

**STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
OFFICE OF THE VICE PRESIDENT FOR ADMINISTRATION
AND CHIEF FINANCIAL OFFICER
FUNCTIONAL STATEMENT**

MAJOR FUNCTIONS

Provides executive leadership in planning, organizing, directing, evaluating, and coordinating all aspects of:

UH Systemwide administrative and support functions including accounting, assets management, bond system operations, disbursing and payroll, treasury and cashiering, internal and external auditing, procurement, real property, risk management, human resources administration, long-range physical development, information technology, and budgeting programs.

**STATE OF HAWAI'I
OFFICE OF THE VICE PRESIDENT FOR ADMINISTRATION
AND CHIEF FINANCIAL OFFICER
OFFICE OF LONG-RANGE PHYSICAL DEVELOPMENT**

FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Provides system wide leadership, direction and control over the planning, development, implementation, and integration of long-range physical development plans along with the development of associated capital improvements program (CIP) funding requirements in accordance with the University's long-range strategic plans and priorities.

**STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
OFFICE OF THE VICE PRESIDENT FOR ADMINISTRATION
AND CHIEF FINANCIAL OFFICER
OFFICE OF INTERNAL AUDIT**

FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Plans, supervises, and coordinates the University's audit functions.

Provides advice and assistance to the Board of Regents, President, and Vice President for Administration and Chief Financial Officer on auditing, internal control, and related matters.

Plans, supervises, and coordinates teams of internal auditors in performing cyclical audits of selected University examinees.

Develops and administers comprehensive Systemwide internal auditing to report on the quality of examinee's system of internal control and quality of performance in carrying out assigned responsibilities, adequacy of safeguards of assets, detection of variations or deficiencies for early corrective action, and extent of compliance with internal and external audit recommendations accepted by the University.

Provides advice and assistance to various University offices in evaluating and reporting on the economy and efficiency in the use of resources, accomplishment of established objectives and goals for operations and programs, detection of unmet needs, as well as extent of compliance with policies, procedures, statutes, rules, and regulations.

Develops and administers comprehensive Systemwide procurement and coordination of external auditing and consulting services to report on the reliability of financial statements of examinees, quality of examinee's system of internal control and quality of performance in carrying out assigned responsibilities, and extent of reliability of the University's administrative system, organization, accounting, and reporting system.

Serves as the University's representative to external auditors and audit agencies.

STATE OF HAWAII
UNIVERSITY OF HAWAII
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Plans, directs, and controls Systemwide accounting, and loan collection activities.

Plans, directs, and controls Systemwide disbursing, and payroll activities.

Plans, directs, and controls Systemwide treasury and loan collection activities.

Plans, directs, and controls Systemwide fiscal services activities.

Plans, directs, and controls the University Bond System, Systemwide inventory management, Systemwide surplus property, and Systemwide tax management activities.

Plans, directs, and controls the procurement, real property, and risk management activities.

Plans, directs, and controls the fiscal officer's function for Financial Management operations.

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**STATE OF HAWAII
UNIVERSITY OF HAWAI'I
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
GENERAL ACCOUNTING AND LOAN COLLECTION OFFICE
FUNCTIONAL STATEMENT**

MAJOR FUNCTIONS

The responsibilities of the General Accounting and Loan Collection (GALC) Office include overseeing accounting of all University funds; preparing the University's financial statements; interfacing with the State Department of Accounting and General Services (DAGS); advising fiscal administrators on accounting matters; assisting internal and external auditors; preparing special reports for management, the State, and external users; distributing interest income of the pool and interest-bearing accounts; assisting in the recordkeeping, collection and write-off of accounts receivable; monitoring and accounting for the endowment funds; performing the collection related activities for short and long term notes and other receivable.

SPECIFIC FUNCTIONS

A. Current Unrestricted, Plant and Loans

1. Current Unrestricted and Plant Funds

a. Oversees accounting of all funds

- 1) Maintains the accounting classification structure. Monitors the establishment of accounts and related attributes such as fund groups, funds, appropriations, subcodes.
- 2) Reconciles bank account, subsidiary and general ledger reports, and DAGS balances.
- 3) Keeps abreast of changes in college and University accounting, governmental accounting, state and federal laws and University policies; and promulgates administrative procedures, modify programs and procedures as necessary.

b. Prepares financial statements

- 1) Insures the complete and accurate recordation of accounting data.
- 2) Prepares trial balances, adjusting entries and financial reports.
- 3) Assists in the required and discretionary audits of the University.
- 4) Submits draft financial report and schedules to the State by August 31.
- 5) Finalizes and publishes summary and detail financial report.

c. Records and reports accounting data with the State

- 1) Reimburses semi-monthly payroll costs to DAGS.
- 2) Reports quarterly funds held out of the State treasury.

- 3) Reports annually on the special, revolving and trust funds to the Legislature.
 - 4) Requests new appropriations and subcodes.
 - 5) Maintains State Accounting Manual.
 - 6) Coordinates State Journal Vouchers.
 - 7) Coordinates the payment of Act 34 assessment charges.
- d. Advises administrators on accounting matters such as:
- 1) Preparation of journal entries for the proper recordation of data, accruals and adjusting entries.
 - 2) Proper classification of accounting data.
 - 3) Preparation of trial balances.
- e. Assist auditors
- 1) Schedules audits
 - 2) Prepares trial balances and schedules.
 - 3) Briefs auditors on internal procedures and University transactions.
 - 4) Reviews adjusting entries.
 - 5) Publishes audited financial reports with footnotes.
- f. Prepares special reports as follows:
- 1) Management reports.
 - 2) Financial report to the Federal Department of Education.
 - 3) Endowment reports to the Board of Regents.
 - 4) Endowment survey to NACUBO.
 - 5) Integrated Postsecondary Education Data System (IPEDS) financial reports.
- g. Distributes interest income of the pool investments and interest bearing-checking account based on weekly average cash balances.

2. Student Loans

- a. In addition to the above duties, interfaces and reconciles data to a billing agency and collection agencies.
- b. Assists financial aid administrators in the monitoring of cash, recording of collection charges and reporting to state and federal agencies.

B. Current Restricted, Endowment, Agency, and Accounts Receivable

1. Endowment Fund

- a. Records transaction applicable to endowment activities.
- b. Distributes income in accordance with donors' wishes or Board policy.
- c. Reconciles records to investment custodians.

2. Agency Fund

Review transactions in the agency fund for proper recordation and classification of data such as deposits and investment transactions.

3. Accounts Receivable

- a. Assists in the forwarding of bad debts to collection agency, to DAGS for the tax set-off, or to the Attorney General for write-off.
- b. Reports quarterly to the Attorney General's Office on status of outstanding receivable.

C. Loan Collection

1. Collects on long-term note receivable and certain other receivables.
2. Activates out-of-school accounts with the billing agency.
3. Coordinates accounts with billing, collection, address search and credit bureau agencies.
4. Performs in-house collection: calls delinquent borrowers, writes repayment agreements, performs address search, assigns accounts to collection agency, assigns to federal government or requests write-off through the Attorney General's Office.

D. Governmental Accounting Standards

Keeps abreast of changes in college and university accounting, governmental accounting, state laws and federal regulations, University policies, and promulgates administrative procedures, modify programs and procedures as necessary.

1. Reviews, assess and modifies the accounting system to ensure compliance with Governmental Accounting Standards Board Pronouncements and Statements.
2. Reviews, assess and implements Governmental Accounting Standards.
 - a. Statement 33 Accounting and Financial Reporting for Non-exchange Transactions and as amended by Statement 36 Recipient Reporting for Certain Shared Non-exchange Revenues; and

- b. Statement 34 Basic Financial Statements and Managements' Discussion and Analysis for State and Local Governments and as amended by Statement 35 Basic Financial Statements and Management's discussion and Analysis for Public Colleges and Universities;
- c. Statement 37 Reporting Model Omnibus
- d. Statement 38 Notes Disclosure

STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
BURSAR
FUNCTIONAL STATEMENT

Treasury Office

1. Receives, pre-audits, and records all cash collection data of the University System and coordinates the quarter and year-end closing to ensure proper recording of cash receipts.
2. Reconciles cash collections with deposits appearing in daily UHGA bank statements and follows up on unrecorded deposits for all campuses. Also follows up on wire transfers and UHGA debit and credit memos.
3. Prepares State of Hawai'i Treasury Deposit Receipt forms for state collections and transmits funds to State via UHGA checks.
4. Deposits State of Hawai'i reimbursements to the University of Hawai'i into the UHGA to assure immediate interest earnings.
5. Writes receipts for funds credited to the UHGA from federal agencies.
6. Develops and disseminates internal policies/procedures on collections and deposits.
7. Responsible for the approval/disapproval of requests, which include establishment, decrease/close, permanent/temporary increases, change in custodian and or alternate custodian of change funds. Reviews and authorizes all action requests and reviews all incidents of change fund overages and shortages.
8. Administrates and controls all temporary cash advances for registration purposes.
9. Manages the short-term investment program of the University of Hawai'i by monitoring current market conditions and the University's cash flow and invests funds determined to be in excess of current obligations. Monitors collateral assigned to the University by financial institutions for the security of UH funds.
10. Works closely with fiscal officers and the State of Hawai'i Treasury in the investment of Special Funds of the University.
11. Responsible for the charge card program of the University of Hawai'i. Includes the review and change, if necessary, of technical specifications of new contract for charge card and lockbox transactions.
12. Inputs UHGA returned checks into the University system.
13. Records on line departmental deposits to FMIS.

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**STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
DISBURSING AND PAYROLL OFFICE
FUNCTIONAL STATEMENT**

MAJOR FUNCTIONS

The Disbursing and Payroll Office is a University systemwide operation that is responsible for the final review/pre-audit of all contracts, claims and payroll payments and the execution of all required payment processing activities and other accounts payable activities (e.g. vendor maintenance, UHGA check production, check distribution, 1099MISC/1042S withholding/reporting, etc.

PAYROLL SECTION

The Payroll Section processes employee payroll payments:

- Coordinates and is responsible for the processing of accurate and timely payroll payments.
- Develops policies/procedures for processing payroll documents in accordance with Federal, State, and University regulations.
Develops payroll policies/procedures for the University's Administrative Procedures Manual.
- Performs final pre-audit function activities with respect to the legality, propriety, and proper authorization on all payroll and fringe payment documents. Pre-audits and verifies payroll and fringe payment documents for compliance with statutes, policies, rules and regulations, and contractual obligations before processing payments on State payroll change schedules for payroll checks issued by the State Payroll System.
- Pre-audits payroll source documents and processes information into both the University and State systems, including priority payroll, lump sum vacation, workers' compensation, and temporary disability payments, etc.
- Coordinates the distribution of all payroll checks.
- Coordinates and maintains the Student, Causal and Overload Payroll Information System (SCOPIS) for processing payroll payments to University non-regular employees.
- Instructs and trains Fiscal Officers, Assistant Fiscal Officers and Personnel Officers on payroll related policies/procedures.
- Coordinates the distribution of Federal and State income tax information on the Forms W-2.
- Coordinates employee repayment plans for salary overpayments.
- Compiles and posts taxable amounts for proper withholding and reporting of employee business expenses through the State payroll system (e.g. travel, mileage, uniform allowance, etc.).
- Coordinates processing of legal request for information and garnishment orders (e.g., child support, court judgment, Federal and State levy, and IRS audits, etc.).

- Conducts research/analysis and responds to employee inquiries and complaints with respect to payroll payments.
- Pre-audits and process fringe payroll payments, which includes overtime, night differential, meals compensation, etc.
- Calculates and prepares net overpayment worksheets and process payroll adjustments (employer and employee) to DAGS.
- Calculates and process workers' compensation payments for input on workers' compensation register and payroll change schedule.
- Implement the University's Administrative Procedures Manual pertaining to payroll procedures.

ACCOUNTS PAYABLE SECTION

The Accounts Payable Section processes encumbrances and payments for goods and services:

- Coordinates and is responsible for the prompt and accurate payment, reimbursement, or transfer of all University obligations from State of Hawai'i appropriations or funds assigned to the University of Hawai'i.
- Develops policies/procedures for processing encumbrances and payment documents in accordance with Federal, State, and University regulations. Develops accounts payable policies/procedures for the University's Administrative Procedures Manual.
- Performs final pre-audit function activities with respect to the legality, propriety, and proper authority on all encumbrances and payments. Pre-audits and verifies encumbrance and payment documents for compliance with statutes, policies, rules and regulations and contractual obligations before processing payments on UHGA checks (except for payroll checks issued by the State Payroll System).
- Post-audits UHGA checks for completeness, affixes authorized signature; sorts and distributes UHGA checks to individual, vendors, departments and campuses.
- Instructs and trains fiscal officers, assistant fiscal officers and petty cash/imprest check custodians on the policies/procedures for establishment and control of petty cash funds and imprest checking accounts.
- Develops coordinates, and maintains policies/procedures for the establishment and control of petty cash funds and imprest checking accounts.
- Actively participates in the design, development, testing and implementation of the Departmental Checking System. Responsible for the maintenance of the system. Analyzes problems and develops solutions at various stages of the check writing system, both procedurally and systematically. Instructs and trains fiscal officers, assistant fiscal officers and Departmental Checking System custodians on data entry, policies, and procedures for establishment, processing, and control of DCS accounts. Develops, coordinates, and maintains policies/procedures for the establishment and control of petty cash funds and Departmental Checking Accounts. Performs DCS (Departmental Checking System) site visitations to review/post audit payment transactions, DCS process, and physical set up.

Disbursing and Payroll Office
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- Coordinates the preparation, withholding and distribution of Federal and State income tax information on the Forms 1042S and 1099-MISC to payees, Internal Revenue Service (IRS), and the State of Hawai'i.
- Develops policies/procedures for processing and reporting of taxability of employee business expenses. Pre-audits reportable and taxable amounts for proper withholding and reporting through the University's Payroll to the State payroll system.
- Coordinates quarterly wage reporting as required by the State Department of Labor and Industrial Relations (DLIR). Coordinates processing of unemployment claims relating to University contract and claims transactions.
- Prepares Summary Warrant Vouchers for reimbursement and bond payments with supporting documentation for submission to the State Department of Accounting and General Services (DAGS).
- Records and reconciles all contract and claims encumbrances with DAGS yearly at fiscal year end.
- Controls, edits, and monitors reports on encumbrances extensions, insufficient funds, and accounts payable.
- Maintains and reconciles employee travel advances file for future accounting of travel expenses for each travel advance. Follow up with traveler and deans and directors all outstanding advances. Identifies all travel expenses that are reportable and taxable to IRS through the State payroll system for employees.
- Maintains and reconciles non-employee reportable travel transactions for processing to IRS under Form 1099MISC and Form 1042 regulations.
- Maintains and coordinates an on-line vendor table (data file) for approximately 50,000 vendors for field and central use. Assigns vendor codes for all payment documents for timely and accurate payment processing.
- Monitors and maintains a Financial Management Information System (FMIS) Accounts Payroll system. Knowledgeable in maintaining electronically a large vendor master file for on-line viewing by field and central personnel, creating and paying vouchers on-line, and aiding vendors on payment status.
- Coordinates and initiates on-line corrective actions of rejected transactions. Knowledgeable of accounts payable and financial accounting FMIS procedures to take corrective actions.
- Actively participate in the development, testing and implementation of the electronic Purchasing Module of FMIS. Instructs and trains fiscal officers, assistant fiscal officers and their staff on data entry, policies, and procedures for electronic purchase order payment processing and encumbrance liquidation. Serves as help line, takes department calls, analyzes problems and develops solutions at various stages of the payment process, both procedurally and systematically. Knowledgeable of the purchasing system, accounts payable system, and financial accounting system; and their corresponding effects on each other.
- Designs hard copy and electronic encumbrance liquidation and payment forms for departmental use and submittal to Accounts Payable.

**STATE OF HAWAII
UNIVERSITY OF HAWAII
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
PROPERTY AND FUND MANAGEMENT OFFICE
FUNCTIONAL STATEMENT**

MAJOR FUNCTIONS

Directs, manages, and oversees University Bond System (UBS) projects in (1) budget preparation, (2) generation and accounting of revenues, (3) expenditure control, and (4) development and implementation of investment strategies to ensure maximum yield. Develops and implements policies, rules, and procedures to ensure the self-financing nature of bond projects. Prepares prospectus and financing models; compiles and analyzes financial data relating to the sale of revenue bonds. UBS covers the following projects:

- UH Manoa Student Housing
- UH Hilo Student Housing
- UH Manoa Faculty Housing
- UH Manoa Campus Center
- UH Manoa Parking Operations
- University Bookstore System
- Maui Community College Student Housing
- Telecommunication System

Directs, manages, and oversees the Systemwide management, inventorying, and accounting for all fixed assets of the University System property in accordance with Federal, State, University, and granting agency regulations.

Maintains a computerized personal property accounting system as required by law and grant or contract provision.

Prepare reports on property as required by law, contract, grant or as requested.

Prepare regulatory policies and procedures on inventory of personal property and real property.

Trains fiscal officers in property administration.

Establishes, directs, manages, and oversees the University's tax compliance program.

**STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
FISCAL SERVICES
FUNCTIONAL STATEMENT**

MAJOR FUNCTIONS

Plans, coordinates, and controls the financial accounting and reporting activities for the University of Hawai'i System.

Plans and directs the analysis, design, development, implementation, and revision of the University's Systemwide accounting and reporting system.

Represents the University in Statewide accounting and reporting projects.

Organizes and coordinates the fiscal year end closing for the University.

Writes or directs the writing and issuance of the UH Administrative Procedures setting forth Systemwide accounting policies and procedures.

Oversees University compliance with laws, rules, and administrative procedures pertaining to financial management information system.

Plans and implements the identification and documentation of needs for a new financial management information system. Responsible for ensuring the successful replacement of the University's current system with an on-line system that meets all identified requirements.

STATE OF HAWAII
UNIVERSITY OF HAWAII
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
PROCUREMENT, REAL PROPERTY AND RISK MANAGEMENT
FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

The Office of Procurement, Real Property and Risk Management provides overall direction and leadership in the systemwide development of procurement and real property management policies and procedures. It plans, organizes, directs and controls procurement, real property, risk, and records management activities for the University system.

The Director of Procurement, Real Property and Risk Management provides overall leadership and direction in the acquisition of goods, services, and construction, in all transactions involving the acquisition or conveyance of interests in real property, in the development and administration of the University's risk management and records management programs, in the planning, development and implementation of fiscal officer training programs and the auditing of fiscal officer transactions, and in the development of legislation involving procurement and real property management issues.

Associate Director

- Assists the Director in planning, organizing, directing, and controlling the University's Office of Procurement, Real Property and Risk Management.
- Acts as the Director and signs contractual and property documents, as authorized, during the absence of the Director.
- Assists the Director in the resolution of unique procurement problems, contract disputes, and in making complex procurement decisions.
- Prepares studies on subjects pertaining to procurement and property management for the University and assists in presenting testimony before boards, committees, etc.
- Oversees the University's small business utilization program as required by Federal Law; develops policies and procedures, contract clauses and reports concerning small business utilization; advises small businesses on how to do business with the University.
- Oversees the University's Records Management Program and provides guidance to University personnel on records management issues.
- Assists the Department of Accounting and General Services with respect to tort claims involving University operations by conducting investigations and preparing reports to assist in the resolution of such claims.

- Performs special tasks in complex acquisitions and performs contract administration duties as assigned.

PROCUREMENT SECTION

- Evaluates departmental requirements for acquisition of goods, services, and construction.
- Prepares formal invitations for bids and requests for proposals consisting of technical specifications, general provisions and special provisions for the acquisition of goods, services and construction in accordance with applicable laws and University Administrative Procedures.
- Advertises formal competitive solicitations in accordance with State law, grant or contract terms and University Administrative Procedures.
- Awards contracts pursuant to advertised competitive solicitations.
- Effects contracts for professional, consultant, and other specialized services in accordance with University Administrative Procedures.
- Processes sole source procurements in accordance with State law and University Administrative Procedures.
- Processes emergency procurements in accordance with State law and University Administrative Procedures.
- Processes small purchases and other purchases exempt from formal advertised solicitation requirements which exceed delegated purchasing authority of fiscal officers in accordance with State law and University Administrative Procedures.
- Develops contract terms and provisions.
- Provides contract administration services.
- Processes financial management accounting forms relating to procurement actions.
- Monitors decentralized procurement activities administered by fiscal officers at the campus and departmental level.
- Provides advice and assistance to departments in the administration of purchase orders and contracts issued by fiscal officers.
- Administers training to fiscal officers.
- Prepares purchasing forms for use by fiscal officers.
- Provides vendor information.
- Develops University administrative procedures relating to the procurement of goods, services and construction.

REAL PROPERTY SECTION

- Advises and participates in the acquisition, disposition and exchange of interest in real property necessary to the physical development and operations of University campuses, research centers and other programs.
- Assists in the preparation of land use and zoning studies, appraisal reports, and research and evaluation studies relating to the acquisition and disposition of real property.
- Assists in maintaining an inventory of University interests in real property for planning purposes.
- Assists in the preparation of environmental assessments and impact statements for new campuses, master plans and all University projects; assists in the development of University environmental policy and all documents in compliance with applicable law, rules, and regulations.
- Coordinates the representation of the University in dealing with private and public agencies on matters relating to University lands and other interests in real property.
- Drafts conveyance documents, e.g. deeds, leases, easements, rights of way and licenses, relating to University interests in real property.
- Coordinates with University departments involved in or affected by real property transactions.
- Processes conveyance documents for execution by parties.
- Records/registers conveyance documents, as necessary.
- Maintains official files for all University interests in real property.
- Monitors official files to process necessary changes to terms and conditions of the conveyance documents, e.g. extensions of lease, adjustments to rent, insurance renewals, etc.
- Processes short term lease requests.
- Processes financial management accounting forms for payments relating to University interests in real property.

RISK/RECORDS MANAGEMENT SECTION

- Investigates and gathers information for tort claims involving University real property.
- Serves as the University liaison with the Department of Accounting and General Services, Risk Management Division.
- Provides guidance and advice in all matters relating to insurance.

- Develops release, hold harmless and other risk management forms for use by University programs.
- Reviews liability, insurance, and indemnification clauses in University agreements to insure compliance with State law and University Administrative Procedures.
- Provides training and advice to University departments concerning risk management.
- Submits claims on behalf of the University for property losses covered by insurance and/or the State Risk Management Program.
- Administers University records management procedures to insure compliance with State law and procedural requirements pertaining to public records.

**STATE of HAWAI'I
UNIVERSITY of HAWAI'I
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
OFFICE OF HUMAN RESOURCES**

MAJOR FUNCTIONS

The Office of Human Resources has systemwide responsibility for human resources relating to Board of Regents and Civil Service employees of the University of Hawai'i with respect to policy and procedure development, maintenance, and implementation; advisory services to units and staff services for executive decision-making. The System Director of Human Resources advises the Vice President for Administration and Chief Financial Officer on human resources management matters, including faculty affairs.

The Director's Office has responsibility for planning, organizing, and managing the University's human resources program as it relates to Board of Regents and Civil Service employees, employee benefits, and other related programs. This responsibility includes the development, implementation, and maintenance of personnel policies and procedures to ensure compliance with Board of Regents' Bylaws and Policies, Executive policies, State statutes, Federal laws and regulations, administrative procedures, and applicable collective bargaining agreements; the administration of the University's classification and compensation system for APT and E/M personnel, and the State's civil service classification and compensation plans for civil service employees; directing special projects and studies; providing leadership and advice to senior level executives on matters relating to relevant human resources issues; conducting hearings and rendering decisions on applicable grievances; and administering the University's employee benefits, workers' compensation and staff development and training programs.

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Civil Service Employee Relations Section

- Directs, administers, and coordinates the labor relations program for civil service employees
- Provides interpretation on State personnel rules, regulations, policies, and collective bargaining agreements relative to the management and direction of civil service employees
- Directs and administers on a systemwide basis, the civil service recruitment, examination, and personnel transaction programs
- Takes final classification actions on civil service positions, represents the University before appellate boards and commissions
- Reviews and recommends the compensation, pricing, and repricing of classes of positions
- Directs, administers, and coordinates the job performance evaluation program
- Directs, administers, and coordinates the State return to work priority program
- Custodian of official personnel files for all civil service employees

Employee Benefits and Board of Regents Employee Relations Section

- Directs, administers, and coordinates the labor relations program for APT and E/M employees, including serving as the President's designee as hearings officer for APT grievances
- Develops and issues interpretive guidelines, policies, and procedures, including Administrative Procedures
- Provides advisory services relative to rules, regulations, policies, and collective bargaining agreements relating to the management and direction of APT and E/M employees
- Provides support services such as reviewing and finalizing the agenda for Board of Regents' action; serves as the personnel manager for selected system offices; reviews proposed actions on behalf of the Vice President for Administration and Chief Financial Officer
- Reviews requests for classification action on APT and E/M positions and takes final action; represents the University before appellate boards and commissions regarding the classification of APT positions and advises the Vice President for Administration and Chief Financial Officer on appeals of E/M classification actions
- Conducts pricing and salary studies of APT and E/M classes. Assigns and reassigns classes to appropriate salary ranges
- Provides staff support on E/M classification and appointment issues, and personnel transaction processing
- Coordinates the State's benefit programs (Health Fund, Retirement System, Part-Time, Seasonal Deferred Compensation, etc.) for University employees on a systemwide basis. Serves as liaison with other State agencies

- Oversees the University's leave accounting system, including providing guidance and interpretive services on statutory provisions, State rules and regulations, and collective bargaining agreements; administers the leave sharing and family leave programs
- Coordinates the 457 Deferred Compensation, and Flexible Spending Accounts programs; temporary disability benefits program; service incentive and awards programs; Drug-Free Workplace Program; and other employee benefit programs
- Administers the University's 403(b) Tax Deferred Annuity (TDA) program, ensures compliance with applicable federal and state rules and regulations governing 403(b) TDA and may oversee a third party administrator
- Plans, develops, coordinates, and implements staff development and training programs; serves as liaison with the State of Hawai'i Department of Human Resources Development Employee Assistance Branch relative to employee assistance programs available to University employees

Workers' Compensation Section

- Develops and maintains the systemwide workers' compensation program for the University of Hawai'i
- Ensures the University's compliance with Chapter 386, HRS, Workers' Compensation Law
- Oversees the authorized third party administrator(s) activities and administers related University policies (supplemental pay, maintenance of benefits, temporary placement, modified duties, return to work and placement)

System Support and Analysis Section

- Develops and recommends new or revised personnel policies, procedures, and systems
- Manages special projects and conducts special studies which may cut across organizational levels and functional areas
- Develops and conducts studies and analyses to provide management data for program development which reflect personnel trends, practices, costs, etc.
- Manages and coordinates the implementation of Human Resources Information Systems
- Takes leadership in analyzing, developing, and implementing functional components of new electronic human resource systems
- Assists in systemwide technological direction for personnel officers
- Central source of all systemwide University human resources information
- Supports internal OHR computer requirements
- Provides procedural direction to University personnel officers for processing personnel transactions
- Serves as liaison between OHR and State of Hawai'i agencies on technological issues

SUPERSEDED
Date SEP - 3 2002

**STATE OF HAWAII
UNIVERSITY OF HAWAII
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
INFORMATION TECHNOLOGY SERVICES**

FUNCTIONAL STATEMENT

Introduction

Information Technology Services has responsibility and authority for University of Hawaii information technologies including telecommunications, academic computing and administrative information systems. It has operational responsibility for UH-Manoa campuswide facilities and services, and Systemwide responsibility for planning, policy, coordination, external relations and operational activities cutting across campuses.

Major Functions

Provides a broad range of information technology facilities to meet the instruction, research, public service and administrative needs of the University community, including appropriate centralized computing facilities for the System, campuswide computer lab facilities for UH Manoa, and libraries of software.

Provides a broad range of information technology services to meet the instruction, research, public service and administrative needs of the University community, including dissemination of information relating to the use of information technology in higher education, provision of informal classes, seminars, training and documentation in the effective use of information technologies, and consultation and support in the planning and use of information technologies to meet specific functional statements.

Provides effective and secure administrative information systems (fiscal, student, human resources, budget, etc.) and assists users in accessing these systems for transaction processing, updating information, and retrieving relevant data and reports.

Provides effective and economical telecommunications networks that supply voice, data and video services to meet Systemwide needs relating to instruction, research, public service and administration, including the provision of Systemwide access to and external liaison with state, national and international networks and information technology services.

Provides instructional technologies to facilitate Statewide access to high-quality higher education; coordinates Systemwide use of shared telecommunications and distance education technologies; and operates UH Manoa-based distance education technologies and systems.

Develops strategic plans, programs and policies pertaining to all aspects of information technology; provides consultation to University executive offices relating to information technology; promotes and represents the university's information technology activities, programs and plans within the University and to relevant Statewide, national and international communities.

**STATE OF HAWAII
UNIVERSITY OF HAWAII
OFFICE OF THE
VICE PRESIDENT FOR ADMINISTRATION AND
CHIEF FINANCIAL OFFICER
UNIVERSITY BUDGET OFFICE
FUNCTIONAL STATEMENT**

- Provides overall leadership and direction in the preparation and execution of the University's operating budget and assists in its administration.
- Analyzes budget policy and budget policy options including the identification of trends and consequences.
- Suggests management initiatives. Recommends policies, programs, issues, problems, procedures, organization, and management practices for evaluation and appropriate action. Participates in the analyses of policies, programs, issues, management practices, procedures, organization, and problems.
- Provides strategic and technical support for budget decision-making.
- Provides assistance and advice to the Board of Regents, University executives and program personnel in clarifying the philosophy, design, policies, and procedures of the revenue/budget/resource allocation system. Advises and assists programs with University policy conformance, budgeting, expenditure control, and related budgetary management matters.
- Develops, implements and maintains a monitoring system to provide for the systematic review and evaluation of the resource allocation program, budgetary program plans, revenues, and expenditures.
- Reviews proposals for reorganization; recommends organizational changes as appropriate.

**STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
BURSAR
FUNCTIONAL STATEMENT**

Treasury Office

1. Receives, pre-audits, and records all cash collection data of the University System and coordinates the quarter and year-end closing to ensure proper recording of cash receipts.
2. Reconciles cash collections with deposits appearing in daily UHGA bank statements and follows up on unrecorded deposits for all campuses. Also follows up on wire transfers and UHGA debit and credit memos.
3. Prepares State of Hawai'i Treasury Deposit Receipt forms for state collections and transmit funds to State via UHGA checks.
4. Deposits State of Hawai'i reimbursements to the University of Hawai'i into the UHGA to assure immediate interest earnings.
5. Writes receipts for funds credited to the UHGA from federal agencies.
6. Develops and disseminates internal policies/procedures on collections and deposits.
7. Responsible for the approval/disapproval of requests, which include establishment, decrease/close, permanent/temporary increases, change in custodian and or alternate custodian of change funds. Reviews and authorizes all action requests and reviews all incidents of change fund overages and shortages.
8. Administrates and controls all temporary cash advances for registration purposes.
9. Manages the short-term investment program of the University of Hawai'i by monitoring current market conditions and the University's cash flow and invests funds determined to be in excess of current obligations. Monitors collateral assigned to the University by financial institutions for the security of UH funds.
10. Works closely with fiscal officers and the State of Hawai'i Treasury in the investment of Special Funds of the University.
11. Responsible for the charge card program of the University of Hawai'i. Includes the review and change, if necessary, of technical specifications of new contract for charge card and lockbox transactions.
12. Inputs UHGA returned checks into the University system.

SUPERSEDED
Date MAY - 8 2002

13. Record on line departmental deposits to FMIS.

Cashier's Office

Collects, receives, and receipts all departmental collections for deposit, all tuition and fee collections, and records all collections into the Central Accounting System for the Manoa Campus. Disburses all checks, warrants and refund checks to students.

1. Receives all departmental collections. Pre-audits deposits and transships collection via armored car to bank. Controls departmental receipt data input to Central Accounting System.
2. Coordinates daily campus security and armored car pick-up and deliveries with various departments and organizations. Coordinates all special pick-ups at the Registration Cashier's site or any department requesting special armored car service.
3. Assists all departments and organizations on cash collections and charge card program. Disseminates internal policies and procedures on collections, deposits, refunds and reimbursements.
4. Responsible for issuing and deleting security codes to department(s) to access the financial obligation file. Controls all input and deletions to the central financial obligations file under the ISIS Program to ensure that there is a "Hold" as necessary on all:
 - a. Registrants
 - b. Application for transcript
 - c. Application short-term loans
 - d. Financial aid awards
 - e. Tuition and all other refunds
5. Responsible for the operation, accounting and annual reporting of the Cashier's Office Suspense Accounts:
 - a. Suspense account for temporary deposits.
 - b. Suspense account for returned items for all returned tuition and fee payment checks.
6. Responsible for the control and coordination with other departments, the fiscal aspects of the "Integrated Student Information System" (ISIS), registration process. Controls the processing of:
 - a. The fiscal portion of all registration material.
 - b. Receipts all tuition and fees collections via the ISIS fee collection module.
 - c. Maintains an accounts receivable file for all third party tuition and fee billing.
 - d. Issues official receipts to disburse all tuition and fee collections to the proper funds and departments.
7. Disburses all Financial Aid Checks, warrants and other cash awards to financial aid recipients.

SUPERSEDED
Date MAY - 8 2002

8. Pre-audits all tuition and fee refunds, and issues check after checking for financial obligation clearance.
9. Operates Imprest Checking Account to issue tuition and fees refund checks only.

SUPERSEDED
Date MAY - 8 2002

STATE OF HAWAII
UNIVERSITY OF HAWAI'I
VICE PRESIDENT FOR ADMINISTRATION AND CHIEF FINANCIAL OFFICER
FINANCIAL MANAGEMENT
FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Plans, directs, and controls Systemwide accounting, and loan collection activities.

Plans, directs, and controls Systemwide disbursing, and payroll activities.

Plans, directs, and controls Systemwide treasury and cashiering, and loan collection activities.

Plans, directs, and controls Systemwide fiscal services activities.

Plans, directs, and controls the University Bond System, Systemwide inventory management, Systemwide surplus property, and Systemwide tax management activities.

Plans, directs, and controls the procurement, real property, and risk management activities.

Plans, directs, and controls the fiscal officer's function for Financial Management operations.

SUPERSEDED
Date MAY - 8 2002

STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
OFFICE OF THE SENIOR VICE PRESIDENT FOR ADMINISTRATION
FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Provides executive leadership in planning, organizing, directing, evaluating, and coordinating all aspects of:

UH Systemwide administrative and support functions include accounting, assets management, bond system operations, disbursing and payroll, treasury and cashiering, internal and external auditing, procurement, real property, risk management, human resources administration, long-range physical development, information technology, and budgeting programs.

SUPERSEDED
Date SEP -7 2001

**STATE OF HAWAII
UNIVERSITY OF HAWAII
OFFICE OF THE SENIOR VICE PRESIDENT FOR ADMINISTRATION
OFFICE OF LONG-RANGE PHYSICAL DEVELOPMENT**

FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Provides system wide leadership, direction and control over the planning, development, implementation, and integration of long range physical development plans along with the development of associated capital improvements program (CIP) funding requirements in accordance with the University's long range strategic plans and priorities.

SUPERSEDED

Date SEP - 7 2001

**STATE OF HAWAII
UNIVERSITY OF HAWAII
OFFICE OF THE SENIOR VICE PRESIDENT FOR ADMINISTRATION
OFFICE OF INTERNAL AUDIT**

FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Plans, supervises, and coordinates the University's audit function.

Provides advice and assistance to the Board of Regents, President, and Senior Vice President for Administration on auditing, internal control, and related matters.

Plans, supervises, and coordinates teams of internal auditors in performing cyclical audits of selected University examinees.

Develops and administers comprehensive Systemwide internal auditing to report on the quality of examinee's system of internal control and quality of performance in carrying out assigned responsibilities, adequacy of safeguards of assets, detection of variations or deficiencies for early corrective action, and extent of compliance with internal and external audit recommendations accepted by the University.

Provides advice and assistance to various University offices in evaluating and reporting on the economy and efficiency in the use of resources, accomplishment of established objectives and goals for operations and programs, detection of unmet needs, as well as extent of compliance with policies, procedures, statutes, rules, and regulations.

Develops and administers comprehensive Systemwide procurement and coordination of external auditing and consulting services to report on the reliability of financial statements of examinees, quality of examinee's system of internal control and quality of performance in carrying out assigned responsibilities, and extent of reliability of the University's administrative system, organization, accounting, and reporting system.

Serves as the University's representative to external auditors and audit agencies.

SUPERSEDED
Date SEP - 7 2001

**STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
SENIOR VICE PRESIDENT FOR ADMINISTRATION
FINANCIAL MANAGEMENT
FUNCTIONAL STATEMENT**

MAJOR FUNCTIONS

Plans, directs, and controls Systemwide accounting and loan collection activities.

Plans, directs, and controls Systemwide disbursing, and payroll activities.

Plans, directs, and controls Systemwide treasury and cashiering activities.

Plans, directs, and controls Systemwide fiscal services activities.

Plans, directs, and controls the University Bond System, Systemwide inventory management, Systemwide surplus property, and Systemwide tax management activities.

Plans, directs and controls the procurement, real property, and risk management activities.

Plans, directs, and controls the fiscal officer's functions for Financial Management operations.

SUPERSEDED
Date SEP -7 2001

**STATE OF HAWAII
UNIVERSITY OF HAWAII
SENIOR VICE PRESIDENT FOR ADMINISTRATION
FINANCIAL MANAGEMENT
GENERAL ACCOUNTING AND LOAN COLLECTION OFFICE
FUNCTIONAL STATEMENT**

MAJOR FUNCTIONS

The responsibilities of the General Accounting and Loan Collection (GALC) Office include overseeing accounting of all University funds; preparing the University's financial statements; interfacing with the State Department of Accounting and General Services (DAGS); advising fiscal administrators on accounting matters; assisting internal and external auditors; preparing special reports for management, the State, and external users; distributing interest income of the pool and interest-bearing accounts; assisting in the recordkeeping, collection and write-off of accounts receivable; monitoring and accounting for the endowment funds; performing the collection related activities for short and long term notes and other receivable.

SPECIFIC FUNCTIONS

A. Current Unrestricted, Plant and Loans

1. Current Unrestricted and Plant Funds

a. Oversees accounting of all funds

- 1) Maintains the accounting classification structure. Monitors the establishment of accounts and related attributes such as fund groups, funds, appropriations, subcodes.
- 2) Reconciles bank account, subsidiary and general ledger reports, and DAGS balances.
- 3) Keeps abreast of changes in college and University accounting, governmental accounting, state and federal laws and University policies; and promulgates administrative procedures, modify programs and procedures as necessary.

b. Prepares financial statements

- 1) Insures the complete and accurate recordation of accounting data.
- 2) Prepares trial balances, adjusting entries and financial reports.
- 3) Assists in the required and discretionary audits of the University.
- 4) Submits draft financial report and schedules to the State by August 31.
- 5) Finalizes and publishes summary and detail financial report.

c. Records and reports accounting data with the State

- 1) Reimburses semi-monthly payroll costs to DAGS.
- 2) Reports quarterly funds held out of the State treasury.

**SUPERSEDED
Date SEP - 7 2001**

STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
SENIOR VICE PRESIDENT FOR ADMINISTRATION
FINANCIAL MANAGEMENT
BURSAR
FUNCTIONAL STATEMENT

Treasury Office

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4. Deposits State of Hawai'i reimbursements to the University of Hawai'i into the UHGA to assure immediate interest earnings.
5. Writes receipts for funds credited to the UHGA from federal agencies.
6. Develops and disseminates internal policies/procedures on collections and deposits.
7. Responsible for the approval/disapproval of requests which include establishment, decrease/close, permanent/temporary increases, change in custodian and or alternate custodian of change funds. Reviews and authorizes all action requests and reviews all incidents of change fund overages and shortages.
8. Administrates and controls all temporary cash advances for registration purposes.
9. Manages the short-term investment program of the University of Hawai'i by monitoring current market conditions and the University's cash flow and invests funds determined to be in excess of current obligations. Monitors collateral assigned to the University by financial institutions for the security of UH funds.
10. Works closely with fiscal officers and the State of Hawai'i Treasury in the investment of Special Funds of the University.
11. Responsible for the charge card program of the University of Hawai'i. Includes the review and change, if necessary, of technical specifications of new contract for charge card and lockbox transactions.
12. Inputs UHGA returned checks into the University system.
13. Record on line departmental deposits to FMIS.

Cashier's Office

Collects, receives, and receipts all departmental collections for deposit, all tuition and fees collections, and records all collections into the Central Accounting System for the Mānoa Campus. Disburses all checks, warrants and refund checks to students.

SUPERSEDED
Date SEP -7 2001

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2. Coordinates daily campus security and armored car pick-up and deliveries with various departments and organizations. Coordinates all special pick-ups at the Registration Cashier's site or any department requesting special armored car service.
3. Assists all departments and organizations on cash collections and charge card program. Disseminates internal policies and procedures on collections, deposits, refunds and reimbursements.
4. Responsible for issuing and deleting security codes to department(s) to access the financial obligation file. Controls all input and deletions to the central financial obligations file under the ISIS Program to ensure that there is a "Hold" as necessary on all:
 - a. Registrants
 - b. Application for transcript
 - c. Application short-term loans
 - d. Financial aid awards
 - e. Tuition and all other refunds
5. Responsible for the operation, accounting and annual reporting of the Cashier's Office Suspense Accounts:
 - a. Suspense account for temporary deposits.
 - b. Suspense account for returned items for all returned tuition and fee payment checks.
6. Responsible for the control and coordination with other departments, the fiscal aspects of the "Integrated Student Information System" (ISIS), registration process. Controls the processing of:
 - a. The fiscal portion of all registration material.
 - b. Receipts all tuition and fees collection via the ISIS fee collection module.
 - c. Maintains an accounts receivable file for all third party tuition and fee billing.
 - d. Issues official receipts to disburse all tuition and fee collections to the proper funds and departments.
7. Disburses all Financial Aid Checks, warrants and other cash awards to financial aid recipients.
8. Pre-audits all tuition and fee refunds, and issues check after checking for financial obligation clearance.
9. Operates Imprest Checking Account to issue tuition and fees refund checks only.

SUPERSEDED
Date SEP - 7 2001

STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
SENIOR VICE PRESIDENT FOR ADMINISTRATION
FINANCIAL MANAGEMENT
DISBURSING AND PAYROLL OFFICE
FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

The Disbursing and Payroll Office is a University systemwide operation that is responsible for the final review/pre-audit of all contracts, claims and payroll payments and the execution of all required payment processing activities and other accounts payable activities (e.g. vendor maintenance, UHGA check production, check distribution, 1099MISC/1042S withholding/reporting, etc.)

PAYROLL SECTION

The Payroll Section processes employee payroll payments:

- Coordinates and is responsible for the processing of accurate and timely payroll payments.
- Develops policies/procedures for processing payroll documents in accordance with Federal, State, and University regulations.
Develops payroll policies/procedures for the University's Administrative Procedures Manual.
- Performs final pre-audit function activities with respect to the legality, propriety, and proper authorization on all payroll and fringe payment documents. Pre-audits and verifies payroll and fringe payment documents for compliance with statutes, policies, rules and regulations, and contractual obligations before processing payments on State payroll change schedules for payroll checks issued by the State Payroll System.
- Pre-audits payroll source documents and processes information into both the University and State systems, including priority payroll, lump sum vacation, workers' compensation, and temporary disability payments, etc.
- Coordinates the distribution of all payroll checks.
- Coordinates and maintains the Student, Casual and Overload Payroll Information System (SCOPIS) for processing payroll payments to University non-regular employees.
- Instructs and trains Fiscal Officers, Assistant Fiscal Officers and Personnel Officers on payroll related policies/procedures.
- Coordinates the distribution of Federal and State income tax information on the Form W-2.
- Coordinates employee repayment plans for salary overpayments.
- Compiles and posts taxable amounts for proper withholding and reporting of employee business expenses through the State payroll system (e.g. travel, mileage, uniform allowance, etc.).
- Coordinates processing of legal requests for information and garnishment orders (e.g., child support, court judgment, Federal and State levy, and IRS audits, etc.).

SUPERSEDED
Date SEP - 7 2001

STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
SENIOR VICE PRESIDENT FOR ADMINISTRATION
FINANCIAL MANAGEMENT
PROPERTY AND FUND MANAGEMENT OFFICE
FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Directs, manages, and oversees University Bond System (UBS) projects in (1) budget preparation, (2) generation and accounting of revenues, (3) expenditure control, and (4) development and implementation of investment strategies to ensure maximum yield. Develops and implements policies, rules, and procedures to ensure the self-financing nature of bond projects. Prepares prospectus and financing models; compiles and analyzes financial data relating to the sale of revenue bonds. UBS covers the following projects:

- UH Mānoa Student Housing
- UH Hilo Student Housing
- UH Mānoa Faculty Housing
- UH Mānoa Campus Center
- UH Mānoa Parking Operations
- University Bookstore System
- Maui Community College Student Housing
- Telecommunication System

Directs, manages, and oversees the Systemwide management, inventorying, and accounting for all fixed assets of the University System property in accordance with Federal, State, University, and granting agency regulations.

Maintains a computerized personal property accounting system as required by law and grant or contract provision.

Prepare reports on property as required by law, contract, grant or as requested.

Prepare regulatory policies and procedures on inventory of personal property and real property.

Trains fiscal officers in property administration.

Establishes, directs, manages, and oversees the University's tax compliance program.

SUPERSEDED
Date SEP - 7 2001

STATE OF HAWAI'I
UNIVERSITY OF HAWAI'I
SENIOR VICE PRESIDENT FOR ADMINISTRATION
FINANCIAL MANAGEMENT
FISCAL SERVICES
FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

Plans, coordinates, and controls the financial accounting and reporting activities for the University of Hawai'i System.

Plans and directs the analysis, design, development, implementation, and revision of the University's Systemwide accounting and reporting system.

Represents the University in Statewide accounting and reporting projects.

Organizes and coordinates the fiscal year end closing for the University.

Writes or directs the writing and issuance of the UH Administrative Procedures setting forth Systemwide accounting policies and procedures.

Oversees University compliance with laws, rules, and administrative procedures pertaining to financial management information system.

Plans and implements the identification and documentation of needs for a new financial management information system. Responsible for ensuring the successful replacement of the University's current system with an on-line system that meets all identified requirements.

SUPERSEDED
Date SEP - 7 2001

STATE OF HAWAI`I
UNIVERSITY OF HAWAI`I
OFFICE OF THE SENIOR VICE PRESIDENT FOR ADMINISTRATION
FINANCIAL MANAGEMENT
PROCUREMENT, REAL PROPERTY AND RISK MANAGEMENT
FUNCTIONAL STATEMENT

MAJOR FUNCTIONS

The Office of Procurement, Real Property and Risk Management provides overall direction and leadership in the systemwide development of procurement and real property management policies and procedures. It plans, organizes, directs and controls procurement, real property, risk, and records management activities for the University system.

The Director of Procurement, Real Property and Risk Management provides overall leadership and direction in the acquisition of goods, services, and construction, in all transactions involving the acquisition or conveyance of interests in real property, in the development and administration of the University's risk management and records management programs, in the planning, development and implementation of fiscal officer training programs and the auditing of fiscal officer transactions, and in the development of legislation involving procurement and real property management issues.

Associate Director

- Assists the Director in planning, organizing, directing, and controlling the University's Office of Procurement, Real Property and Risk Management.
- Acts as the Director and signs contractual and property documents, as authorized, during the absence of the Director.
- Assists the Director in the resolution of unique procurement problems, contract disputes, and in making complex procurement decisions.
- Prepares studies on subjects pertaining to procurement and property management for the University and assists in presenting testimony before boards, committees, etc.
- Oversees the University's small business utilization program as required by Federal Law; develops policies and procedures, contract clauses and reports concerning small business utilization; advises small businesses on how to do business with the University.
- Oversees the University's Records Management Program and provides guidance to University personnel on records management issues.
- Assists the Department of Accounting and General Services with respect to tort claims involving University operations by conducting investigations and preparing reports to assist in the resolution of such claims.

**STATE OF HAWAII
UNIVERSITY OF HAWAII
SENIOR VICE PRESIDENT FOR ADMINISTRATION
INFORMATION TECHNOLOGY SERVICES**

FUNCTIONAL STATEMENT

Introduction

Information Technology Services has responsibility and authority for University of Hawaii information technologies including telecommunications, academic computing and administrative information systems. It has operational responsibility for UH-Manoa campuswide facilities and services, and Systemwide responsibility for planning, policy, coordination, external relations and operational activities cutting across campuses.

Major Functions

Provides a broad range of information technology facilities to meet the instruction, research, public service and administrative needs of the University community, including appropriate centralized computing facilities for the System, campuswide computer lab facilities for UH Manoa, and libraries of software.

Provides a broad range of information technology services to meet the instruction, research, public service and administrative needs of the University community, including dissemination of information relating to the use of information technology in higher education, provision of informal classes, seminars, training and documentation in the effective use of information technologies, and consultation and support in the planning and use of information technologies to meet specific functional statements.

Provides effective and secure administrative information systems (fiscal, student, human resources, budget, etc.) and assists users in accessing these systems for transaction processing, updating information, and retrieving relevant data and reports.

Provides effective and economical telecommunications networks that supply voice, data and video services to meet Systemwide needs relating to instruction, research, public service and administration, including the provision of Systemwide access to and external liaison with state, national and international networks and information technology services.

Provides instructional technologies to facilitate Statewide access to high-quality higher education; coordinates Systemwide use of shared telecommunications and distance education technologies; and operates UH Manoa-based distance education technologies and systems,

Develops strategic plans, programs and policies pertaining to all aspects of information technology; provides consultation to University executive offices relating to information technology; promotes and represents the university's information technology activities, programs and plans within the University and to relevant Statewide, national and international communities.

SUPERSEDED

Date SEP - 7 2001

**STATE OF HAWAII
UNIVERSITY OF HAWAII
OFFICE OF THE
SENIOR VICE PRESIDENT FOR ADMINISTRATION
UNIVERSITY BUDGET OFFICE
FUNCTIONAL STATEMENT**

- Provides overall leadership and direction in the preparation and execution of the University's operating budget and assists in its administration.
- Analyzes budget policy and budget policy options including the identification of trends and consequences.
- Suggests management initiatives. Recommends policies, programs, issues, problems, procedures, organization, and management practices for evaluation and appropriate action. Participates in the analyses of policies, programs, issues, management practices, procedures, organization, and problems.
- Provides strategic and technical support for budget decision-making.
- Provides assistance and advice to the Board of Regents, University executives and program personnel in clarifying the philosophy, design, policies, and procedures of the revenue/budget/resource allocation system. Advises and assists programs with University policy conformance, budgeting, expenditure control, and related budgetary management matters.
- Develops, implements and maintains a monitoring system to provide for the systematic review and evaluation of the resource allocation program, budgetary program plans, revenues, and expenditures.
- Reviews proposals for reorganization; recommends organizational changes as appropriate.

SUPERSEDED
Date SEP - 7 2001

**STATE OF HAWAII
UNIVERSITY OF HAWAII
OFFICE OF THE SENIOR VICE PRESIDENT FOR ADMINISTRATION
FUNCTIONAL STATEMENT**

MAJOR FUNCTIONS

Provides executive leadership in planning, organizing, directing, evaluating, and coordinating all aspects of:

UH Systemwide administrative and support functions including accounting, assets management, bond system operations, disbursing and payroll, treasury and cashiering, internal and external auditing, procurement, real property, risk management, human resources administration, physical planning and capital improvements, information technology, bookstore operations, budgeting, and faculty housing development and assistance programs.

UH Mānoa administrative and support functions including facilities planning and management, buildings and grounds management, auxiliary enterprises, campus security, and environmental health and safety.

SUPERSEDED
Date AUG 21 2001

**STATE of HAWAII
UNIVERSITY of HAWAII
SENIOR VICE PRESIDENT FOR ADMINISTRATION
OFFICE OF HUMAN RESOURCES**

MAJOR FUNCTIONS

The Office of Human Resources has systemwide responsibility for human resources relating to Board of Regents and Civil Service employees of the University of Hawai'i with respect to policy and procedure development, maintenance, and implementation; advisory services to units and staff services for executive decision-making. The System Director of Human Resources advises the Senior Vice President for Administration on human resources management matters, including faculty affairs.

The Director's Office has responsibility for planning, organizing, and managing the University's human resources program as it relates to Board of Regents and Civil Service employees, employee benefits, and other related programs. This responsibility includes the development, implementation, and maintenance of personnel policies and procedures to ensure compliance with Board of Regents' Bylaws and Policies, Executive policies, State statutes, Federal laws and regulations, administrative procedures, and applicable collective bargaining agreements; the administration of the University's classification and compensation system for APT and E/M personnel, and the State's civil service classification and compensation plans for civil service employees; directing special projects and studies; providing leadership and advice to senior level executives on matters relating to relevant human resources issues; conducting hearings and rendering decisions on applicable grievances; and administering the University's employee benefits, workers' compensation and staff development and training programs.

Civil Service Employee Relations Section

- Directs, administers, and coordinates the labor relations program for civil service employees
- Provides interpretation on State personnel rules, regulations, policies, and collective bargaining agreements relative to the management and direction of civil service employees
- Directs and administers on a systemwide basis, the civil service recruitment, examination, and personnel transaction programs
- Takes final classification actions on civil service positions, represents the University before appellate boards and commissions
- Reviews and recommends the compensation, pricing, and repricing of classes of positions
- Directs, administers, and coordinates the job performance evaluation program
- Directs, administers, and coordinates the State return to work priority program
- Custodian of official personnel files for all civil service employees

Employee Benefits and Board of Regents Employee Relations Section

- Directs, administers, and coordinates the labor relations program for APT and E/M employees, including serving as the President's designee as hearings officer for APT grievances
- Develops and issues interpretive guidelines, policies, and procedures, including Administrative Procedures
- Provides advisory services relative to rules, regulations, policies, and collective bargaining agreements relating to the management and direction of APT and E/M employees
- Provides support services such as reviewing and finalizing the agenda for Board of Regents' action; serves as the personnel manager for selected system offices; reviews proposed actions on behalf of the Senior Vice President for Administration
- Reviews requests for classification action on APT and E/M positions and takes final action; represents the University before appellate boards and commissions regarding the classification of APT positions and advises the Senior Vice President on appeals of E/M classification actions
- Conducts pricing and salary studies of APT and E/M classes. Assigns and reassigns classes to appropriate salary ranges
- Provides staff support on E/M classification and appointment issues, and personnel transaction processing
- Coordinates the State's benefit programs (Health Fund, Retirement System, Part-Time, Seasonal Deferred Compensation, etc.) for University employees on a systemwide basis. Serves as liaison with other State agencies

SUPERSEDED
Date SEP - 7 2001

- Oversees the University's leave accounting system, including providing guidance and interpretive services on statutory provisions, State rules and regulations, and collective bargaining agreements; administers the leave sharing and family leave programs
- Coordinates the 457 Deferred Compensation, and Flexible Spending Accounts programs; temporary disability benefits program; service incentive and awards programs; Drug-Free Workplace Program; and other employee benefit programs
- Administers the University's 403(b) Tax Deferred Annuity (TDA) program, ensures compliance with applicable federal and state rules and regulations governing 403(b) TDA and may oversee a third party administrator
- Plans, develops, coordinates, and implements staff development and training programs; serves as liaison with the State of Hawai'i Department of Human Resources Development Employee Assistance Branch relative to employee assistance programs available to University employees

Workers' Compensation Section

- Develops and maintains the systemwide workers' compensation program for the University of Hawai'i
- Ensures the University's compliance with Chapter 386, HRS, Workers' Compensation Law
- Oversees the authorized third party administrator(s) activities and administers related University policies (supplemental pay, maintenance of benefits, temporary placement, modified duties, return to work and placement)

System Support and Analysis Section

- Develops and recommends new or revised personnel policies, procedures, and systems
- Manages special projects and conducts special studies which may cut across organizational levels and functional areas
- Develops and conducts studies and analyses to provide management data for program development which reflect personnel trends, practices, costs, etc.
- Manages and coordinates the implementation of Human Resources Information Systems
- Takes leadership in analyzing, developing, and implementing functional components of new electronic human resource systems
- Assists in systemwide technological direction for personnel officers
- Central source of all systemwide University human resources information
- Supports internal OHR computer requirements
- Provides procedural direction to University personnel officers for processing personnel transactions
- Serves as liaison between OHR and State of Hawai'i agencies on technological issues

SUPERSEDED
Date SEP - 7 2001