
- Generally provides the expectations and contributions of the project Owner to the University for the facility.
- Term of affiliation is for the duration of the project (est. 40 years).
- Provides for opportunities of collaboration and advisory participation by the University with the project Owner.



Deliberation

Information and Discussion

- September 16, 2021 – Board of Regents
- Student Housing Affiliation Agreement – UH and Non-Profit Entity as Owner
- Sublease of Space – UH and Non-Profit Entity as Owner

Referral, Deliberation, and Decision

- October 7, 2021 – Planning & Facilities Committee
- October 21, 2021 – Board of Regents

SUMMARY MAJOR TERM SHEET

SUBLEASE AGREEMENT

Between

NONPROFIT ENTITY TO BE DETERMINED
and
UNIVERSITY OF HAWAI'I

for the
UH Facility Tax Map Key No. (1) 2-8-016-001

1. PARTIES

- a) Landlord: Nonprofit Entity to be Identified ("**Landlord**")
- b) University: University of Hawai'i ("**University**")

2. DEMISE

- a) Property. Tax Map Key No. (1) 2-8-016-001, containing approximately 43,107 square feet ("**Property**").
- b) Building. A new building to be developed, constructed, and operated on the Property by Landlord comprised of the following elements: (i) a 374-bed student housing facility ("**Student Housing Facility**"); (ii) the PACE Facility; (iii) the Office Facility; and (iv) a gross leasable area of approximately 1,700 square feet located on the first floor to be leased as a retail space ("**Retail Facility**"). The Student Housing Facility, PACE Facility, Office Facility, and Retail Facility being, the "**Building**".
- c) UH Facility. Portion of the Building described as a gross leasable area of approximately 8,978 square feet located on the second floor that will house the University's Pacific Asian Center for Entrepreneurship program ("**PACE Facility**"), and 14,598 square feet located on the second and third floor to be leased as a commercial office space ("**Office Facility**"). Together the PACE Facility and the Office Facility are the ("**UH Facility**"), which will be used and occupied by the University under the Sublease.
- d) License. Landlord grants to University a non-exclusive license to use (and to permit its officers, directors, agents, employees, sublessees, and invitees to use), the Common Areas in the course of conducting business at the UH Facility.
- e) Premises. The "**Premises**" is the UH Facility and Common Areas.

3. TERM

Sublease shall be for forty (40) years. The intent is to commence on August 15, 2023 (“**Effective Date**”) and terminate and expire on July 31, 2063 (“**Expiration Date**”).

4. RENT

- a) Rent. Rent shall be two hundred fifty thousand dollars (\$250,000.00) per year.
- b) Five-Year Rent Increases. On the day after the last day of the fifth (5th) year following the Effective Date, and every fifth (5th) year thereafter, Landlord shall increase rent payable for the following five- (5) year period to an amount equal to the product of the rent set for the previous five years multiplied by a fraction, the denominator of which shall be the Consumer Price Index figure published for the month of the Effective Date and the numerator of which shall be the Consumer Price Index figure for the first (1st) month of the following five (5) years.
- c) Rent Increase Cap. Rent increases shall not exceed ten (10) percent over the previous payment.
- d) University’s Services and Utilities Costs. In addition to rent, University shall pay to Landlord, or directly to the billing entity as the case may be, costs and fees related to the use of the following services and utilities within the UH Facility: (i) air conditioning; (ii) electricity; (iii) WiFi, internet, and other communications-related services; and (iv) water and sanitary sewer services (“**UH Facility Services**”). At Landlord’s cost, UH Facility Services shall be individually metered, measured, and under University's control within the UH Facility
- e) No Other Fees. University shall not be responsible or liable for any other costs, fees, or rent not described above.

5. USE OF PREMISES

- a) Allowed Uses. University shall use the UH Facility for academic classrooms, administrative offices, and program related activities, including academic competitions, receptions, mixers, community events, co-working spaces, club meetings, and to support innovation and business companies.
- b) Right to Sublet. University may sublet available space within the UH Facility, charge rents, and collect costs and fees.
- c) University's Right to Sublet Rents. Landlord is not entitled to any portion of rents, fees, or costs charged by University to University’s sublessees.

- d) License. Landlord grants to University a non-exclusive license to use (and to permit its officers, directors, agents, employees, sublessees, and invitees to use), the Common Areas in the course of conducting business at the UH Facility. Landlord will maintain in good order and condition the Common Areas and will perform such repairs and maintenance as may be reasonably required to maintain the Common Areas in good order and condition.
- e) Parking. Landlord shall make available the following three categories of parking within the Parking Areas: (i) nine (9) reserved parking spaces at cost to University; (ii) visitor parking available at cost on a first-come, first-served basis; and (iii) up to fourteen (14) parking stalls at cost to University annually.

6. UNIVERSITY LIMITATIONS

- a) University Not Authorized to Indemnify. Landlord and University acknowledge and agree that University, as an agency of the State of Hawai'i, is not authorized to indemnify, defend, or hold harmless Landlord in any way, including, without limitation, against any claims for bodily injury, wrongful death and/or property damage by any persons.
- b) Other Terms. Section includes standard University terms limiting University liability.

7. INSURANCE

- a) University is Self-Insured. University, as a sovereignty, is self-insured and therefore insurance, including but not limited to, public liability, property damage, fire, plate glass, and business interruption insurance, is not required.
- b) Landlord Insurance. Landlord will carry fire and extended coverage insurance on the Premises, the Building, and the Property. The insurance shall cover the structural portions of the Premises, the Building, and the Property.

8. TAXES AND ASSESSMENTS

- a) Obligation for Payment. University will pay all taxes attributable to University.
- b) Real Property Tax Exemption. University shall have the right to apply for an exemption from real property taxes.

9. REPAIRS AND MAINTENANCE

- a) Landlord Duty. Landlord has a duty to maintain Building and maintenance for all pipes and conduits and all mechanical, electrical, HVAC and plumbing systems contained within the UH Facility.

- b) University Duty. University shall keep and maintain the UH Facility and all fixtures and equipment located therein in a good, safe, clean, and sanitary condition.

10. IMPROVEMENTS

- a) Base Building. Landlord must deliver the UH Facility in a finished condition for the PACE Facility. The Office Facility will be finished as agreed to by the parties.
- b) Alterations. University and its subtenants may make alterations within the UH Facility.

11. OTHER STANDARD TERMS

- a) Landlord has a right of entry with notice and in emergencies.
- b) In the event of casualty, University may terminate the Sublease or remain in anticipation of rebuilding as provided by terms.
- c) Division of condemnation proceeds, if any.
- d) The Premises may be sublet, mortgaged, or assigned by University.
- e) Default and remedies are defined; neither Party is liable for incidental, special, punitive or consequential damages (including, lost revenues or lost profits) arising out of or in connection with this Sublease.
- f) Force majeure events are addressed, including pandemics.
- g) Landlord's liability is limited to its interest in the Property and the Project.

SUMMARY MAJOR TERM SHEET

**STUDENT HOUSING AFFILIATION AGREEMENT
Atherton Student Housing Facility**

between

**UNIVERSITY OF HAWAI'I
and
NONPROFIT ENTITY TO BE DETERMINED**

1. PARTIES

- a. University: University of Hawai'i ("**University**")
- b. Entity: Nonprofit Entity to be Identified ("**Entity**")

2. STUDENT HOUSING FACILITY

Entity agrees to finance, develop, construct, and operate a 220-unit student housing facility, containing 374 beds ("**Student Housing Facility**") for the use of students attending UH Mānoa.

3. UNIVERSITY AGREES

- a. to allow the Project to be part of the long-range development plan for the UH Mānoa campus and the UH Mānoa plan review use permit which was approved by the council of the City & County of Honolulu on August 11, 2021;
- b. generally to include the Student Housing Facility in overall information and marketing materials regarding student housing available to students attending UH Mānoa and prospective students attending UH Mānoa, commencing at a reasonable time before the anticipated Substantial Completion of the Project;
- c. to provide students residing at the Student Housing Facility the following services: campus related security services and access to the computer network;
- d. to take into account the Student Housing Facility in UH Mānoa planning for future student housing projects that serve students attending UH Mānoa; and
- e. not to construct or otherwise sponsor any additional student housing facilities on the UH Mānoa campus that creates additional student housing beds, unless demand for additional student housing beds is supported by a student housing demand study; provided that, this subsection shall not apply to renovation or replacement of UH Mānoa Student Housing Facilities that do not result in additional student housing beds on the UH Mānoa campus. For purposes of this Agreement, "UH Mānoa Student Housing Facilities" means the student housing facilities, located on the UH

Mānoa campus, which are known as Hale Aloha Lehua, Hale Aloha 'Ilima, Hale Aloha Mokihana, Hale Aloha Lokelani, Frear Hall, Gateway House, Johnson Hall, Hale Ānuenue, Hale Kahawai, Hale Laulima, Hale Wainani, Hale Noelani, and the student housing project under development to be located on the parcel identified as Tax Map Key No. (1) 2-8-023:009 by the City & County of Honolulu and commonly referred to as the former NOAA site (approximately 2.21 acres) on Dole Street in close proximity to Burns Hall and Frear Hall.

4. ENTITY AGREES TO

- a. directly or indirectly through a management entity be solely responsible for the management and operation of the Student Housing Facility independent from UH and UH Mānoa;
- b. process residential applications and agreements for the Student Housing Facility;
- c. provide beds to UH Mānoa students; and
- d. comply with applicable UH Student Conduct Code policies, as amended, posted at studentaffairs.manoa.hawaii.edu/policies/conduct_code/, and required UH health clearances before entering the UH Mānoa campus.

5. PARTIES MUTUALLY AGREE

- a. after Substantial Completion of the Project, the Student Housing Facility shall be treated similar to UH Mānoa's treatment of all other UH Mānoa Student Housing Facilities concerning advertising to students attending UH Mānoa;
- b. neither Party shall prioritize or present the Project nor other UH Mānoa Student Housing Facilities as competing facilities;
- c. to cooperate regarding delinquent financial obligations of UH Mānoa student residents related to UH Mānoa Student Housing Facilities and the Student Housing Facility, as permitted by law and UH policy, such as not allowing a UH Mānoa student resident who is delinquent in rent payments to enter into a rental agreement with either UH Mānoa Student Housing Facilities or the Student Housing Facility; and
- d. to participate in good faith as needed on committees or working groups related to the management and operation of the Student Housing Facility, including matters related to rental rates and housing policies.

6. TERM

From and after the effective date (to be determined) to and including when Project bonds are no longer outstanding, approximately 40 years, unless otherwise amended or sooner terminated.

7. EARLY TERMINATION

- a. Mutual agreement by the Parties in writing; or.
- b. Cessation of the Student Housing Facility being offered as and available for student housing for students attending UH Mānoa.

8. RESPONSIBILITY

Neither Party shall be responsible for the acts and omissions of the other Party, or the other Party's employees and agents in carrying out this Agreement.

9. ADVISORY COMMITTEE

- a. Entity will establish an advisory committee which will review and make recommendations to the governing board of Entity regarding the annual operating and capital improvements budgets for the Student Housing Facility, marketing, promotions and advertising plans, review of management reports by the property manager, and other operational issues, including without limitation rental rates and housing policies ("**Committee**").
- b. The Committee is advisory only, with final authority on the approval of budgets and operation of the Student Housing Facility vested in the governing board of Entity; provided however such governing board(s) shall take into account the Committee's recommendations in making its decisions.
- c. The Committee shall have five (5) members, all of whom will be selected and appointed by the governing board of Entity. Two (2) members shall be appointed from a list of candidates provided by UH, one (1) member appointed by the governing board of Entity, and two (2) members appointed from a list of candidates provided by the UH Foundation. All recommendations from the Committee shall be made by majority vote.

10. UNIVERSITY LIABILITY

University shall have no liability with respect to the Bonds or the other indebtedness of Entity, or for any expenses incurred in connection with the development, construction, management, maintenance repair, replacement or operation of the Student Housing Facility by Entity.

11. OPERATIONAL DETAILS

Parties shall mutually agree to operational details consistent with this Agreement.

Item VII.

Executive Session

**ITEM TO BE
DISCUSSED IN
EXECUTIVE
SESSION**

REPORT OF THE PERMITTED INTERACTION GROUP ON TENURE

September 10, 2021

The purpose of this final report is to share the resultant findings and recommendations of the Permitted Interaction Group on Tenure (“Task Group”) with the full Board of Regents (“Board”). Deliberation and decision making regarding the final report and dissolution of the Task Group will take place during a subsequent meeting, pursuant to permitted interactions under the Sunshine Law, Section 92-2.5(b), Hawai‘i Revised Statutes.

I. Background

- A. The Task Group was established by the Board as a permitted interaction group at its February 18, 2021, meeting to review and investigate the issue of tenure with a focus on reviewing the following areas: (1) the history and purpose of tenure; (2) the evolution of and current views and developments on tenure; and (3) the current criteria and decision making process for tenure.
- B. The Task Group included the following Regents:
 1. Ben Kudo, Board Chair
 2. Jan Sullivan, Budget and Finance Committee Chair and Task Group Chair
 3. Robert Westerman, Personnel Affairs and Board Governance Committee Chair
 4. Ernest Wilson, Academic and Student Affairs Committee Chair

[Note: The titles listed reflect the positions held during the time the Task Group was established. Regent Sullivan’s term on the Board ended on June 30, 2021, but she remained on the Task Group and continued to serve as its Chair until the activities of the Task Group concluded.]

The Task Group also included the following members:

- Christian Fern, Executive Director of the University of Hawai‘i Professional Assembly (UHPA), faculty union representative
- Bonnie Irwin, UH Hilo Chancellor, who represented a 4-year campus
- Velma Kameoka, UH Mānoa, Interim Vice Chancellor for Research, research representative
- Erika Lacro, Vice President for Community Colleges, community college representative
- Brennon Morioka, Dean of the UH Mānoa College of Engineering, college dean representative

President David Lassner participated in several of the Task Group meetings and Debora Halbert, Associate Vice President for Academic Programs and Policy, served as Administration Liaison.

Kendra Oishi, Executive Administrator and Secretary of the Board of Regents, provided support to the Task Group.

- C. Meetings were held on March 10, 2021; April 7, 2021; April 23, 2021; April 28, 2021; May 12, 2021; May 25, 2021 (non-Regent members); June 2, 2021; June 24, 2021; July 22, 2021; August 12, 2021; and September 3, 2021.
- D. After initial meetings, the Task Group agreed to focus its efforts on three areas:
 - 1. The University's current tenure classification system;
 - 2. Practices on periodic review; and
 - 3. The alignment of tenure with the mission and priorities of the University.
- E. During the course of its discussions, the Task Group was also made aware of Senate Resolution No. 166, S.D. 1 (2021), which requested the establishment of a task group to examine and assess UH's tenure system and the compensation structure of faculty engaged in activities supported by extramural funding and grants. While the Task Group was created independently of the Senate's request, the Task Group addressed issues relevant to S.R. No. 166.
- F. As a natural outgrowth of the Task Group's discussions, the Task Group posed the following questions:

How might we...

 - 1. Improve, modernize, and simplify the tenure classification system?
 - 2. Improve the periodic review process?
 - 3. Ensure that tenure is awarded to positions that will fulfill enrollment requirements and strategic growth priorities?
- G. The Task Group took it upon itself as part of its work to propose for the Board's consideration amendments to existing policies to respond to these questions.

II. Recommendations

The Task Group recommends:

- A. Adoption of the attached Board Resolution: *Supporting the Findings and Recommendations of the Tenure Task Group and Requesting the*

University of Hawai'i Administration to Facilitate the Implementation of Board Policy Revisions Through Faculty and Union Consultation, along with recommended revisions to:

1. RP 9.201 to establish policy direction in conducting promotion and tenure to ensure that University priorities and mission are met.
2. RP 9.202 to simplify and reduce the number of faculty classifications.
3. RP 9.213 to clarify responsibilities and provide policy guidelines regarding the periodic review process.

B. The dissolution of the Task Group.

III. Conclusion

The Task Group has concluded its task as identified when the Board approved its creation during its February 18, 2021, meeting. Its specific recommendations and call to action are included in the attached Resolution.

Members of the Task Group are supportive of the recommendations as presented, with the exception of Christian Fern, Executive Director of UHPA, who has submitted a letter of dissenting opinion in Attachment E.

ATTACHMENTS

Attachment A – Proposed revisions to RP 9.201

Attachment B – Proposed revisions to RP 9.202

Attachment C – Proposed revisions to RP 9.213

Attachment D - Draft Board Resolution 21-06, Supporting the Findings and Recommendations of the Tenure Task Group and Requesting the University of Hawai'i Administration to Facilitate the Implementation of Board Policy Revisions Through Faculty and Union Consultation

Attachment E – Letter of Dissenting Opinion



Regents Policy Chapter 9, Personnel

Regents Policy RP 9.201, Personnel Status

Effective Date: ~~June 1, 2017~~xx xx, 2021

Prior Dates Amended: Oct. 11, 1962; Sept. 10, 1964; Sept. 20, 1964; Sept. 16, 1965; Jan. 13, 1966; May 22, 1969; Feb. 14, 1975; Dec. 9, 1976; May 12, 1977; Nov. 17, 1977; Dec. 9, 1977; June 22, 1978; Oct. 20, 1978; Oct. 17, 1980; Nov. 15, 1985; Oct. 16, 1987; Oct. 21, 1988; Jan. 18, 1991; Oct. 18, 2002; Oct. 17, 2003; Apr. 20, 2006; Oct. 31, 2014 (recodified); June 1, 2017

Review Date: August ~~2020~~ 2024

I. Purpose

To set forth policy regarding appointments, faculty promotion and tenure, and other personnel status policy.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. Appointments

1. General

- a. All university employees ultimately serve under the jurisdiction of the board and shall be appointed by the board upon recommendation of the president, unless specifically delegated. Such employees shall be assigned the rank, title, and salary appropriate to the duties and responsibilities of such position as defined in the classification system adopted by the board. No employee shall be afforded a contract aside from the normal employment documents executed for all university employees.
- b. Use of titles. Titles of positions are determined by the board and no title may be used unless specifically authorized. Members of the faculty in divisions other than instructional, when engaged in teaching, shall bear the instructional title appropriate to their grade.

2. Executive and Managerial (E/M) Appointments. Appointments to executive and managerial positions shall be made in accordance with the following guidelines:
- a. The president has the responsibility where board approval is necessary, to recommend personnel appointments to the board for action.
 - b. The president, in developing and making recommendations for board action, shall ensure that the guidelines outlined below are followed:
 - (1) Applicability. These guidelines shall be followed in making all recommendations for appointment to executive and managerial positions.
 - (2) Recruitment of Candidates. Equal Employment Opportunity Commission (EEOC) – Open Hiring requirements shall be followed in all cases. These requirements should not preclude active recruitment of highly qualified candidates including women and minorities for consideration.
 - (3) In considering applications and nominations, the advice of knowledgeable and interested persons and groups may be sought as appropriate, either on specific candidates identified as qualified by the president or other responsible administrator, or on all candidates. During any phase of the selection process, all candidates shall receive the same treatment.
 - (4) In addition, where appropriate, an advisory committee may be established to advise the president, or other responsible administrator. Where an advisory committee is established, directions shall be provided to the committee by the president or other responsible administrator as to:
 - (a) The scope of the committee's tasks.
 - (b) The criteria to be followed if candidates are to be evaluated.
 - (c) Appropriate time limits.
 - (d) The form of any recommendations to be made. (Example: each candidate shall be classified as "qualified" or "not qualified" without any indication of ranking.)

- (5) Where chancellors, provost, or other senior administrative appointments are involved, the president shall periodically inform the board of the status of the selection process. On other appointments, the official conducting the search shall periodically report on its progress to the president.
 - (6) All recommendations for appointments under these guidelines shall be made to the board by the president.
3. Appointments to Department Chairs, Special Program Directors and Chairs of Academic Subdivisions, Graduate Assistants, Lecturers, and Cooperating Teachers/Counselors and Observation/Participation Teachers
- a. The president shall have the authority to make appointments.
 - b. Compensation shall be in accordance with provisions reflected in the most current collective bargaining agreement negotiated between the university and the exclusive collective bargaining representative. In the event that the faculty member is not subject to collective bargaining, the president shall have the authority to establish compensation guides.
 - c. Where there are applicable collective bargaining provisions, or in the event that there are conflicts between policies and the collective bargaining agreement, the provisions of the collective bargaining agreement shall prevail.
 - d. The president shall promulgate policies in consultation with university executives setting forth the duties, responsibilities, qualifications, guidelines and timelines for selection, conditions for appointment, compensation, and other administrative requirements.

B. Faculty Promotion and Tenure

- 1. The president shall grant promotion and/or tenure to members of the faculty.
- 2. Before recruitment for tenure-track positions occurs, and before award of tenure, the administration shall ensure that: (1) the position fulfills current enrollment requirements and strategic growth priorities for the university and the State; (2) there are no qualified faculty in other units that are available and that could meet the needs of the hiring unit; (3) the balance of tenure-track and other faculty is appropriate given enrollment, mission, and accreditation standards; and (4) the unit is successful and relevant in contributing to the institutional mission and goals.

3. The administration shall ensure that tenure criteria are clear and that they prioritize the necessity for faculty to be adaptable in meeting the changing needs of students and the university, including changes in the delivery of higher education that may occur over time.

~~2.4. The president may grant tenure upon initial appointment upon recommendation of the president.~~ The board delegates to the president the granting of tenure upon initial appointment to members of the faculty who have previously held tenure at a comparable institution. For delegated appointments, the board also delegates to the president the granting of tenure upon appointment to executive/managerial personnel who have previously held tenure at a comparable institution. Guidelines are established in executive policy.

~~3.5.~~ The board delegates to the president the authority to act on behalf of the university on faculty promotion and tenure applications where the recommendation is negative.

~~4.6.~~ The president may waive the probationary period for new faculty members in accordance with established guidelines and applicable collective bargaining provisions.

~~5. At the time tenure is granted, a faculty fall back salary, faculty classification, and duty period shall be established for all executive/managerial personnel.~~

C. Civil Service Personnel

1. The president is designated to act for the board in making appointments to such positions or changes in the status of employees and to exercise its power as appointing authority in connection with such positions and employees.

D. Resignations and Terminations

1. The president shall have authority to accept and approve voluntary terminations from university service for reasons of resignation or retirement.

E. Faculty Exchanges

1. The board supports exchanges of university faculty with other institutions as a means of furthering the academic and intellectual growth and vitality of our faculty and university. The president shall promulgate policies that include guidelines to implement faculty exchanges.

IV. Delegation of Authority:

The president has the responsibility where board approval is necessary, to recommend personnel appointments to the board for action, authority to act on behalf of the university regarding faculty promotion, act for the board in making appointments or status changes regarding civil service, personnel, and authority to accept and approve voluntary terminations. See RP 9.201(A)(2)(a); (A)3(a); (B)(24) and (35); (C)(1); and (D)(1).

V. Contact Information:

Office of the Vice President for Administration, 956-88626405, jgouveia.vpadmin@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

~~Cynthia Quinn-Kendra Oishi~~
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 9, Personnel

Regents Policy RP 9.202, Classification Plans and Compensation

Schedules Effective Date: ~~June 7, 2018~~ xx xx, 2021

Prior Dates Amended: Jan. 16, 1958; Dec. 2, 1959; Oct. 11, 1962; Sept. 10, 1964; Apr. 16, 1969; Aug. 19, 1969; Mar. 14, 1974; Dec. 12, 1974; Dec. 11, 1975; July 15, 1976; Dec. 9, 1976; Jan. 16, 1977; June 16, 1977; July 14, 1977; Apr. 20, 1978; Oct. 20, 1978; Dec. 7, 1979; Jan. 10, 1980; Oct. 17, 1980; Dec. 5, 1980; Feb. 5, 1981; June 18, 1981; Oct. 16, 1981; Feb. 19, 1982; Oct. 22, 1982; Sept. 30, 1983; Nov. 18, 1983; Mar. 16, 1984; July 27, 1984; June 21, 1985; Sept. 20, 1985; June 20, 1986; July 25, 1986; July 24, 1987; Sept. 18, 1987; Oct. 16, 1987; Nov. 20, 1987; May 20, 1988; Oct. 21, 1988; Nov. 18, 1988; Feb. 17, 1989; Sept. 15, 1989; Nov. 17, 1989; Feb. 16, 1990; Apr. 20, 1990; Oct. 19, 1990; Nov. 16, 1990; June 21, 1991; Sept. 20, 1991; Feb. 21, 1992; June 19, 1992; July 17, 1992; Mar. 19, 1993; Sept. 17, 1993; Oct. 21, 1994; Aug. 23, 1996; June 20, 1997; Nov. 14, 1997; Jan. 16, 1998; Apr. 17, 1998; Jan. 21, 2000; Feb. 18, 2000; Apr. 20, 2000; June 16, 2000; Aug. 11, 2000; Aug. 15, 2000; Apr. 20, 2001; Jan. 18, 2002; Mar. 15, 2002; June 2002; Oct. 18, 2002; Mar. 14, 2003; Apr. 17, 2003; May 16, 2003; Nov. 21, 2003; May 18, 2006; Aug. 2006; Mar. 15, 2007; July 26, 2007; Sept. 2007; Nov. 15, 2007; Feb. 15, 2008; May 29, 2008; Sept. 2008; Nov. 21, 2008; Oct. 31, 2014 (recodified); June 1, 2017; June 7, 2018

Review Date: August ~~2020~~ 2024

I. **Purpose:**

To set forth policy on classification plans and compensation schedules.

II. **Definitions:**

No policy specific or unique definitions apply.

III. **Policy:**

- A. Except for civil service positions, the board shall classify all positions in the university and establish compensation schedules as appropriate.
- B. The president, with the exception of select undelegated executive and managerial personnel, is authorized, consistent with existing statutes and board policies, to grant special salary adjustments in situations where funds are available and the adjustments are warranted on the basis of retention, market, equity, and/or merit.
- C. Executive and managerial positions are classified and compensated in accordance with the executive and managerial personnel policies in RP 9.212.

-
- D. Compensation shall be in accordance with provisions reflected in the most current collective bargaining agreement negotiated between the university and the exclusive collective bargaining representative. In the event that the faculty member is not subject to collective bargaining, the president shall have the authority to establish compensation guides.
- E. The classifications of faculty positions in the university shall be as provided below.
1. Section 304A-1002, Hawai'i Revised Statutes, provides that "The board of regents shall classify all members of the faculty of the university including research workers, extension agents, and all personnel engaged in instructional work...."
 2. The faculty classification system for all campuses at the university shall be as follows:
 - a. Tenured and Tenure Track Faculty: Tenured and Tenure Track Faculty shall be engaged in direct instruction consisting of active engagement with students in the classroom or applied venues, and/or oversight and supervision of internships, clinical work, applied learning, theses, and dissertations. Tenured and Tenure Track Faculty shall be classified as "F". This classification shall include those previously classified as "R", "I", "M", and "J". In addition to direct instruction:
 - (1) F faculty shall engage in research and scholarship that advances innovation, creates new knowledge and knowledge practices, and benefits students as well as the broader community.
 - (2) F faculty shall also engage in service inside the university and in the community.
 - b. Librarians: Librarians shall provide students and faculty with modern, timely access to information by selecting relevant resources for acquisition, digitizing collections, and organizing and storing information. Librarians shall assist faculty and students in their scholarly pursuits and conduct research in areas that contribute to the advancement of knowledge in relevant fields. Librarians are eligible for tenure and shall be classified as "L" faculty, which shall include those previously classified as "B".
 - c. Support Faculty and Extension Agents: Support Faculty and Extension Agents are faculty that are not primarily engaged in direct instruction, but are engaged in academic support including student,

research, and academic program support, or are engaged in agricultural extension activities. Support Faculty and Extension Agents shall be classified as "FSE". FSE faculty shall not be eligible for tenure but may be eligible for employment security characteristic of other public employees. This classification shall include those previously classified as "S" and "A".

d. Renewable Term Faculty: Renewable term faculty are faculty that are retained through non-permanent, non-tenure track appointments. Renewable Term Faculty shall be classified as "FR".

e. Non-Compensated Faculty: Non-Compensated Faculty are non-compensated, non-tenure track faculty that may teach and/or perform research. Non-Compensated Faculty shall be classified as "FNC."

2.3. The president is delegated the authority to establish a detailed faculty classification plan, administer the plan, and make amendments to the plan, provided that the plan complies with relevant board policies. Any changes to classification categories any new faculty categories or permissible campus faculty groups shall be subject to prior approval of the board. shall apply prospectively, provided that existing faculty shall have the option of applying to be classified in new categories.

a. The plan ~~may~~shall include, at minimum, the following faculty categories: Tenured and Tenure Track Faculty (F), Librarians (L), Support Faculty and Extension Agents (FSE), Renewable Term Faculty (FR), and Non-Compensated Faculty (FNC).~~Instruction ('I' for all faculty excluding law and clinical medicine faculty; J for law; M for clinical medicine; and C for community colleges); Researcher (R), Specialist (S), Librarian (B), Extension Agent (A), Graduate Teaching Assistant, Lecturer, Visiting and Other Faculty and Non-compensated Faculty.~~

b. At a minimum, the faculty classification plan shall include general statements of duties and responsibilities and minimum qualification requirements.

(1) When the situation warrants, and especially in those fields where advanced degrees are not commonly held by faculty members, other evidences of scholarly, artistic, or professional attainment may be accepted in lieu of advanced degrees. In general, "equivalents" will be used sparingly and only when there is clear evidence that the substituted items of training and experience are in fact equivalent in qualifying the faculty member for the individual's duties and responsibilities.-

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- ~~3. Titles of positions are determined by the board, and no faculty member may use any title not specifically authorized. Members of the faculty in divisions other than instruction, when engaged in teaching, shall bear the instructional title appropriate to their grade.~~
- ~~4. University of Hawai'i at Mānoa classification schedules.~~
- ~~a. The board faculty classification system includes seven general categories for the University of Hawai'i at Mānoa with grades within each category.~~
- ~~(1) Instruction ('I' for all faculty excluding law and clinical medicine faculty; J for law; M for clinical medicine), includes graduate teaching assistants, instructors, assistant professors, associate professors, and professors.~~
- ~~(2) Researcher (R), includes junior researchers, assistant researchers, associate researchers, and researchers. When applicable, the R series title substitutes the special area for the word "researcher," for example, "assistant agronomist," "associate meteorologist," or "plant pathologist."~~
- ~~(3) Specialist (S), includes junior specialists, assistant specialists, associate specialists, and specialists. The S series is used for specialties not primarily involved with research, for example, "associate specialist in student personnel."~~
- ~~(4) Librarian (B), includes ranks II to V.~~
- ~~(5) Extension agent (A), includes junior extension agents, assistant extension agents, associate extension agents, and county extension agents.~~
- ~~(6) Graduate teaching assistant.~~
- ~~(7) Lecturer includes persons employed for short term teaching assignments, usually on a part-time basis.~~
- ~~b. Clinical titles for non-compensated faculty appointments in Health Science and Social Welfare.~~
- ~~(1) The titles clinical professor, associate clinical professor, assistant clinical professor, clinical instructor and clinical teaching assistant are non-compensated appointments in the Colleges of Health Sciences and Social Welfare (medicine, nursing and dental hygiene, and social work) for practitioners with professional qualifications in the health and welfare sciences who take an active role~~

~~in formal teaching, tutorials, clinical instruction, hospital practice, or field guidance of students.~~

~~5. University of Hawai'i at Hilo and University of Hawai'i, West O'ahu~~

~~a. The faculty classification system includes three categories for the University of Hawai'i at Hilo and the University of Hawai'i, West O'ahu, with grades within each category:~~

- ~~(1) Instruction ('I' for all faculty), includes graduates teaching assistants, instructors, assistant professors, associate professors, and professors.~~
- ~~(2) Lecturers include persons employed for short-term teaching assignments, usually on a part-time basis.~~
- ~~(3) Affiliate faculty is a non-compensated appointment usually to professional personnel with a particular interest or capability which may contribute to the teaching or research program of the campus; except for occasional lectures or consulting with individual students, affiliate faculty do no teaching. Authority to appoint affiliate faculty is delegated to the president who shall promulgate policies and procedures relating to the selection and appointment of affiliated faculty.~~

~~6. Community College and Maui College classification schedule~~

~~a. The faculty classification system includes one category for the community colleges and Maui College with grades within each category:~~

- ~~(1) Instruction includes lecturers, instructors, assistant professors, associate professors, and professors.~~

~~b. The plan may include statements of classification principles for use in the classification of community college faculty.~~

F. High Demand Disciplines.

1. The president is delegated the authority to establish high demand academic disciplines for which recruitment and/or retention of faculty of quality desired by the university exceed the maximum of the appropriate salary schedule.
2. The president is authorized to recruit faculty in the recognized high demand disciplines at salaries that exceed the maximum of the appropriate salary schedule.

G. Graduate Assistants.

1. The president shall have the authority to establish, amend, and administer a classification and compensation plan for graduate assistants.

H. Administrative, Professional, and Technical (APT) Positions.

1. APT classification and pay system.
 - a. For all APT positions, including athletic coaches and related administrators, the board delegates to the president the authority to:
 - (1) Adopt, revise, and abolish career group standards and bands.
 - (2) Assign positions to career groups and bands.
 - (3) Determine designated new hire rates for career groups and bands.
 - (4) Promulgate policies and procedures relating to the classification, compensation, and appointment terms of coaches and related administrators, including a salary schedule, in accordance with this policy.
 - b. The APT Appeals Board shall adjudicate appeals filed on the banding of individual positions. The Appeals Board shall support its decisions by findings based on fact.

The APT Appeals Board shall consist of three members serving staggered terms of three years. One member shall be recommended by the university and one by the exclusive representative of APT employees, in accordance with Chapter 89, Hawai'i Revised Statutes. The third member shall be recommended by the university and exclusive representative. The appointment of all three members shall be referred by the president to the board for approval. If there is no agreement as to the third member, the board shall appoint such member.

Members of the APT Appeals Board shall be familiar with state organization and personnel functions and preferably have knowledge of university organizations and functions and position classifications. Such members may be excluded personnel or members of other governmental or private firms. However, they shall not be employees or officers of the university or of any state bargaining unit or employee organization which represents state bargaining unit members unless mutually agreed to by the parties concerned.

The members of the APT Appeals Board shall select a chairperson.

(Note: For amended listing of the APT career groups and pay bands, refer to Administrative Procedure 9.210 of the University of Hawai'i Systemwide Administrative Procedures Manual.)

2. Athletic Coaches and Related Administrators

a. Definitions

Original Term: The term of the initial contract at the time the contract is entered into. Where there is an Original Term with no extension, the Original Term shall be the Existing Term.

Existing Term: The remaining time period for any contract term at any point in time.

Amended Term: The time period that is established as a result of a contract extension that combines (1) that portion of an Original or Existing Term that remains to be completed; and (2) the term of the extension beyond that Original or Existing Term. Any years that have already been completed shall not be included for purposes of calculating the Amended Term.

b. Approval

i. Board of Regents

Upon recommendation of the chancellor and the president, the approval of the chair or vice chair of the Board of Regents and the chair or vice chair of the Committee on Intercollegiate Athletics shall be required for:

- (1) Original Terms of head coaches of more than 5 years;
- (2) Amended Terms of head coaches of more than 5 years; or
- (3) Appointments, extensions and salary adjustments for head coaches, non-head coaches, and administrators exceeding the salary schedule by more than twenty-five percent (25%) and/or exceeding \$500,000 annually.

ii. Delegation to the president

- I. The authority to approve all other appointments and compensation of head coaches, non-head coaches, and administrators is delegated to the president, which may be further delegated. Civil service employees in positions in the university subject to Chapter 76, Hawai'i Revised Statutes, shall be

appointed, compensated, and otherwise governed by the provisions of law applicable to such positions.

~~J. Special Compensation—University of Hawai'i at Mānoa and University of Hawai'i at Hilo faculty.~~

- ~~1. Visiting summer session faculty. Visiting summer session faculty members receive a travel differential in addition to salary. The differential is incorporated in the salary of such faculty members and is as follows:~~

~~Pacific Coast—\$300.00
Midwest—\$400.00
East Coast—\$500.00
Asia or Europe—\$700.00~~

- ~~2. "Occasional" lecturers. "Occasional" lecturers in summer session courses approved with provision for guest lecturers are paid honoraria based on a rate of \$25.00 per hour. Such lecturers are paid by voucher on a requisition signed by the instructor in charge of the course and the dean of the summer session.~~

- ~~3. A faculty member who prepares and grades a comprehensive examination for students who wish to obtain credit for a course by taking such an examination is paid a stipend of \$5.00 per credit hour plus \$5.00 for each additional student.~~

IV. Delegation of Authority:

The president, with the exception of select undelegated executive and managerial personnel, is authorized, consistent with existing statutes and board policies, to grant special salary adjustments; establish compensation guidelines; establish, plan, administer, and amend faculty and graduate assistant classifications; establish high demand academic disciplines; and recruit. See RP 9.202(B),(D),(E)~~(2)~~, (F), ~~and~~ (G), (H), and (I).

V. Contact Information:

Office of the Vice President for Administration, 956-6405, vpadmin@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>

Approved as to Form:

Kendra T. Oishi
Executive Administrator and
Secretary of the Board of Regents

Date



Regents Policy Chapter 9, Personnel

Regents Policy RP 9.213, Evaluation of Board of Regents' Appointees

Effective Date: ~~Oct. 16, 1981~~xx xx, 2021

Prior Dates Amended: Oct. 31, 2014 (recodified); Oct. 16, 1981

Review Date: August ~~2019~~2024

I. Purpose

To set forth policy on evaluations of Board of Regents' appointees.

II. Definitions:

No policy specific or unique definitions apply.

III. Policy:

A. Board appointees will be evaluated periodically in accordance with the guidelines below and the specific procedures developed by the appropriate administrative offices to implement this policy. These performance evaluations shall be conducted in order:

1. To provide assurance to the university and its constituents that professional staff resources and particular areas of expertise are being used to the best advantage;
2. To provide for the systematic recognition of excellence and develop incentives for superior performance; and
3. To provide means for the improvement of performance in furtherance of the university's mission.

B. Tenured faculty shall participate in a periodic review at least once every five years. In recognition of the special role of the faculty in the academic mission of the university, procedures for periodic review of faculty performance must provide safeguards for academic freedom and shall provide the opportunity for participation of faculty peers in the review process. ~~Accordingly, each chancellor, in consultation with appropriate faculty governance organizations, shall develop procedures for such review which incorporate these principles. The procedure shall include a requirement for evaluation of every faculty member at least once~~

~~every five years, and may provide for exempting faculty who have undergone a review for reappointment, tenure, or promotion, or who have received a merit salary increase during this period. Faculty review procedures shall be submitted by the chancellor for approval by the president.~~

C. It is the responsibility of Deans, Chancellors, Provost, Vice Chancellors/Vice Provosts, and/or other appropriate academic administrators to additionally ensure that all periodic reviews are conducted in a manner that will minimize conflicts of interest within units, and ensure balanced, diverse, and relevant input including that of faculty peers.

Guidelines and procedures for periodic review, including the use of performance improvement plans as necessary, shall be developed by each major academic unit (UH Mānoa, UH Hilo, UH West O‘ahu, Community Colleges) and submitted for approval by the president. An annual report on the outcomes of such reviews shall be provided to the board.

C.D. Administrative, professional, and technical (APT) employees and non-tenurable academic personnel shall be evaluated at least once every three years according to procedures approved by the president.

D.E. Employees in the executive and managerial classifications shall be evaluated annually as specified in RP 9.212.

~~E. For any campus which does not have an approved faculty review procedure development in accordance with paragraph b. above for implementation in academic year 1981-82, faculty shall be evaluated according to procedures approved by the president. These procedures shall remain in effect until procedures developed in accordance with section b. are approved and implemented.~~

IV. Delegation of Authority:

There is no policy specific delegation of authority.

V. Contact Information:

Office of the Vice President for Administration, 956-6405,
jgouveiavpadmin@hawaii.edu

VI. References:

- <http://www.hawaii.edu/offices/bor/>
- EP 9.202

Approved as to Form:

~~Cynthia Quinn~~Kendra Oishi
Executive Administrator and
Secretary of the Board of Regents

Date

University of Hawai‘i Board of Regents
R E S O L U T I O N

21-06

**Supporting the Findings and Recommendations of the
Tenure Task Group and Requesting the University of
Hawai‘i Administration to Facilitate the Implementation of
Board Policy Revisions Thorough Faculty and Union
Consultation**

WHEREAS, the primary mission of the University of Hawai‘i is to provide environments in which faculty, staff, and students can discover, examine critically, preserve and transmit the knowledge, wisdom, and values that will help ensure the survival of present and future generations with improvement in the quality of life; and

WHEREAS, the faculty of the University are of paramount importance as they play a critical role in the quality and effectiveness of meeting that mission; and

WHEREAS, since the onset of the COVID-19 pandemic, the University of Hawaii Board of Regents (“Board”) and administration have held numerous discussions on the topic of mission and vision, specifically with a goal of directing limited resources toward areas that have been identified as priorities for the University and the State; and

WHEREAS, the Board decided during its February 18, 2021, meeting to create a permitted interaction group (“Task Group”) to review and investigate the issue of tenure with a focus on the following areas: (1) the history and purpose of tenure; (2) the evolution of and current views and developments on tenure; and (3) the current criteria and decision-making process for tenure; and

WHEREAS, the Task Group was presented with information on the origins and purpose of tenure and found that a seminal point in the development of tenure appeared around 1915 when the American Association of University Professors published its report which set forth the principles of academic freedom and solidified the institutionalization of tenure with respect to faculty that were employed in institutions of higher education, for the primary purpose of protecting academic freedom; and

WHEREAS, other scholarly articles clarify that the modern concept of tenure is not an assurance of lifetime employment, but rather an assurance of academic due process and protection against arbitrary retribution; and

WHEREAS, the Task Group also acknowledges the fact that in recent years, the University has been steadily reducing the number of tenured faculty, and that tenure is still critical to attract, retain, and support University faculty; and

WHEREAS, the Task Group met and further agreed to review three specific aspects of tenure: (1) the University’s faculty tenure classification system, (2) practices on periodic review

University of Hawai'i Board of Regents
R E S O L U T I O N

as they relate to current views and developments on tenure, and (3) the alignment of tenure with the mission and priorities of the University; and

WHEREAS, the Task Group reviewed applicable Regent Policies ("RP"), and found some policies to be dated, overly complex, and inconsistent with modern practices at comparable universities, and are proposing revisions to those RPs; and

WHEREAS, the Board hereby concurs with the findings and recommendations of the Task Group.

NOW, THEREFORE, BE IT RESOLVED that the Board:

1. Supports the adoption of the proposed revisions to RP 9.201 (Attachment A) to establish policy direction in conducting promotion and tenure to ensure that university priorities and mission are met.
2. Supports the adoption of the proposed revisions to RP 9.202 (Attachment B) regarding classification plans. Where the current policy has at least eight types of tenure classification schemes, the proposed revised policy would simplify and reduce the number of classifications: Tenured and Tenure Track Faculty, Librarians, Support Faculty and Extension Agents, Renewable Term Faculty, and Non-Compensated Faculty.
3. Supports the adoption of the proposed revisions to RP 9.213 (Attachment C) regarding periodic review to clarify responsibilities and to provide policy guidelines to ensure that fair and balanced reviews occur.
4. Directs the President and Administration of the University to facilitate the implementation of these proposed revisions to Regent Policies as set forth above, through consultation with faculty and unions, and to conform Board policies as well as Executive Policies to the recommendations in this Resolution. The Board Secretary and the President may make non-substantive amendments to the proposed revisions, as needed, following consultation with faculty and unions, as long as such amendments do not change the meaning or intent of the policy revisions. Administration is requested to periodically notify the Board of the progress of consultation, and on the results of consultation, including any recommended revisions, by March 31, 2022, for subsequent consideration by the Board's committees on Academic and Student Affairs and Personnel Affairs and Board Governance, or other referral as determined by the Board Chair.

BE IT FURTHER RESOLVED that a copy of this Resolution be transmitted to the Executive Director of the University of Hawai'i Professional Assembly.

University of Hawai‘i Board of Regents
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ATTACHMENTS

Adopted by the Board of Regents
University of Hawai‘i
_____, 2021

Letter of Dissenting Opinion

Aloha Chair Moore and Board of Regents:

On February 18, 2021, the University of Hawai'i Board of Regents established and gave the Tenure Permitted Interaction Group ("Task Group") an important assignment; to fully explore tenure, including its history and purpose, how tenure has evolved, current views of tenure from those outside the University of Hawai'i system, and a review of current processes, criteria and decision-making on tenure.

In the last legislative session, certain legislators began to audaciously step outside the scope of their responsibilities and attempted to micromanage the University of Hawai'i's operations. It was appalling to witness a legislator publicly admit that the positions of specific tenured faculty members were being targeted for termination. This is some important context because tenure has been a topic of debate and discussion for more than half a century, and was one important reason the faculty sought fair representation with the University of Hawaii Professional Assembly in 1974.

The formation of this Task Group was based in part on the bold, even vindictive, attacks on individual faculty members and the autonomy of the University of Hawai'i. The intent was to address tenure in an objective manner, not further the predilections of legislators and influence their capricious decision-making.

Rather than taking advantage of this unique opportunity, we find ourselves in a counterproductive situation. Many members of the Task Group came to the table with erroneous, preconceived notions about tenure, which unfortunately has impacted the objectivity of the group's overall focus and discussions. I felt it was my kuleana to represent the interests of the faculty, and my words and detailed explanations fell on deaf ears in my attempt to change the narrative to accurately reflect what tenure is and is not. Tenure is not merely job security, and does not accurately define the work of faculty members, but rather it describes the protection from interference in how faculty carry out the elements of their work, whether in classroom instruction, or in the right to publish thoughts, ideas, beliefs, political issues or research. This right dramatically differentiates faculty from any and all other state employees.

Our discussions have not revealed any valid or actionable problems with the University of Hawai'i's tenure processes that have merit to address, yet the Task Group is moving ahead in proposing sweeping changes that are very top-down in nature and clearly not necessary. There is no question that the proposed recommendations from the Task Group will cause undue anger and frustration among the faculty, who have endured constant attacks from external forces and will now be facing an internal enemy at a time when it is most important for us to move forward together.

Based on my observations and our discussions to date, we have strayed away from that original purpose and are not delivering on the requests and expectations of the Board of Regents. The

discussions and the decisions made by the Board of Regents based on the Task Group's recommendations will ultimately negatively impact faculty. The Board of Regents cannot take this decision, role, and responsibility lightly. I am writing this as my formal personal dissenting opinion as a member of the Task Group. For the record, I would like to make the following points about tenure that I hope the Regents will seriously consider before making any determination or decision:

- First, the Task Group erroneously approached tenure as an obstacle to quality teaching and research at the University of Hawai'i by viewing tenure purely and solely as a tool of absolute job security or lifetime employment. As a result, the underlying tone and tenor of the discussions were antagonistic instead of exploratory. It was evident that there was a predetermined agenda and intent on dismantling the UH's tenure system. I truly believe this was not the intent and purpose of the Board of Regents. These Task Group members, including some UH administrators, mistakenly believe the University of Hawai'i administration has virtually no ability or avenue to intervene and/or address substandard performance. The prevalent perception is that tenure is an iron-clad protection from terminating faculty members who do not meet performance expectations and requirements of the position. Unfortunately, these skewed notions are impacting the entire group-think process.
- I appreciate the Task Group's invitation to Deb Halbert, who offered meaningful background information on the history and purpose of tenure to bring all members up to speed and establish a common baseline of our understanding of tenure. However, our discussions are not being built on that foundation and there is a divergence of opinions that is holding us back from making thoughtful decisions and recommendations to the Board of Regents.
- Tenure was established at institutions of higher learning to safeguard a faculty member's right to academic freedom. This is essential to those who teach and conduct research, especially at R1 universities such as the University of Hawai'i at Mānoa. Tenure provides the essential conditions and optimal environment for faculty to educate students, to pursue research and innovation, and to draw upon evidence-based conclusions that are free from undue political interference or corporate pressure.
- Granting tenure to a University of Hawai'i faculty member is at least a five-year process that involves a great deal of rigor and peer review. By contrast, civil service positions in the State enjoy security after a six-month probationary period. Other UH positions (Unit 8 APT employees) have "employment security" after three years in a permanent position.
- All other peer institutions of higher education that grant tenure can terminate a faculty member for cause, substandard performance, or for extraordinary circumstances like fiscal exigency or program discontinuation. The University of Hawai'i is no different. These managerial rights to terminate faculty under those conditions are clearly outlined and defined in the UHPA/BOR Unit 7 Agreement. Faculty understand the granting of

tenure places a far greater burden and responsibility on them to maintain high standards of research and instruction, both inside and outside of the classroom, and know they are setting an example for junior faculty who are striving to obtain tenure.

The key for any successful university is to have its Regents create and enact policies that will better assist the university's administration in cultivating and fostering a learning environment where faculty can thrive, innovate, create, and transfer knowledge and information for students and to benefit the broader community. Regents must operate at a high level, focusing on policies to facilitate a positive environment for higher learning, and then step out of the way to let the faculty do what they do best as experts in their fields.

Cultivating respectful conversations among the University of Hawai'i administration, University of Hawaii Professional Assembly and faculty have proven to be the best approach to advancing the mission of the University of Hawai'i. Students must remain the focus. Over the past year and a half, faculty have shown they are adaptable, flexible, and collaborative and this has been key to the University of Hawai'i delivering more 17,000 diplomas, degrees, and certificates to students in the midst of this pandemic.

We can, and must do better, for students, faculty and the University of Hawai'i system.

Mahalo,

Christian Fern
Executive Director
University of Hawaii Professional Assembly